

**Estimated peak functional capacity; an accurate method for assessing change in peak oxygen consumption after cardiac rehabilitation?**

Journal:	<i>Clinical Physiology and Functional Imaging</i>
Manuscript ID	CPF-2017-0042.R1
Manuscript Type:	Original Article
Date Submitted by the Author:	05-Jun-2017
Complete List of Authors:	Nichols, Simon; Newcastle University, Sport and Exercise Science Gleadall-Siddall, Damien; University of Hull, Sport Health and Exercise Science Antony, Renjith; Castle Hill Hospital, Academic Cardiology Clark, Andrew; Castle Hill Hospital, Academic Cardiology Cleland, John; Imperial College London, Faculty of Medicine, National Heart and Lung Institute Ingle, Lee; University of Hull, Sport, Health & Exercise Science Department Carroll, Sean; University of Hull, Sport, Health & Exercise Science Department
Key Words:	Metabolic Equivalents, METs, Coronary Heart Disease, Exercise Testing, Cardiopulmonary Exercise Testing, Cardiac Rehabilitation, Estimated $VO_{2peak}$ , $VO_{2peak}$

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This is the peer reviewed version of the following article: Nichols, S. , Gleadall-Siddall, D. O., Antony, R. , Clark, A. L., Cleland, J. G., Carroll, S. and Ingle, L. (2018), Estimated peak functional capacity: an accurate method for assessing change in peak oxygen consumption after cardiac rehabilitation?. Clin Physiol Funct Imaging, 38: 681-688, which has been published in final form at <https://doi.org/10.1111/cpf.12468>. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Self-Archiving.

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3 **Full Title: Estimated peak functional capacity; an accurate method for**  
4 **assessing change in peak oxygen consumption after cardiac rehabilitation?**  
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8 **Short Title: Estimated functional capacity: A poor surrogate of  $VO_{2peak}$**   
9 **changes after cardiac rehabilitation**  
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12 **\*Nichols, S<sup>1</sup>, Gleadall-Sidall, D.O<sup>1</sup>, Antony, R<sup>2</sup>, Clark, A.L<sup>2</sup>, Cleland,**  
13 **J.G.F<sup>3</sup>, Ingle, L<sup>1</sup>, Carroll S<sup>1</sup>.**  
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16  
17 Clinicial.gov identifier: NCT01761448  
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19  
20  
21 \*Address for correspondence  
22

23 <sup>1</sup>Sport and Exercise Science  
24

25 School of Biomedical Science  
26

27 Catherine Cookson Building  
28

29 Faculty of Medical Sciences  
30

31 Newcastle University  
32

33 Newcastle upon Tyne  
34

35 NE2 4HH  
36  
37

38  
39  
40  
41  
42 Tel: 01482 466314  
43

44 Fax: 01482 463855  
45

46 Email: S.Nichols@hull.ac.uk  
47  
48

49  
50  
51 <sup>2</sup>Academic Cardiology  
52

53 Castle Hill Hospital,  
54

55 Castle Road,  
56  
57  
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59  
60

1  
2  
3 Cottingham,  
4

5 HU16 5JQ  
6  
7

8  
9 Imperial College London  
10

11 Faculty of Medicine, National Heart and Lung Institute  
12

13 London  
14

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19 These authors take responsibility for all aspects of the reliability and freedom from bias of  
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21 the data presented and their discussed interpretation  
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25  
26 Word Count 2733 exc. abstract  
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**Abstract:**

**Objective:** Cardiopulmonary exercise testing (CPET) is the “gold standard” method of determining  $VO_{2peak}$ . When CPET is unavailable,  $VO_{2peak}$  may be estimated from treadmill or cycle ergometer workloads and expressed as estimated metabolic equivalents (METs). Cardiac rehabilitation (CR) programmes use estimated  $VO_{2peak}$  (METs) to report changes in cardiorespiratory fitness (CRF). However, the accuracy of determining changes in  $VO_{2peak}$  based on estimated functional capacity is not known.

**Methods:** 27 patients with coronary heart disease (88.9% male; age  $59.5 \pm 10.0$  years, body mass index  $29.6 \pm 3.8 \text{ kg m}^{-2}$ ) performed maximal CPET before and after an exercise-based CR intervention.  $VO_{2peak}$  was directly determined using ventilatory gas exchange data and was also estimated using the American College of Sports Medicine (ACSM) leg cycling equation. Agreement between changes in directly determined  $VO_{2peak}$  and estimated  $VO_{2peak}$  was evaluated using Bland-Altman limits of agreement (LoA), and intraclass correlation coefficients.

**Results:** Directly-determined  $VO_{2peak}$  did not increase following CR ( $0.5 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (2.7%);  $p=0.332$ ). Estimated  $VO_{2peak}$  increased significantly ( $0.4 \text{ METs}$ ;  $1.4 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ; 6.7%;  $p=0.006$ ). The mean bias for estimated  $VO_{2peak}$  versus directly-determined  $VO_{2peak}$  was  $0.7 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (LoA  $-4.7$  to  $5.9 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ). Aerobic efficiency, ( $\Delta VO_2/\Delta WR$  slope) was significantly associated with estimated  $VO_{2peak}$  measurement error.

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3 **Conclusion:** Change in estimated  $VO_{2peak}$  derived from the ACSM leg cycling equation  
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5 is not an accurate surrogate for directly-determined changes in  $VO_{2peak}$ . Our findings  
6  
7 show poor agreement between estimates of  $VO_{2peak}$  and directly-determined  $VO_{2peak}$ .  
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9 Applying estimates of  $VO_{2peak}$  to determine CRF change may over-estimate the efficacy  
10  
11 of CR and lead to a different interpretation of study findings.  
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17 Key Words: Coronary Heart Disease, Cardiac Rehabilitation, Exercise Testing,  
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19 Cardiopulmonary Exercise Testing, Metabolic Equivalents, METs, Estimated  $VO_{2peak}$ ,  
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21  $VO_{2peak}$   
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27 Clinicaltrial.gov identifier: NCT01761448  
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## Introduction

Structured exercise training is a core component of most cardiac rehabilitation (CR) programmes (Anderson, *et al.* 2016; BACPR 2012; Heran, *et al.* 2011; Taylor, *et al.* 2006). The efficacy of exercise-based CR is predicated on appropriately personalised exercise training (Uddin, *et al.* 2015). Exercise prescriptions should be based on an individualised assessment that includes an initial exercise test. Maximal cardiopulmonary exercise testing (CPET) is the “gold standard” method for determining cardiorespiratory fitness [CRF] (Mezzani, *et al.* 2013). Information obtained during CPET provides some of the most accurate data on which to base an exercise prescription and to determine changes in CRF following the completion of a CR programme.

Where CPET is not available, workloads achieved during an incremental exercise test (on treadmill or cycle ergometry) may be used to estimate  $VO_{2peak}$  (ACSM 2013; Buckley, *et al.* 2016). Estimates of  $VO_{2peak}$  are commonly expressed as estimated metabolic equivalents (METs). Although recently challenged (Buckley, *et al.* 2016) equations for estimating  $VO_{2peak}$  and METs are traditionally based on an assumed linear relationship between  $VO_2$  and work rate (ACSM 2013). Despite contradictory evidence, (Byrne, *et al.* 2005) one MET (corresponding to resting metabolic rate) is widely accepted to equate to a  $VO_2$  of  $3.5 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$  (Wasserman, *et al.* 2011). Changes in estimated functional capacity during an exercise test are commonly expressed in multiples of resting metabolic rate. This metric allows comparisons of participant results from exercise testing undertaken using estimated versus direct

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3 determined  $VO_{2peak}$ . Peak estimated METs achieved during maximal exercise testing  
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5 are used to risk-stratify patients, prescribe individual exercise intensities for exercise  
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7 training, and to determine changes in CRF following exercise interventions (ACPICR  
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9 2015). However, estimates of functional capacity may not accurately quantify  $VO_{2peak}$ ,  
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11 particularly during treadmill protocols (Milani, *et al.* 1995; Myers, *et al.* 1991;  
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13 Pinkstaff, *et al.* 2011). Whilst the limitations of estimating  $VO_{2peak}$  from a single  
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15 exercise test are known, the accuracy of estimated changes in  $VO_{2peak}$  following an  
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17 exercise training intervention is unclear.  
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24 Large discrepancies between estimated, and directly determined  $VO_{2peak}$  have  
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26 previously been reported (Froelicher, *et al.* 1984; Kavanagh, *et al.* 2002). However, to  
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28 our knowledge, the only relevant investigation examining the suitability of estimating  
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30  $VO_{2peak}$  change from peak METs found no significant correlation ( $r=0.24$ ) in 50 patients  
31  
32 with coronary heart disease (CHD) undertaking maximal treadmill testing (Milani *et al.*  
33  
34 1995). Stuto, *et al.* (2013) also present data showing that the increase in directly  
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36 determined  $VO_{2peak}$  (14.7%) was not accurately reflected by a much lower  
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38 improvement in functional capacity (3.85%) following CR. Thus, in this elderly cohort  
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40 of patients attending CR, change in estimated peak METs did not appear to reflect  
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42 improvement in directly determined  $VO_{2peak}$ . However, this was not specifically  
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44 addressed by Stuto, *et al.* (2013). We therefore aimed to investigate the accuracy of  
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46 estimating changes in  $VO_{2peak}$  using the American College of Sports Medicine (ACSM  
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48 2013) leg cycling equation in patients with CHD.  
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## Methods

### Study design

Ethical approval was provided by the Yorkshire and the Humber NHS Research Ethics Committee (12/YH/0072). All patients provided written informed consent. All patients had agreed to participate in routine CR as delivered by their local National Health Service provider, and were a minimum of 28 days' post cardiac event at the time of baseline assessment (Visit 1). Patients were included if they had completed maximal CPET before (visit 1) and following the completion of their CR exercise programme (visit 2). Clinical information collected included cardiac diagnosis, past medical history, medications, smoking status, resting heart rate, blood pressure, waist circumference measurement, and body mass index (BMI). Ejection Fraction (EF) was determined from a resting echocardiogram. Patients with New York Heart Failure Classification (NYHA) IV, a left ventricular ejection fraction <30%, or a pacemaker/implantable cardioverter defibrillator, were excluded.

### Cardiac Rehabilitation Programme

Patients were recruited from four different CR centres in Yorkshire and Northern Lincolnshire (UK) between January and March 2013. CR provision remains inequitable across the UK (Brodie, *et al.* 2006; Doherty & Lewin 2012). The diversity of practice was reflected by the characteristics of the CR programmes included in this study. All CR programmes used interval circuit training with alternating cardiovascular and

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3 active recovery exercises. Exercise was prescribed at 40-70% of estimated heart rate  
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5 reserve [HRR] using formulae recommended by the Association of Chartered  
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7 Physiotherapist in Cardiac Rehabilitation (ACPICR 2015). The programme length varied  
8  
9 from 4-24 sessions conducted over a 4-12 week period. The median number of  
10  
11 exercise sessions during follow up was 15 (range: 0 to 62).  
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### 17 Cardiopulmonary Exercise Testing

18  
19 At baseline and after completion of training, patients undertook a CPET to volitional  
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21 exhaustion or limiting symptoms following a 25W, two-minute stage, incremental  
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23 electronically-braked cycle ergometer protocol (GE Healthcare e-Bike, Chalfont St  
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25 Giles, United Kingdom). Patients started pedalling at 25W without a prior unloaded  
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27 cycling phase. Breath-by-breath metabolic gas measurements were collected via an  
28  
29 Innocor (Innovision, Glamsbjerg, Denmark) metabolic cart. Calibration was performed  
30  
31 prior to each exercise test according to the manufacturer's instructions. ECG and heart  
32  
33 rate (HR) were continuously recorded using a GE Case System (GE Healthcare,  
34  
35 Chalfont St Giles, United Kingdom) BP was monitored at two minute intervals using a  
36  
37 Tango automated **sphygmomanometer** (SunTech Medical, Eynsham, United Kingdom).  
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44 Exercise was terminated if a patient experienced chest pain or achieved any of the  
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46 test termination criteria outlined by the American Thoracic Society (2003). Data were  
47  
48 exported as breath-by-breath values and post-processed to generate 15 second  
49  
50 averages using Microsoft Excel (Microsoft, Redmond WA, USE).  $VO_{2peak}$  and peak  
51  
52 respiratory exchange ratio (RER) were both averaged over the final 30 seconds of  
53  
54 CPET.  $VO_{2peak}$  was standardised to body mass and reported as ( $ml \cdot kg^{-1} \cdot min^{-1}$ ). The  
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3 ventilatory anaerobic threshold (VAT) was determined using the V-slope method  
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5 (Beaver, *et al.* 1986) and also reported standardised to body mass. The slope of  $\text{VO}_2$  as  
6  
7 a function of work rate ( $\Delta\text{VO}_2/\Delta\text{WR}$  slope), a measure of aerobic efficiency, was  
8  
9 determined using linear regression from data obtained throughout the CPET.  
10  
11  $\Delta\text{VO}_2/\Delta\text{WR}$  slope values  $<8.4 \text{ mL}/\text{min}^{-1}/\text{W}$  were considered abnormal (Wasserman, *et*  
12  
13 *al.* 2011). Estimated peak METs were calculated using the ACSM (2013) leg cycling  
14  
15 equation:  
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$$\text{VO}_2 = (1.8 \times \text{kg}\cdot\text{m}\cdot\text{min}^{-1}) / \text{BM} + (7.0)$$

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24 Where  $\text{kg}\cdot\text{m}$  is Kilogram metres (and where 1W is equal to  $6.12 \text{ kg}\cdot\text{m}\cdot\text{min}^{-1}$ ) and BM is  
25  
26 patient body mass. The term 'directly-determined'  $\text{VO}_{2\text{peak}}$  and 'estimated  $\text{VO}_{2\text{peak}}$ ' are  
27  
28 used to distinguish between the two variables.  
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32 Patients were asked to rate their perceived exertion (RPE) at the end of every two-  
33  
34 minute stage during and at peak exercise using the 6-20 Borg score (Borg, 1982).  
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36 Instructions for the use of the Borg score were given to patients prior to CPET using a  
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38 standardised list of terms.  
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#### 45 46 Statistical Analysis

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49 Statistical analysis was performed using SPSS version 22 (IBM, New York, USA). Data  
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51 were visually assessed for normality and heteroscedasticity. Categorical data are  
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53 reported as percentages. Continuous normally distributed variables are displayed as  
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55 mean with 95% confidence intervals (95% CI) or standard deviation ( $\pm$ ) where  
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3 specified. Statistically significant differences ( $p < 0.05$ ) were calculated using repeated  
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5 measures analysis of variance (ANOVA) and repeated measures analysis of covariance  
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7 (ANCOVA). Partial  $\eta^2$  ( $\eta_p^2$ ) effect sizes were also calculated with 0.01, 0.06 and 0.14  
8  
9 representing small, medium, and large effect sizes respectively (Richardson, 2011).  
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11 Pearson correlations were used to assess the strength of the relationship between  
12  
13 variables. An  $r$  value of  $<0.25$ , 0.26 to 0.50, 0.51 to 0.75, and,  $>0.75$  were considered  
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15 weak, moderate, fair and strong associations, respectively (Berg & Latin 2008).  
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17 Intraclass correlation coefficients (ICC) and Bland-Altman analysis were used to assess  
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19 agreement between measurement methods (Atkinson & Nevill 1998; Bland & Altman  
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21 1999). The maximum acceptable difference between assessment methods was set at  
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23 3.5 ml $\cdot$ kg $^{-1}$  $\cdot$ min $^{-1}$  (1 MET). A recent sampling of studies expressing exercise capacity in  
24  
25 terms of survival benefit, showed that a 1 MET increase in CRF (including estimated  
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27 functional capacity or directly-determined  $\text{VO}_2$ ) carried significant survival benefits in  
28  
29 both healthy adults and patients with CHD [ranging from 8-35%] (Ross, *et al.* 2016).  
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31 Further, the AHA scientific statement on importance of assessing CRF in clinical  
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33 practice refers to a 1 MET improvement as a clinically significant improvement in CRF  
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35 (Ross, *et al.* 2016). A measurement error greater than 1 MET would not only suggest  
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37 that estimates of  $\text{VO}_{2\text{peak}}$  do not reliably interpret patient risk, but also that they are  
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39 poor markers for monitoring CRF change. A consensus on ICC strength has not been  
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41 reached, but we defined moderate agreement as an ICC of 0.6–0.75, good agreement  
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43 between 0.75 and 0.9 and excellent  $>0.9$  (Atkinson & Nevill 1998).  
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## Results

### Patient Characteristics

Patient characteristics and medications at baseline are reported in Table 1.  $n=44$  patients conducted a baseline maximal CPET.  $n=17$  were lost to follow-up.  $n=27$  were included for analysis. (88.9% male; age  $59.5 \pm 10.0$  years, body mass index [BMI]  $29.6 \pm 3.8 \text{ kg}\cdot\text{m}^{-2}$ ). The median number of exercise sessions conducted at follow up was 15 (range: 0 to 62). **Five patients failed to attend at least one exercise session.**

### Cardiorespiratory Fitness Changes

Table 2 shows changes in key CPET variables. Despite a significant increase in exercise test duration and peak power output [watts], there was no significant change in directly-determined  $\text{VO}_{2\text{peak}}$  (mean change: 2.7%;  $0.5 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ; 95% CI:  $-0.6$  to  $1.8 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ). There were no significant changes in peak HR or RPE (indicators of patient effort) between CPETs. Peak RER, however, was significantly higher at visit 2 compared to visit 1. Change in directly determined  $\text{VO}_{2\text{peak}}$  remained non-significant when RER change was considered as a covariate (mean change  $0.6 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ; 95% CI:  $-0.6$  to  $1.8 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$   $p=0.324$ ).

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5 Consistent with the increased workload, there was a significant increase in estimated  
6 functional capacity or peak METs (mean change: 6.7%; 0.4 METs; 95% CI: 0.1 to 0.6  
7 METs). This corresponded to an estimated  $VO_{2peak}$  change of  $1.4 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ . The VAT  
8 (mean change: 9.9%;  $1.4 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ; 95% CI: 0.5 to  $2.3 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ), and ventilatory  
9 efficiency slope (VE/ $VCO_2$  slope) also significantly improved following CR. The mean  
10  $\Delta VO_2/\Delta W$  slope was within normal limits at both visits and did not change  
11 significantly between visits. However, 19% ( $n=10$ ) of all exercise tests had a  
12  $\Delta VO_2/\Delta W$  slope below the lower limit of normal ( $<8.4 \text{ mL}/\text{min}/\text{W}$ ).  
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27 Agreement between Directly-Determined  $VO_{2peak}$  and Estimated  $VO_{2peak}$   
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31 Correlations and measures of agreement for CPET variables are presented in Table 3.  
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33 There was a significant association between directly determined  $VO_{2peak}$  and  
34 estimated  $VO_{2peak}$  on both pre and post- cardiac rehabilitation visits (Figure 1A and 1  
35 B). The mean bias and limits of agreement for estimated  $VO_{2peak}$  on both tests are also  
36 presented in Table 3. The association between changes in directly-determined  $VO_{2peak}$   
37 and estimated  $VO_{2peak}$  was substantially reduced (Figure 1C,  $r=0.527$ ,  $p=0.05$ ). The ICC  
38 between the two measurements was not non-significant (ICC 0.358; 95% CI -0.442 to  
39 0.711;  $p=0.138$ ).  
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50 Bland-Altman Analysis (Figure 2) showed the mean bias for changes in  $VO_{2peak}$  was less  
51 than the maximal acceptable difference ( $0.7 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ; 95% CI -0.4 to  $1.8 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ;  
52  $p=0.178$ ;  $\eta_p^2=0.069$ ). However, the limits of agreement (LoA) were  
53 considerably wider ( $-4.7$  to  $5.9 \text{ ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ; lower LoA 95% CI: -5.1 to -4.3; upper LoA  
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3 95% CI: 5.5 to 6.3 ml·kg<sup>-1</sup>·min<sup>-1</sup>). VO<sub>2peak</sub> measurement error was higher than the  
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5 maximal clinically acceptable difference in 33% of participants. There was a significant,  
6  
7 moderate negative correlation between VO<sub>2peak</sub> measurement error (estimated  
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9 VO<sub>2peak</sub> minus directly determined VO<sub>2peak</sub>) and the ΔVO<sub>2</sub>/ΔWR slope at visit 1 and 2  
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11 (Figure 3, r=-0.496, p<0.001).  
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## 17 Discussion

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22 Estimated METS derived from the ACSM leg cycling equation are significantly and  
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24 consistently associated with directly-determined oxygen consumption in a  
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26 representative cohort of patients attending CR. However, the LoA from our Bland-  
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28 Altman analysis suggest that changes in estimated functional capacity do not  
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30 accurately reflect directly determined VO<sub>2peak</sub> changes following a CR exercise training  
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32 intervention. This is supported by our failure to find a significant ICC between the two  
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34 measurements.  
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41 Increasing VO<sub>2peak</sub> through structured exercise training improves survival (Vanhees, *et*  
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43 *al.* 1995) in patients with CHD and, consequently, improving VO<sub>2peak</sub> remains a key  
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45 objective for CR practitioners. Practitioners need to have confidence in the efficacy of  
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47 the outcome measures they report. Given that CR programme outcome data from  
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49 functional capacity testing are often expressed in estimated METs, there is a  
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51 requirement to examine the suitability of estimated functional capacity to accurately  
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53 reflect changes in VO<sub>2peak</sub>.  
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3 Significant mean improvements in peak exercise time, power output and associated  
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5 improvements in estimated METs following cardiac rehabilitation were not  
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7 accompanied by improved mean peak oxygen consumption in the present study.  
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10 These findings question the appropriateness of using estimated  $VO_{2peak}$  (METs) as a  
11  
12 surrogate indicator of improvements in  $VO_{2peak}$ . Reporting estimated METs alone may  
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14 lead to inaccurate interpretations of the efficacy of exercise interventions within  
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16 rehabilitation settings.  
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22 Estimating mean changes in  $VO_{2peak}$  (through widely applied MET equations) over  
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24 predicted actual  $VO_{2peak}$  by more than two-fold in this patient group. These findings  
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26 are consistent with previously published data (Froelicher, et al. 1984; Milani, et al.  
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28 1995) which indicate poor agreement between estimates of  $VO_{2peak}$  change and  
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30 directly determined  $VO_{2peak}$  change. However, our findings contradict those of Stuto  
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32 and colleagues (2013) who described a lower relative improvement in functional  
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34 capacity (3.85%) compared to directly determined  $VO_{2peak}$  (14.7%). The limited  
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36 information provided within this study abstract limits comparison of the study  
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38 findings. However, these findings may have important implications when interpreting  
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40 the CRF benefits of CR.  
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48 Improvements in other CPET components of cardiorespiratory fitness were observed  
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50 following exercise training in this cohort. The VAT significantly increased following  
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52 exercise-based CR. Improvements in VAT are associated with increased endurance  
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54 capacity, less blood lactate accumulation and associated acid-base metabolic  
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56 perturbations (Ghosh 2004; Sullivan, *et al.* 1989). Given  $VO_{2peak}$  remained unchanged,  
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3 changes in the VAT are likely to have contributed to improved exercise capacity and  
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6 estimated MET changes.  
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10 The failure of estimated MET change to accurately predict directly determined  $VO_{2peak}$   
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12 change may in part, be attributed to test familiarisation and improved movement  
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14 economy leading to a longer test duration (Fletcher, *et al.* 2001; Russell, *et al.* 1998).  
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16 However, the use of a cycle ergometer as opposed to a treadmill may partially  
17  
18 mitigate these influences. It is possible that the use of our step protocol (2 minute  
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20 stages, 25W Increments) may have led to a weaker association between  $VO_2$  and work  
21  
22 rate. Two minutes may have been inadequate time to attain  $VO_2$  steady-state,  
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24 especially in patients with CHD. Less predictable  $VO_2$ /work rate relationships have  
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26 been observed in patients with cardiovascular disease. Poor oxygen uptake kinetics  
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28 resulting from poor muscle oxygen extraction, myocardial dysfunction, chronotropic  
29  
30 incompetence and  $\beta$ -blockade all have the potential to influence the  $VO_2$ /work rate  
31  
32 relationship (Belardinelli, *et al.* 2003; Brubaker & Kitzman 2011; Hughson 1984;  
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34 Mezzani, *et al.* 2009; Poole, *et al.* 2012). Indeed, approximately one fifth of the  
35  
36 maximal CPET's conducted demonstrated poor aerobic efficiency ( $\Delta VO_2/\Delta WR$  slope  
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38  $< 8.4$  mL/min/W).  $\Delta VO_2/\Delta WR$  slope was negatively correlated with estimated  $VO_{2peak}$   
39  
40 measurement error ( $r = -0.496$ ,  $p < 0.001$ ) indicating that estimates of  $VO_{2peak}$  over-  
41  
42 predict directly determined  $VO_{2peak}$  when patients are aerobically 'inefficient'.  
43  
44 Inefficient cardiometabolic responses to exercise resulting in delayed oxygen kinetics,  
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46 may also prolong dependence on anaerobic metabolism (Mezzani, *et al.* 2009) during  
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48 sequential work rate transitions. In such instances, the assumptions of linearity  
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3 between work rate and  $\text{VO}_2$  would not apply and work rate would therefore not be  
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5 indicative of  $\text{VO}_2$ .  
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10 This issue of the  $\text{VO}_2$ -work rate relationship is particularly pertinent above the VAT  
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12 where  $\text{VO}_2$  steady-state attainment can take up to 15 minutes due to the presence of  
13  
14 a  $\text{VO}_2$  slow component. Steady state attainment above critical power, i.e. near peak  
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16 exercise, is not achieved (Mezzani, et al. 2013). With this in mind, it is doubtful that  
17  
18 any practical CPET protocol is truly capable of predicting  $\text{VO}_2$  based on workload  
19  
20 alone. Accurately estimating  $\text{VO}_{2\text{peak}}$ , moreover  $\text{VO}_{2\text{peak}}$  changes in CHD patients, as  
21  
22 evidenced by our findings and others (Froelicher, et al. 1984; Milani, et al. 1995; Stuto,  
23  
24 et al. 2013), poses significant challenges, particularly at an individual patient level.  
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31 Assessing functional capacity (by estimating METs) remains useful in the broad  
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33 classification of baseline cardiorespiratory fitness and prognostic risk classification  
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35 among participants attending for cardiac rehabilitation (Taylor, *et al.* 2016). However,  
36  
37 poor agreement between estimated and directly-determined changes in  $\text{VO}_{2\text{peak}}$   
38  
39 questions the validity of this “widely used metric” when reporting CRF changes within  
40  
41 CR settings. Our data require further validation in larger samples of cardiac  
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43 rehabilitation patients. Practitioners should explore opportunities to integrate  
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45 scientifically robust exercise testing techniques, such as CPET, in demonstrating  
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47 clinically meaningful improvements in CRF outcomes from exercise rehabilitation.  
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55 Acknowledgements: This research received no specific grant from any funding agency  
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57 in the public, commercial, or not-for-profit sectors  
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The authors declare that there are no conflicts of interest

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**Table 1** – Patient Characteristics and Medication

Variable	All Patients
Participants	<i>n</i> =27
Sex (% male)	<i>n</i> =24 (88.9)
Age (Years)	59.5 ± 10.0
BMI (kg m <sup>-2</sup> )	29.6 ± 3.8
Waist Circumference (cm)	106.1 ± 10.0
LVEF (%)	58.9 ± 9.2
SBP (mmHg)	140 ± 19
DBP (mmHg)	83 ± 10
HR (bpm)	60 ± 7
MI (%)	<i>n</i> =16 (59.3)
PCI (%)	<i>n</i> =10 (37.0)
CABG (%)	<i>n</i> =1 (3.7)
PMH MI (%)	<i>n</i> =12 (44.4)
PMH CABG (%)	<i>n</i> =3 (11.1)
Type 2 Diabetes (%)	<i>n</i> =5 (18.5)
Asthma (%)	<i>n</i> =1 (3.7)
COPD (%)	<i>n</i> =2 (7.4)
Atrial Fibrillation (%)	<i>n</i> =3 (11.1)
Smoking (%)	<i>n</i> =4 (14.8)
Aspirin (%)	<i>n</i> =26 (96.2)
Ticagrelor (%)	<i>n</i> =10 (37.0)
Clopidogrel (%)	<i>n</i> =15 (55.6)
Beta-Blocker (%)	<i>n</i> =22 (81.5)
ACE-Inhibitor (%)	<i>n</i> =16 (59.3)
Statin (%)	<i>n</i> =26 (96.2)

BMI = Body Mass Index; EF = Ejection Fraction; SBP = Systolic

Blood Pressure; DBP = Diastolic Blood Pressure; HR = Resting HR;

MI = Myocardial Infarction; PCI = Percutaneous Coronary

Intervention; CABG = Coronary Artery Bypass Graft; PMH = Past

Medical History; COPD = Chronic Obstructive Pulmonary Disease;

ACE = Angiotensin Converting Enzyme

Review

**Table 2** - Cardiorespiratory Fitness Changes

	Visit 1 ( $\pm$ SD)	Visit 2 ( $\pm$ SD)	Mean Change (95% CI)	P-Value	$\eta_p^2$
$VO_{2peak}$ ( $ml \cdot kg^{-1} \cdot min^{-1}$ )	21.9 $\pm$ 7.6	22.5 $\pm$ 7.2	0.5 (-0.6 to 1.8)	0.332	0.036
Estimated $VO_{2peak}$ ( $ml \cdot kg^{-1} \cdot min^{-1}$ )	20.9 $\pm$ 6.4	22.2 $\pm$ 6.7	1.3 (0.4 to 2.2)	0.006*	0.254 <sup>‡</sup>
Estimated peak METs	6.0 $\pm$ 1.8	6.4 $\pm$ 1.9	0.4 (0.1 to 0.6)	0.006*	0.254 <sup>‡</sup>
Exercise Test Duration (Sec)	585.4 $\pm$ 228.1	651.8 $\pm$ 250.0	66.4 (9.9 to 122.9)	0.023*	0.184 <sup>‡</sup>
Peak Watts	111.1 $\pm$ 49.2	118.5 $\pm$ 48.8	7.4 (1.4 to 13.4)	0.018*	0.198 <sup>‡</sup>
$VO_2$ at VAT ( $ml \cdot kg^{-1} \cdot min^{-1}$ )	14.1 $\pm$ 4.5	15.5 $\pm$ 5.3	1.4 (0.5 to 2.3)	0.005*	0.276 <sup>‡</sup>
$\Delta VO_2/\Delta W$ slope	10.2 $\pm$ 2.0	10.2 $\pm$ 2.1	0.1 (-0.7 to 0.9)	0.829	0.002
$VE/VCO_2$ slope	32.1 $\pm$ 6.9	28.9 $\pm$ 5.8	-3.2 (-5.0 to -1.3)	0.002*	0.321 <sup>‡</sup>
Peak RER	1.02 $\pm$ 0.09	1.06 $\pm$ 0.7	0.04 (0.2 to 0.07)	0.002*	0.330 <sup>‡</sup>
Peak HR (bpm)	130 $\pm$ 25	129 $\pm$ 24	-1 (-6 to 4)	0.714	0.005
Peak Borg Score	17.7 $\pm$ 2.1	16.9 $\pm$ 2.6	-0.8 (-2.1 to 0.4)	0.192	0.064
Peak SBP (mmHg)	182 $\pm$ 26	185 $\pm$ 22	3 (-6 to 12)	0.485	0.019
Peak DBP (mmHg)	90 $\pm$ 14	97 $\pm$ 14	6 (0 to 12)	0.037*	0.157

$VO_{2peak}$  = Peak Oxygen Uptake; VAT = Ventilatory Anaerobic Threshold;  $VE/VCO_2$  = Ventilatory Efficiency with Respect to  $CO_2$  Elimination;

$\Delta VO_2/\Delta W$  slope = Change in Oxygen Uptake Vs. Change in Work Rate slope; RER = Respiratory Exchange Ratio; HR = Heart Rate; BPM =

Beats per Minute; SBP = Systolic Blood Pressure; DBP = Diastolic Blood Pressure; sec=seconds; METs = Metabolic Equivalents

\*= statistically significant; <sup>‡</sup> = Large Effect Size

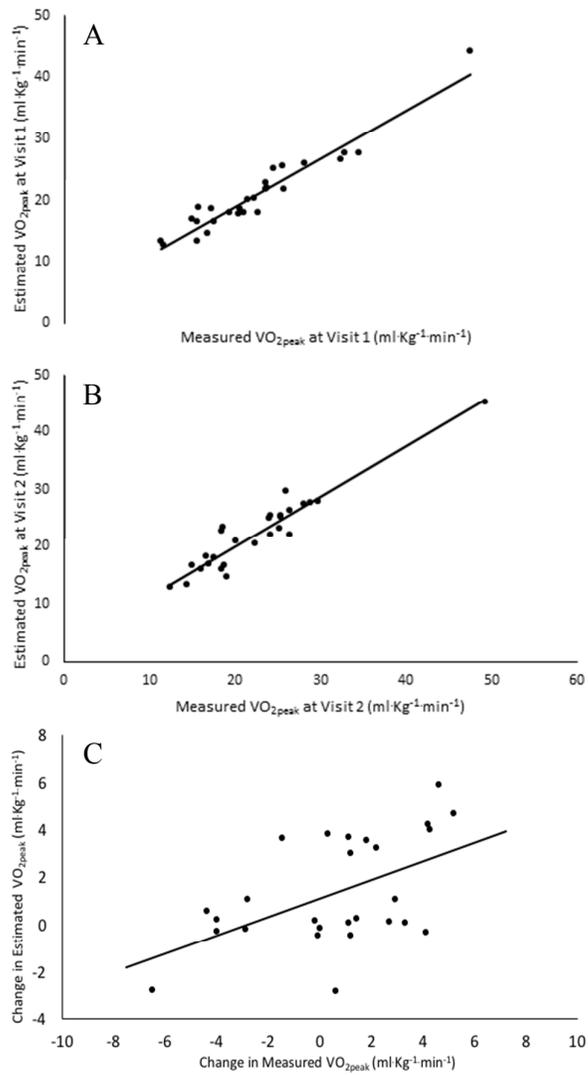
**Table 3** – Measures of Agreement between Measured and Estimated  $\text{VO}_{2\text{peak}}$ 

	Correlation (r)	Mean Bias ( $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ )	LoA ( $\text{ml}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ )	ICC (95% CI)
$\text{VO}_{2\text{peak}}$ Vs. Estimated $\text{VO}_{2\text{peak}}$ at Visit 1	0.958*	-1 .0*	-5.6 to 3.6	0.967 (0.921 to 0.986)*
$\text{VO}_{2\text{peak}}$ Vs. Estimated $\text{VO}_{2\text{peak}}$ at Visit 2	0.945*	0.3	-4.8 to 4.3	0.971 (0.936 to 0.987)*
Change in Estimated $\text{VO}_{2\text{peak}}$ Vs. Measured $\text{VO}_{2\text{peak}}$	0.527*	0.7	-4.6 to 5.9	0.358 (-0.442 to 0.711)

r = Correlation Coefficient; LoA = Limits of Agreement; ICC = Intraclass Correlation;  $\text{VO}_{2\text{peak}}$  = Peak Oxygen Uptake

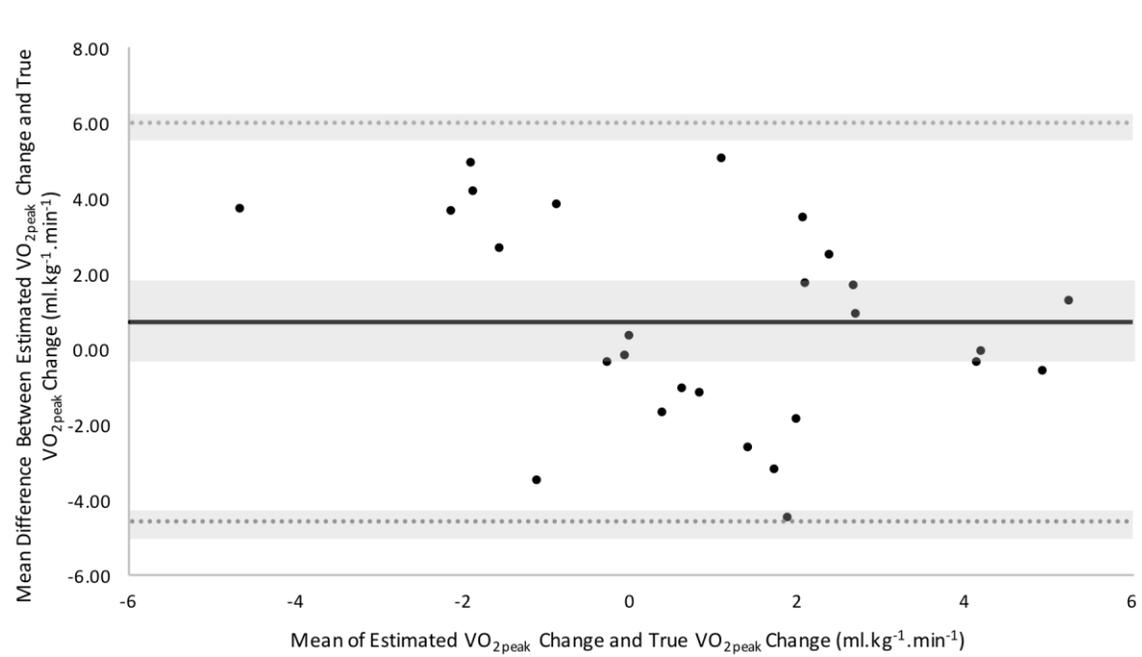
\*= Statistically Significant

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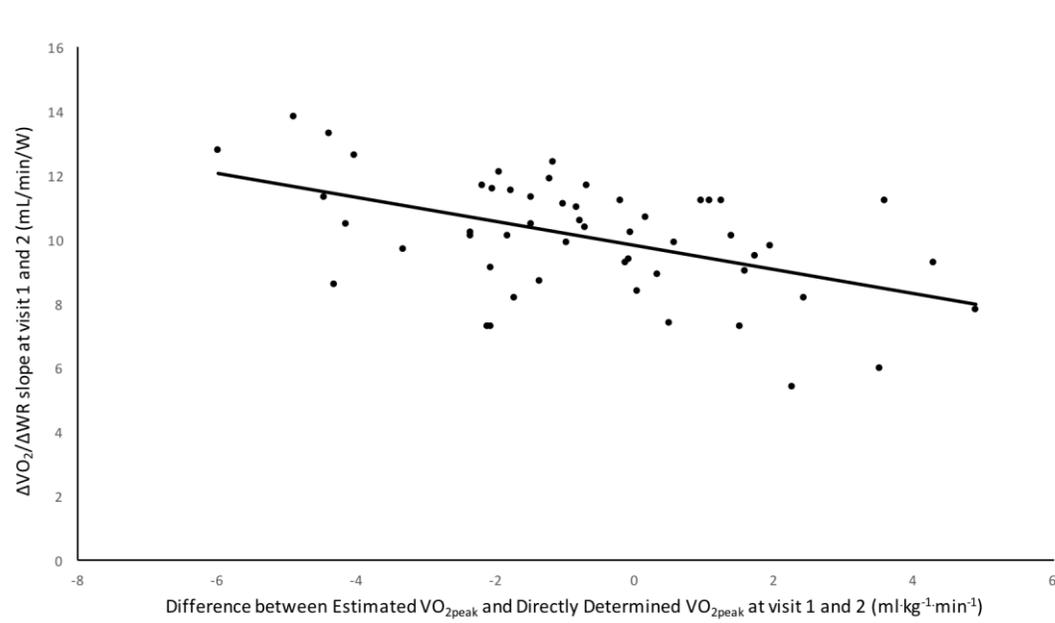


**Figure 1** – Correlations showing the relationship between directly determined  $VO_{2peak}$  and estimated  $VO_{2peak}$  for visit 1 (panel A;  $r = 0.958$ ,  $p < 0.001$ ) and visit 2 (panel B;  $r = 0.945$ ,  $p < 0.001$ ) Panel C shows correlation between directly determined  $VO_{2peak}$  change and estimated  $VO_{2peak}$  change between visit 1 and 2 ( $r = 0.527$ ,  $p < 0.05$ ).

$VO_{2peak}$  = peak oxygen uptake



**Figure 3** – Bland-Altman plot showing mean bias (0.7 ml·kg<sup>-1</sup>·min<sup>-1</sup>), LoA (-4.63 to 5.9 ml·kg<sup>-1</sup>·min<sup>-1</sup>) with 95% CI (grey shaded area).  
VO<sub>2peak</sub> = peak oxygen uptake



22 **Figure 4** – Correlation showing a significant, moderate negative correlation between  $\Delta VO_2/\Delta WR$  slope and  
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24 estimated  $VO_{2\text{peak}}$  measurement error  
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