What are the patterns of compliance with Early Warning Track and Trigger Tools: A narrative review

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Background: Early Warning Scores were introduced into acute hospitals in 2000. 99% of acute hospitals employ a EWS to monitor deteriorating patients with 97.9% of these linked to a referral protocol. Despite this high level of adoption, there has been little improvement in the recognition and response to deteriorating patients over the last decade.

Objective: To explore the patterns of compliance with Early Warning Track and Trigger Tools

Design: A narrative review

Data sources: Electronic databases (Medline, CIHAHL, EmBase, the Cochrane library, the Centre for Reviews and Dissemination (CRD) and PROSPERO) were searched from 1 January 2000 to 5 July 2018. Titles, abstracts and full text papers were screened (two independent reviewers) against inclusion criteria and seven papers were included in the review. Data were extracted by one reviewer and checked by a second reviewer using a bespoke data collection sheet.

Review methods: All papers were quantitative in design but demonstrated clinical and methodological heterogeneity therefore a meta-analysis was not possible. A qualitative approach was undertaken to synthesise findings using a framework analysis and narrative synthesis. Themes were identified, named, defined and reported according to outcome measure.

Results: 7/27 papers representing over 3000 patients and 963,000 data points were included in the analysis. Reported studies were conducted in the United Kingdom (n=4), Denmark (n=2) and Amsterdam (n=1). Three key themes were identified, early warning score calculation accuracy, monitoring frequency and clinical response. This review identifies poor compliance with the Early Warning Score (EWS) protocol in all three themes. There is significant scoring inaccuracy with omitted EWS, missing elements of the EWS and incorrectly calculated EWS. Adherence to monitoring frequency is poor with a higher EWS being associated with reduced compliance with the escalation protocol. There is inadequate compliance with the escalation element of the EWS protocol with concerning extended
delays to clinical review. There is evidence of worsening clinical response with increasing EWS. Although significant improvement is demonstrated in clinical response with the use of electronic EWS protocols, non-compliance still occurs at all EWS stages.

**Conclusion:** Compliance with EWS is poor but the cause is unidentified. Outcomes can only improve if staff complete the EWS fully, calculate the score accurately, monitor according to protocol and escalate according to clinical response. Social, environmental and professional behaviours that affect effective use of track and trigger tools should be explored to improve our understanding of suboptimal management of the deteriorating patient.

**What is already known about this topic?**

- Early warning track and trigger tools have been implemented nationally and to a lesser degree internationally

**What this paper adds**

- Compliance with EWS is poor and current research fails to identify why this may be the case.
- Outcomes can only be positively affected if staff complete the EWS in its entirety, calculate the score accurately, monitor in line with the protocol frequency and escalate according to clinical response.
- Failure to manage the deteriorating patient could be better understood by exploring the social, environmental and professional behaviours that impact on the effective use of track and trigger tools

1. **Introduction and background**

A review of 1000 medical records of adults who died in 10 acute hospitals across England found that one in twenty patients die as a result of medical error with one death in 20 having a greater than 50% chance of being preventable and 31% of preventable deaths being due to poor clinical monitoring (Hogan et al. 2012). There is evidence to suggest that a lack of knowledge and skills, inadequate appreciation of clinical urgency and failure to seek expert
advice in a timely fashion contributes to inadequate recognition of and response to the deteriorating patient (McQuillan et al. 1998).

Approximately 80% of hospital in-patients who suffer cardiac arrest show signs and symptoms of deterioration in the hours leading up to the event (Resuscitation Council (UK), 2010; NCEPOD 2012). Failure to recognise physiological deterioration in acutely ill adults, combined with a failure to seek appropriate help promptly and intervene in a timely manner, results in increased rates of cardiac arrest and unanticipated intensive care admissions (Hogan et al. 2012, Franklin and Matthew, 1994; McQuillan et al. 1998; Smith et al. 2006). In such patients’ mortality is high, with only 7% of non-shockable cardiac arrests surviving to discharge (Intensive Care National Audit Research Centre, 2012).

Historically, several key reports highlighted sub-optimal management of patients both discharged from Intensive Care Unit’s (ICU) and at risk of deterioration on general wards, with evidence of deficits in their care and management (Goldhill et al. 1999; Mc Gloin et al. 1999; Garrard et al. 1998; Mc Quillan et al. 1998). The reasons for the failure to detect patients at risk of acute deterioration include poor critical care knowledge of ward based medical teams (Franklin and Matthew, 1994; Welsh, 2000; Goldhill, 2000). It is also recognised that lack of resources, increasing volume and acuity of patients can compromise acute care provision compounding failure to detect deterioration (McGloin et al. 1999). Only 2% of acute hospital beds are designated for critical care (Audit Commission 1999) so the optimisation of ward based patient management requires timely identification and intervention to support deteriorating patients (Welsh, 2000; McGloin et al. 1999). Hogan et al. (2012) suggests that, despite implementation of track and trigger systems, there has been little improvement over the last decade with sub-optimal care still evident on general wards impacting directly on patient outcome.

Early Warning Scores (EWS) with an associated escalation strategy (often referred to as track and trigger systems) were first introduced into acute hospitals in 2000 (Department of Health (DoH) 2000a). Ninety nine percent of acute hospitals employ a EWS to monitor deteriorating patients with 97.9% of these linked to a referral protocol (NCEPOD, 2015). Despite this high level of adoption, there has been little improvement in the recognition and response to deteriorating patients over the last decade. Sub-optimal care is still evident on general wards despite the comprehensive introduction of EWS and escalation strategies (Hogan et al. 2012).

This review aimed to examine international research relating to the relationship between early warning track and trigger tools and compliance. The research question guiding the review was:
What are the patterns of compliance with Early Warning Track and Trigger Tools?

2. Methods

The review protocol was registered on Prospero (CRD42017074401) (PROSPERO, 2014). The search methods employed for this review are adapted from the Cochrane Handbook of Systematic Reviews (Higgins and Green, 2011) and reported according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) Statement (Moher et al., 2015).

2.1 Selection Criteria

2.1.1 Inclusion and Exclusion Criteria

The Population, Intervention, Comparison, Outcome (PICO) acronym (O’Conner et al. 2008) was used to develop inclusion and exclusion criteria (table 1). An inclusive approach was used as there is a dearth of evidence and it was important to capture all the relevant research available that answered the research question.

Table 1. Eligibility criteria

<table>
<thead>
<tr>
<th>Population</th>
<th>Included</th>
<th>Excluded</th>
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<tbody>
<tr>
<td></td>
<td>Adult patients not managed in critical care areas (intensive care and high dependency)</td>
<td>Patients managed in critical care areas (intensive care and high dependency)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Paediatric track and trigger tool research</td>
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<td></td>
<td>Obstetric track and trigger tool research</td>
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<tr>
<td>Intervention</td>
<td>Aggregate weighted track and trigger systems</td>
<td>Opinion papers, case reports and papers using a qualitative methodology</td>
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<td></td>
<td>Primary empirical, peer reviewed research including systematic reviews, RCT’s, cohort and case controlled studies and cross-sectional surveys.</td>
<td>Single parameter systems</td>
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<tr>
<td>Outcome</td>
<td>Studies which presented quantitative date measuring compliance with early warning scoring systems</td>
<td>Studies with no compliance outcome measures</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Studies that explored qualitative aspects of compliance with track and trigger systems</td>
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</tbody>
</table>
2.2 Search strategy

Data bases searched were Medline, CIHAHL and the Cochrane library. Population (i.e. adult patients only) was not used as a search term but non-adult studies filtered during the screening process. As Early Warning Scores were only introduced in 2000 this date was used to limit the search. Citation searching, searches of reference lists for missed studies and ‘find similar’ options in other databases such as ‘Scopus’ and ‘Web of Science’ along with a search of Google Scholar were also conducted to identify missed, non-indexed and unpublished material. Studies known to the researcher prior to the search were used for cross-checking to ensure that the search strategy had not missed these studies. A search of the grey literature (government reports, non-published literature) was also undertaken. Relevant Government and clinical reports have been discussed previously and no further studies were identified. A preliminary scoping review was used to identify the full spectrum of search terms. These were “track and trigger*” OR “early warning scor*” and “complian*”. A librarian checked the strategy to ensure a robust search.

2.3 Study selection

After removal of duplicates, titles and abstracts were independently screened by NC and JD against the inclusion and exclusion criteria. Full texts were similarly reviewed. MJ was available to support resolution of any disagreements in whether papers were appropriate for inclusion however, in all cases agreement was achieved.

2.4 Quality assessment

Critical Appraisal Skills Programme (CASP) Critical Appraisal tools were used to assess the quality of the included papers (CASP, 2014). Numerical scores were derived by attributing 1 mark for a yes answer and 0 marks for a no / don’t know answer.

2.5 Data extraction

The data extracted included publication details, study designs, participants, interventions, outcomes and results. Data were extracted by NC and independently reviewed by JD. MJ was available to support resolution of any disagreements however, in all cases agreement was achieved.

2.6 Data synthesis
Due to heterogeneity with regard to population and design it was not possible to carry out a meta-analysis. This review identified clinical heterogeneity (the patients are not the same) and methodological heterogeneity (all the studies were not conducted in the same manner). A qualitative approach was undertaken to synthesise findings using a framework analysis (Miles and Huberman, 1994) and narrative synthesis (Ferrari, 2015) with results analysed based on outcome. A framework analysis facilitates the generation of a set of codes organised into categories to manage and organise data. These codes are grouped into clusters around similar and interrelated ideas and concepts. The author explored the familiarisation of included papers and their findings. The papers were re-read several times to ensure understanding. Each paper was marked with highlighters allocated to different emerging patterns/codes. The results of each paper were considered in relation to the research question. The patterns identified led the formation of themes using a white board. Themes were arranged into logical groups which directly addressed the research question. This process was repeated to ensure no relevant data had been missed and that the themes chosen were coherent and answered the research question. The themes were identified, named, defined and reported according to outcome measure and analysed in relation to the research question. A narrative synthesis refers to an approach to the systematic review and synthesis of findings from multiple studies that relies primarily on the use of words and text to summarise and explain the findings of the synthesis. The practical–configurational mode of reasoning in narrative synthesis focuses on making sense of the reading of the evidence – ‘what is going on here?’ or ‘what picture emerges?’ (Melendez-Torres et al. 2016).

3.0 Results

3.1 Study selection

Of the 27 titles found by the search, seven papers representing over 3000 patients and 963,000 data points were included in the analysis. Reviews and Meta-Analysis diagram (PRISMA) (Moher et al. 2015) detailing the process of inclusion and exclusion is provided in Figure 1.
Figure 1: PRISMA diagram

Records identified through database searching (n = 27)

Additional records identified through other sources (n = 0)

Records after duplicates removed (n = 19)

Records after titles screen (based on inclusion/exclusion) (n = 19)

Records after abstract screen (based on inclusion/exclusion) (n = 11)

Records after full text screen (based on inclusion/exclusion) (n = 7)

Studies included in synthesis (n = 7)

Records excluded (n = 0)

Records excluded (n = 8)

Pediatric
Qualitative implementation/compliance research
Does not answer research question

Paediatric Qualitative implementation/compliance research Does not answer research question
3.2 Study characteristics

3.2.1 Study designs

All included papers were of quantitative design and were published between 2013 and 2016 in English. Data were collected using either a case note review / notes audit, prospective observational design, a point prevalence design or a quasi-experimental approach. Settings were all in acute hospital general wards. The seven papers reported studies conducted in 3 European countries; the United Kingdom (n=4), Denmark (n=2) and Amsterdam (n=1) emphasising the increasing international interest in the subject. A summary of included papers can be found in Figure 2.
Figure 2: Summary of Studies included in the review

<table>
<thead>
<tr>
<th>Study</th>
<th>Design</th>
<th>Sample</th>
<th>Intervention</th>
<th>Outcome measures</th>
<th>Key findings</th>
<th>Appraisal rating</th>
</tr>
</thead>
</table>
| Jones et al. (2011) UK       | Historically controlled study.          | University teaching hospital Medical admissions unit and one general medical ward 1481 consecutive adult patients generating 13,668 data sets | Implementation of patient track based on EWS protocol in place since 2000                               | PO- Length of stay  
SO- Compliance with EWS  
Cardiac arrest incidence  
In hospital mortality  
Use of critical care beds | EWS calculated correctly in 81% of cases. Non-compliance of 9-10% with timeliness of observation re-check  
Complete compliance with the EWS protocol including timeliness of clinical response could not be accurately determined due to poor documentation of attendance times in medical records | CASP 12/13 |
| Hands et al. (2013) UK       | Retrospective data set audit            | NHS District General Hospital All adult in-patient areas 950043 vital sign data sets | EWS using VitalPac electronic vital sign recording                                                     | Hourly and daily patterns of vital signs  
ViEWS value documentation  
No of vital signs recorded between 08:00-11:59 with time to next observation and follow up vital signs in 6hrs | At best partial adherence to EWS protocol  
Sicker patients more likely to have overnight observations but timely reassessment of these patients remains poor.  
Lack of compliance uniformity over the 24hr period | CASP 13/13 |
| Niegesch et al. (2013) Denmark | 7 day prospective, observational, randomised, cross-sectional, point prevalence study | In-hospital patients on 12 medical and surgical wards n=132 | Calculation of EWS by investigator between 16:00 and 21:00 each day. Structured questionnaire to interview ward nurse if abnormal EWS identified Comparison with investigator and staff EWS | Number of in-hospital patients observed and managed according to the Ward Observational Chart (EWS) guidance | Low compliance with the Ward Observational Chart  
58% managed correctly according to the EWS protocol. No significant difference between department or day of the week. 12 patients had missing physiological parameters despite a EWS calculation. 50 patients had abnormal EWS recorded but of these only 38% were correctly escalated.  
73 patients had abnormal EWS identified by the investigator but only known by staff in 60% of cases. | CASP 11/13 |
| Ludikhize et al. (2014) Amsterdam | Quasi-experimental study               | University hospital 18 adult general wards n=804                        | Protocollised group (10 wards) = vital signs x3 times per day  
Control group (8 wards) = vital signs when clinically indicated | Compliance with set monitoring standards including EWS  
Delay in escalation to physician  
Rapid response team activation | EWS in 70% of patients on the protocolised wards v 2% in the control group. Compliance with the protocol present in 68% of cases v 4% in the control group. Increased escalation present in the protocolised group | CASP 11/13 |
<p>| Odell M (2015)               | Retrospective case note review          | In hospital adult patients on 26 general wards                         | Retrospective case note review                                                                       | Compliance with EWS protocol                                                                             | 20.3% of cases scored the maximum for adherence to EWS protocol. 50.4% failed to reach the minimum                                                                                   | CASP 12/13 |</p>
<table>
<thead>
<tr>
<th>Country</th>
<th>Study Type</th>
<th>n</th>
<th>Data Collection</th>
<th>Recording of Vital Signs</th>
<th>Standard of Practice</th>
<th>EWS Accuracy</th>
<th>Clinical Response</th>
<th>Compliance</th>
<th>Literature</th>
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<tbody>
<tr>
<td>UK</td>
<td>Prospective Observational Study</td>
<td>120</td>
<td>Predesigned Data Collection Pro Forma</td>
<td>Recording of Vital Signs</td>
<td>24.3% of EWS calculated were scored incorrectly</td>
<td>Petersen et al. (2014)</td>
<td>Denmark</td>
<td>Petersen et al. (2014)</td>
<td>CASP 12/13</td>
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<tr>
<td>UK</td>
<td>Prospective Observational Study</td>
<td>144</td>
<td>In Hospital Adult Patients on General Wards</td>
<td>Case Note Review of all Incidents of Unexpected Death, Cardiac Arrest and Unplanned ICU Admission</td>
<td>Compliance with EWS Protocol for the 24 Hrs Preceding Cardiac Arrest, Unexpected Death and Unplanned ICU Admission</td>
<td>27% of cases poor compliance with EWS</td>
<td>Kolic et al. (2015)</td>
<td>Kolic et al. (2015)</td>
<td>CASP 12/13</td>
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3.2.2 Identification of themes

The development of themes can be found in Table 2.

<table>
<thead>
<tr>
<th>PAPER</th>
<th>THEME: 1: EWS calculation accuracy</th>
<th>THEME: 2: Monitoring frequency</th>
<th>THEME: 3: Clinical response</th>
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<tbody>
<tr>
<td>Jones et al (2011)</td>
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<td>Hands et al (2013)</td>
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<td>Niegsch et al (2013)</td>
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<tr>
<td>Ludikhuize et al (2014)</td>
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<td>Odell M (2014)</td>
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<tr>
<td>Petersen et al (2014)</td>
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Table 2: Development of themes

Three themes were identified which were reported according to outcome measure. These are Early Warning Score calculation accuracy, monitoring frequency and clinical response (Figure 3).

What are the patterns of compliance with Early Warning Track and Trigger tools?

- EWS calculation accuracy
- Monitoring frequency
- Clinical Response

Figure 3: Identified themes
3.3 Theme: Early warning score calculation accuracy

Four papers report EWS calculation accuracy. There is evidence of inadequate calculation leading to ineffective response to increased scores. The issues of concern with calculation accuracy appear three-fold. Firstly, complete lack of EWS recording. Secondly, incorrect addition of each individual physiological parameter that make up the score. Finally, omission of one or more physiological parameters required to make up the EWS resulting in an incorrect overall calculation.

Odell (2015) identified that an EWS was only recorded in 83.7% (n=103) of total cardio-pulmonary arrest cases (n=123). Of these 24.3% (n=25) were inaccurate due to incomplete observations, under and over calculation. 15 of these EWS, if calculated correctly, should have generated a clinical response suggesting that calculation inaccuracy leads to suboptimal referral decisions. In total 36.5% of cases had an ineffective EWS recording leading to poor adherence to the EWS protocol. Niegsch et al. (2013) identified patients with a calculated EWS despite incomplete vital parameters for calculation. In 132 patients only 77% had a EWS calculated again suggesting poor adherence to the EWS protocol. 12 patients were identified that had a EWS calculated despite one of more missing elements required for calculation. Kolic at al. (2015) also identified EWS scoring errors in 18.9% of patients (n=70). Interestingly, the study identified a direct correlation between high EWS scores (EWS >7) and a significant increase in scoring error (p<0.008). Patients with high EWS scores are the most critically ill and in need of urgent clinical response. Incorrect EWS scores in this group of patients can lead to cardiac arrest and unexpected death again suggesting that scoring accuracy plays a vital part in patient outcome. Ludikhuize et al. (2014) identified calculation errors in both a EWS protocolised group (measurement of EWS at least three times a day) and a control group (measurement of EWS when clinically appropriate). Missing parameters and errors in calculation accuracy were found to be statistically significant across both groups (p<0.001). Interestingly, in the categories 3 or more errors and 3 or more missing parameters the errors were higher in the control group suggesting that implementing a protocol rather than relying on clinical decision making improves management of the deteriorating patient. However, only 14% (483/3585) of protocol measurements versus 0.3% (8/3013) of control group measurements were entirely without error which, whilst improvement is noted still demonstrated suboptimal compliance with EWS protocol. Jones et al. (2011) explored whether automated clinical alerts increase compliance with EWS protocol. Scoring accuracy improved from 81% to 100% suggesting that human error significantly impacts on EWS compliance. The errors in calculation were overestimates (false positives) and underestimates (false negatives). There were 12
instances where the underestimated score should have triggered a clinical response if calculated correctly. This highlights the importance of EWS scoring accuracy in compliance with the EWS protocol.

3.4 Theme: Monitoring frequency
Four papers explore monitoring frequency. Adherence to EWS monitoring frequency is poor with higher EWS being associated with reduced compliance with the protocol. Compliance with EWS may be reduced at a weekend and during night time hours.

Petersen et al. (2014) explored monitoring frequency in unexpected death, cardiac arrest and unanticipated ICU admission (n=144). Monitoring frequency was completely adhered to in only 13% and 27% of unintended ICU admission and cardiac arrest respectively. They identified that a higher EWS was significantly associated with a reduced likelihood of being monitored according to the EWS protocol. The incidence fell from 83% in patients with EWS <1 to 6% in patients with EWS >9 (p=0.0002 for unplanned ICU admission and p=0.0058 for cardiac arrest). These patients represent the highest risk group in terms of increased unexpected death and cardiac arrest so this failure to monitor according to protocol suggests suboptimal care which impacts on patient outcome. Hands et al. (2013) conclude that adherence to the hospitals protocol for the frequency of EWS monitoring is only partial at best. There is a striking pattern with the presence of increased peaks in monitoring between 06:00-06:59 and 21:00-21:59. The authors suggest that this is likely to coincide with nursing handover and pre-determined hours when observation rounds will be undertaken. Inpatients with the highest EWS score (>9) time to next observations was 4.22hrs during the daytime and 5.17hrs overnight. Whilst the time to next observations did decrease with increasing EWS this was not in line with the hospitals monitoring protocol suggesting inadequate compliance leading to sub-optimal care. They also identify variability in vital sign monitoring over the 24hr period. Vital signs were measured infrequently between 23:00hrs to 05:59hrs with only 12.81% of observations being carried out within this period. The proportion of vital signs undertaken in EWS>9 was greater during this period than for any other EWS score suggesting that the sickest patients are more likely to have their vital signs measured overnight. However, there is a marked contrast in compliance with observation recording when comparing day and night time. Between the hours of 08:00-11:59 73.10% have subsequent vital sign recording compared to only 25.32% during 20:00-23:59. Adherence to EWS protocol was always greater during the day time regardless of EWS score suggesting suboptimal care of patients during night time hours. Odell (2015) found that in patients who had a cardiac arrest at the weekend or bank holiday there was more likely to be poor compliance with the EWS protocol in the hours leading up to their arrest (p=0.0006). Jones et al. (2011) explored whether automated EWS would improve observation frequency. There
was no difference in recheck time interval in EWS of 3, 4 and 5 within one hour. This occurred in 27% and 22% of instances for the non-automated and automated groups respectively. There remained a non-compliance rate of 9% non-automated versus 10% for the automated EWS group after four hours. This suggests that the use of automated EWS systems alone do not improve observation frequency when compared to a manual EWS protocol.

3.5 Theme: Clinical response

Five papers explore clinical response to EWS. There is evidence of inadequate compliance with the escalation element of the EWS protocol and worsening clinical response with increasing EWS. Delay in, or indeed omission of, life-saving treatment can increase mortality, unplanned ICU admission, Cardio-pulmonary arrest, length of stay and serious adverse incidents. Timely intervention in this group of patients is vital to improve patient outcomes.

Odell (2015) identified that 50.4% of 123 cardiac arrest cases failed to meet minimum standards of practice for EWS compliance. The protocol for referring patients for expert help when the EWS threshold was reached failed to be activated in 39% of cases. Only 1/5 of patients received the optimum standard outlined by the EWS protocol. In 62 cases, the referral decision was flawed which is of serious concern as these patients are amongst the sickest in the hospital. Kolic et al. (2015) identified an appropriate clinical response to EWS in only 74.1% (n=274) of patients, leaving 25.9% (n=96) receiving an inadequate response. Of even greater concern there was a significantly worsening response in the sickest patients with EWS 5-6 (100%) and EWS >7 (75%) (p=0.0001). Day of the week was also identified to impact on clinical response. Patients admitted at the weekend were more likely to receive an inadequate response than those admitted on a week day (p=<0.0001). A small decrease in clinical response at night was also noted but not statistically significant (p=0.404). This appears to mirror the findings relating to monitoring frequency discussed above. As EWS monitoring is essential for clinical response, weekend compliance is suboptimal in both the afferent and efferent arm. This has significant implications for patient safety out of hours. Ludikhuize et al. (2014) found a delay in clinical response in both the protocolised group (49%) and the control group (50%). Although not statistically significant the delay was clinically concerning at 20hrs and 44hrs respectively (p=0.79). Petersen et al. (2014) identified compliance in the EWS protocol in patients with a score <2 (62%) and appropriate clinical response to a score >3 (58%). Of concern, in patients with a high EWS clinical response was worse. 106 events (58%) where patients with a EWS >6 were not treated by a physician and there was no documentation to support the nursing staff has instigated the correct clinical response. In EWS >9 only 48% of patients were managed by a specialist
team. Again, this mirrors the findings for scoring accuracy and monitoring frequency suggesting the sickest patients are beings managed sub optimally with failures in both the afferent and efferent arm of the EWS protocol. Overall, non-compliance in the EWS protocol was identified in 92% of cases. Jones et al. (2011) suggests that with the use of electronic EWS clinical response increased from 29% to 78% in patients with a EWS of 3,4 or 5 ($p=0.001$). This still suggests a failure rate of 22% which remains suboptimal. Clinical response to a EWS $>5$ was also statistically significant at 96% ($p=0.001$). Although significant improvement is demonstrated with the use of electronic EWS protocols, non-compliance still occurs at all EWS stages.

4. Discussion of Findings

In summary, this review demonstrates poor compliance with the EWS protocol. There is significant scoring inaccuracy with omitted EWS, missing elements of the EWS and incorrectly calculated EWS (Odell 2015; Niegsch et al. 2013; Ludikhuize et al. 2014). These errors are compounded in the higher EWS ranges (Kolic et al. 2015). The use of automated EWS can improve scoring accuracy but errors remain (Jones et al. 2011). Adherence to monitoring frequency is poor with a with higher EWS being associated with reduced compliance with the protocol (Petersen et al. 2014). Compliance with EWS may be reduced at a weekend and during night time hours (Hands at el. 2013; Odell 2015). The use of automated systems alone do not improve observation frequency when compared to a manual protocol (Jones et al. 2011). There is also evidence of inadequate compliance with the efferent limb of the EWS (Odell, 2015) with concerning extended delays to clinical review (Ludikhuize et al. 2014). There is evidence of worsening clinical response with increasing EWS (Kolic et al. 2015; Petersen et al. 2014). Although significant improvement is demonstrated in clinical response with the use of electronic EWS protocols, non-compliance still occurs at all EWS stages (Jones et al. 2011).

When considering the findings of this review within the context of the wider literature it is reasonable to suggest that the success of EWS relies on the effectiveness of its implementation. It is unfair to make a judgement on the clinical effectiveness of EWS given the poor implementation. When goals are not achieved there is a tendency to blame the individual rather than looking at the wider context. This study suggests that compliance is poor and we must explore the reasons why. This needs to include issues surrounding culture, professional norms and values, hierarchies and clinical autonomy.
An improvement in documentation is still required as research suggests that documentation is incomplete in most cases (Ludikhuize et al. 2012). This is particularly important when considering respiratory rate and conscious level both important indicators of critical illness (Alam et al. 2014). With the introduction of an EWS both observation frequency and documentation can be improved (De Meester et al. 2013) but there is still much work to do. Inadequate nursing surveillance has been associated with failure to recognise and respond (Kelly and Vincent 2011). Inadequate staffing and skill mix, poor multi-disciplinary teamwork, poor communication, overuse of technology and lack of family input have all been identified as barriers to effective nursing surveillance (Henneman et al. 2012; Kutney-Lee et al. 2009). The weekend effect has been well documented in other studies with increased mortality prevalent at a weekend (Aylin et al. 2010; Freemantle et al. 2012). Experience and expertise of both nursing and medical staff may be diluted during this time which may influence compliance with EWS. More research into the weekend effect would be useful.

Education is essential to understand the potential benefits of EWS and their relationship to improved clinical outcomes (Alam et al. 2015; Paterson et al. 2006; Subbe et al. 2003; Bokhari et al. 2010). Acute clinical changes are often recognised and acted upon in a timely fashion using automated skills based behaviour or rule based behaviour using pattern recognition. However, deterioration can often be subtle and for a prolonged period. This demands knowledge based behaviour using observation, experience, consultation and cognitive processing. Subjectively the patient’s condition may be deteriorating however the objective measurements are not yet severe enough to activate the EWS. In these situations, response to deterioration is often delayed as staff wait for more objective data to become available (Braaten 2015).

Cultural barriers can affect the decision to act on an EWS. Braaten (2015) identifies informal hierarchical norms in the hospital culture as a constraint to recognition and response. The need to justify escalating the management of a deteriorating patient demands confidence in assessing the patient especially in instances of subtle change. Not wanting to instigate a false alarm or to appear incompetent and unable to handle the situation have been identified as barriers to recognition and response (Astroth et al. 2013). This may be accompanied by a fear of reprisal or criticism of the escalation if it is deemed to be unnecessary. This need for justification leads to delays in treatment and worse clinical outcomes. Shearer et al. (2012) identified the most common reason for failure to respond was that the staff involved felt that they had the clinical situation under control despite an elevated EWS. This suggests that a shift in both education and culture is needed to ensure staff fully engage with, accept and value the EWS system.
4.1 Methodological limitations of the studies

All papers included noted that the single centre nature of their studies may limit external validity. Petersen et al. (2014) and Kolic et al. (2015) acknowledged small sample sizes as a limitation which may constrain the generalisability of their results. Several studies acknowledged limitations in their data collection. Niegsch et al. (2013) reports that during data collection, several wards were closed due to planned ward reallocation and holidays. This led to smaller than expected data set. Odell (2015) reports a considerable number of missing records which resulted in an incompleteness of data for analysis. Ludikhuize et al. (2014) notes that exclusions of measurements when the patients is absent from the ward may have resulted in an underestimation of findings as it is possible that patients may have been receiving an intervention for clinical deterioration during this time. They also acknowledge that, as vital signs were recorded three times daily in the protocolised group may also lead to increased awareness of clinical deterioration. Finally, Jones et al. (2011) argues that it was impossible to control for external factors which may have influenced length of stay. As their study took place at various times of the year, seasonal illness may have contributed to the increased length of stay in the baseline phase.

4.2 Limitations of the review

There are limited studies exploring compliance with the EWS protocol which makes it difficult to generalise findings. Whilst the authors cannot guarantee that all papers were identified, the robust search strategy and citation searching should have addressed this issue.

4.3 Recommendations and implications for practice

For EWS to be effective, compliance with all aspects of the efferent and afferent limb must take place. There is evidence to suggest that EWS improve patient outcome but compliance with the EWS protocol is poor. Outcomes can only be positively affected if staff complete the EWS in its entirety, calculate the score accurately, monitor in line with the protocol frequency and escalate according to clinical response.

4.4 Implications for future research

Despite evidence that EWS is effective in improving patient outcomes, compliance with the EWS protocol is poor. Despite clear EWS protocols staff often fail to follow them and consequently, sub-optimal care of deteriorating ward patients continues (Hogan et al. 2012; NCEPOD, 2012). There remain opportunities to investigate why staff fail to adhere to the EWS protocol including the social, cultural and inter-professional issues that prevent staff for recording vital signs and acting on the results. Few papers exist which explore the reasons for non-compliance using a mixed methods approach (Shearer et al. 2012). This approach
may allow greater understanding of the barriers to effective use of EWS enabling the development of evidence based implementation strategies.

5. Conclusion

Compliance with EWS is poor but the cause is unidentified. Outcomes can only improve if staff complete the EWS fully, calculate the score accurately, monitor according to protocol and escalate according to clinical response. Social, environmental and professional behaviours that affect effective use of track and trigger tools should be explored to improve our understanding of suboptimal management of the deteriorating patient.

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