

# 1           **Producing carbon nanotubes from thermochemical conversion of** 2           **waste plastics using Ni/ceramic based catalyst**

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16

## 17           **Abstract**

18           As the amount of waste plastic increases, thermo-chemical conversion of plastics  
19           provides an economic flexible and environmental friendly method to manage recycled  
20           plastics, and generate valuable materials, such as carbon nanotubes (CNTs). The choice  
21           of catalysts and reaction parameters are critical to improving the quantity and quality  
22           of CNTs production. In this study, a ceramic membrane catalyst (Ni/Al<sub>2</sub>O<sub>3</sub>) was studied  
23           to control the CNTs growth, with reaction parameters, including catalytic temperature  
24           and Ni content investigated. A fixed two-stage reactor was used for thermal pyrolysis  
25           of plastic waste, with the resulting CNTs characterized by various techniques including  
26           scanning electronic microscopy (SEM), transmitted electronic microscopy (TEM),  
27           temperature programmed oxidation (TPO), and X-ray diffraction (XRD). It is observed  
28           that different loadings of Ni resulted in the formation of metal particles with various  
29           sizes, which in turn governs CNTs production with varying degrees of quantity and  
30           quality, with an optimal catalytic temperature at 700 °C.

31           *Keywords:* Plastics waste; Carbon nanotubes; ceramic membrane; Nickel; catalyst

## 32 **1. Introduction**

33 There was more than 4.3 million tonnes plastics waste generated in the UK in 2015 [1],  
34 the disposal of waste plastic causes environmental concerns. Therefore, careful  
35 management is needed to minimize the environmental impact of plastic waste. As waste  
36 plastic contains a high value of energy, recycle and recovery methods are encouraged  
37 compared to landfilling. Thermochemical recycling of waste plastics which converts  
38 plastics materials into other valuable materials, refers to an advanced technology  
39 process [2]. During this process, hydrogen-rich syngas is generated at high pyrolysis  
40 temperature ( $>800\text{ }^{\circ}\text{C}$ ) or gasification of waste plastics. For example, Erkiaga et al. [3]  
41 generated a syngas stream rich in  $\text{H}_2$  from pyrolysis of plastic waste (high density  
42 polyethylene) with Ni catalysts. Syngas has wide applications, including directly  
43 combusted for the production of heat and power, or conversion into liquid fuels through  
44 Fishcher-Tropsch process [4]. Recently, co-production of carbon nanotubes (CNTs)  
45 from pyrolysis or gasification of waste plastics has also attracted interest. CNTs were  
46 first introduced in 1952, and further inspired by Iijima [5, 6]. CNTs have extraordinary  
47 properties, including high mechanical strength, good electrical and thermal  
48 conductivity, and there promising potential applications. However, the high price  
49 ( $\sim\$85\text{-}95/\text{kg}$ ) has significantly prohibited the applications of CNTs [7]. Converting  
50 plastic waste to CNTs provides a promising alternative and economic flexible method  
51 to generate such high valuable material.

52 Chemical vapour deposition (CVD) is the current method of choice and widely utilised

53 to produce CNTs from plastics, due to its simplicity and low cost. This method used  
54 hydrocarbon gases as carbon sources and catalysts particles to nucleate the CNTs  
55 growth [8]. For example, Park et al. [9] optimized operation conditions with Ru-based  
56 catalysts from waste plastic, in order to decrease the coke formation and increase the  
57 carbon conversion. Liu et al. [10] used a two-stage reactor to convert PP into CNTs and  
58 hydrogen-rich gas with HZSM-5 as catalysts, and an optimum temperature (700°C)  
59 was proposed. Wu et al. studied the production of CNTs and syngas study from different  
60 types of plastics with varies of catalysts [11-14].

61 It is known that catalysts play a key role in the growth of CNTs. The catalysts used for  
62 CVD synthesis of CNTs formation normally consist of a transition metal and support.  
63 The transition metal nanoparticles are employed either in oxide or metallic forms.  
64 Nickel, iron, and cobalt are reported as the most effective catalysts due to the high  
65 solubility and high diffusion rate of carbon. For example, Lee et al [15] compared the  
66 performance of Ni, Co and Fe based catalysts for CNTs growth from mixed gases. Fe  
67 was found to be the most active catalyst for CNTs growth under CO/NH<sub>3</sub> gas flow with  
68 a ratio of 18. Apart from these common catalysts, there are also some other types of  
69 metals that have been studied for CNTs formation, such as Cu, Ru, Mn and Cr. Catalysts  
70 for CNTs formation also need an appropriate material as support [16]. Various  
71 substrates have been used for CVD of CNTs synthesis, such as silicon dioxide [14],  
72 alumina [17], quartz, calcium carbonate [18], and zeolite [19]. Fe-Co bimetallic  
73 catalysts supported on CaCO<sub>3</sub> were used as catalysts by Mhlanga et al. [18] to improve  
74 the quality of CNTs with decreasing synthesis time from acetylene. CNTs with outer

75 diameter of 20-30 nm and inner diameter about 10 nm have been successfully produced.

76 Furthermore, many researchers are working on catalyst development to improve the

77 quality of CNTs [13, 20-24].

78 Recently, membrane supported catalysts have emerged, and can be used as a template

79 for CNTs growth to control parameters such as diameter, length, and wall thickness

80 [25]. For example, Golshadi et al. [25] investigated the influence of process parameters

81 (temperature and flow rate) on the CNTs formation (tube wall thickness, CNTs

82 morphology and carbon deposition rate) using anodic aluminum oxidize (AAO)

83 template. It was reported that the yield and wall thickness of the produced CNTs were

84 increased with the increase of reaction temperature to 750 °C; however, further

85 increasing the temperature resulted in a lower production of CNTs. In addition an

86 optimum of gas flow rate was reported for the production of CNTs. Well-aligned CNTs

87 have also been synthesized on glass template from acetylene gas by plasma-enhanced

88 CVD process [26]. Zeolites have also been employed as controlling agents during CNTs

89 growth [27].

90 According to our previous study, AAO membrane catalysts could be used for

91 controlling and improving the quality of CNTs formation [28]. Ceramic membrane

92 (alumina based) was selected as template in this study. This ceramic membrane was

93 provided by AIMR, Aston University, and the properties were studied in Lee's paper

94 [29]. In Lee's paper, ceramic membrane was reported having micro-channel structure,

95 which was similar to our previous research on AAO membrane [28] [29]. This micro-

96 channel structure could control the metal particle loaded inside the membrane and  
97 consequently control the CNTs diameter. However, the yield of CNTs production using  
98 AAO based membrane is low as AAO is difficult to be manufactured in a large scale.  
99 Ceramic membrane has been commercially produced and also has other good properties,  
100 such as high temperature stability, mechanical strength, chemical stability, and low cost  
101 [30, 31]. These properties make ceramic materials one of the best catalytic supports in  
102 the fields of environmental and energy research [31]. Quan et al. produced high quality  
103 syngas from biomass fuel gas over NiO/porous ceramic catalysts [32]. And Gao et al.  
104 studied steam reforming of biomass tar for hydrogen production using NiO/ceramic  
105 foam as catalysts [33]. Membrane catalysts have also shown superior catalytic stability  
106 over equivalent powder [34].

107 The quality of CNTs can significantly affect and limit their applications. For example,  
108 An et al. [35] synthesized CNT composite from the catalytic decomposition of  
109 acetylene over Fe/aluminum ceramic catalyst. It was reported that the CNT content  
110 increased with the increase of Fe content. The mechanical properties of the produced  
111 composite material was also enhanced with the increase of CNTs formation. Flahaut et  
112 al. [36] prepared Fe-Al<sub>2</sub>O<sub>3</sub> ceramics with and without CNTs to study composites  
113 properties, and they found those contained higher quantities of CNTs and much less  
114 nanofibers were good electric conductors. Therefore, experimental conditions (both  
115 reaction temperature and Ni loading) were studied to determine the optimal conditions  
116 for the production of high quality CNTs, which is reflected by a narrow distribution of  
117 CNTs diameter.

118

## 119 **2. Experimental**

### 120 2.1 Materials preparation

121 Waste high density polyethylene plastics (HDPE) pellets was used as feedstock with  
122 high purity (~ 90%) and a diameter around 2 mm provided by Poli Plastic Pellets Ltd.  
123 Ceramic membrane used in this study was made of aluminium oxide, and with 1mm  
124 thickness and 30mm diameter provided by AIMR, Aston University. The original  
125 ceramic membrane preparation and formation method was described in Lee's paper  
126 [29]. It is noted that the BET surface area of the membrane is below 10 m<sup>2</sup>/g. Impurities  
127 such as polyethersulfone was used as binder for membrane preparation. The required  
128 amounts (0.025g for 0.1/ceramic, 0.125g for 0.5/ceramic, 0.251g for 1.0/ceramic,  
129 0.508g for 2.0 ceramic) of Ni (NO<sub>3</sub>)<sub>2</sub>.6H<sub>2</sub>O were dissolved in 5ml ethanol, the mixture  
130 liquid was loaded on each membrane (~1.32g) by dropping the precursors into the  
131 membrane. The obtained wet Ni/ceramic membrane was dried in the oven at 100°C for  
132 24h, then calcined in air at 800 °C with 10 °C min<sup>-1</sup> heating rate for 3 hours. It is noted  
133 that the Ni/ceramic catalysts prepared from using 0.1, 0.5, 1.0 and 2.0 mol L<sup>-1</sup> Ni  
134 (NO<sub>3</sub>)<sub>2</sub>.6H<sub>2</sub>O was assigned as 0.1/ceramic, 0.5/ceramic, 1.0/ceramic and 2.0/ceramic,  
135 respectively in this paper.

### 136 2.2 CNTs synthesis from catalytic pyrolysis of plastics

137 A two-stage catalytic thermal-chemical conversion reaction system (Fig. 1) consisting  
138 of a plastic pyrolysis stage and a catalytic gasification stage. In each experiment, about

139 1 g HDPE was pyrolysed at around 500 °C at the first stage, Different reaction  
140 temperatures were used in the second stage (600 °C, 700 °C, and 800 °C). N<sub>2</sub> was used  
141 as carrier gas with 100 ml min<sup>-1</sup> flow rate. The total reaction time was 60 mins. The  
142 system was then slowly cooled down to the room temperature with continuous 100 ml  
143 min<sup>-1</sup> N<sub>2</sub> gas. The reacted Ni/ceramic membrane catalyst including the grown CNTs  
144 were collected for further characterizations. The effect of pyrolysis temperature and the  
145 content of Ni on ceramic membrane were studied in relative to their influences on the  
146 growth of CNTs.

### 147 2.3 Sample characterization

148 A scanning electron microscope (SEM) Stereoscan 360 and a high resolution  
149 transmission electron microscope (TEM) JEOL 2010 were used to analyse the surface  
150 and the cross-section morphology of the fresh Ni/ceramic membrane. According to  
151 TEM results, the distribution of diameters of catalyst particles were further analyzed by  
152 Image J software. Sample crystallinity was evaluated by powder X-ray diffraction  
153 (XRD), using Stoe IPDS2 software, with elemental analysis assessed by inductively  
154 coupled plasma mass spectrometry (ICP-MS), ThermoScientific iCAP 7000 ICP  
155 spectrometer after complete sample digestion in conc. Nitric acid. In addition,  
156 temperature programmed reduction (TPR) was carried out on a Quantachrome Chem  
157 BET 3000 system, with samples heated from 50 °C to 700 °C at 10 °C min<sup>-1</sup> under  
158 flowing H<sub>2</sub> (10 ml min<sup>-1</sup>), to observe the catalytic metal reduction.

159 CNTs formation on the Ni/ceramic after reaction was also investigated by SEM and

160 TEM. Distribution of CNTs diameters according to TEM results was carried out using  
161 Image-J software. Temperature programmed oxidation (TPO) of the reacted Ni/ceramic  
162 was analysed to obtain the information of carbon formation on the reacted catalyst.

163

### 164 **3. Results and discussion**

#### 165 3.1 Characterization of fresh Ni/ceramic catalyst

166 Fresh catalysts before reaction with different Ni loadings have been analysed by SEM,  
167 TEM, ICP, XRD and TPR, respectively. SEM results of the surface and the cross section  
168 of the fresh Ni/ceramic catalyst are shown in Fig. 2A and 2B, respectively. The surface  
169 and cross-section show a similar structure; porous structure can be clearly observed  
170 from both pictures. Therefore, SEM images on the surface of materials are used for  
171 following discussion. Based on the XRD results (Fig. 3), the original ceramic  
172 membrane without Ni loading shows diffraction peaks of  $\text{Al}_2\text{O}_3$  [37], indicating the  
173 nature of the ceramic is  $\text{Al}_2\text{O}_3$ . NiO peaks appear at  $63^\circ$  and  $76^\circ$ , respectively, for the  
174 catalyst with different Ni contents loadings [14]. Two  $\text{NiAl}_2\text{O}_4$  peaks appear at around  
175  $37^\circ$  and  $77^\circ$  [38]. ICP results as shown in Table 1 further indicate that the content of Ni  
176 element increased from 0.25 to 3.3 wt. % with the increase of Ni loading. Fig. 4 shows  
177 the TEM results (A-D) for the Ni/ceramic samples. The distribution of NiO diameters  
178 was also calculated according to TEM results for the catalyst with different Ni loadings.  
179 Small amount of NiO particles was observed on the surface of the 0.1/ceramic, which  
180 has particles with  $11.4 \pm 2.4$  nm diameter, with NiO diameter correlating with metal



181 loading, in agreement with the literature[11], resulting in average particle size of 35.2  
182  $\pm 3.5$  nm for the 2.0/ceramic (Fig.3 D).

183 TPR results of the fresh Ni/ceramic catalysts are shown in Fig. 5. A major reduction  
184 temperature for all the catalysts occurred between 370 and 450°C, assigned to the  
185 reduction of NiO particles [11]. As shown in Fig. 5, the catalysts were further reduced  
186 at 550 °C [11], which is suggested to the reduction of Ni spinel as observed from the  
187 XRD analysis (Fig.3).

### 188 3.2 Carbon nanotubes production

189 Two reaction parameters using ceramic membrane catalysts with different Ni contents  
190 loading were investigated in relation to the effect on the CNTs formation from plastics  
191 waste. Table 2 is a summary of different reaction parameters (Ni content and reaction  
192 temperature) and CNTs formation analysis (amount of amorphous carbon, filamentous  
193 carbon and CNT average diameter). When the effect of reaction temperature (600, 700,  
194 and 800 °C) was studied, the 0.5/ceramic catalyst was used. When the effect of Ni  
195 content (0.1, 0.5, 1.0 and 2.0) was studied, thermochemical conversion of waste HDPE  
196 was investigated at 700 °C.

197 CNTs formation from thermochemical conversion of plastics waste in this study was  
198 investigated according to both quantitative analysis and qualitative analysis. The  
199 quantitative analysis of CNTs was further discussed based on the yields of amorphous  
200 carbons and filamentous carbons which was obtained by TGA-TPO analysis of the  
201 spent catalysts (Fig. S1 and S2). It is assumed that the oxidation temperature below

202 550 °C was assigned to amorphous carbons and the oxidation above 550 °C in TPO  
203 was assigned to filamentous carbon (assumed as CNTs in this work) [39], two different  
204 types of carbon has been separated and analysed by vertical black imaginary line (Fig.  
205 S1 and S2). The total carbon yield could be represented by X axis 'the weight loss' of  
206 catalyst in relation to the initial catalyst weight. The fractions of the two different types  
207 of carbons in relation to the weight of reacted catalysts are summarized in Table 2.

208 In addition, the quality of CNTs production is analysed and discussed mainly based on  
209 the distribution of CNTs diameters and their standard deviations. The average diameter  
210 of CNTs is calculated according to SEM and TEM results using Image J, and  
211 summarized in Table 2 (shown in Fig. S3). The standard deviation (SD) number is used  
212 as a main factor to identify the quality of CNTs formation in this study. In addition, the  
213 ratio of filamentous and amorphous carbon was also discussed in following sections to  
214 obtain the optimum reaction parameter for CNTs formation. A better quality of CNTs  
215 is identified with a smaller SD number and higher ratio of filamentous and amorphous  
216 carbon in this paper.

### 217 3.3 Effect of reaction temperature on CNTs growth

218 The effect of reaction temperature on the growth of CNTs through thermal conversion  
219 from HDPE using Ni/ceramic catalysts is discussed in this section. Three different  
220 reaction temperatures (600°C, 700°C and 800°C) were investigated using the 0.5/  
221 ceramic catalyst. Scanning electron microscope (SEM), transmitted electron  
222 microscope (TEM), temperature programmed oxidation (TGA-TPO and DTG-TPO)

223 analysis were carried out to the reacted CNTs/catalysts. For SEM results (Fig.6 A-C), a  
224 small amount of uninformed, short filamentous carbons were observed at 600°C (Fig.6A).  
225 CNTs, which has a diameter ~10 nm and a length ~10 μm, is observed at catalytic  
226 reaction temperature of 600 °C. This result is consistent with a previous study, which  
227 used Ni/Al<sub>2</sub>O<sub>3</sub> as catalysts to produce CNTs from waste plastics [14].

228 With the increase of reaction temperature to 700°C (Fig.6B), a large amount of  
229 filamentous carbons with long length are found on the ceramic membrane. However,  
230 when the reaction temperature was further increased to 800°C, only a few filamentous  
231 carbons could be observed on the surface of the catalyst (Fig.6C). The SEM results of  
232 the reacted catalyst were further supported by TEM analysis (Fig. 6 i-iii). CNTs with  
233 diameter  $21.2 \pm 5.6$  and  $16.9 \pm 4.3$  nm were clearly observed in Fig. 6 i and ii,  
234 respectively. It is difficult to find CNTs on the catalyst reacted at 800 °C (Fig.6 iii).  
235 Therefore, it is suggested that 700 °C is an optimal reaction temperature for the  
236 formation of CNTs from waste plastics in this work. Similar results were reported by  
237 Li et al [40], who studied various temperatures from 600°C to 1050°C for CNTs  
238 production from C<sub>2</sub>H<sub>2</sub> with Fe/SiO<sub>2</sub> catalysts. They reported minimal CNTs yield at  
239 either low (600°C) or high (1050°C) temperature.

240 This result is further supported by carbon production analysis (Table 2). For amorphous  
241 carbons (oxidation temperature below 550 °C), the yield was decreased from 2.1 to 1.2  
242 wt. %, when the temperature increased from 600 °C to 700 °C. This result is consistent  
243 with the SEM analysis (Fig. 6), where amorphous carbons could be clearly observed on

244 the reacted catalyst tested at 600 °C. Furthermore, the formation of CNTs was reduced  
245 from 7.2 wt. % and 1.2 wt. % when the reaction temperature was increased 600°C and  
246 800°C. DTG-TPO results (Fig.7) with DCS (Differential Scanning Calorimetry)  
247 showed that the oxidation peak moved to higher temperature with the increase of  
248 experimental temperature from 600°C and 700°C, indicating that the CNTs might be  
249 more crystalized at 700°C reaction temperature. Similar results were also reported by  
250 Hornyak et al. [41], who investigated the template synthesis of CNTs formation on  
251 porous alumina membrane (PAM) from propylene gas with Co-based catalysts. They  
252 reported that amorphous carbon was formed at around 550°C, while CNTs was formed  
253 at temperature higher than 800°C. The starting temperature for CVD synthesis of CNTs  
254 was normally over 500°C [42-44].

255 It is suggested that the effect of reaction temperature on CNTs synthesis by CVD was  
256 mainly related to carbon source and catalytic sites. CNTs growth can be described as  
257 following steps, first carbon atoms from the dissociation of hydrocarbons dissolve into  
258 the catalytic metal sites. The diffused carbon atoms form graphitic sheets on the surface  
259 of metal particles [45]. The diffusion of carbon atoms is a main factor to determine the  
260 CNTs formation. The increasing temperature can promote the diffusion rate of carbon  
261 atoms, as a result, CNTs are synthesised with less defect. Lee et al. [46] and Mishra et  
262 al. [47] also reported that an increase of temperature promoted the diffusion and  
263 reaction rates of carbons, resulting in the enhanced formation of CNTs. In addition, Wu  
264 and Williams [48] reported that at high temperature, more reactive carbon sources were  
265 produced from pyrolysis of waste plastics. Therefore, in this study, less amorphous

266 carbons were form at 700°C compared to 600°C, which supported by SEM and TPO  
267 analysis. Similar results were reported by Acomb et al. [49] who carried out the effect  
268 of growth temperature (700 °C, 800 °C, and 900 °C) on the CNTs production using low  
269 density polyethylene (LDPE) with Fe/Al<sub>2</sub>O<sub>3</sub> as catalyst. They found that a lower  
270 temperature produced less fraction of CNTs.

271 However, when the reaction temperature is too high, the sintering of catalytic sites  
272 could occur, which is responsible for the deactivation of catalysts [43]. Also, the excess  
273 of carbon atoms accumulated on the surface of catalyst could encapsulate catalytic sites  
274 causing catalyst deactivation. For example, Hanaei et al. [50] investigated the influence  
275 of reaction temperatures (550°C-950°C) on CNTs from acetone with Fe-Mo/Al<sub>2</sub>O<sub>3</sub>  
276 catalysts. 750°C was reported as an ideal temperature as the deactivation of catalysts  
277 occurred at higher temperature. Toussi et al. [51] reported the similar conclusion on  
278 CNTs synthesis form ethanol deposited on Fe-Mo-MgO catalyst; when temperature was  
279 lower than 750°C, few CNTs could be produced. And the optimum growth of CNTs  
280 was reported at 850°C. Kukoitsky et al. [52] reported an optimal temperature of 700°C  
281 for the growth of CNTs from polyethylene with Ni-based catalyst at 700°C; as narrow  
282 size distribution of CNTs was found at 700°C than those grown at 800°C, due to the  
283 loss of catalytic activity for CNTs forming at high temperature. In this study, it is  
284 noticed that there was little CNTs formed at 800°C (Fig. 6 and 7), which we attribute to  
285 loss of catalytic active sites at high temperature due to sintering As shown in Fig. 6iii,  
286 almost no CNTs production could be observed from TEM results. The diameter of NiO  
287 particles before reaction was 17.9±4.4 nm (Fig. 4B), however, large amount of large

288 catalytic particles with diameter 52-78 nm could be observed after reaction (Fig. 6iii).  
289 Overall, Fig. 8 summarizes the trends of SD of CNTs diameter and ratio of filamentous  
290 amorphous carbon as increasing reaction temperature. CNTs show the smallest SD and  
291 highest filamentous / amorphous carbon ratio at 700 °C reaction temperature. Therefore,  
292 700°C was assumed as the optimum temperature for this study.

### 293 3.4 Effect of Ni content on the production of CNTs

294 In this section, thermochemical conversion of waste HDPE was investigated in the  
295 presence of Ni/ceramic catalysts with different Ni contents at 700°C. Fig.8 showed the  
296 SEM results and corresponding TEM results for the filamentous carbon production  
297 using the Ni/ceramic catalysts. With the increase of Ni loading, more filamentous  
298 carbons could be observed from SEM results. In particular, for the reacted 0.1/ceramic  
299 catalyst, the formation of filamentous carbons can be barely found. It is indicated that  
300 the 0.1/ceramic and 0.5/ceramic catalysts might have small amount of active metals  
301 loaded on the ceramic membrane. TEM results (Fig.8 i-v) further proved that the  
302 filaments carbons are mostly CNTs. The average diameter of CNTs (Table 2) was  
303 analysed according to the TEM results. It could be noticed that the diameter of CNTs  
304 increased with an increase of Ni content. The 0.1/ceramic had the smallest diameter  
305  $15.7\pm 3.6$  nm, and the 2.0/ceramic had the largest diameter  $24.9\pm 2.3$  nm, in close  
306 agreement with the average sizes of the NiO nanoparticles. The changes of diameters  
307 of CNTs showed a similar trend with the metal particles size as shown in Fig. 10, where  
308 the particle size of NiO was increased with the increase of metal loading. Similar results

309 were also found by other researchers [53]. Sinnott et al. [53] studied the effect of Fe  
310 content on the diameter of CNTs produced from ferrocene-xylene mixture through  
311 chemical vapour deposition. They reported that the average Fe particle size was  
312 decreased from 35.3 to 28.2 nm with a decrease of Fe content from 0.75 to 0.075 at%  
313 and the lower Fe content resulted in the production of less CNTs. Li et al. [54]  
314 synthesised CNTs from methane and hydrogen mixture using Fe<sub>2</sub>O<sub>3</sub>-based catalysts  
315 with a range of 1-2nm and 3-5 nm respectively. CNTs with diameters of 1.5±0.4 nm  
316 and 3.0±0.9 nm were produced, respectively. The CNTs could be diffused by catalytic  
317 metal particles during growth process, therefore, the size of metal particles determine  
318 the diameter of filamentous carbons [53]. For example, Cheung et al. [55] used iron  
319 nanoparticles with average diameters of 3, 9, and 13nm to grow CNTs with average  
320 diameters of 3, 7, and 12 nm from ethylene, respectively.

321 The size of metal particles have also been reported to affect the activity of catalysts and  
322 the formation of CNTs [55-60]. In addition, loading various amounts of metal on  
323 catalyst normally results in the formation of catalyst with various metal particle sizes,  
324 as obtain in this work, to control the production of CNTs. Daudouin et al. increased the  
325 Ni loading from 1.0 wt.% to 18.5 wt.% to increase the catalytic particle sizes from 1.6  
326 to 7.3 nm [61]. The diameter of metal particles was increased with the increase of metal  
327 loading. In addition, CNTs with larger diameters were produced with the increase of  
328 metal loading. However, a maximum diameter of CNTs should be expected, when the  
329 loading of metal in the catalyst is too high. For example, Chen et al. [62] referred to an  
330 optimally size of catalyst which could produce an optimum growth rate and a high yield

331 of carbon nanofibers (CNFs). They reported that smaller ( $< 20\text{nm}$ ) Ni crystals caused a  
332 slow growth of CNFs and a fast deactivation of catalyst. However, when the metal  
333 particles were larger than  $60\text{nm}$ , the rate of CNFs growth was prohibited due to the low  
334 surface area of active sites. Danafar et al.[57] studied Fe-Co/Al catalysts with 6 ranges  
335 of metal particle sizes to study the influence on the synthesis of CNTs from ethanol.  
336 They found that the catalyst with  $10\text{-}20\ \mu\text{m}$  metal particles produced about 30% higher  
337 carbon yield than the catalyst having the largest catalytic particles. It is reported that  
338 the catalysts with smaller diameters had larger breaking through capacities during  
339 frontal diffusion (shorter diffusion path length). In addition, the catalyst with large  
340 metal particle size produced more amorphous carbons and uninform CNTs, as the  
341 stability of metal agglomerates decreased with increasing particle sizes.

342 According to Table 2 and DTG-TPO (Fig. 11) analysis of the reacted catalysts with  
343 different Ni loadings, a maximum production of CNTs was obtained in the presence of  
344 the 1.0/ceramic catalyst. CNTs production was increased from 3.1 to 9.4 wt %, when  
345 the catalyst was changed from the 0.1/ceramic to the 1.0/ceramic. Similar results have  
346 been discussed by other researchers. For example, Venegoni et al. [63] studied the effect  
347 of Fe content (0.5%, 1%, 2% and 5%) on CNTs growth in the presence of Fe/SiO<sub>2</sub>  
348 catalysts from a mixture of H<sub>2</sub> and C<sub>2</sub>H<sub>4</sub>. Catalyst with the most amount of Fe metal  
349 content (5% Fe-SiO<sub>2</sub>) was least active in relation to the production of CNTs, due to the  
350 presence of large metal particles. In addition, it was reported that the active catalytic  
351 sites was increased to promote catalytic reactions with increasing catalytic metal  
352 content until an optimal value was reached [64]. In this study, the



353 filamentous/amorphous carbon ratio was the highest about 5 with the 0.5/ceramic  
354 catalyst used (Fig. 12), then slightly decreased to about 4.7 when the catalyst was  
355 changed to the 1.0/ceramic. However, the 0.5/ceramic catalyst showed the highest SD  
356 number (Fig. 12). Overall, considering the filamentous/amorphous carbon ratio and SD  
357 of CNTs diameter, the 1.0/ceramic catalyst was suggested to be a better candidate for  
358 CNTs formation from thermochemical conversion from plastic waste.

359

#### 360 **4. Conclusions**

361 Carbon nanotubes formation from catalytic thermo-chemical conversion of waste  
362 plastics using ceramic membrane based catalyst was optimized in this work in relation  
363 to metal loading and reaction temperature. An optimum temperature 700° was  
364 suggested for Ni-based ceramics membrane. An increase of Ni content on ceramic  
365 membrane resulted in increasing diameters of metal particle sizes which could affect  
366 the activity of catalysts and the formation of CNTs. The 1.0/ceramic was the optimum  
367 candidate for CNTs formation in this study giving the highest fraction of filamentous  
368 carbons with the narrowest distribution of CNTs diameter.

369

#### 370 **Acknowledgement**

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373

374 **References**

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Table 1 - ICP results of Ni/ceramic catalysts with different Ni contents

catalysts	0.1 / ceramic	0.5 / ceramic	1.0 / ceramic	2.0 / ceramic
Ni content wt.%	0.25	1.1	2.1	3.3

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Table 2 – Overall view of experiments parameters and carbon depositions

	Ni Content ( $molL^{-1}$ )	Temperature ( $^{\circ}C$ )	Amorphous Carbon (%)	Filamentous Carbon (%)	CNTs Average Diameter ( $nm$ )
Effect of the Ni content	0.1	700	1.1	3.1	$15.7 \pm 3.6$
	0.5	700	1.2	6.0	$16.9 \pm 4.3$
	1.0	700	2.0	9.4	$20.8 \pm 1.9$
	2.0	700	2.2	8.0	$24.9 \pm 2.3$
Effect of temperature	0.5	600	2.1	7.2	$21.2 \pm 5.6$
	0.5	700	1.2	6.0	$16.9 \pm 4.3$
	0.5	800	1.2	1.2	-

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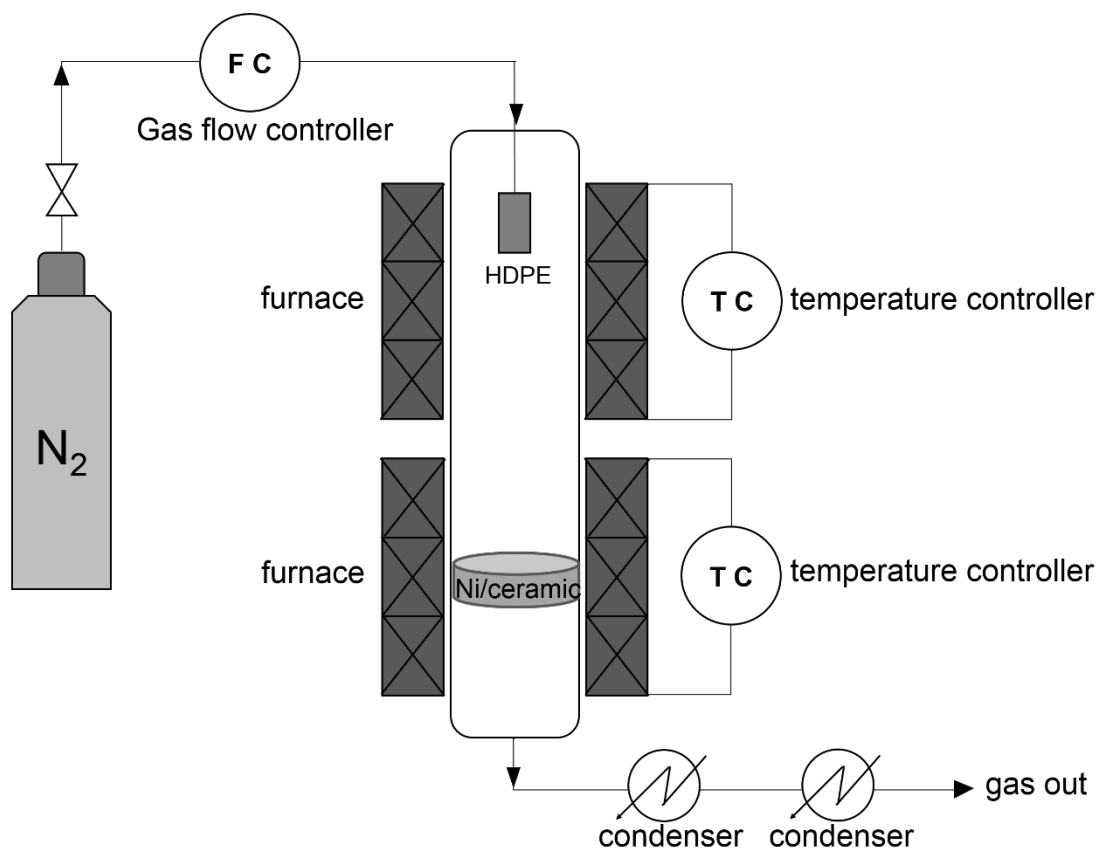
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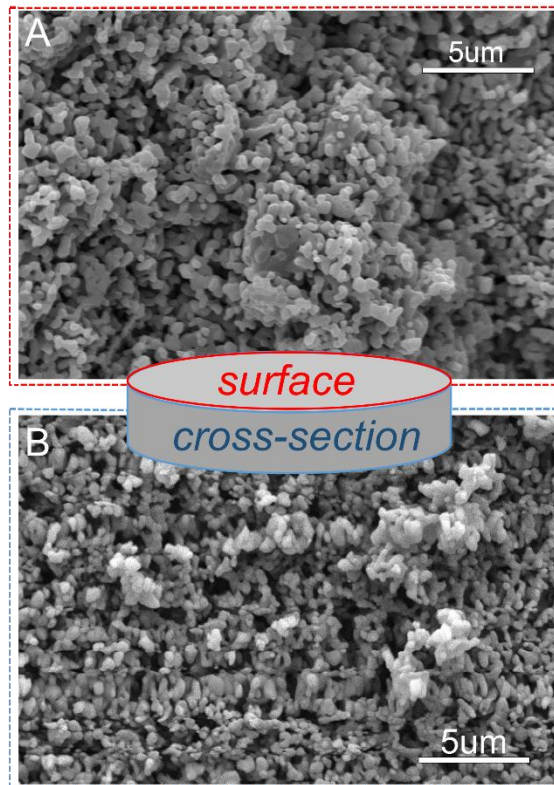
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600 Fig. 1 A schematic diagram of the reactor for the synthesis CNTs from waste plastics

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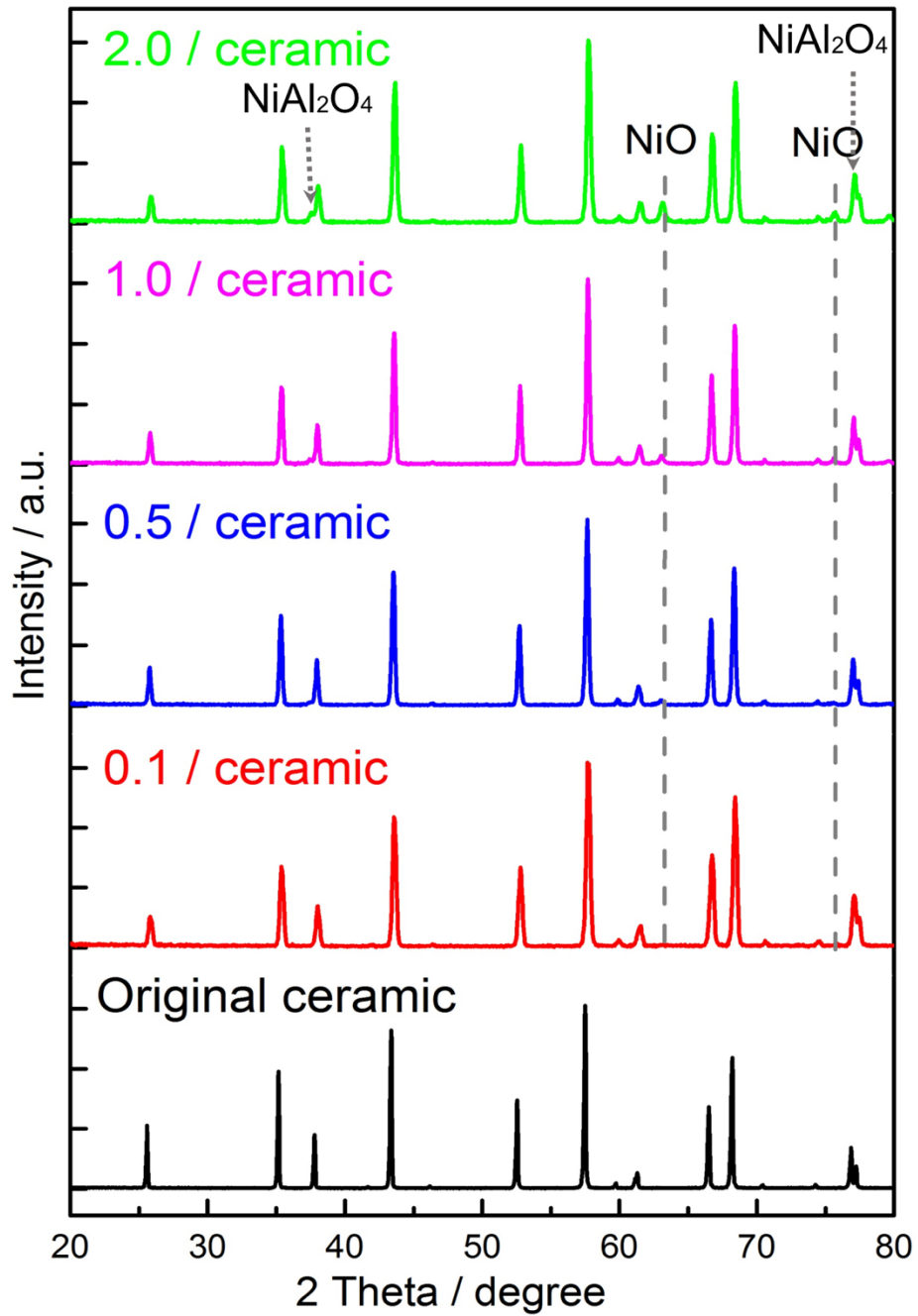
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605 Fig. 2 SEM results for original ceramic membrane (A) surface, (B) cross-section

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608 Fig. 3 XRD analysis for original ceramic membrane and fresh Ni/ceramic catalysts

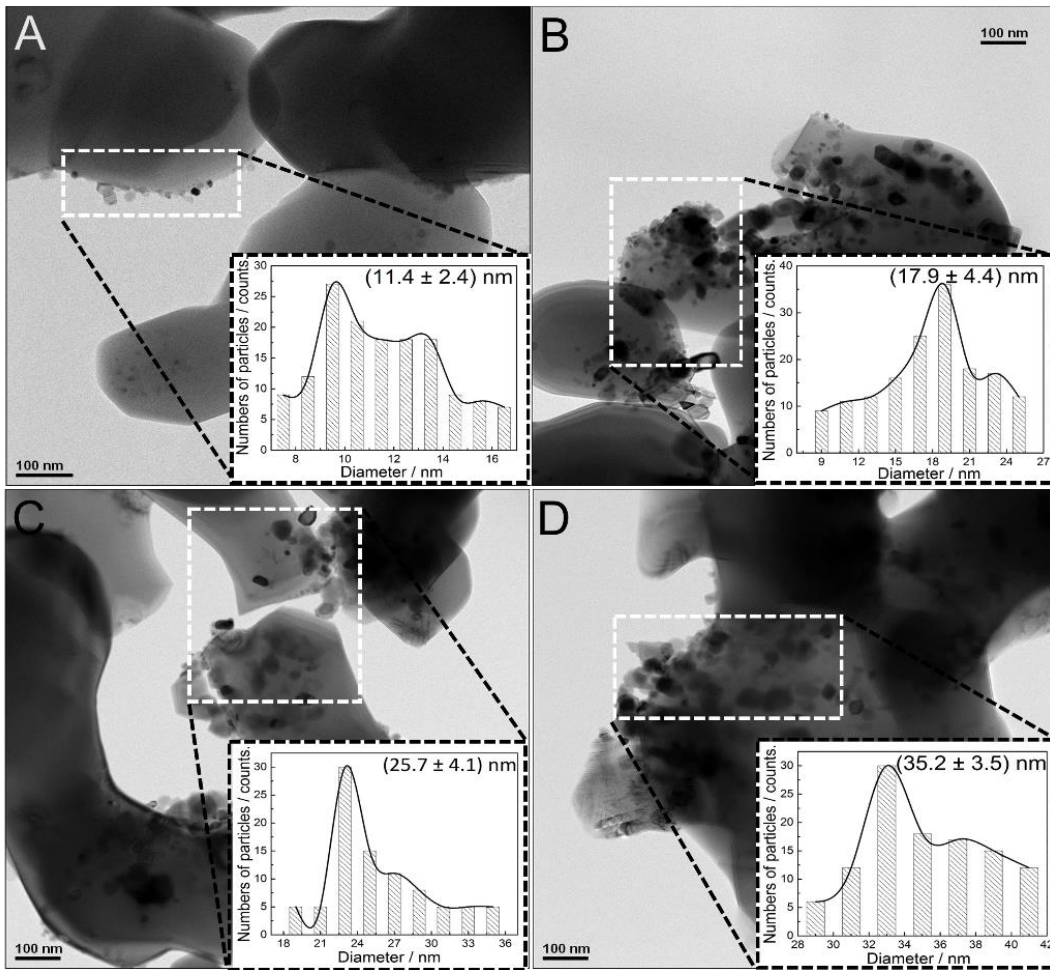
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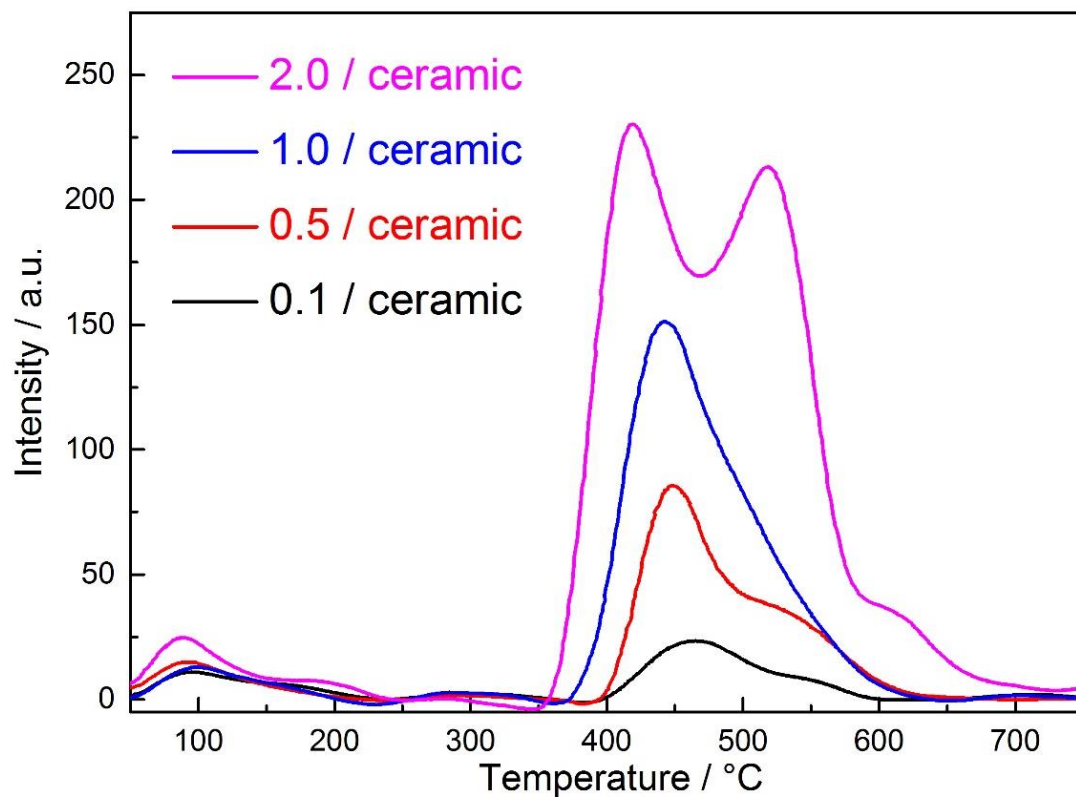
615 Fig. 4 TEM results and diameter distribution of NiO for (A) 0.1, (B) 0.5, (C) 1.0 and

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(D) 2.0 fresh Ni/ceramic catalysts

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620 Fig. 5 TPR analysis of the Ni/ceramic catalysts with different Ni content loadings

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(0.1, 0.5, 1.0, and 2.0 Ni mol/L)

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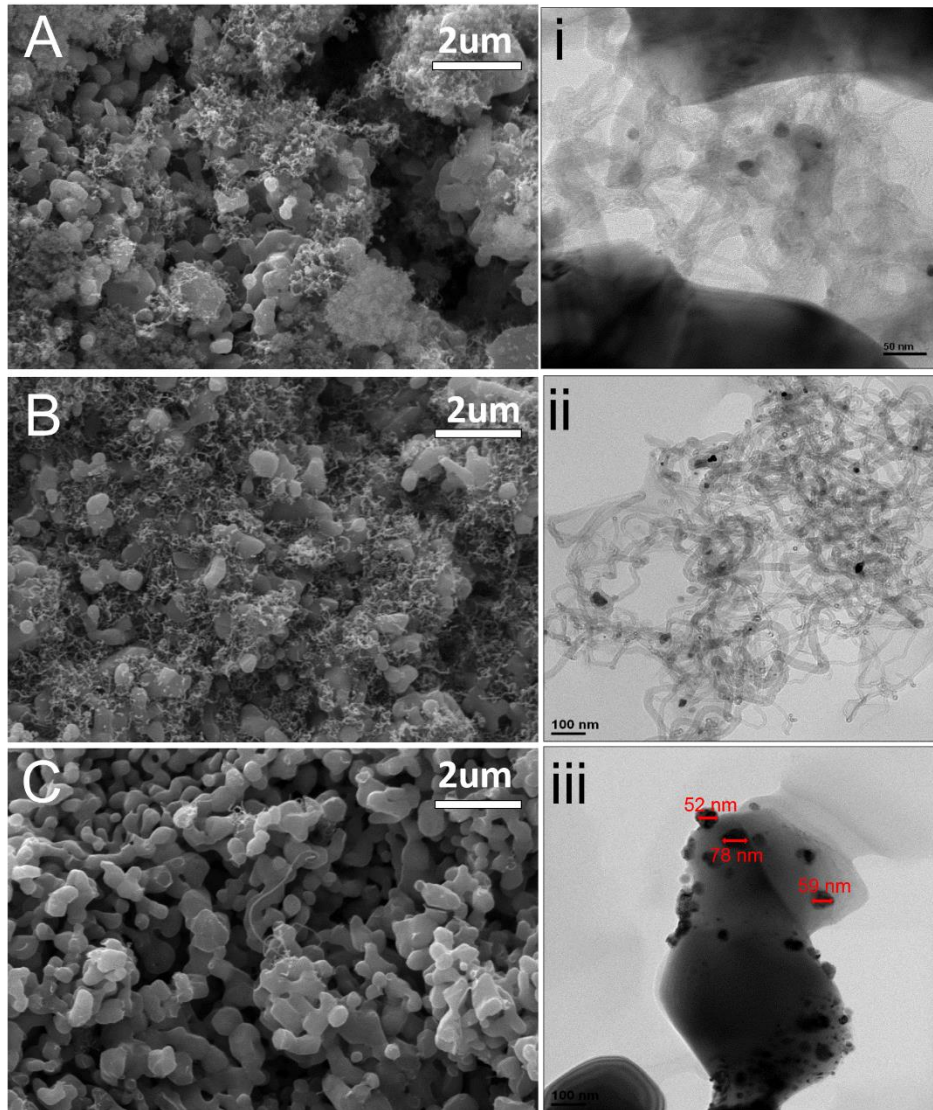
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631 Fig. 6 SEM (left) and TEM (right) results for CNTs synthesis at (A) 600°C, (B) 700°C

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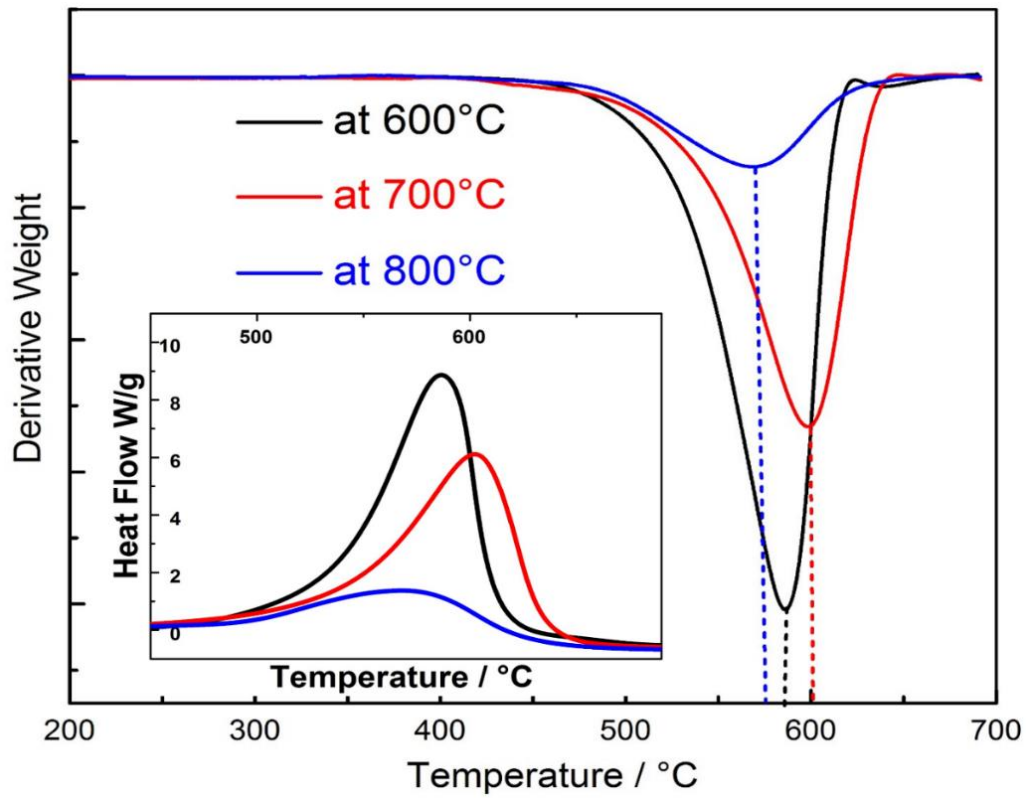
and (C) 800°C

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637 Fig. 7 DTG-TPO and DSC results of the spent 0.5/ceramic at 600 °C, 700 °C, and

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800 °C

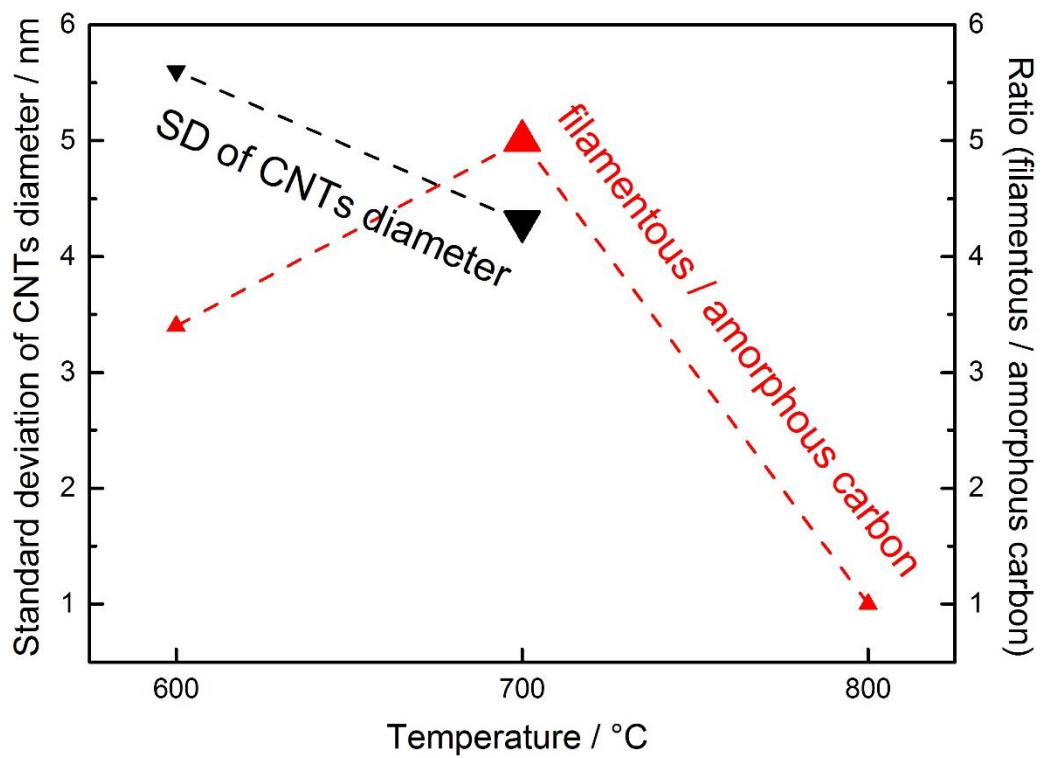
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Fig. 8 Trends of standard deviation (SD) of CNTs diameter and

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filamentous/amorphous carbon ratio at 600 °C, 700 °C, and 800 °C

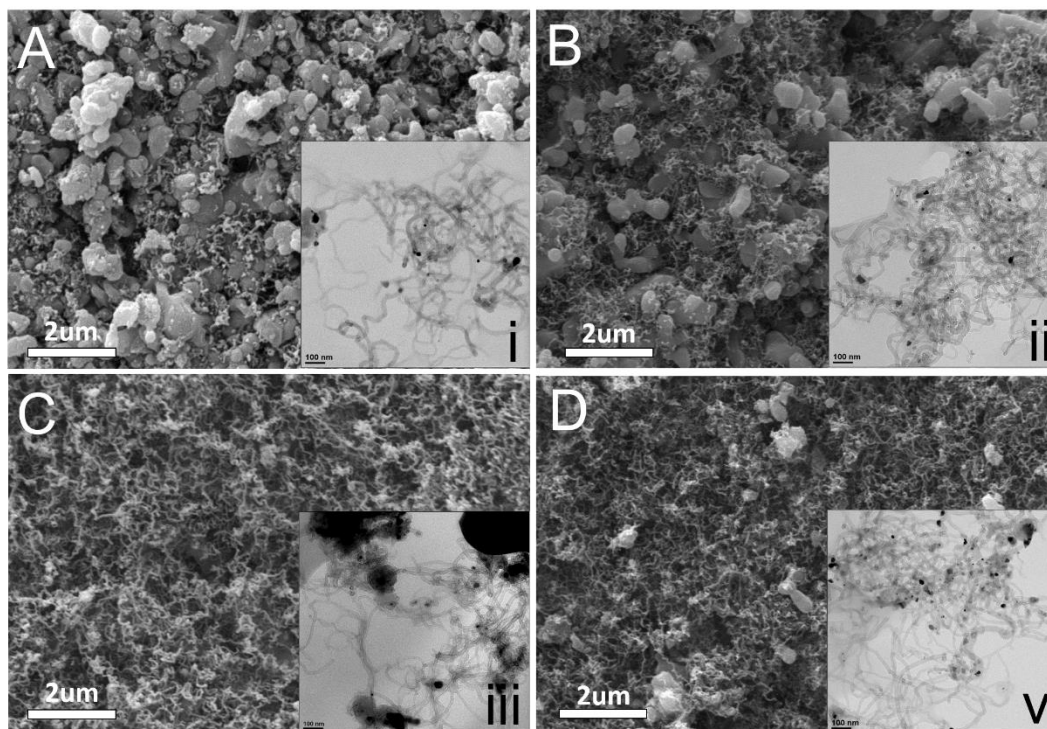
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Fig. 9 SEM results of CNTs formation for 0.1/ceramic (A), 0.5/ceramic (B),

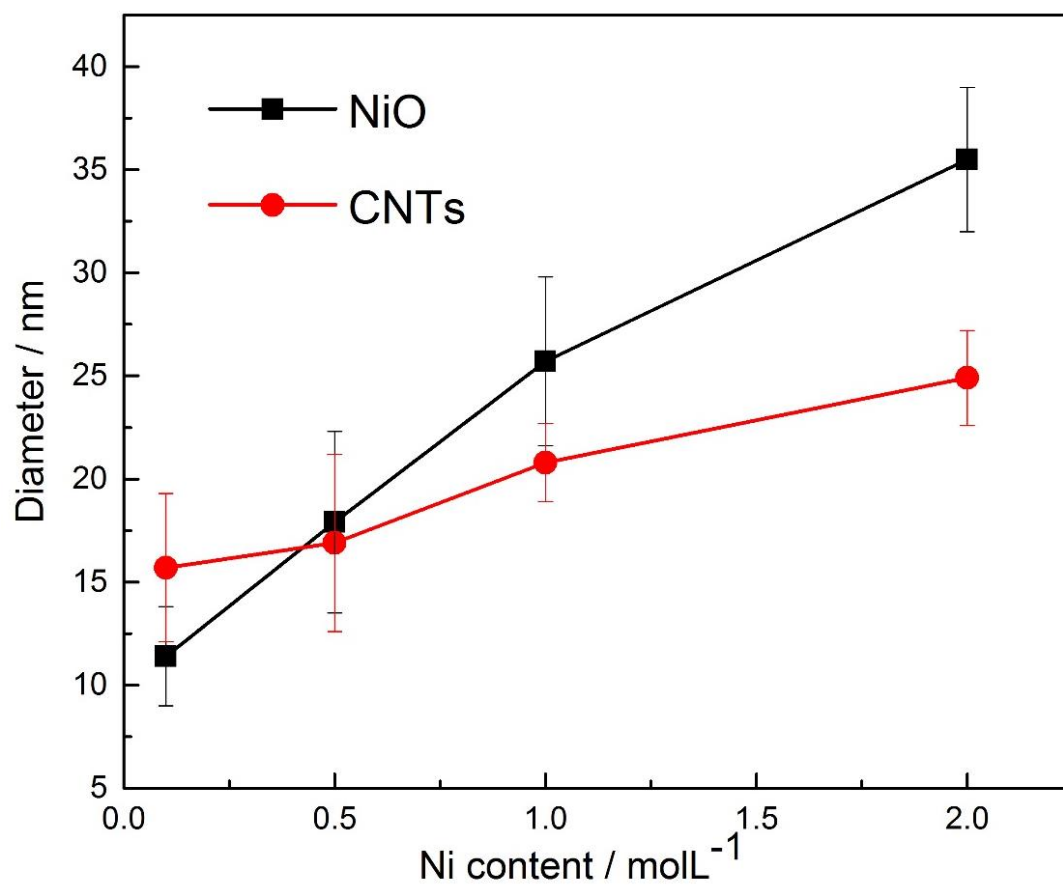
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1.0/ceramic (C), and 2.0/ceramic (D) and corresponding TEM (i-v)

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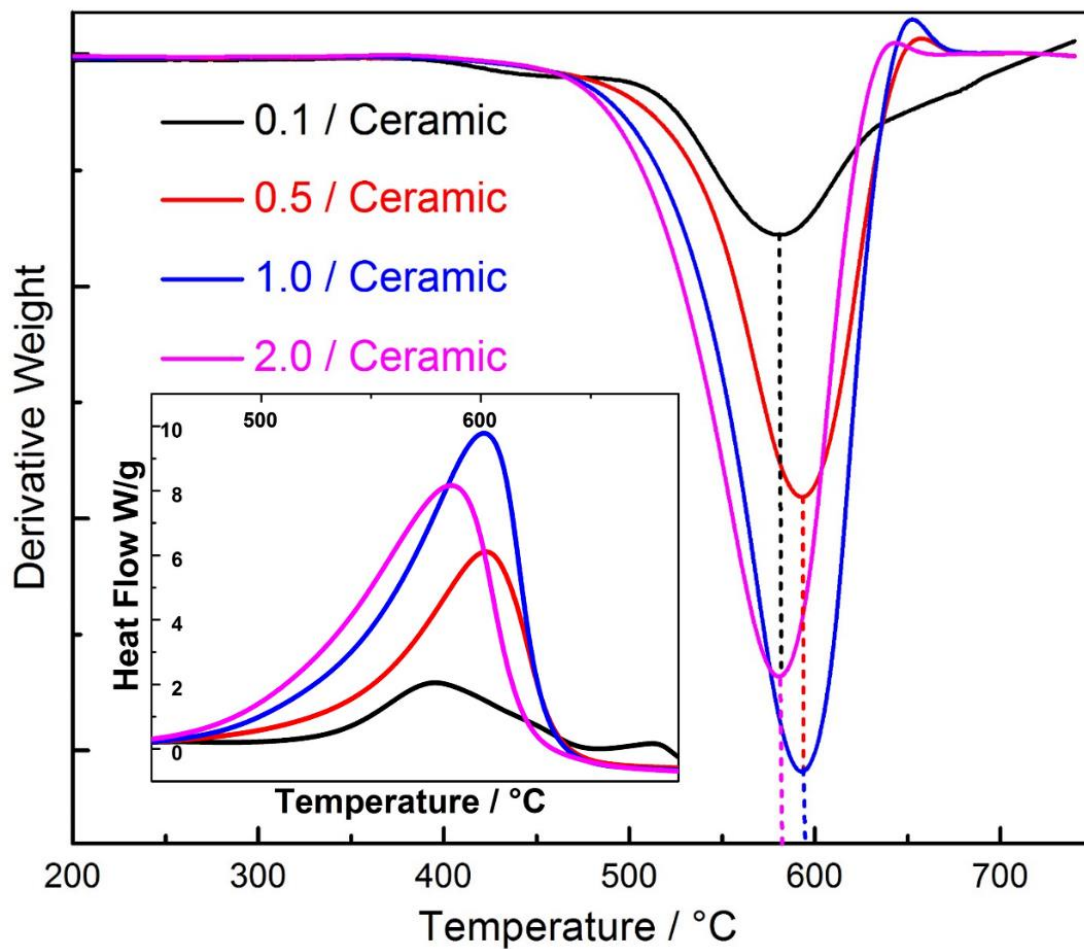
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658 Fig. 10 Diameter distribution comparison for fresh and spent Ni/ceramic catalysts

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663 Fig. 11 DTG-TPO and DSC results of the spent 0.1/ceramic, 0.5/ceramic, 1.0/ceramic

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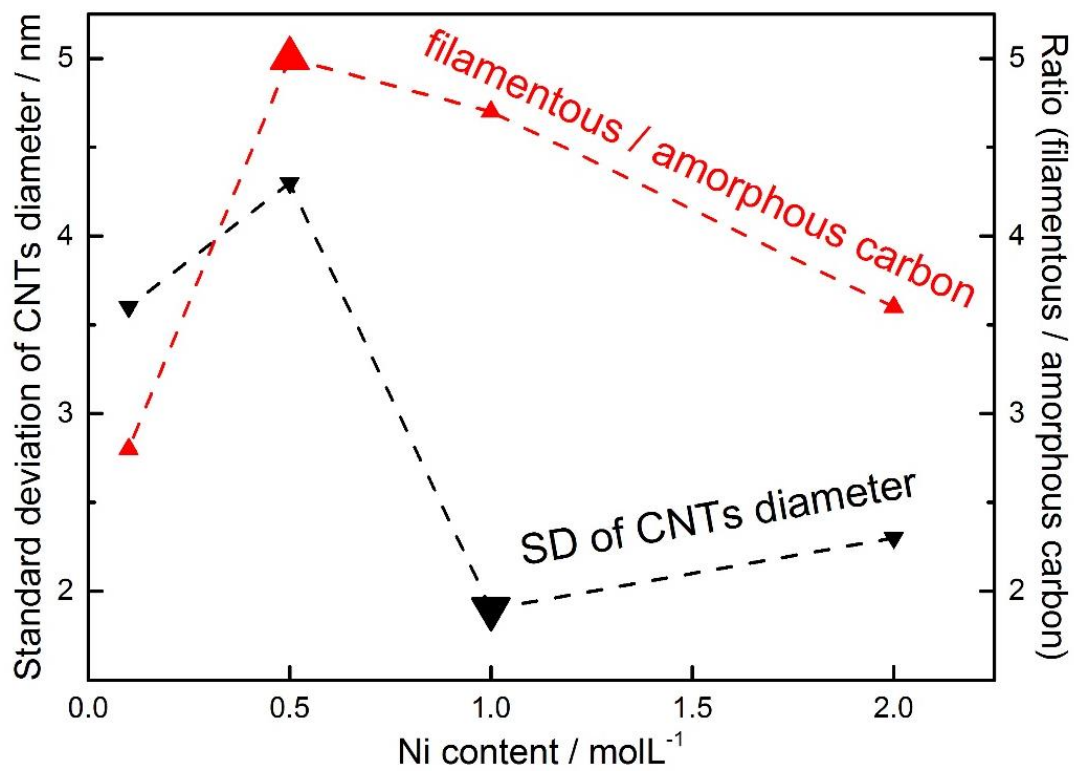
and 2.0/ceramic catalysts at 700 °C

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Fig. 12 Trends of standard deviation (SD) of CNTs diameter and filamentous/amorphous carbon ratio with different Ni loading ceramic catalysts