1	Founder effects drive the genetic structure of passively	
2 3	dispersed aquatic invertebrates	
4	Javier Montero-Pau ^{1,2, 3,#} , Africa Gómez ² and Manuel Serra ¹	
5	¹ Institut Cavanilles de Biodiversitat i Biologia Evolutiva, Universitat de València, A.O.22085,	
6	46071, Valencia, Spain	
7	² Department of Biological Sciences, University of Hull, Hull, HU6 7RX, UK	
8	³ Institute for the Conservation and Breeding of Agricultural Biodiversity (COMAV-UPV),	
9	Universitat Politècnica de València, Camino de Vera s/n, 46022, Valencia, Spain	
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12	*Corresponding author:	
13	Javier Montero-Pau, Institute for the Conservation and Breeding of Agricultural Biodiversity	
14	(COMAV-UPV), Universitat Politècnica de València, Camino de Vera s/n, 46022, Valencia (Spain)	
15	Phone: (+34) 963878847	
16	jmonteropau@upv.es	
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18	Running title (45 characters):	
19	Founder effects and local adaptation	

21 Abstract

22 Populations of passively dispersed organisms in continental aquatic habitats typically show high 23 levels of neutral genetic differentiation despite their high dispersal capabilities. Several 24 evolutionary factors, including founder events, local adaptation, and life cycle features such as 25 high population growth rates and the presence of propagule banks, have been proposed to be 26 responsible for this paradox. Here, we have modeled the colonization process in these 27 organisms to assess the impact of migration rate, growth rate, population size, local adaptation 28 and life-cycle features on their population genetic structure. Our simulation results show that 29 the strongest effect on population structure is caused by persistent founder effects, resulting 30 from the interaction of a few population founders, high population growth rates, large 31 population sizes and the presence of diapausing egg banks. In contrast, the role of local 32 adaptation, genetic hitchhiking and migration is limited to small populations in these 33 organisms. Our results indicate that local adaptation could have different impact on genetic 34 structure in different groups of zooplankters.

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Keywords: migration, local adaptation, genetic differentiation, zooplankton, Monopolization
 Hypothesis, Rotifera, Cladocera.

38

40 Introduction

41 Successful dispersal and colonization are essential for the establishment and persistence of 42 species, and an understanding of these processes is crucial in the face of changing climate, 43 habitat destruction, and biological invasions, which are rapidly affecting the abundance and 44 distribution patterns of many species (Parmesan & Yohe, 2003; Chen et al., 2011; Blackburn, 45 Lockwood & Cassey, 2015). The evolutionary outcome of dispersal and colonization results from 46 a potentially complex interplay of neutral and selective factors, including local adaptation, founder effects or bottlenecks causing genetic drift during the first stages of colonization, 47 48 inbreeding depression, or high levels of gene flow that could erode local adaptation 49 (Lenormand, 2002; Kliber & Eckert, 2005; Rosenblum, Hickerson & Moritz, 2007; Keller & 50 Taylor, 2008; Verhoeven et al., 2011). In addition, life-cycle features and demographic 51 characteristics may act as modulators and lead to different evolutionary outcomes (Burton, 52 Phillips & Travis, 2010). For example, species with high population growth rates after a 53 bottleneck are more likely to maintain their genetic variability ("founder-flush" model) (Carson, 54 1968; Templeton, 2008), and populations of organisms with resistant life stages (e.g. diapausing 55 eggs) are more likely to be connected by migration even at long distances (Frisch, Green & 56 Figuerola, 2007). Predicting the outcome of these factors is a major question in evolutionary 57 and conservation ecology, and requires an understanding of the effect of each factor and their 58 interactions.

59 Populations of passively dispersing aquatic invertebrates (e.g., freshwater bryozoans, rotifers, cladocerans, copepods, anostracans, notostracans) and macrophytes inhabiting lentic 60 61 habitats typically present a high level of neutral genetic differentiation (Freeland, Romualdi & 62 Okamura, 2000; Zierold, Hanfling & Gómez, 2007; Mills, Lunt & Gómez, 2007; Muñoz et al., 63 2008; Makino & Tanabe, 2009; Xu et al., 2009; Escudero et al., 2010), despite their high 64 dispersal capabilities through diapausing propagules (Allen, 2007; Frisch, Green & Figuerola, 2007; Vanschoenwinkel et al., 2011). This has been termed the "migration-gene flow paradox". 65 66 Regardless of their taxonomic disparity, these organisms share biological features promoting a 67 rapid monopolization of resources in the new environment: high population growth rates, large 68 population sizes and the production of resistant stages in their life cycle. The latter can 69 accumulate in sediments and form dormant propagule banks (Hairston, 1996; Brendonck & De 70 Meester, 2003) and constitute the dispersal stage. As a result, once a habitat becomes available 71 and is colonized, the population can grow very quickly creating a numerical advantage that 72 dilutes the genetic impact of further immigrants (Waters, Fraser & Hewitt, 2013), resulting in a 73 persistent founder effect (Boileau, Hebert & Schwartz, 1992). This explanation was expanded 74 by (De Meester et al., 2002) into the so called "Monopolization Hypothesis" (MH hereafter) to 75 include local adaptation as an important force contributing to reduce effective gene flow and 76 therefore maintaining the genetic structure of passively dispersed aquatic organisms. The MH 77 postulates that the migration-gene flow paradox could be explained by a combination of three 78 factors: (1) persistent founder effects, (2) selection against immigrants due to local adaptation 79 and (3) buildup of linkage disequilibrium between neutral markers and genes under selection.

80 Local adaptation is an important and rapid process in many zooplanktonic organisms 81 (Cousyn et al., 2001; Decaestecker et al., 2007; Costanzo & Taylor, 2010; Declerck et al., 2015; 82 Tarazona, García-Roger & Carmona, 2017). The impact of local adaptation on population 83 genetic structure is diverse as it is dependent on the impact of other evolutionary forces, not 84 only selection (Kawecki & Ebert, 2004). For instance, it can promote genetic differentiation, 85 'isolation-by-adaptation' sensu (Nosil, 2007) or reinforce the existing genetic differentiation by reducing effective gene flow (Orsini et al., 2013). Irrespective of local adaptation, populations 86 87 recently founded by a small number of propagules can be highly inbred and show inbreeding 88 depression (De Meester, 1993; Tortajada, Carmona & Serra, 2009). This could give migrants a 89 fitness advantage and favor gene flow into the population (Ebert et al., 2002; Haag et al., 2006). 90 The accumulation of large numbers of resistant stages (i.e. propagule banks) in sediments is 91 also a characteristic of many aquatic species inhabiting temporary habitats. These banks have 92 an important role in community-level ecological (Chesson, 1983; Cáceres, 1997; Montero-Pau & 93 Serra, 2011) and evolutionary processes (Brendonck & De Meester, 2003). They increase the 94 effective population size due to postponed reproduction in the bank, and thus reduce genetic 95 drift (Kaj, Krone & Lascoux, 2001). However, this effect may be indirect, as gene flow is also 96 postponed in the bank (Kaj, Krone & Lascoux, 2001; Berg, 2005).

97 The relative importance of and the interactions between the demographic features with 98 neutral and selective processes acting during colonization has remained poorly understood. 99 Therefore, an explicit analysis of the effects of local adaptation, persistent founder effects, and 100 their interplay on the differentiation of populations of aquatic organisms is due, especially

during the first stages of colonization when populations are still small and, thus, more sensitiveto stochastic effects.

Here, we have modeled the colonization process of zooplanktonic organisms to clarify how migration rate, growth rate, population size, local adaptation and the existence of a propagule bank shape the population genetic structure during the first stages of colonization. Our primary interest is to gain insights into the relative importance of (1) persistent founder effects, (2) selection against immigrants as a consequence of local adaptation, and (3) random associations between neutral genes and genes under selection (linkage disequilibrium).

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Materials and Methods

We developed a genetic and demographic model to analyze the effects of population growth rate, population size, presence of a diapausing egg bank and local adaptation on the population genetic structure of aquatic organisms. We assumed a geographic scenario with two habitats, with local populations connected through reciprocal migration. These local populations are founded simultaneously after a single event of migration from a source population.

The model was based on the life cycle of rotifers and cladocerans (i.e. cyclical parthenogenesis), which are major taxonomic groups in the zooplankton. Cyclical parthenogenesis combines parthenogenesis with episodic sexual reproduction and typically consists of several asexual generations followed by a sexual generation, generally associated with habitat degradation. The sexual generation produces diapausing eggs that hatch into

121	asexual individuals once the habitat becomes suitable again. As not all eggs hatch from one	
122	growth period to the next, they may accumulate in the sediment and form extensive diapausing	
123	egg banks (Brendonck & De Meester, 2003).	
124	The demographic submodel is outlined in Fig. 1. Briefly, it consists in six steps:	
125	step 1. Hatching of diapausing eggs (resident and immigrant)	
126	step 2. Asexual proliferation	
127	step 3. Sexual reproduction and production of diapausing eggs	
128	step 4. Diapausing eggs survival in the sediment	
129	step 5. Migration of diapausing eggs	
130	step 6. Back to step 1	
131	Note that this demography implies two time scales: (1) a within-planktonic growth perio	
132	(often within-year; index, t), and (2) an among-sexual generations scale (often among-years;	
133	index, y).	
134	Migration, either from the source population or between habitats, is assumed to occur via	
135	diapausing eggs, which are passively transferred between habitats, and their hatching time is	
136	assumed to be the same as for locally produced diapausing eggs. As migration rates are low	
137	relative to the size of diapausing egg bank, emigration is assumed to have negligible effects on	
138	the source bank.	
139	Genetic submodel	
122	Genetic submodel	
140	All individuals are considered to have n neutral loci and n loci under selection. All loci are	

141 biallelic and no mutation is assumed. Absence of linkage is assumed among neutral loci and

142 among loci under selection. Contrarily, the model accounts for physical linkage between each 143 neutral locus and a corresponding selected locus. For this case, several recombination levels, 144 including absence of linkage, were assumed. Loci under selection act additively on growth rate. 145 Consequently, no dominance and no epistatic effects are assumed. Local adaptation requires a 146 genotype-environment interaction on fitness. This is modeled through $\delta_{i,j,l}$, which is the effect 147 on the intrinsic growth rate (see below) of allele i (i: 1, 2) at locus j (j: 1,..., n) in locality l (l: 1, 2). 148 The assumptions are (1) $\delta_{1,j,1} = \delta_{2,j,2}$, and (2) $\delta_{i,j,l} = -\delta_{j\neq i,j,l}$, so, in the case of homozygotes for a 149 given selected locus, they will experience an increase or decrease of their growth rate by $|2\delta|$ depending on the locality. Hence, the growth rate for each genotype g in each locality $I(r_{g,l})$ can 150 151 be decomposed into r (basal growth rate) and θ (deviation of each genotype), so that

$$r_{g,l} = r + \theta_{g,l}$$

where *g* is the genotype, *l* is the locality, and $\theta_{g,l}$ is the summation of the fitness components (δ) in locality *l* of the alleles carried by a genotype *g* in the *n* loci under selection. Thus, in any given locality, the growth rate during the asexual reproduction will vary between the limits $r \pm 2n\delta$.

157 Sexual reproduction is assumed to be panmictic and, for simplicity, is considered to be 158 synchronic and at the end of the growing season ($t = \tau$). As linkage disequilibrium can occur due 159 to selection and genetic drift, gametic frequencies are computed. Gametes are then drawn to 160 produce the diapausing eggs.

161 Genetic distance between populations was estimated based on neutral loci as

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$$F_{ST} = \frac{\overline{H}_T - \overline{H}_S}{\overline{H}_T}$$

where \overline{H}_T is the average expected heterozygosity for the two populations considered as a single one for the neutral loci, and \overline{H}_S the average of the mean expected heterozygosity within each populations for the neutral loci (Hedrick, 2011). Allelic frequencies for each locus were computed using the total number of alleles. Similarly, a genetic distance for loci under selection (*F*_{STQ}) was computed (Le Corre & Kremer, 2012). *F*_{ST} and *F*_{STQ} values were obtained just after hatching of diapausing eggs.

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170 *Population growth*

171 The asexual phase spans from time t = 0 to τ , which is the moment when sexual reproduction 172 takes place. During the asexual phase the population grows deterministically according to a 173 logistic growth model:

$$\frac{dN_{l,g}}{dt} = N_{l,g}r_{l,g}\left[1 - \frac{\sum_{g}N_{l,g}}{K}\right],$$

where $N_{l,g}$ is the density of the genotype g in the locality i, $r_{l,g}$ is its intrinsic population growth rate during the asexual phase, and K the carrying capacity. Note that K is genotypeindependent. At the onset of each asexual growth season (t = 0), $N_{l,g}$ is the sum of the hatched diapausing eggs, a fraction of them having been locally produced $H_{l,g}$, and the rest being immigrants $M_{l,g}$.

180 At $t = \tau$ of the sexual generation y, the number of diapausing eggs produced $P_{l,g}(y)$ is 181 stochastically computed from $N_{l,g}(\tau, y)$ assuming a sexual proportion m (fraction of the females

that becomes sexual), a sex ratio *sr* and an effective fecundity *e* (number of diapausing eggs
produced per sexual female).

Mortality of diapausing eggs in the sediment with egg bank was assumed to be ageindependent (annual survival rate γ). Empirical information supporting this assumption for field populations is not available. However, our model can account for fast senescence when it assumes the absence of egg bank. When a new planktonic growing season starts (t = 0) a fraction λ of the diapausing eggs in the sediment hatches.

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190 Source population and local population founding

The two populations are founded at time y = t = 0 by *F* diapausing eggs randomly drawn from a single source population. The source population is assumed to be in Hardy-Weinberg equilibrium and of infinite size, so that extraction of migrants does not change genotype frequencies. All loci are considered neutral in the source population, so no preadaptation to any of the populations exists.

196 *Model implementation*

The impact of carrying capacity (*K*), growth rate (*r*), migration (*M*), selection pressure (δ) and recombination rate on F_{ST} were analyzed by exploring a range of realistic values for zooplanktonic organisms. *K* was varied from 2·10² to 2·10⁷ individuals, which is equivalent to densities from 0.001 to 100 individuals/L in a small pond of 200 m² and 1 m depth, in good agreement with reported average densities of cladocerans and rotifers (Carmona, Gómez & Serra, 1995; Ortells, Gómez & Serra, 2003; Tavernini, 2008). *r* was explored from 0.05 to 1 day⁻¹. Cladocerans show maximum *r* of 0.2-0.6 days⁻¹ and rotifers 0.2-1.5 days⁻¹ (Allan, 1976). The number of population founders (*F*) was set to 1 diapausing egg across most simulations. That is, foundation is considered a rare event. Note that as the model assumes cyclical parthenogenesis, a single diapausing egg is enough for population foundations. The effect of numbers of founders (*F*) was also explored (1, 2, 5, 50 diapausing eggs). Other parameter values used in the simulations are shown in Table 1.

Simulations considered two scenarios regarding diapausing egg banks: (1) an annual, ageindependent, diapausing egg survival rate on the sediment ($\gamma = 0.763$) (i.e., existence of a diapausing egg bank); and (2) $\gamma = 0.763$ for eggs of age = 1 and a $\gamma = 0$ for older eggs (i.e., absence of diapausing egg bank). Parameters for the diapausing egg bank (γ and λ , the annual hatching rate) were estimated from rotifer diapausing egg banks (García-Roger, Carmona & Serra, 2006c) by adjusting them to the model described by García-Roger *et al.* (García-Roger, Carmona & Serra, 2006a).

216 The simulation model was implemented in C++ and based on Monte-Carlo procedures (code available at https://github.com/monpau/founder effects). The Mersenne twister 217 218 algorithm (Matsumoto & Nishimura, 1998) was used as random number generator. The logistic 219 model was iterated numerically. 50 replicates for each parameter combination (but 100 for values of δ and recombination rate) were performed. For each replicate, a source population 220 221 was randomly created by drawing from a uniform distribution the allelic frequencies of the n and s loci. After foundation of the two populations, 1000 sexual generations (4000 generations 222 223 for some scenarios) were simulated.

Sampling effects were taken into account for hatching and survival of diapausing eggs if the total number of eggs in the population was lower than 1000. Selection of immigrants and gametes for mating were performed randomly regardless of the number of eggs/individuals involved.

Paralelling the procedure in an empirical study, an statistical assessment was performed Differences between F_{ST} 's values under a neutral scenario and scenarios with selective pressure and different recombination rates were analysed with an ANOVA and *a priori* contrasts. Correlations between F_{ST} and F_{STQ} at different combinations of population size, recombination rates and selective pressure were also tested using Kendall's Tau and Sperman's Rho. All statistical analyses were performed using SPSS v. 17 (SPSS Inc., Chicago, USA)

234 **Results**

The population dynamics of a newly founded population, using the parameters shown in Table 1, with $\tau = 60$ days and r = 0.3 days⁻¹ – which are realistic values for both the length of the growth season (Tavernini, 2008) and the intrinsic growth rate of many aquatic invertebrates (Allan, 1976) – show that carrying capacity (*K*) is reached in less than two sexual generations, even in the case of the highest *K* (i.e. $K = 2 \cdot 10^7$ individuals). Thus, *K* is a good proxy of population size and we will use both terms interchangeably hereafter.

241 Effect of migration

The effect of the number of immigrants on genetic differentiation of neutral loci strongly depends on K (i.e. population size; Fig. 2). In both the small and the large populations, F_{ST} 12 244 decreases with increasing migration rates, as expected under a neutral scenario (Wright, 1931). For the lowest carrying capacity tested ($K=2\cdot 10^2$ individuals; Fig. 2.A), F_{ST} decreased rapidly 245 246 down to very low levels with increasing migration. By contrast, for the highest K tested (K =247 $2 \cdot 10^7$ individuals; Fig. 2.B), F_{ST} was rather insensitive to the effect of migration, and populations 248 remained highly differentiated ($F_{ST} > 0.2$) even at high levels of migration. The number of 249 migrants needed to cause a noticeable decrease of genetic differentiation on neutra loci is in the order of 100 and 1000 individuals/sexual generation for the situation without and with 250 251 diapausing eggs respectively.

252 *Effect of population size*

253 Carrying capacity (i.e population size) had strong effects on F_{ST} (Fig. 3; 1000 sexual 254 generations). In small populations (i.e. low K) populations did not differ genetically, while in 255 large populations, F_{ST} remained as high as the values observed just after population foundation. This pattern suggests importance of migration and persistent founder effects respectively. At 256 257 intermediate values of K, genetic differentiation peaked, probably due to higher drift effects. In 258 other words, the highest F_{ST} values are found at intermediate population sizes. The F_{ST} -K 259 pattern is qualitatively similar with and without diapausing egg bank, but in absence of a egg 260 bank a lower maximum F_{ST} at a higher K was found.

These results are robust to changes in the maximum number of sexual generations explored (results for maximum y = 100, 500, 2000 and 4000 generations, data not shown). However, at 100 and to a lesser extent 500 sexual generations, the peak of F_{ST} at intermediate population sizes was less pronounced than at later sexual generations. The long-term (from 1st 13 265 to the 4000th sexual generation) time course is futher explored in Figure 4. In the absence of a diapausing egg bank (Fig. 4 A), F_{ST} decreases with time at low population size, and this situation 266 267 is reversed when K increases, to finally become virtually constant (i.e., determined by the initial 268 condition) at the largest population size explored ($K = 2 \cdot 10^7$). A qualitatively similar pattern is 269 found when a diapausing egg bank is present (Fig. 4 B), although the shift to an increasing F_{ST} time coursem, and also to F_{ST} constancy, occurs at lower population sizes. Note that the small 270 negative change found at $K = 2 \cdot 10^2$ (regardless whether a bank is assumed) is associated to the 271 272 very low initial F_{ST} values (Fig. 4 C, D). Also note that F_{ST} values are calculated after hatching of 273 residents and immigrants; for instance, at y = 1, F_{ST} value is not the value after foundation but 274 after migration.

In summary, population size and presence or absence of a diapausing egg bank are key to predict the main force shaping the genetic structure. Decreasing F_{ST} indicates that migration is the dominant factor, while increasing values show that drift becomes dominant. The finding of stationary F_{ST} from the first generitons after foundation indicates the importance of persistent founder effects on the shaping of the genetic structure of populations.

Population growth rate interacts with population size in determining the level of genetic differentiation (Fig. 5). Low growth rates result in low genetic differentiation, regardless of population size, indicating a high impact of migration. However, for population growth rates above 0.1 d⁻¹, which are common for zooplanktonic organisms, genetic differentiation becomes sensitive to variations in population size.

285 *Effects of the number of founders*

Increasing the number of population founders *F* results in a dramatic decrease of F_{ST} values just after foundation (Fig. 6); for instance, if compared to F = 1, F_{ST} is reduced by half for F = 2, and approaches 0 for F = 50. After 4000 sexual generations, the level of population differentiation still shows a negative relationship with the number of founders. Given this strong effect, we explored in further simulations how *F* affects the relationships between population differentiation and other factors. Our results suggest that the patterns outlined above are qualitatively maintained for F > 1 (data not shown).

293 Effect of local adaptation

Above, a selectively neutral scenario was assumed. The effect of local adaptation was explored at two levels of *K* (2·10⁴ and 2·10⁷ individuals), which are realistic values for cladocerans and rotifers respectively. Two different selection scenarios ($\delta = 10^{-4}$ days⁻¹, light selection, and 10^{-2} days⁻¹, intense selection) in the presence/absence of diapausing egg bank, and six recombination rates – from complete linkage to unlinked genes – were tested (Fig. 7 summarizes the results for the scenario with diapausing egg bank; see Fig. S1, for the equivalent scenario without diapausing egg bank).

With intense selection, F_{STQ} reaches almost maximum values – i.e., populations are almost fixed for the locally adapted alleles – regardless of *K* (Fig. 7). In the case of low *K*, all F_{ST} values are statistically different from those obtained without selection (p-values < 0.05 except at 0.5 recombination rate; p-value = 0.057). However, F_{ST} values are similar irrespective of the recombination rate. In contrast, for high *K*, only those values of F_{ST} with complete linkage 15 (recombination rate = 0) are statistically different of those found without selection. This indicates that genetic hitchhiking in large populations acts only on neutral loci tightly linked to those under selection. Otherwise, linkage to the genes under selection does not reduce the persistence of founder effects.

310 With light selection, F_{STQ} indicates the expected result that local adaptation becomes less 311 important than with intense selection. In large populations ($K = 2 \cdot 10^7$), F_{ST} values do not statistically differ from the neutral scenario, showing the higher importance of founder effects 312 313 over local adaptation when selection is light. Moreover, F_{STQ} values also appear to be affected 314 by persistent founder effects. In contrast to the situation with intense selection, genetic linkage 315 does not alter differentiation at neutral loci. However, in small populations ($K = 2 \cdot 10^4$), local 316 adaptation does play a role. Mean F_{ST} values statistically differ from the neutral scenario at all recombination rates (from 0.0 to 0.5), and the variance of the distribution of F_{ST} values is 317 318 decreased (see Fig. 3 for comparison). Note that drift is the dominant factor in relatively small 319 $(K = 2 \cdot 10^4)$ populations with diapausing egg bank.

320 Effects of diapausing egg banks

In the presence of intense selection the effects of diapausing egg bank (see Supplementary Fig. S1) were minimal. In ligth selection conditions: (1) at high population density ($K = 2 \cdot 10^7$) genes under selection are less affected by persistent founder effects that when no bank is present (Fig. 7), and populations show a trend to be locally adapted; (2) at low population density (K = $2 \cdot 10^4$), F_{ST} values at recombination rates 0.0 and 0.1 are statistically different from the neutral scenario – unlike at higher recombination rates –, which indicates that genetic hitchhiking could 16

be of some importance; (3) at $K = 2 \cdot 10^4 F_{ST}$ and F_{STQ} had higher variance at all recombination rates than in th scenario with no diapusing egg bank (Fig. 7).

In the absence of a diapausing egg bank, populations reach maximum F_{STQ} values in about 40-50 sexual generations regardless of population size (data not shown). However, when a diapausing egg bank exists, advantageous alleles need a longer time to reach fixation (about 150 sexual generations for $K = 2 \cdot 10^4$, and about 300 generations for $K = 2 \cdot 10^7$).

We computed F_{STQ} vs. F_{ST} correlations within each tested parameter combination. Significant correlations were found only in the case of the low *K* (2·10⁴) without diapausing egg bank. Correlation coefficient is always positive, and the ranges are: Kendall's tau = 0.66-0.53 and Spearman's rho = 0.73-0.56 for intense selection; Kendall's tau = 0.68-0.32 and Spearman's rho = 0.80-0.38 for light selection.

338 **Discussion**

339 The understanding of the evolutionary factors responsible for the strong population structure 340 of passively dispersed aquatic organisms in the face of potentially high gene flow has attracted 341 considerable attention in the last decade (De Meester et al., 2002; Mills, Lunt & Gómez, 2007; 342 Campillo et al., 2009). We have presented a specific model and, by simulation, explored the 343 effects of genetic drift associated to population founding (founder effects), gene flow via 344 migration and local adaptation on genetic differentiation. Our results show that the strongest 345 effect was caused by persistent founder effects, resulting largely from the distinctive life history 346 traits of these organisms: few population founders, high rates of population growth, large

347 population sizes and the presence of diapausing egg banks. These results are in agreement with 348 those of Boileau et al. (Boileau, Hebert & Schwartz, 1992), who proposed that persistent 349 founder effects are an important force shaping the genetic structure of passively dispersed 350 aquatic organisms. Our findins shows that this conclusion holds in an scenario with selection 351 and genetic linkage, not explored by these authors. The most remarkable and novel result of 352 our simulations is that the role of local adaptation and genetic hitchhiking on shaping genetic structure of these organisms is not significant in large populations, although it plays a 353 354 significant role in small populations. This result is in agreement with recent genomic linkage 355 data in the rotifer *B. plicatilis* indicating very low levels of genomic linkage, meaning that the 356 opportunities for hitchhiking are limited and selection can freely act in very concrete points of 357 the genome without affecting nearby loci (Franch Gras, 2017) 358

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360 In agreement with (Boileau, Hebert & Schwartz, 1992), migration has a very limited effect 361 on the population structure of passively dispersed aquatic organisms. For instance, a migration 362 rate of 1000 individuals per sexual generation is needed to cause a noticeable effect on F_{ST} in a 363 large population. Although direct estimates of the number of dispersing stages are unavailable, 364 this extremely large value appears unlikely to occur between non-connected ponds (Cáceres & 365 Soluk, 2002; Allen, 2007; Frisch, Green & Figuerola, 2007), and is inconsistent with estimates of 366 the number of founders in natural populations, which are expected to be correlated with 367 regular immigration rates (Louette et al., 2007; Badosa et al., 2017). However, for small

population sizes our model recovers the expected pattern for the combined effect of migrationand drift under neutral genetic differentiation.

370 Among the factors studied in our model, population size has been shown to be largely 371 responsible for establishing the levels of genetic differentiation observed in natural populations 372 of aquatic organisms. In addition, this effect is strongly reinforced when a diapausing egg bank 373 is established. Although egg banks could increase gene flow (Kaj, Krone & Lascoux, 2001; Berg, 374 2005), they act mainly buffering the effects of migration and reducing genetic drift, which 375 favors the establishment of persistent founder effects. In our model, we assumed a parameter 376 range in agreement with values reported for many aquatic organisms. Nevertheless, due to 377 computational limitations the maximum values used for population sizes and egg bank densities 378 had to be limited, and could underestimate those attained in many natural populations. Some 379 estimated population sizes and diapausing egg bank densities in rotifers are one or two orders 380 of magnitude higher than the maximum values considered here (Carmona, Gómez & Serra, 381 1995; Ortells, Gómez & Serra, 2003). Diapausing egg bank densities for zooplanktonic organisms are in the order of 10^3 - 10^7 eggs/m² (Hairston, 1996), although densities in the 382 383 sediment layers that could provide recruits are uncertain. However, modeling larger population 384 sizes is unlikely to change our results qualitatively; if anything, they would increase the relative 385 impact of persistent founder effects.

Local adaptation seems to be common and has been well documented in cladocerans (Cousyn et al., 2001; De Meester et al., 2002; Decaestecker et al., 2007) and the generalist rotifer *Brachionus plicatilis* (Campillo et al., 2010; Franch-Gras et al., 2017). However, the effect

389 of local adaptation on genetic structure does not seem to be general, as it is weakened by 390 neutral and demographic factors. Regarding differentiation in genetic markres, a limited role for 391 local adaptation in continental aquatic invertebrates has been suggested (Campillo et al., 2009; 392 Allen, Thum & Cáceres, 2010). Our results indicate that although local adaptation does occur, 393 its effects are only apparent on population structure when population sizes and diapausing egg banks are relatively small. Given that rotifers tend to have larger population sizes than 394 cladocerans, this would mean that the effects of local adaptation on population structure could 395 396 differ between these organisms. According to our results, genetic hitchhiking appears to be of 397 limited importance in shaping neutral genetic differentiation. We have only detected signs of its 398 effect (1) at completely linked genes with high population size and intense selection, and (2) at 399 intermediate population size without egg bank and light selection. The lack of observed impact 400 does not mean that genetic hitchhiking has no importance, but that other processes are 401 dominating the outcome. We must stress that our main question is not whether local 402 adaptation occurs or not, but if this adaptation affects genetic differentiation in neutral markers 403 sensu Nosil (Nosil, 2007). Admitadly, due to computational limitations our model simplifies the 404 selective scenarios acting on continental aquatic invertebrates. As selection in natural 405 populations of aquatic invertebrates is likely to be multifactorial, and fluctuating, this scenarios should be further explored. 406

Genetic analyses in recently established populations indicate that the number of founders is small (Haag et al., 2005; Louette et al., 2007; Ortells, Olmo & Armengol, 2011; Badosa et al., 2017), and accordingly a single founder was assumed in most simulations. By assuming a single

410 founder, the studied scenario corresponds, for instance, to a situation where a new region 411 consisting of several lakes is open to colonization – e.g. after glaciation –, with few founders of 412 any single lake, but with varying migration rates among lakes. When we relaxed the assumption 413 of a single founder, the only remarkable observed effect was a reduction on the final value of 414 F_{ST} .

415 Globally, our results show that persistent founder effects, genetic drift and local adaptation all drive population genetic structure in these organisms, but population size and 416 417 the egg bank have a strong control on the dominance of each of these factors. In turn, these 418 demographic variables can be linked to ecological features. If so, a habitat classification linking 419 ecological factors, demographic features, and mechanisms acting on genetic structure could be 420 possible. Therefore, in populations inhabiting permanent ponds and lakes where a low 421 investment in diapause is generally found – as reflected in small diapausing egg banks in 422 comparison to temporary or ephemeral ponds (Hebert, 1974a,b; García-Roger, Carmona & 423 Serra, 2006c; Campillo et al., 2010; Montero-Pau, Serra & Gómez, 2016) -, an increased effect 424 of local adaptation and genetic drift is expected. In contrast, in environmental conditions 425 limiting population sizes, such as small rock pools or nutrient-poor lakes, migration can attain 426 higher importance. If despite this, high genetic differentiation is detected, an effect of selective 427 forces can be hypothesized. For instance, genetic hitchhiking has been suggested for a Daphnia 428 metapopulation inhabiting temporal rock pools (Haag et al., 2006). Besides ecological features, 429 our results suggest that differences can be expected between taxa differing in body size and so 430 in their typical population sizes, and therefore differences between the smaller rotifers and the

431 larger cladocerans are expected. As far as our results identify a restricted number of factors 432 driving the genetic structure, they provide insights beyond the life cycle assumed (i.e., cyclical 433 parthenogenesis), and could be extended to organisms with similar demographic features (i.e. 434 high growth rates, high population densities or presence of seed or egg banks). For example, populations of sexual species with high growth rates (i.e. r strategists) like crustaceans such 435 436 Artemia or copepods, which produce egg banks, are also likely to benefit from a numerical inertia that will reduce the impact of migration on the genetic structure of their populations 437 438 (Boileau, Hebert & Schwartz, 1992).

439 As we have shown, the rapid growth rate of colonists acts as a barrier against new 440 migrants, and this is reinforced by the formation of diapausing stage banks and, in some cases, 441 by local adaptation. This process leads to a persistent founder effect, and consequently, to a 442 deviation from the migration-drift equilibrium. This has repercussions when interpreting 443 phylogeographic signals (Gómez, Carvalho & Lunt, 2000; Waters, 2011). For instance patterns of 'isolation-by-distance' found in several aquatic organisms, regardless of their reproductive 444 445 mode, have been suggested to be due to a process of sequential colonizations (Gouws & 446 Stewart, 2007; Gómez et al., 2007; Mills, Lunt & Gómez, 2007; Muñoz et al., 2008). Our results 447 are consistent with these proposals and suggest that caution should be applied when inferring a migration-drift mechanism of 'isolation by distance' from such patterns (i.e., correlation 448 449 between genetic and geographical distances). Also, the establishment of persistent founder 450 effects and competitive exclusion of closely related species can explain the phylogenetic

451 overdispersion in communities, given a phylogenetic limiting similarity between species (Violle452 et al., 2011).

453 During the time window from the arrival of first colonizers to the establishment of the 454 founder effects, the genetic structure of the population is still sensitive to migration or drift. 455 Our results point out that this period is short, as a result of the high population growth rates of 456 most aquatic organisms. Nevertheless, we found that with relatively low population growth rates, the numerical advantage is delayed and genetic differentiation is relatively low. 457 458 Inbreeding depression is expected to be larger in small populations (Lohr & Haag, 2015), and it 459 could act favoring gene flow (Haag et al., 2002; Tortajada, Carmona & Serra, 2009, 2010). 460 Although this factor has not been explicitly modeled here, it will act in a similar way of reducing 461 the growth rate, which will favor effective gene flow. However, severe inbreeding could also 462 reduce the effective population size, and increase genetic drift, which will increase genetic 463 differentiation. A more detailed exploration of this scenario will be needed and it will depend 464 on the relative magnitude of the purging and migration.

Other factors not implemented in our model, but likely to occur in the wild, could also counteract the high genetic differentiation. For example, processes able to reduce population size during asexual growth phase (e.g., perturbations or environmental fluctuations) could increase the impact of gene flow. In addition, it will be of interest to test the strength of persistent founder effects buffering migrants with a higher fitness than locally adapted residents. These factors – inbreeding depression, environmental fluctuations, and preadapted immigrants – were not invoked in the initial formulation of the Monopolization Hypothesis and

should be investigated in future analyses. An additional prospective is to include the effect of
metapopulation structure. Walser and Haage (2012) have shown that population turnover,
which is expected to have high rate in small populations, could also explain the high genetic
population differentiation.

476

477 Concluding remarks

478 Molecular screening of natural population has uncovered an unexpectedly high genetic 479 diversity in taxa with high dispersal potential. These findings challenged classical views of the 480 evolutionary processes in small multicellular organisms, and when focused on aquatic 481 invertebrates, brought to postulate a combination of processes as causal factors for that 482 genetic differentiation, the Monopolization Hypothesis (De Meester et al., 2002). Our analysis 483 shows that a quantitative elaboration of this multifactorial hypothesis is able to dissect the 484 relative weights of the different factors, and their interactions. Specifically, we found that founder effects drive the genetic structure of passively dispersed aquatic organisms. We 485 486 conclude that although selective factors and migration have a role in explaining genetic structure of continental aquatic invertebrates, demographic processes are dominant. By 487 488 studying which factors are important in what circumstances, our analysis can help 489 understanding relevant differences among the genetic structure of different species.

490 Acknowledgements

We thank Guillermo García Franco and José Gargallo Tuzón for their invaluable help with some parts of the code and programming support. We also would like to thank Luc De Meester, Raquel Ortells and Mª José Carmona for helpful comments on previous versions of this manuscript. This work was funded by a grant from the Spanish Ministerio de Ciencia e Innovación (CGL2009-07364) to MS. AG was supported by a National Environment Research Council (NERC) Advanced Fellowship (NE/B501298/1) and JM-P by a fellowship by the Spanish Ministerio de Ciencia y Tecnología (BES2004-5248).

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683 Figure legends

684 Figure 1. Demographic submodel.

Figure 2. Population differentiation (F_{ST}) after 1000 sexual generations plotted against migration (*M*) with and without a diapausing egg bank for (A) $K = 2 \cdot 10^2$, and (B) $K = 2 \cdot 10^7$ individuals. The rest of parameters were r = 0.3 d⁻¹, n = 5, s = 0 and F = 1. Box plots are based on 50 replicate simulations. Boxes represent 25th /75th percentile and black dots the 5th/95th percentile. Thin black lines and thick gray lines in each bar represent the median and the mean, respectively. Dashed horizontal lines show the initial value of F_{ST} after foundation.

Figure 3. Population differentiation (F_{ST}) after 1000 sexual generations plotted against carrying capacity (K) with and without a diapausing egg bank. Simulation values for other parameters were $r = 0.3 d^{-1}$, n = 5, s = 0, F = 1 and M = 2. Data is based on 50 replicate simulations. Boxes represent 25th /75th percentile and black dots the 5th/95th percentile. Thin black lines and thick gray lines in each bar represent the median and the mean, respectively. Dashed horizontal line shows the initial value of F_{ST} after foundation.

Figure 4. Effect of different carrying capacities (*K*) on F_{ST} along 4000 sexual generations. (A, B) Box plot of the increment of F_{ST} (ΔF_{ST}) after 4000 sexual generations (A) without and (B) with diapausing egg bank is shown. (C, D) Time course of the average F_{ST} values along 4000 generations (C) without and (D) with diapausing egg bank. Simulation conditions were r = 0.3 d⁻¹ n = 5, s = 0, F = 1 individual and M = 2 individuals. Data is based on 50 replicates. Boxes represent 25th /75th percentile and black dots the 5th/95th percentile. Thin black lines and thick 35

gray lines in each bar represent the median and the mean, respectively. Dashed horizontal lines show the initial value of F_{ST} after foundation.

Figure 5. Contour plot showing *F*_{ST} values after 1000 sexual generations at different

combinations to population growth rates and carrying capacity (A) without and (B) with

diapausing egg bank. Simulation conditions were n = 5, s = 0, F = 1 and M = 2. Data is based on

50 replicates.

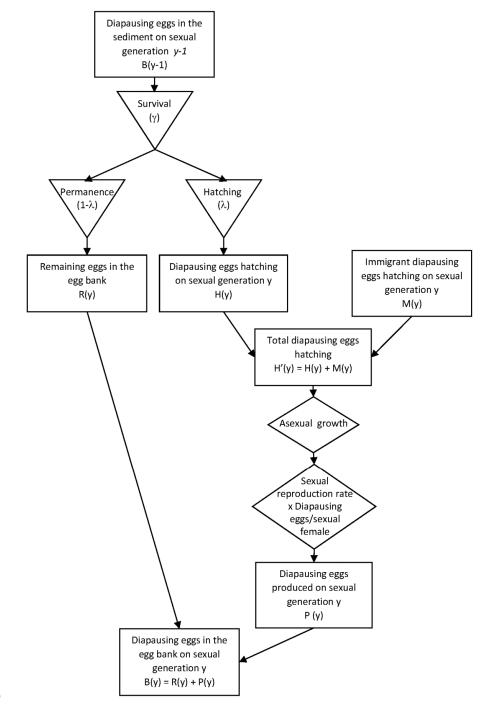
Figure 6. Time course of the average F_{ST} value along 4000 generations for different number of founders (F = 1, 2, 5 and 50), for K = $2 \cdot 10^4$ (A) and K = $2 \cdot 10^7$ (B) and M = 2. Solid lines: without diapausing egg bank, dotted lines: with diapausing egg bank. Average F_{ST} values obtained from 50 replicates.

713 Figure 7. Box plot graph of F_{ST} and F_{STQ} values after 1000 sexual generations with different recombination rates for two different values of fitness components ($\delta = 10^{-4}$ and 10^{-2} d⁻¹) and 714 715 with presence of a diapausing egg bank. For each of the fitness scenario, the left panel refers to 716 $K = 2 \cdot 10^4$ and the right panel to $K = 2 \cdot 10^7$. Other parameters were r = 0.3 d⁻¹, n = 5, s = 5, F = 1717 and M = 2. Data is based on 100 replicates. Boxes represent $25^{\text{th}} / 75^{\text{th}}$ percentile and black dots the 5th/95th percentile. Thin black lines and thick gray lines in each bar represent the median 718 and the mean, respectively. Dashed horizontal lines show the initial value of F_{ST} after 719 foundation. Asterisks indicate F_{ST} statistically different from those without selection ($\delta = 0$) (**, 720 $\alpha = 0.05; *, \alpha = 0.1$). 721

Parameter	Definition	Value
F	Number of founders (individuals)	1 - 50
М	Number of immigrants per sexual generation	0 - 10 ⁵
	(individuals)	
γ	Annual survival proportion of eggs in the egg	0.763 *
	bank	
λ	Annual hatching proportion of diapausing eggs	0.046 *
у	Sexual generations	1000/4000
τ	Duration of the asexual growth period (days)	60
r	Clonal growth rate of each genotype (days ⁻¹)	0.05 - 1.00
K	Carrying capacity (individuals)	2·10 ² - 2·10 ⁷
т	Sexual proportion	0.7 [†]
sr	Sex ratio	0.5 [‡]
е	Diapausing egg production per sexual female	3
n	Number of neutral loci	5
δ	Additive value on r (days ⁻¹)	10 ⁻⁵ - 10 ⁻¹

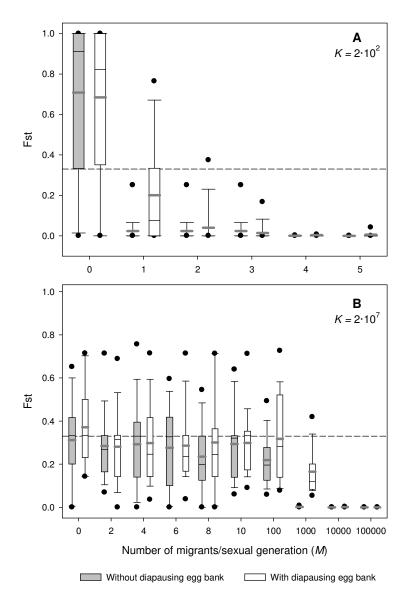
* Calculated from (García-Roger, Carmona & Serra, 2006b) ⁺ (Alver & Hagiwara, 2007) ⁺ (Aparici,

724 Carmona & Serra, 1998).

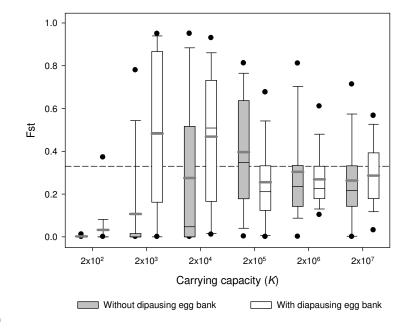


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727 Figure 1.



729 Figure 2.







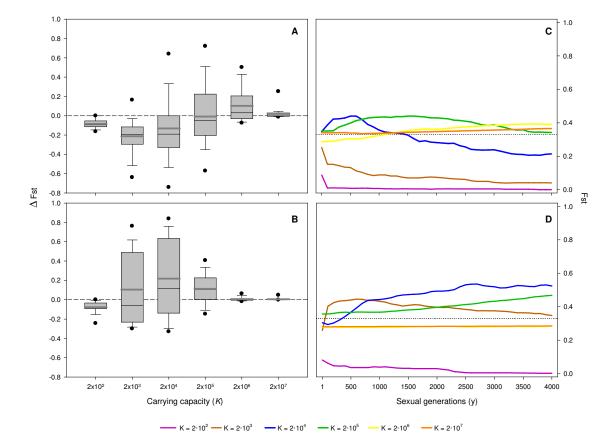
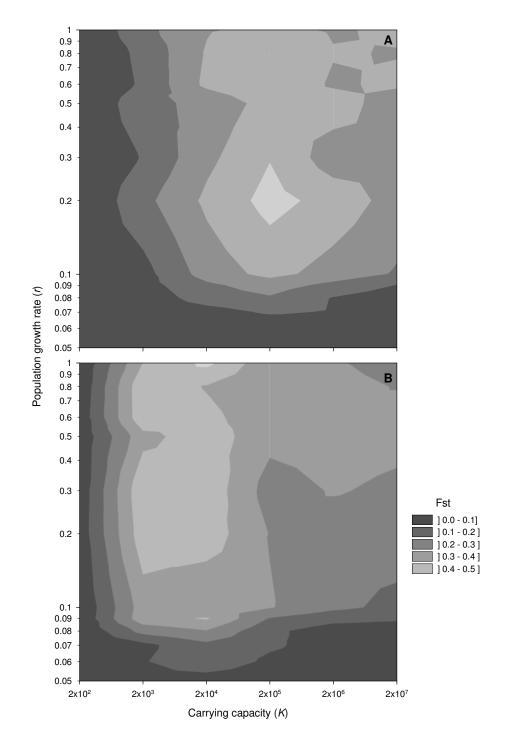
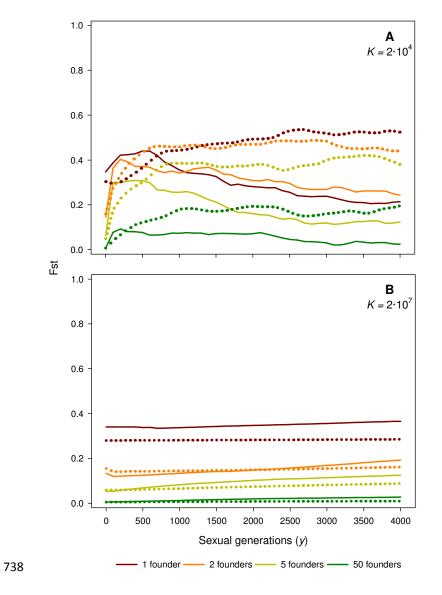


Figure 4.

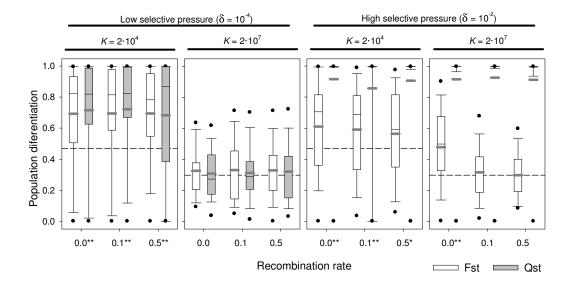


736

737 Figure 5.



739 Figure 6.





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