

Numerical and Experimental Studies of Excitation Force Approximation for Wave Energy Conversion

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Abstract

Past or/and future information of the excitation force is useful for real-time power maximisation control of Wave Energy Converter (WEC) systems. Current WEC modelling approaches assume that the wave excitation force is accessible and known. However, it is not directly measurable for oscillating bodies. This study aims to provide accurate approximations of the excitation force for the purpose of enhancing the effectiveness of WEC control. In this work, three approaches are proposed to approximate the excitation force, by (i) identifying the excitation force from wave elevation, (ii) estimating the excitation force from the measurements of pressure, acceleration and displacement, (iii) observing the excitation force via an unknown input observer. These methods are compared with each other to discuss their advantages, drawbacks and application scenarios. To validate and compare the performance of the proposed methods, a 1/50 scale heaving point absorber WEC was tested in a wave tank under variable wave scenarios. The experimental data were in accordance with the excitation force approximations in both the frequency- and time-domains based upon both regular and irregular wave excitation. Although the experimental data were post-processed for model verification, these approaches can be applied for real-time power maximisation control with excitation force prediction.

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1. Introduction

To harvest green power from the ocean waves, more than 1,000 concepts of wave energy conversion have been proposed [1]. Various technologies and devices for wave energy conversion were detailed in [2, 3, 4]. Recent research focuses on the power maximisation control of various Wave Energy Converters (WECs) [5], including reactive control [6], latching control [7], declutching control [8], Model Predictive Control (MPC) [9, 10] and etc. For some of these power maximisation control strategies, the excitation force information is compulsory and essential. Some of these strategies, e.g. MPC, even depend on excitation force prediction. However, the excitation force is not directly measurable for oscillating WECs. Thus, the estimation of the excitation force with reasonable accuracy is critical for some real-time power maximisation control of WEC systems.

In the literature, considering the regular wave conditions, the excitation force was modelled in a generic way using analytical approaches. As described in [11], the excitation force was represented by the integral of the pressure over the wetted surface of a floating structure. This method can give a good estimation of the excitation force but it is not implementable for moving structures in offshore environment. Also for some specific geometries there are appropriate analytical formulae that provide relatively precise excitation force estimation [12]. These approaches assume the phase shift of the excitation force with respect to the incident wave is zero for harmonic waves, thereby rendering these excitation force modelling approaches applicable for numerical WEC simulation. However, these approaches are inappropriate for generating reference information for real-time control implementations since the excitation force is not directly measurable for oscillating structures.

For irregular wave conditions, the excitation force can be approximated using a superposition assumption in terms of the well-known Frequency Response

28 Function (FRF) [13]. Excitation force estimation is useful for assessing both the
29 wave energy resource as well as the WEC dynamics and control performance.
30 What is the drawback? This approach does not easily relate the excitation force
31 estimation to physical measurements, e.g incident wave elevation or pressure
32 acting on the wetted surface of the oscillating structure. Hence, once again it
33 is difficult to obtain time-varying reference signals for real-time WEC control
34 using this strategy.

35 However, several studies focused specifically on excitation force estimation
36 or approximation for future real-time control implementation. A state-space
37 modelling method of the causalised excitation force was described in [14] with-
38 out discussing its realisation and performance. A potential approach to achieve
39 the causalisation with up-stream wave measurement was mathematically dis-
40 cussed in [15] and experimentally verified in [16]. The up-stream method can
41 provide enough future information of the excitation force for some optimum
42 control strategies if the up-stream distance and direction are properly designed
43 to overcome the irregularity of wave frequency and direction. The study in [17]
44 detailed the discrete-time identification of non-linear excitation force based on
45 numerical wave tank simulation. Studies in [18, 19] applied the Kalman Fil-
46 ter (KF) and Extended Kalman Filter (EKF) to estimate the excitation force.
47 However, as discussed in [18, 19] the KF/EKF approaches require a priori knowl-
48 edge of the process and measurement noises. The measurement noise can be
49 estimated for the characteristics of the sensors and the data acquisition systems
50 whilst the process noise can be obtained from a wide range of specially designed
51 experiments. Also the Unknown Input Observer (UIO) technique was applied
52 to estimate the excitation force in [20, 21]. This approach relies on the accessi-
53 bility of all the system state variables, some of which are difficult to measure.
54 All these approaches relate the excitation force approximations with real-time
55 wave elevation or/and WEC dynamics and hence the approximations can be
56 used for real-time control reference generation. Moreover, to gain future in-
57 formation of the excitation force for latching control or MPC, Auto-Regressive
58 (AR) or Auto-Regressive-Moving-Average (ARMA) models can be applied to

59 provide short-term prediction of the excitation force, as detailed in [22, 23].

60 This study aims to develop an excitation force estimation/approximation
61 strategy with potential for real-time WEC power maximisation control. Three
62 approaches are proposed as:

- 63 • In the Wave-To-Excitation-Force (W2EF) approach, the excitation force
64 is estimated from the wave elevation. This method is inspired by the
65 causalisation concept in [14] but contributes to its implementation, ver-
66 ification and performance evaluation. The causalisation is achieved via
67 wave prediction using the W2EF method. This can be compared with the
68 up-stream measurement approach of and realised using up-stream wave
69 measurement according to [16]. If the up-stream distance is large enough,
70 the up-stream method can provide enough future information of the exci-
71 tation force for some power maximisation control strategies, such as MPC
72 and latching control. The W2EF method proposed in this study only gives
73 the current information of the excitation force. However, future informa-
74 tion of the excitation force can also be provided by the W2EF method if
75 the wave prediction horizon is large enough. This idea is quite similar to
76 increasing the up-stream distance.
- 77 • In the Pressure-Acceleration-Displacement-To-Excitation-Force (PAD2EF)
78 method, the excitation force is derived from the WEC hull pressure mea-
79 surements and WEC acceleration/displacement in heave. Different from
80 the excitation force identification method using pressure sensors in [16],
81 the PAD2EF approach uses more kinds of sensors and hence has the ad-
82 vantage of sensing redundancy and the disadvantage of system complexity.
- 83 • In the Unknown-Input-Observation-of-Excitation-Force (UIOEF) technique,
84 the excitation force is observed from an appropriately designed UIO. Com-
85 pared to the UIO method in [20, 21], this UIOEF approach only requires
86 the displacement measurement and hence it is more flexible in practice.
87 The UIO design is based on a Linear Matrix Inequality (LMI) formula-
88 tion of an H_∞ optimisation to minimise the effect of the excitation force

derivative on the estimation error.

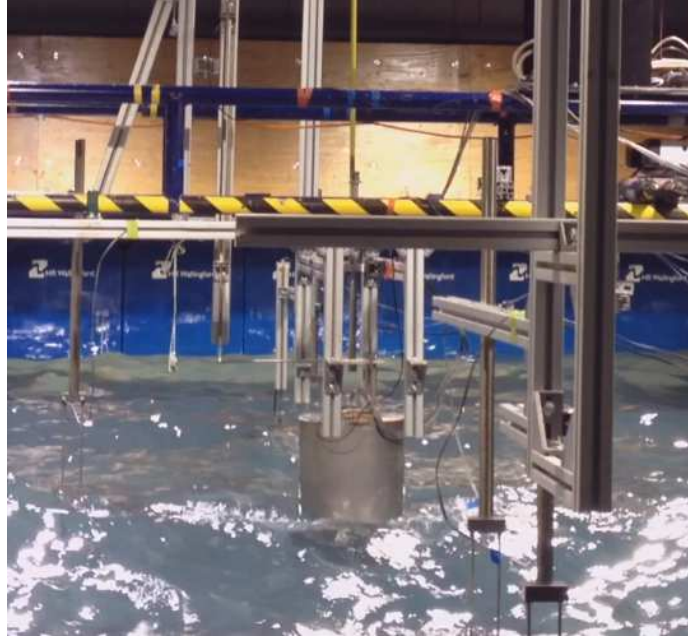


Figure 1: 1/50 scale PAWEC under wave tank test.

Table 1: Dimension of the cylindrical buoy.

Symbol	Parameter	Units	Value
r	buoy radius	m	0.15
h	buoy height	m	0.56
d	buoy draught	m	0.28
M	buoy mass	kg	19.79
k_{hs}	hydrostatic stiffness	N/m	693.43
A_∞	added mass at infinite frequency	kg	6.57

To verify the proposed excitation force modelling approaches, a 1/50 scale

91 cylindrical heaving Point Absorber Wave Energy Converter (PAWEC) was de-
 92 signed, constructed and tested in a wave tank at the University of Hull, as illus-
 93 trated in Figure 1. The buoy dimensions are given in Table 1. A wide variety
 94 of wave tank tests were conducted under regular and irregular wave conditions
 95 for verification of the three proposed W2EF, PAD2EF and UIOEF modelling
 96 strategies. The experimental data showed a high correspondence with the nu-
 97 merical results of these approaches both in the time- and frequency-domains.
 98 Based on the numerical/experimental comparison, the advantages, drawbacks
 99 and application scenarios of these approaches are also discussed in this study.

100 This paper is structured as follows. In Section 2, the modelling of the
 101 PAWEC motion is described. Section 3 details the W2EF, PAD2EF and UIOEF
 102 approaches to estimate the excitation force in real-time. Section 4 illustrates the
 103 wave tank tests configuration and wave conditions of the excitation tests and
 104 wave-excited-motion tests. Numerical and experimental results are compared
 105 and discussed in Section 5 and conclusions are drawn in Section 6.

106 2. Modelling of PAWEC Motion

107 Under the assumptions of ideal fluid (inviscid, incompressible and irrota-
 108 tional), linear wave theory and small motion amplitude, the motion of a PAWEC
 109 obeys Newton's second law, given in an analytical representation in [24] as:

$$M\ddot{z}(t) = F_e(t) + F_r(t) + F_{hs}(t) + F_{pto}(t). \quad (1)$$

110 $F_e(t)$, $F_r(t)$, $F_{hs}(t)$ and $F_{pto}(t)$ are the excitation, radiation, hydrostatic and
 111 Power Take-Off (PTO) forces. M is the mass of the PAWEC. $z(t)$ is the heaving
 112 displacement and \ddot{z} represents the buoy acceleration in heave. It is assumed
 113 that friction, viscous and mooring forces are neglected here. For the sake of
 114 simplicity, only the heave motion is investigated in this study.

115 For a vertical cylinder shown in Figure 1, the hydrostatic force is proportional
 116 to the displacement $z(t)$, represented as [25]:

$$F_{hs}(t) = -\rho g \pi r^2 z(t) = -k_{hs} z(t), \quad (2)$$

117 where ρ , g are the water density and gravity constant, respectively. r and
 118 $k_{hs} = \rho g \pi r^2$ represent the buoy radius and hydrostatic stiffness, respectively.

119 The radiation force $F_r(t)$ is characterised by the added mass and radiation
 120 damping coefficient. According to the Cummins equation [26], the radiation
 121 force can be written in the time-domain as:

$$F_r(t) = -A_\infty \ddot{z}(t) - k_r(t) * \dot{z}(t), \quad (3)$$

122 where A_∞ and $k_r(t)$ are the added mass at infinite frequency and the kernel
 123 function, or so-called Impulse Response Function (IRF), of the radiation force.

124 $X * Y$ represents the convolution operation of X and Y .

125 For modelling of the excitation force $F_e(t)$, analytical approaches have been
 126 developed in [11, 13]. For regular waves, an analytical representation of the
 127 excitation force is given as [11]:

$$F_e(t) = \frac{H}{2} \left(\frac{2\rho g^3 R(\omega)}{\omega^3} \right)^{1/2} \cos(\omega t), \quad (4)$$

128 where H , ω and $R(\omega)$ represent the wave height, angular frequency and radiation
 129 damping coefficient, respectively. For irregular waves, the excitation force can
 130 be approximated based on the superposition principle and its FRF, given in a
 131 spectrum form in [13], as:

$$F_e(t) = \Re \left[\sum_i \sqrt{2S(\omega_i) \Delta\omega} H_e(j\omega_i) e^{j(\omega_i t + \phi_i)} \right], \quad (5)$$

132 where $\Delta\omega$ is the angular frequency step, ω_i and ϕ_i are the wave frequency and
 133 random phase with subscript i . $S(\omega_i)$ and $H_e(j\omega_i)$ represent the wave spectrum
 134 and the excitation force FRF, respectively.

135 The analytical representations in Eqs. (4) and (5) are widely used to assess
 136 the power capture performance of various WEC devices. These may not be
 137 suitable for real-time WEC control application since the excitation force is an
 138 unknown, uncontrollable and unmeasurable external stochastic input. Hence,
 139 the motivation for this study comes from a need to approximate/estimate the
 140 excitation force from the given WEC measurements for the purpose of generating
 141 suitable reference information for real-time WEC control.

142 For good WEC control performance, the challenge is that a real-time rep-
 143 resentation of the excitation force is essential. Therefore, in many studies the
 144 Computational Fluid Dynamics (CFD) techniques are adopted to compute the
 145 fluid-structure interaction for WEC dynamic modelling. One should recall that
 146 the WEC hydrodynamics are non-linear and hence the CFD analysis is computa-
 147 tionally expensive. It is actually not straightforward to apply control strategies
 148 based on CFD results without very significant effort of CFD data characterisa-
 149 tion and post-processing. An effective study that combines control and CFD
 150 together based on OpenFOAM simulation was described in [27]. Meanwhile the
 151 Boundary Element Method (BEM) packages, such as WAMIT[®], AQWA[™] and
 152 NEMOH, are applied to compute the WEC-wave interaction using efficient com-
 153 putation. Amongst these BEM packages, NEMOH is an open source code, ded-
 154 icated to compute first order wave loads on offshore structures [28]. It is a
 155 suitable alternative to commercial BEM codes, like WAMIT[®] and AQWA[™],
 156 since it provides computation results as accurate as WAMIT[®] [29]. Therefore,
 157 NEMOH is adopted in this study.

158 The radiation coefficients in Eq. (3) and the excitation force FRF in Eq.
 159 (5) were obtained by solving the boundary value problem in NEMOH [28]. The
 160 NEMOH simulation was based on the buoy as shown in Figure 1. The radiation
 161 force kernel function $k_r(t)$ is shown in Figure 2 and the excitation force FRF
 162 (including the amplitude and phase responses) is shown in Figure 3. In Figure
 163 3 the amplitude response of the excitation force was normalised with respect
 164 to the hydrostatic stiffness k_{hs} and the phase response was normalised with
 165 respect to π . Since the time-domain representation is preferred for real-time
 166 power optimisation control, Section 3 discusses the modelling or approximation
 167 approaches of the excitation force.

168 3. Excitation Force Approximation Approaches

169 As described in Section 2, the excitation force FRF was obtained from
 170 NEMOH. Therefore, a time-domain representation of the excitation force can

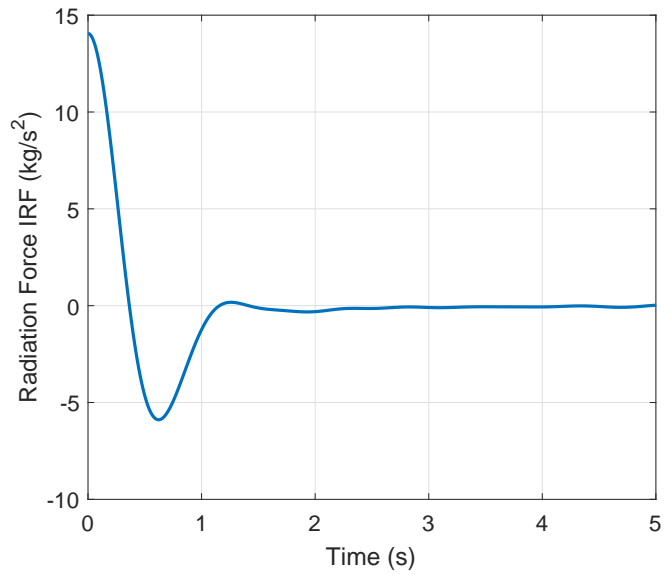


Figure 2: Kernel function of the radiation force from NEMOH.

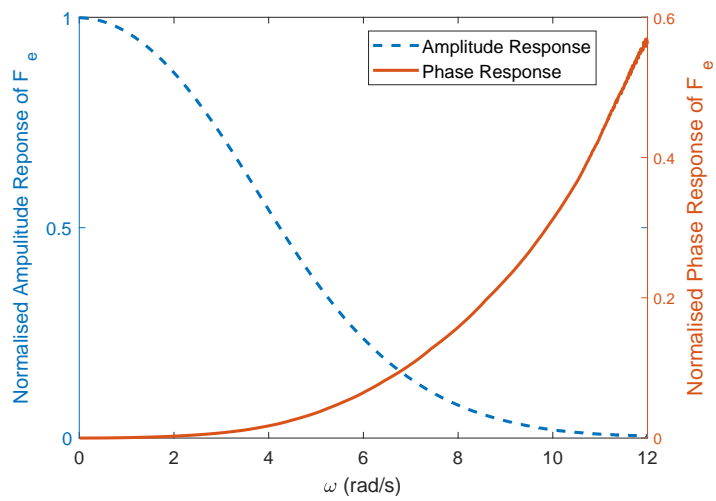


Figure 3: Amplitude and phase responses of the excitation force from NEMOH.

171 be identified from its FRF if the incident wave is assumed as the input, referred
 172 to as the W2EF method. For an oscillating device, if the pressure distribution
 173 on the wetted surface and the WEC motion are measurable, the excitation force
 174 can be estimated from these measurements as well, referred to as the PAD2EF
 175 approach. For some WEC systems, only the oscillating displacement is accessi-
 176 ble. In this situation, the excitation force can be estimated via UIO techniques,
 177 referred to as the UIOEF method. These approximation approaches of the
 178 excitation force are detailed in Sections 3.1, 3.2 and 3.3, respectively.

179 3.1. W2EF Modelling

180 3.1.1. Outline of W2EF Method

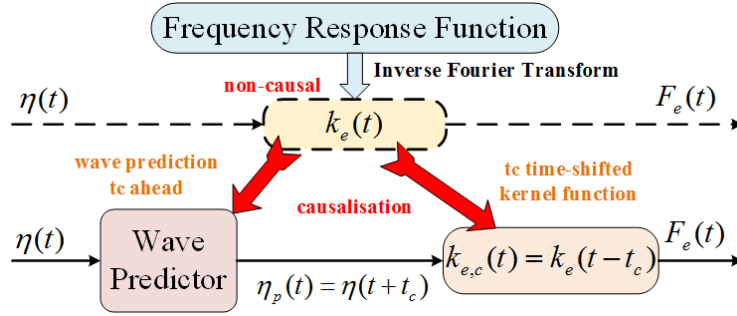


Figure 4: Schematic diagram of the W2EF modelling approach.

181 Since the frequency-domain response of the excitation force is given in Figure
 182 3, its time-domain kernel function $k_e(t)$ can be gained by the inverse Fourier
 183 transform. However, the kernel function $k_e(t)$ characterises that the W2EF
 184 process is non-causal. Therefore, a time-shift technique is applied to causalise
 185 the non-causal kernel function $k_e(t)$ to its causalised form $k_{e,c}(t)$ (see Figure 4)
 186 with causalisation time t_c ($t_c \geq 0$). Thus, the wave elevation prediction with t_c
 187 in advance is required. The implementation of the W2EF modelling is detailed
 188 in this Section.

189 According to the frequency-domain response in Figure 3, the excitation force
 190 can be represented as:

$$F_e(j\omega) = H_e(j\omega)A(j\omega), \quad (6)$$

191 where $H_e(j\omega)$ is the FRF of the W2EF process. $A(j\omega)$ is the frequency-domain
 192 representation of the incoming wave elevation $\eta(t)$.

193 Alternatively, the excitation force can be expressed in the time-domain as:

$$F_e(t) = k_e(t) * \eta(t) = \int_{-\infty}^{\infty} k_e(t - \tau)\eta(\tau)d\tau, \quad (7)$$

194 where $k_e(t)$ is the excitation force IRF related to its FRF $H_e(j\omega)$, given as:

$$k_e(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} H_e(j\omega)e^{j\omega t} d\omega. \quad (8)$$

195 Based on the frequency-domain response in Figure 3, the kernel function
 196 $k_e(t)$ is computed according to Eq. (8) and shown in Figure 5, in which the
 197 red solid curve (marked NEMOH IRF ($t < 0$)) illustrates the non-causality of
 198 the W2EF process. The physical meaning of the non-causality was explained in
 199 [15]. The $k_e(t)$ values for the $t < 0$ part are almost the same as the $t \geq 0$ part.
 200 Therefore, ignoring of the non-causality will in general lead to significant errors
 in the excitation force estimation.

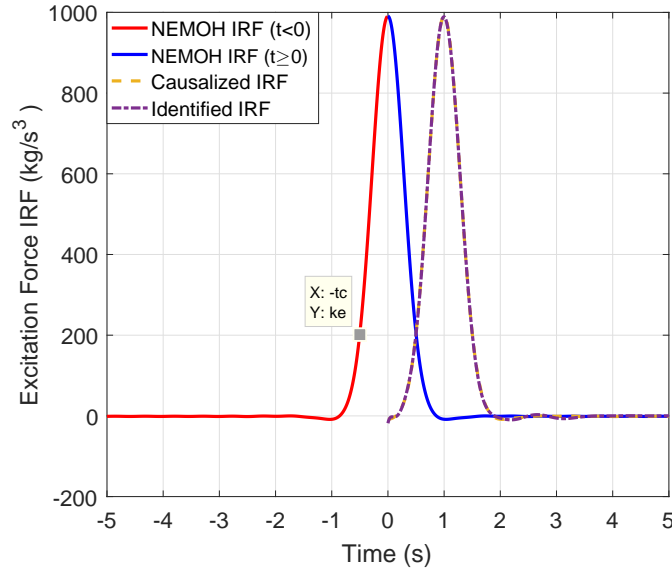


Figure 5: Comparison of the excitation force IRFs.

201

202 **To note:** In [14, 15], the kernel function $k_e(t)$ was time-shifted first and then
 203 treated as a curve fitting problem. However, the implementation procedure and
 204 the results of the excitation force were not given in [14, 15]. In this study, both
 205 the causalisation and its implementation with wave prediction are outlined in
 206 this Section. The numerical and experimental results of the excitation force are
 207 compared in both the time- and frequency-domains in Section 5.1.

208 As shown in Figure 4, the incident wave propagates through a non-causal sys-
 209 tem characterised by $k_e(t)$ and gives the excitation force approximation. How-
 210 ever, this non-causal system is not implementable. Therefore, causalisation is
 211 required and can be achieved with a time-shifted kernel function $k_{e,c}(t)$ and wave
 212 prediction $\eta_p(t)$. The wave prediction horizon is the same as the causalisation
 213 time t_c .

214 According to the property of the convolution operation, this causalised sys-
 215 tem with wave prediction gives the same excitation force of the non-causal sys-
 216 tem [14], since:

$$F_e(t) = k_e(t) * \eta(t) \quad (9)$$

$$= k_e(t - t_c) * \eta(t + t_c) \quad (10)$$

$$= k_{e,c}(t) * \eta_p(t), \quad (11)$$

217 where

$$k_{e,c}(t) = k_e(t - t_c), \quad (12)$$

218

$$\eta_p(t) = \eta(t + t_c). \quad (13)$$

219 $k_{e,c}(t)$ and $\eta_p(t)$ are the causalised IRF of the excitation force and the predicted
 220 wave elevation with t_c in advance, respectively. The procedures to identify the
 221 $k_{e,c}(t)$ and to predict the $\eta_p(t)$ are detailed as follows.

222 3.1.2. System Identification of Causalised Kernel Function

223 The excitation force expressed in Eq. (11) is causal if the predicted wave
 224 is viewed as the system input. Hence, the convolution operation can be ap-
 225 proximated by a finite order system [14, 29, 30]. In this study, realisation

226 theory is applied to the causalised kernel function $k_{e,c}(t)$ to approximate the
 227 system matrices in Eqs. (14) and (15) directly with the MATLAB[®] function
 228 *imp2ss* [31] from the robust control toolbox. The order number of the identi-
 229 fied system is quite high, as determined by $k_{e,c}(t)$. Hence, model reduction is
 230 required and achieved using the square-root balanced model reduction method
 231 with MATLAB[®] function *balmar* [32].

232 In this study Eq. (11) is approximated by the following state-space model:

$$\dot{x}_e(t) = A_e x_e(t) + B_e \eta_p(t), \quad (14)$$

$$F_e(t) \approx C_e x_e(t), \quad (15)$$

233 where $x_e(t) \in \mathbb{R}^{n \times 1}$ is the state vector for the excitation system. $A_e \in \mathbb{R}^{n \times n}$,
 234 $B_e \in \mathbb{R}^{n \times 1}$ and $C_e \in \mathbb{R}^{1 \times n}$ are the system matrices. n represents the system
 235 order number.

236 To identify the causalised system, the causalisation time t_c and the system
 237 order number n should be selected carefully. Here a truncation error function
 238 E_t is defined to evaluate the causalisation time, given as:

$$E_t = \frac{\int_{-\infty}^{-t_c} |k_e(t)| dt}{\int_{-\infty}^{\infty} |k_e(t)| dt}. \quad (16)$$

239 For $t_c \in [0, 5]$, the truncation error is given in Figure 6. For $t_c = 0.8$ s, the
 240 truncation error was about $E_t = 0.0104$ and for $t_c = 2$ s, the truncation error
 241 was about $E_t = 0.0044$. Increasing the causalisation time can decrease the
 242 truncation error. However, the truncation error was small enough for $t_c \in$
 243 $[0.8, 2]$. Thus $t_c = 0.8 : 0.05 : 2$ s was selected to determine the system order
 244 number n .

245 To further determine the causalisation time t_c and the system order n , a
 246 fitting-goodness function (defined as FG) of the causalised IRF $k_{e,c}(t)$ is defined
 247 with a cost-function of Normalized Mean Square Error (NMSE), as:

$$FG = 1 - \left\| \frac{x_{ref} - x}{x_{ref} - \bar{x}_{ref}} \right\|_2^2, \quad (17)$$

248 where $\|X\|_2^2$ and \bar{X} are the 2-norm and mean value of vector X , respectively.
 249 The fitting-goodness tends to 1 for the best fitting and $-\infty$ for the worst fitting.

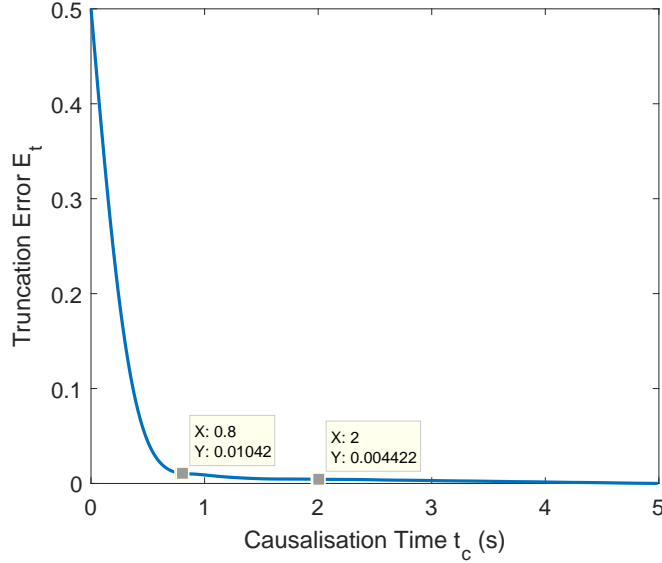


Figure 6: Truncation error of the excitation force IRF varies against the causalisation time.

250 The fitting-goodness of the causalised excitation IRF relies on the causali-
 251 sation time t_c and system order number n . Figure 7 shows the fitting-goodness
 252 function varying with the causalisation time $t_c = 0.8 : 0.05 : 2$ s and the system
 253 order number $n = 3 : 1 : 8$. For a constant t_c , the fitting-goodness increased as
 254 the system order number n increased. To achieve a perfect fitting or identifica-
 255 tion (such as a given fitting-goodness $FG \geq 0.98$), a larger causalisation time
 256 requires a higher system order number n . For instance, $n = 4$ gave $FG \geq 0.98$
 257 for $t_c = 1$ s and $n = 5$ was required to achieve $FG \geq 0.98$ for $t_c = 1.2$ s.

258 According to Figures 6 and 7, a system with $t_c = 1$ s and $n = 6$ can give
 259 a low truncation error ($E_t < 0.01$) and a good fitting of the causalised kernel
 260 function $k_{e,c}(t)$ ($FG > 0.99$). Hence $t_c = 1$ s and $n = 6$ were selected for this
 261 study. The identified IRF is compared with the causalised and original IRFs of
 262 the excitation force in Figure 5. Note that $t_c = 1$ s was selected here to overcome
 263 the non-causality of the W2EF process and to provide current information of
 264 the excitation force. Future information of the excitation force can be obtained

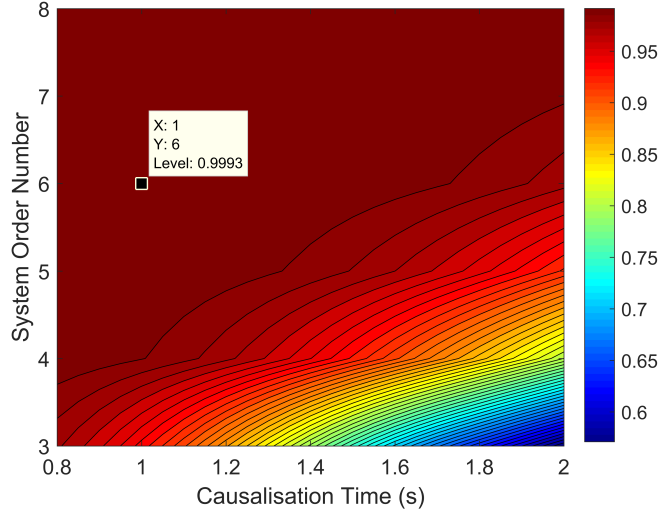


Figure 7: Fitting-goodness with varying causalisation time t_c and system order number n .

265 via excitation force prediction or increasing the wave prediction horizon.

266 3.1.3. Wave Prediction

267 According to Eq. (10), a short-term wave prediction is required to achieve
 268 the causalisation problem in Figure 4. There are several approaches to provide
 269 reasonably accurate wave prediction for a short-term horizon, the most note
 270 worthy of which are: (i) the AR model approach [22], (ii) the ARMA model
 271 approach [23] and (iii) the fast Fourier transform approach [33]. The real-time
 272 implementation of wave prediction was discussed in [34]. In [22], wave prediction
 273 via AR model showed a high accordance to the ocean waves in Irish sea. Since
 274 these techniques are mature, the AR model approach developed in [22] was
 275 adopted in this study to provide a short-term wave prediction.

276 For harmonic waves, wave prediction is easy to achieve. For irregular waves,
 277 three campaigns of wave prediction practice using AR model are shown in Fig-
 278 ure 8. The wave elevation $\eta(t)$ was acquired from wave tank tests and satisfied
 279 the Pierson-Moskowitz (PM) spectrum [35] with peak frequency $f_p = 0.4, 0.6,$
 280 0.8 Hz. As suggested in [22], a low-pass filter was applied to the wave elevation

281 measurements for improving the prediction performance. The wave prediction
 282 horizon was the same as the causalisation time t_c (expressed in Eq. (10)). Ac-
 283 cording to Figure 7, $t_c = 1$ s was selected for the excitation force approximation.

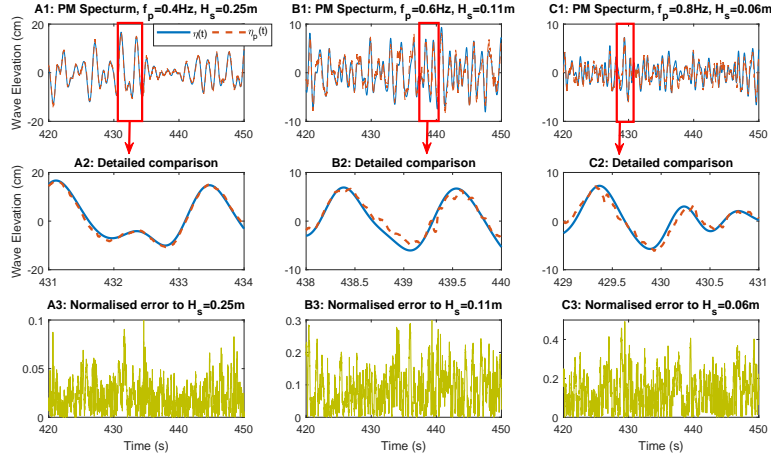


Figure 8: Comparison of wave elevations between the experimental measurements and the numerical predictions under irregular wave conditions. The prediction errors are normalised with respect to their significant wave heights respectively.

284

285 For wave tank tests, the sampling frequency was 100 Hz and hence the prediction
 286 horizon was 100 for $t_c = 1$ s. The AR model order number is determined
 287 by the goodness-of-fit index defined in [22] and hence the order number was selected
 288 as 120 to keep the goodness-of-fit index larger than 70%. The order number is large
 289 due to the high sampling frequency and hence it can be reduced by decreasing the
 290 sampling frequency. For each campaign of wave tank tests, the experimental data of
 291 600 s were collected and divided into two parts equally. The first part of data
 292 ($t = 0 : 0.01 : 300$ s) were used to estimate the AR model parameters and the second
 293 part of data ($t = 300 : 0.01 : 600$ s) were used for model verification. This study
 294 focuses on the verification of the W2EF method and the AR model parameters were
 295 computed off-line. However, the real-time on-line wave prediction can be achieved
 296 with embedded systems [34]. Figure 8

297 indicates that the predicted wave elevation fits the experimental data well and
 298 that the prediction performance decreases as the peak frequency increases. For
 299 the PM spectrum, a higher peak frequency results in a wider bandwidth and
 300 hence one potential way to improve the prediction performance is to increase
 301 the order of the AR model when the peak frequency is high. In this study the
 302 AR model was adopted as a wave predictor (see Figure 4) to provide future
 303 information for the identified system.

304 3.2. PAD2EF Modelling

305 3.2.1. Outline of PAD2EF Method

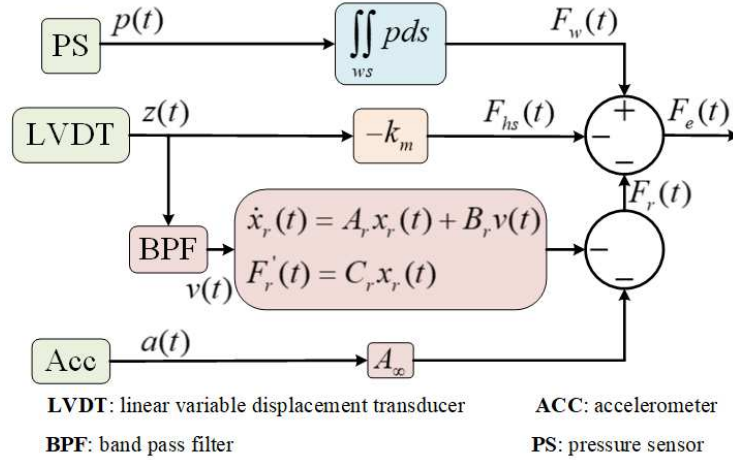


Figure 9: Schematic diagram of the PAD2EF modelling approach.

306 For an oscillating PAWEC, the excitation force can be reconstructed from its
 307 sensing system. As shown in Figure 9, the total wave force $F_w(t)$ acting on the
 308 structure can be estimated from the pressure measurement $p(t)$ on the wetted
 309 surface. The hydrostatic force defined in Eq. (2) can be represented by the dis-
 310 placement measurement $z(t)$. The radiation force can be approximated from the
 311 measurements of the velocity $\dot{z}(t)$ and acceleration $\ddot{z}(t)$. The acceleration mea-
 312 surement is post-processed with a low-pass filter since this study focuses on the
 313 PAD2EF method verification rather than its real-time realisation. Therefore,

314 the excitation force can be approximated as:

$$F_e(t) = F_w(t) - F_{hs}(t) - F_r(t). \quad (18)$$

315 The convolution term of the radiation force $F_r(t)$ in Eq. (3) is approximated
316 by a finite order system [30] as follows.

317 3.2.2. Radiation Force Approximation

318 The convolution operation of the radiation force in Eq. (3) is defined as a
319 radiation subsystem, given as:

$$F'_r(t) = k_r(t) * \dot{z}(t). \quad (19)$$

320 The kernel function $k_r(t)$ was gained from NEMOH and shown in Figure 2. The
321 convolution approximation approach is the same as described in Section 3.1.2.

322 To determine an appropriate system order number, the fitting-goodness func-
323 tion in Eq. (17) is applied. A third order system was adopted to approximate
324 the radiation subsystem in Eq. (19) with a fitting-goodness of $FG = 0.9989$, as:

$$\dot{x}_r(t) = A_r x_r(t) + B_r \dot{z}(t), \quad (20)$$

$$F'_r(t) \approx C_r(t) x_r(t), \quad (21)$$

325 where $x_r(t) \in \mathbb{R}^{3 \times 1}$ is the state vector for the radiation system. $A_r \in \mathbb{R}^{3 \times 3}$,
326 $B_r \in \mathbb{R}^{3 \times 1}$ and $C_r \in \mathbb{R}^{1 \times 3}$ are the system matrices. Therefore, the excitation
327 force can be estimated from the measurements of the pressure, acceleration and
328 displacement, given as:

$$F_e(t) = \iint p(t) ds + k_{hs} z(t) + A_\infty \ddot{z}(t) + F'_r(t). \quad (22)$$

329 3.2.3. Pseudo-Velocity Measurement

330 As shown in Figure 9, the measurements of the pressure, displacement and
331 acceleration are accessible and implementable. However, the velocity measure-
332 ment is difficult and expensive to obtain. A “pseudo-velocity” can be esti-
333 mated/observed from the displacement/acceleration measurements. In [19], the
334 velocity was obtained from the first order derivative of an accurate displacement

335 measurement with a high sampling frequency. The drawbacks of this approach
 336 are: (i) the velocity estimation is corrupted by the measurement noise and (ii)
 337 the velocity estimation is always one sample period behind the real velocity
 338 (high sampling frequency is required).

339 In this work, a carefully designed Band-Pass Filter (BPF) was applied to
 340 obtain the velocity estimate from the displacement measurement. Compared
 341 with the differentiation approach, a velocity estimate with less phase lag can be
 342 gained via the BPF. The second order BPF is given as:

$$BPF(s) = \frac{A_{bpf} \frac{\omega_c}{Q_{bpf}} s}{s^2 + \frac{\omega_c}{Q_{bpf}} s + \omega_c^2}, \quad (23)$$

343 where A_{bpf} is the amplitude gain at the central frequency ω_c and Q_{bpf} is the
 344 quality factor. The drawbacks of this BPF method are: (i) the velocity es-
 345 timation is influenced by measurement noise and (ii) the BPF is difficult to
 346 implement with analogue filter. However, the BPF is applicable in a software
 347 digital filtering way. Additionally, the velocity can be observed via an appro-
 348 priately designed observer and this part of work is detailed in Section 3.3.3.

349 A variety of wave tank tests were conducted under irregular wave conditions
 350 and the comparison of the pseudo-velocity measurements between the differen-
 351 tial, BPF and observation methods is given in Figure 10. The pseudo-velocity
 352 measurements via these three methods showed a high accordance to each other
 353 due to: (i) the sampling frequency (100 Hz) is very large compared with the
 354 wave frequency (1.2 Hz) and (ii) the displacement measurement is accurate
 355 enough. The differential method requires a high sampling frequency and accu-
 356 rate displacement measurement. The BPF approach calls for large A_{bpf} and
 357 Q_{bpf} and this may result in instability of the closed-loop control system. The
 358 third method of observing the velocity is preferred since the observer design is
 359 easy, robust and flexible to implement.

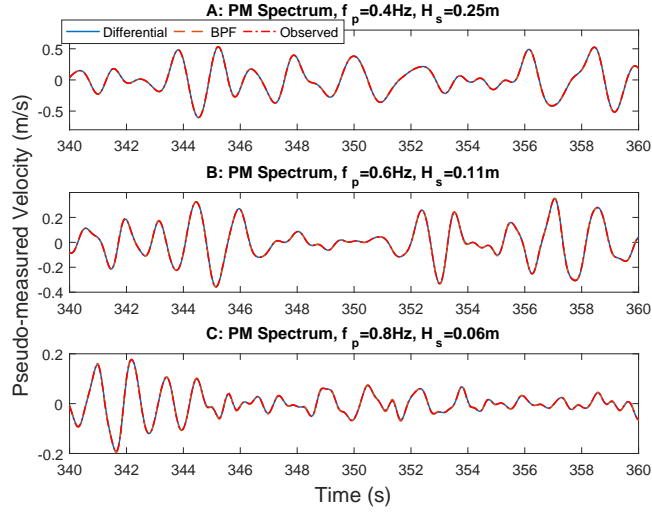


Figure 10: Comparison of pseudo-measured velocity under irregular wave conditions.

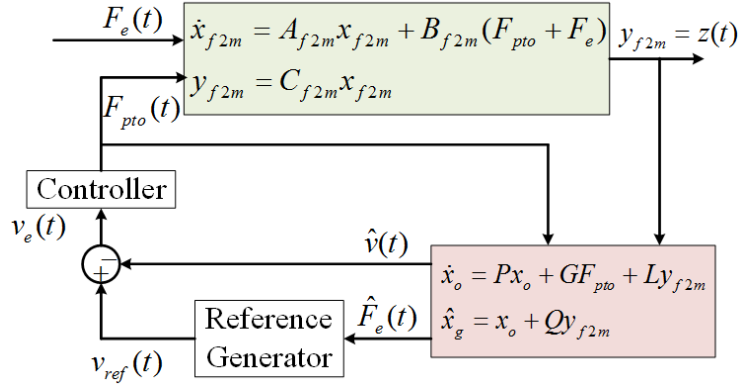


Figure 11: Schematic diagram of the UIOEF modelling approach.

360 *3.3. UIOEF Modelling*

361 *3.3.1. Outline of UIOEF Method*

362 As the convolution term of the radiation force in Eq. (19) is approximated
 363 by a state-space model in Eqs. (20) and (21), the PAWEC motion under the
 364 wave excitation can be represented in a state-space form. Therefore, an appro-
 365 priately designed UIO can be applied to estimate the unknown excitation force.
 366 As shown in Figure 11, a generic UIO is applied to estimate the excitation
 367 force and buoy velocity from the displacement measurement. The estimated
 368 excitation force is used to generate the velocity reference, whilst the estimated
 369 velocity is viewed as the velocity measurement to provide feedback for the con-
 370 troller. However, this study focuses on the UIO estimator design rather than on
 371 the controller structure and design. This method is referred to as the UIOEF
 372 modelling method.

373 *3.3.2. Force-To-Motion Modelling*

374 According to Eq. (1), the PAWEC starts to oscillate under the stimulation
 375 of the excitation and PTO forces. The PAWEC motion with excitation force
 376 input is defined as the Force-To-Motion (F2M) model. Considering the radiation
 377 approximation in Eqs. (20) and (21), the F2M model is re-written as:

$$x_{f2m} = [z \quad \dot{z} \quad x_r]^T, \quad (24)$$

$$\dot{x}_{f2m}(t) = A_{f2m}x_{f2m}(t) + B_{f2m}F_e(t) + B_{f2m}F_{pto}(t), \quad (25)$$

$$y_{f2m}(t) = C_{f2m}x_{f2m}(t), \quad (26)$$

378 with

$$A_{f2m} = \begin{bmatrix} 0 & 1 & 0 \\ -\frac{k_{hs}}{M_t} & 0 & -\frac{C_r}{M_t} \\ 0 & B_r & A_r \end{bmatrix}, \quad (27)$$

$$B_{f2m} = \begin{bmatrix} 0 & -\frac{1}{M_t} & 0 \end{bmatrix}^T, \quad (28)$$

$$C_{f2m} = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix}, \quad (29)$$

379 where $M_t = M + A_\infty$ represents the total mass. $x_{f2m}(t) \in \mathbb{R}^{5 \times 1}$ is the F2M
 380 state vector. $A_{f2m} \in \mathbb{R}^{5 \times 5}$, $B_{f2m} \in \mathbb{R}^{5 \times 1}$ and $C_{f2m} \in \mathbb{R}^{1 \times 5}$ are the system
 381 matrices.

382 3.3.3. Unknown Input Observer Design

383 The estimator of the unknown excitation force $F_e(t)$ is constructed as an
 384 augmented state system. The system given by Eqs. (25) and (26) is augmented
 385 to include the wave estimation force $F_e(t)$ as follows:

$$x_g = [x_{f2m} \quad F_e]^T, \quad (30)$$

$$\dot{x}_g(t) = A_g x_g(t) + B_g F_{pto}(t) + D_g \dot{F}_e, \quad (31)$$

$$y_g(t) = C_g x_g(t), \quad (32)$$

386 with

$$A_g = \begin{bmatrix} A_{f2m} & B_{f2m} \\ 0 & 0 \end{bmatrix}, \quad (33)$$

$$B_g = \begin{bmatrix} B_{f2m} & 0 \end{bmatrix}^T, \quad (34)$$

$$D_g = \begin{bmatrix} 0 & 1 \end{bmatrix}^T, \quad (35)$$

$$C_g = \begin{bmatrix} C_{f2m} & 0 \end{bmatrix}, \quad (36)$$

387 where $x_g(t) \in \mathbb{R}^{6 \times 1}$ is the state vector of the augmented system. $A_g \in \mathbb{R}^{6 \times 6}$,
 388 $B_g \in \mathbb{R}^{6 \times 1}$, $D_g \in \mathbb{R}^{6 \times 1}$ and $C_g \in \mathbb{R}^{1 \times 6}$ are the system matrices.

389 The following UIO is adapted from [36, 37] to estimate the augmented system
 390 state, given as:

$$\dot{x}_o(t) = P x_o(t) + G F_{pto}(t) + L y_{f2m}(t), \quad (37)$$

$$\hat{x}_g(t) = x_o(t) + Q y_{f2m}(t), \quad (38)$$

391 where $x_o(t) \in \mathbb{R}^{6 \times 1}$ is the UIO state vector. $P \in \mathbb{R}^{6 \times 6}$, $G \in \mathbb{R}^{6 \times 1}$, $L \in \mathbb{R}^{6 \times 1}$
 392 and $Q \in \mathbb{R}^{6 \times 1}$ are the UIO system matrices. $\hat{x}_g(t)$ represents the estimate of
 393 $x_g(t)$.

394 Since the excitation force is unknown, its derivative $\dot{F}_e(t)$ in Eq. (31) is inac-
 395 cessible and hence viewed as a disturbance. To achieve an accurate estimation

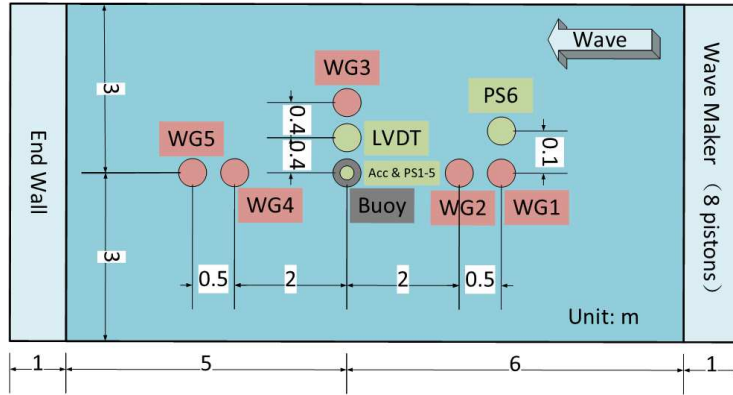


Figure 12: Sketch of the wave tank and the device installation.

396 of the excitation force, the procedure of H_∞ robust optimisation is used to com-
 397 pute the observer matrices P , G , L and Q to reject the influence of $\dot{F}_e(t)$, using
 398 the MATLAB[®] LMI toolbox. The computation of the observer gain matrix L
 399 follows the method described in [37] and is thus omitted here.

400 4. Wave Tank Tests

401 4.1. Experiment Settings

402 To verify the excitation force estimation via the W2EF, PAD2EF and UIOEF
 403 approaches, a series of wave tank tests were conducted. As shown in Figure 12,
 404 the wave tank was 13 m in length, 6 m in width and 2 m in height (with water
 405 depth 0.9 m). Up to 8 pistons can be selected to generate regular/irregular
 406 waves.

407 The PAWEC was scaled down according to the Froude Number defined in
 408 [25]. For this application the geometric ratio was selected as 1/50. Therefore,
 409 the time ratio was 1/7.0711. For ocean waves of sea state 7 defined by the
 410 Beaufort scale [38], its characteristics can be represented by a PM spectrum
 411 with peak frequency $f_p = 0.095$ Hz and significant wave height $H_s = 4.3$ m.
 412 The scaled down PM spectrum (according to the Froude Number) was featured
 413 by the peak frequency $f_p = 0.0952 \times 7.0711 = 0.67$ Hz and significant wave

414 height $H_s = 4.3/50 = 0.086$ m. Therefore, the wave conditions in the wave tank
415 tests were configured with wave frequencies of $f = 0.4 : 0.1 : 1.2$ Hz and a wave
416 height considered as $H = 0.08$ m for regular waves. For irregular waves, the
417 peak frequencies of the PM spectra were selected as $f_p = 0.4, 0.6, 0.8$ Hz.

418 The 1/50 scale cylindrical heaving PAWEC was simulated, designed and
419 constructed for wave tank tests, model verification and control system design,
420 as shown in Figure 12. Five Wave Gauges (WGs) were mounted to measure
421 the water elevation in real-time, with WG1&2 in the up-stream, WG3 in line
422 with the buoy and WG4&5 in the down-stream. For this study, only the WG3
423 measurement was used. WG1&2 and WG4&5 were useful to estimate the re-
424 flection of the wave tank end wall and to verify the generated irregular wave
425 satisfying the pre-set PM spectrum. Six Pressure Sensors (PSs) were applied in
426 the wave tank tests with PS1-5 installed at the bottom of the PAWEC to mea-
427 sure the dynamic pressure acting on the hull and PS6 fixed in line with WG1
428 for synchronisation¹. A Linear Variable Displacement Transducer (LVDT) and
429 a 3-axis Accelerometer (Acc) were rigidly connected with the oscillating body
430 to provide motion measurements. All these sensing signals were collected by a
431 data acquisition system connected with LABVIEWTM panel. The sampling fre-
432 quency was 100 Hz. The pressure, displacement and acceleration measurements
433 were post-processed with low-pass filters to verify the modelling and estimation
434 concepts. The infinite impulse response low-pass filters were adopted with pass-
435 band frequency 3 Hz, passband ripple 0.2 dB, stopband attenuation 60 dB and
436 order number 10.

437 For the *excitation tests*, the PAWEC was fixed semi-submerged and under

¹The installation depth of PS6 was 0.4 m. Two sensing systems were applied: one in-
tegrated with the wave maker and the other designed for the PAWEC. An isolation system
was made between the two sensing systems to minimise compatibility conflicts. The PAWEC
sensing system triggered the wave maker sensing system. However, there was still a small time
shift between these two sensing systems due to different design of the hardware and software.
Thus PS6 and WG1 were installed to measure the same signal to determine the time shift
between these two sensing systems.

438 the excitation of incident waves to verify the W2EF modelling approach. For
 439 the *wave-excited-motion tests*, the buoy was initially set free at its equilibrium
 440 point and then was stimulated to oscillate under the excitation of incoming
 441 waves. Since this study has a specific focus on the estimations of the excitation
 442 force, the control or PTO force was set as $F_{pto} = 0$ N for the excitation tests or
 443 the wave-excited-motion tests. For control practice, F_{pto} is known and hence it
 444 is applicable to obtain the excitation force by subtracting F_{pto} from the estimate
 445 of PAD2EF or UIOEF approaches. If F_{pto} is not known, only the W2EF method
 446 is applicable.

447 4.2. Excitation Tests

448 For the excitation tests, the PAWEC was fixed to the wave tank gantry at
 449 its equilibrium point and excited by the incident wave. The pressure sensors
 450 installed at the bottom of the buoy can provide the measurement of the dynamic
 451 pressure acting on the hull. Thus, the wave excitation force in heave can be
 452 represented as:

$$F_e(t) = \iint p(t) ds \approx \pi r^2 \bar{p}(t), \quad (39)$$

453 where $\bar{p}(t)$ represents the average value of the five pressure sensors (PS1-5).
 454 Note that Eq. (39) only gives an simple approximation of the the excitation
 455 force. When the buoy diameter is relatively small compared with the wavelength
 456 (such as tenth of the wavelength), the accuracy of Eq. (39) is acceptable. If
 457 the buoy dimension is almost the same scale of the wavelength, more pressure
 458 sensors are required to achieve accurate excitation force measurement.

459 Meanwhile, five WGs were installed to measure the wave elevation, amongst
 460 which, WG3, was in line with the buoy. The measurement of WG3 represented
 461 the incident wave at the center of the PAWEC and was adopted to provide
 462 wave prediction in a short-term horizon t_c . A wide variety of excitation tests
 463 under regular and irregular wave conditions were conducted to verify the W2EF
 464 modelling approach. The numerical and experimental results are compared and
 465 discussed in Section 5.1.

466 *4.3. Wave-Excited-Motion Tests*

467 For the wave-excited-motion tests, the PAWEC was initially set free at its
468 equilibrium point (zero-initial condition) and then was stimulated to oscillate
469 under the excitation of incident waves. In this situation, the measurements from
470 pressure sensors represent the total wave force rather than the excitation force,
471 given as:

$$F_w(t) = \iint p(t) ds \approx \pi r^2 \bar{p}(t). \quad (40)$$

472 Also, Eq. (40) is valid only when the buoy dimension is relatively small com-
473 pared with the wavelength.

474 Meanwhile, the buoy acceleration and displacement were measured by the
475 accelerometer and LVDT, respectively. Therefore, the excitation force can be
476 estimated via the PAD2EF approach in Eq. (22). Also, the wave elevation
477 measurements were accessible. Thus the W2EF method can be applied on WG3
478 measurement to approximate the excitation force according to Eqs. (14) and
479 (15). Since the displacement measurement was accessible, the UIOEF approach
480 in Eqs. (37) and (38) can be applied to estimate the excitation force as well.
481 The numerical and experimental comparison of the excitation force between the
482 W2EF, PAD2EF and UIOEF approaches is discussed in Section 5.2.

483 **5. Results and Discussion**

484 *5.1. Results of Excitation Tests*

485 Since the PAWEC was fixed during the excitation tests. The motion mea-
486 surements were not applicable. Therefore, only the W2EF approach can be
487 applied to estimate the excitation force. To verify the proposed W2EF mod-
488 elling approach, excitation tests were conducted under regular and irregular
489 wave conditions and the experimental data were compared with the numerical
490 simulations of Eqs. (14) and (15).

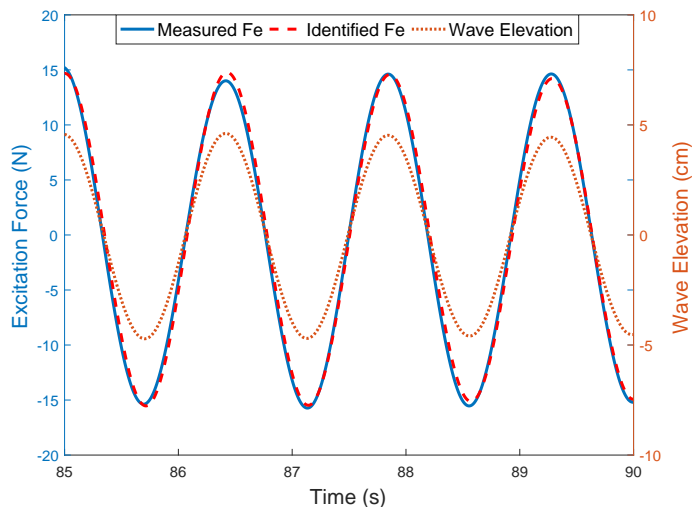


Figure 13: Comparison of the excitation forces between the measurement and the estimate via W2EF method.

491 *5.1.1. Regular Wave Conditions*

492 Nine excitation tests were conducted under regular waves with wave height
 493 $H = 0.08$ m and frequencies $f = 0.4 : 0.1 : 1.2$ Hz. For harmonic waves, pre-
 494 cise wave prediction with horizon $t_c = 1$ s is easy to achieve. Recall that the
 495 prediction horizon is the same as the causalisation time illustrated in Eq. (10)
 496 and Figure 7. Therefore, the W2EF modelling approach can always provide
 497 accurate approximation of the excitation force under regular waves. For the
 498 harmonic wave with frequency $f = 0.7$ Hz, the excitation force measurement in
 499 Eq. (39) and the estimation in Eqs. (14) and (15) are compared in Figure 13.
 500 The estimation via W2EF method showed a high accordance with the exper-
 501 imental data, which indicates the validity of the W2EF method for excitation
 502 tests under regular wave conditions.

503 To check the fidelity further, the excitation force FRF was compared with
 504 the W2EF result as well as with the NEMOH computation. The amplitude and
 505 phase responses are shown in Figures 14 and 15, respectively. The amplitude
 506 response of the W2EF method fitted the NEMOH and excitation tests data to

507 a high degree. This is why the analytical representations of the excitation force
 508 in Eqs. (4) and (5) are widely adopted to investigate WEC dynamics. Note
 509 that the excitation force amplitude response was normalised with respect to the
 hydrostatic stiffness k_{hs} .

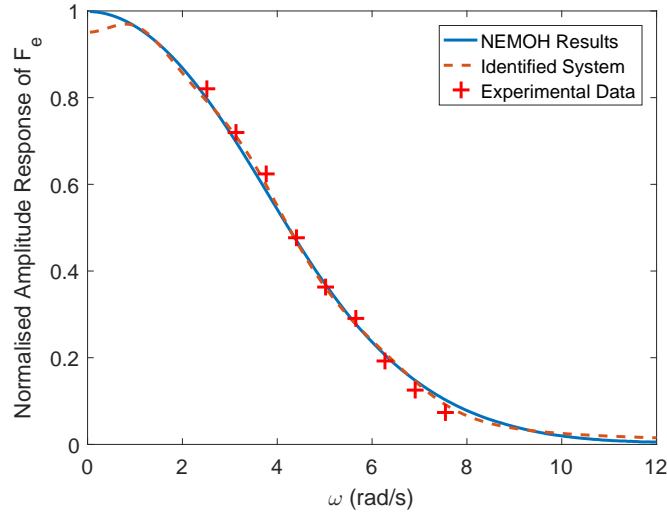


Figure 14: Amplitude response comparison of the excitation force amongst the excitation tests, NEMOH computations and W2EF simulations.

510

511 Figure 15 compares the experimental and numerical phase responses from
 512 the incident wave $\eta(t)$ to the excitation force $F_e(t)$ in Eq. (9). A good accor-
 513 dance of the phase response means that the W2EF modelling approach with
 514 kernel function causlisation and wave prediction in Eq. (11) gives almost the
 515 same system description of the non-causal system in Eq. (9). Also, Figure 15
 516 illustrates that the analytical representations of the excitation force in Eq. (4)
 517 is improper for PAWEC modelling and control design since the phase response
 518 is ignored, especially when the wave frequency is relatively high. Note that, the
 519 excitation force phase response was normalised with respect to π .

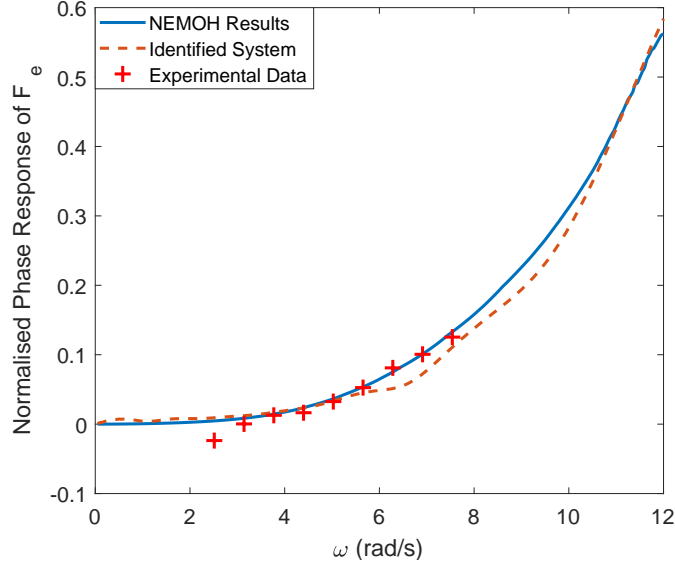


Figure 15: Phase response comparison of the excitation force amongst the excitation tests, NEMOH computations and W2EF simulations.

520 *5.1.2. Irregular Wave Conditions*

521 Irregular waves characterised by the PM spectrum were adopted in the ex-
522 citation tests and the results are shown in Figure 16. Generally speaking, the
523 estimated excitation force via the W2EF method showed a good accordance
524 to the experimental data for most of the time. The estimation varied only
525 slightly from the measurement when the wave elevation was occasionally small.
526 For instance, the identified excitation force varied from its measurement for
527 $t \in [436, 440]$ s in Figure 16, case A. However, this part was not important
528 from the viewpoint of power maximisation. For the irregular wave condition of
529 $f_p = 0.8$ Hz, $H_s = 0.06$ m, the excitation force estimate was not as accurate
530 as that for the other two wave conditions. The potential reason may be the
531 inaccuracy in Eq. (39) since the point absorber assumption are not fully sat-
532 isfied. Additionally, the wave elevation predictions corresponding to Figure 16
533 are given in Figure 8.

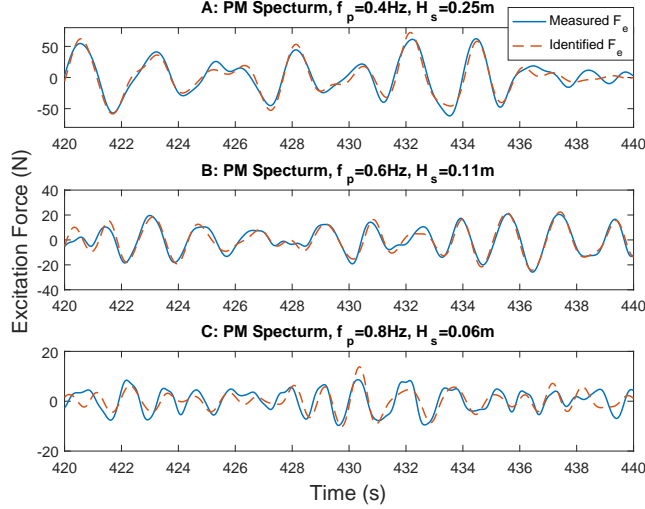
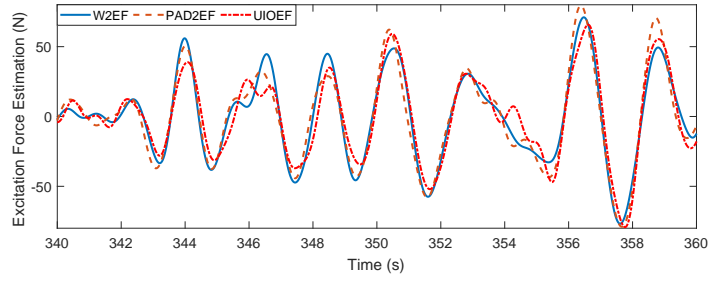


Figure 16: Comparison of the excitation force between the excitation tests and the W2EF modelling under irregular wave conditions.

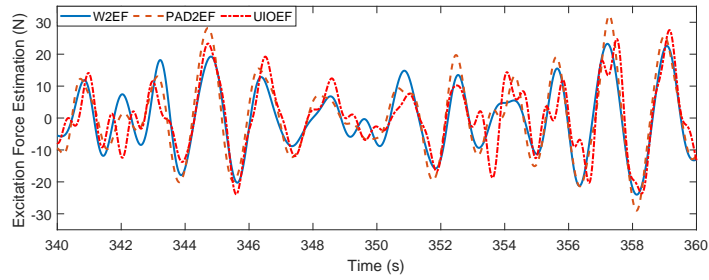
534 *5.2. Results of Wave-Excited-Motion Tests*

535 For the wave-excited-motion tests, the PAWEC oscillated under the exci-
 536 tation of incident waves. Therefore, the pressure, displacement and accelera-
 537 tion measurements, together with the wave elevation, were available. Thus the
 538 W2EF, PAD2EF and UIOEF approaches were adopted to approximate the ex-
 539 citation force acting on the PAWEC hull. In the wave-excited-motion tests, the
 540 excitation force was immeasurable since the pressure sensors gave the total wave
 541 force $F_w(t)$ in Eqs. (18) and (40).

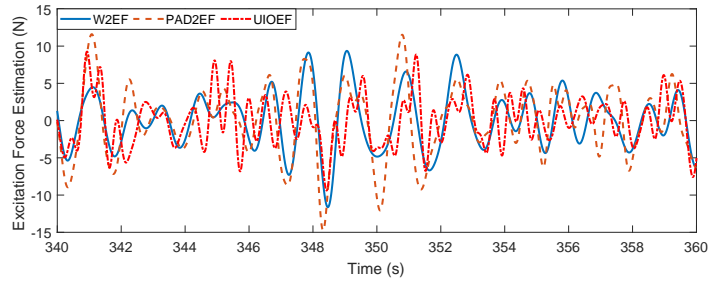
542 Three campaigns of wave-excited-motion tests were conducted under irreg-
 543 ular wave conditions and the excitation force comparison among the W2EF,
 544 PAD2EF and UIOEF approximation approaches is given in Figure 17. Since
 545 the excitation force cannot be measured directly, it is very hard to say which
 546 method is better. The comparison in Figure 17 indicates that: (i) All these three
 547 methods can give good estimation of the excitation force when the wave (or ex-
 548 citation force) was large for the wave conditions of $f_p = 0.4$ Hz, $H_s = 0.25$ m
 549 and $f_p = 0.6$ Hz, $H_s = 0.11$ m. (ii) When the wave was small or changed rapidly,



(a) PM spectrum, $f_p = 0.4$ Hz, $H_s = 0.25$ m.



(b) PM spectrum, $f_p = 0.6$ Hz, $H_s = 0.11$ m.



(c) PM spectrum, $f_p = 0.8$ Hz, $H_s = 0.06$ m.

Figure 17: Comparison of the excitation force approximations under irregular wave conditions.

550 the estimations given by the PAD2EF and UIOEF approaches were more vari-
551 able, compared with the W2EF estimation. Compared to the excitation force,
552 the radiation approximation error and non-linear friction/viscous forces [39] are
553 relatively large. (iii) Generally speaking, the magnitude of the excitation force
554 approximation given by the W2EF method was smaller than the ones provided
555 by the PAD2EF and UIOEF approaches. One potential reason is that the wave
556 gauge measurement is attenuated by the interference between the incident and
557 radiated waves [16]. (iv) For the wave condition of $f_p = 0.8$ Hz, $H_s = 0.06$ m, the
558 W2EF method gave slightly better estimation than the PAD2EF and UIOEF
559 approaches. One potential reason is that the wave excitation force is small
560 under this wave condition and hence the mechanical friction force is relatively
561 large. The PAD2EF and UIOEF methods in this work cannot decouple the me-
562 chanical friction force from there excitation force estimations. For the specified
563 1/50 PAWEC, the friction can be characterised experimentally [40, 39]. Whilst
564 the W2EF method estimates the wave excitation force from wave measurements
565 and hence the estimates are not affected by mechanical friction force.

566 A comparison of these methods are made as follows:

- 567 • The W2EF modelling approach requires the wave elevation measurement
568 only. The W2EF approach shows advantages in easy implementation and
569 good tolerance to the mechanical friction and fluid viscous forces. How-
570 ever, the W2EF approach is subjected to linear wave theory and small
571 radiated wave. Additionally, accurate wave prediction is compulsory to
572 overcome the non-causality of the W2EF process.
- 573 • The PAD2EF modelling method requires the measurements of pressure,
574 acceleration and displacement. Hence it is complex to implement. The
575 PAD2EF estimation is affected by the modelling error of the radiation
576 force approximation and fluid viscous force but not the mechanical fric-
577 tion force and radiated wave. Another advantage is that the PAD2EF
578 estimation is applicable when the incident waves are non-linear or when
579 the W2EF process is non-linear.

580 • The UIOEF modelling approach only requires the displacement measure-
581 ment. Thus it is easy to implement. Also, the UIOEF estimation does not
582 suffer from the radiated wave but is influenced by modelling error of the
583 radiation force approximation, the mechanical friction and fluid viscous
584 forces. Also, the UIOEF method can be applied under the excitation of
585 non-linear incident waves.

586 For the control structure in Figure 11, the estimation error of the excita-
587 tion force will affect the power capture performance. This part of work was
588 investigated in [41] and it reported that the influence of the estimation error on
589 the power capture can be attenuated at certain band of frequencies via robust
590 control design.

591 6. Conclusion

592 This study focuses on the modelling of the excitation force and the model
593 verification via wave tank tests. The excitation force can be approximated
594 with reasonable accuracy from the measurements of wave elevation, pressure,
595 acceleration and displacement. Therefore, the W2EF, PAD2EF and UIOEF
596 modelling approaches are proposed, simulated and tested in a wave tank. The
597 experimental data showed a high accordance to the estimations of the W2EF,
598 PAD2EF and UIOEF methods. However, the application scenarios of these
599 approaches vary, as shown below:

- 600 • The W2EF method in Eqs. (14) and (15) gives reasonably accurate es-
601 timation of the excitation force based on the conditions: (i) the incident
602 wave is linear; (ii) the radiated wave due to the PAWEC motion is small
603 compared to the incident wave; (iii) wave elevation measurement and its
604 precise prediction are accessible.
- 605 • The PAD2EF approach in Eq. (22) can provide good estimation of the
606 excitation force if the following conditions are satisfied: (i) the measure-
607 ments of pressure, acceleration and displacement are available and (ii) the
608 fluid viscous force is negligible.

609 • The UIOEF strategy in Eqs. (37) and (38) only depends on the displace-
610 ment measurement and can provide precise estimation of the excitation
611 force and the velocity. But the mechanical friction and fluid viscous forces
612 cannot be decoupled from the excitation force estimation.

613 The UIOEF method shows great potential for the real-time power maximisa-
614 tion control since the measurement system is so simple and the UIO technology
615 is flexible to apply. For off-shore application, the PAD2EF method may be more
616 practical than the W2EF approach. The PAD2EF sensing system seems more
617 complex than the W2EF sensing system. However, the real-time wave elevation
618 measurement is very difficult to achieve whilst the pressure, displacement and
619 acceleration are easy to measure.

620 In this study, the PTO force is not considered. When the PAWEC motion
621 amplitude is small, the hydrodynamic-control coupling process is linear and
622 hence the PTO force can be subtracted from or superposed into the PAWEC
623 motion equation for dynamic/control study. Unfortunately, recent preliminary
624 work reveals that well-designed control strategies can attempt to enhance the
625 non-linearity of wave-buoy interaction [27, 42]. Ongoing work focuses on real-
626 time control implementation in which the PTO force is regulated according to
627 the excitation force estimates for the purpose of PAWEC power maximisation
628 control.

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635 **Appendix**

636 The buoy dimensions were: radius $r = 0.15$ m, height $b = 0.56$ m, draft
 637 $d = 0.28$ m, mass $M = 19.79$ kg, water density $\rho = 1000$ kg/m³, gravity
 638 constant $g = 9.81$ N/kg, hydrostatic stiffness $k_{hs} = 693.43$ N/m and added
 639 mass at infinite frequency $A_\infty = 6.58$ kg.

640 The system matrices of the W2EF system in Eqs. (14) and (15) were:

$$A_e = \begin{bmatrix} -0.234 & 1.818 & 0.530 & -0.554 & -0.314 & -0.054 \\ -1.818 & -0.900 & -3.043 & 1.082 & 0.861 & 0.130 \\ 0.530 & 3.044 & -1.798 & 4.233 & 1.553 & 0.306 \\ 0.554 & 1.082 & -4.233 & -2.688 & -5.096 & -0.480 \\ -0.314 & -0.861 & 1.553 & 5.096 & -3.590 & -3.064 \\ 0.054 & 0.130 & -0.306 & -0.480 & 3.064 & -0.157 \end{bmatrix}, \quad (41)$$

$$B_e = \begin{bmatrix} 164.34 & 251.36 & -236.52 & -175.67 & 114.01 & -18.71 \end{bmatrix}^T, \quad (42)$$

$$C_e = \begin{bmatrix} 1.6434 & -2.5136 & -2.3652 & 1.7567 & 1.1401 & 0.1871. \end{bmatrix}. \quad (43)$$

641 The system matrices for the identified radiation subsystem in Eqs. (20) and
 642 (21) were:

$$A_r = \begin{bmatrix} -3.1848 & -4.3372 & -3.1009 \\ 4.3372 & -0.0875 & -0.3882 \\ 3.1009 & -0.3882 & -2.8499 \end{bmatrix}, \quad (44)$$

$$B_r = \begin{bmatrix} -40.6964 & 5.9737 & 16.2722 \end{bmatrix}^T, \quad (45)$$

$$C_r = \begin{bmatrix} -0.4070 & -0.0597 & -0.1627 \end{bmatrix}. \quad (46)$$

643 The parameters of the BPF in Eq. (23) were: $\omega_c = 8\pi$ rad/s, $A_{bpf} = 2433$
 644 and $Q_{bpf} = 100$.

645 The system matrices of the UIO in Eqs. (37) and (37) were:

$$P = \begin{bmatrix} -0.57 & 9.01 & 0 & 0 & 0 & 0 \\ -27.09 & -39.1 & 0.02 & 0.02 & 0.01 & 0.04 \\ -3.24 & -0.13 & -3.18 & -4.34 & -3.1 & 0 \\ -0.95 & 0.43 & 4.34 & -0.09 & -0.39 & 0 \\ 0.2 & -1.62 & 3.10 & -0.39 & -2.85 & 0 \\ -32856 & -242450 & 0 & 0 & 0 & 0 \end{bmatrix}, \quad (47)$$

$$G = \begin{bmatrix} 0 & 0.0379 & 0 & 0 & 0 & 0 \end{bmatrix}^T, \quad (48)$$

$$L = \begin{bmatrix} 357.52 & 7881.9 & 73.80 & -158.04 & -244.25 & -9183200 \end{bmatrix}^T, \quad (49)$$

$$Q = \begin{bmatrix} -8.01 & 39.1 & -40.57 & 5.55 & 17.89 & 242450 \end{bmatrix}^T. \quad (50)$$

646 **To note:** The feedback gains of the UIO were large and sensitive to mea-
647 surement noise. It is due to the system property since the magnitude of the
648 displacement $z(t)$ is 10^{-2} and the magnitude of the excitation force $F_e(t)$ is 10.
649 Thus this is a numerical stiffness or conditioning problem with varying ratio 10^3 .
650 In this study a low-pass filter were applied to the displacement measurement to
651 attenuate the noise.

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