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Galaxy And Mass Assembly (GAMA): estimating galaxy group masses via caustic analysis

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ABSTRACT

We have generated complementary halo mass estimates for all the groups in the Galaxy And Mass Assembly Galaxy Group Catalogue (GAMA G^3Cv1) using a modified caustic mass estimation algorithm, originally developed by Diaferio & Geller. We calibrate the algorithm by applying it on a series of nine GAMA mock galaxy light cones and investigate the effects of using different definitions for group centre and size. We select the set of parameters that provide median-unbiased mass estimates when tested on mocks, and generate mass estimates for the real group catalogue. We find that on average, the caustic mass estimates agree with dynamical mass estimates within a factor of 2 in 90.8 \pm 6.1 per cent groups and compare equally well to velocity dispersion based mass estimates for both high- and low-multiplicity groups over the full range of masses probed by the G^3Cv1 .

Key words: galaxies: groups: general – galaxies: haloes – dark matter – large-scale structure of Universe.

1 INTRODUCTION

A quantitative understanding of the largest structures in the Universe provides a rigorous test of cosmology and dark matter simulations (Eke, Cole & Frenk 1996). One of the principal assumptions of the Λ cold dark matter (Λ CDM) paradigm is that galaxy groups serve as tracers of the underlying dark matter haloes. It is thought that prior to the decoupling of matter and radiation, dark matter particles, with their smaller interaction cross-section, formed overdense regions under the influence of gravity. Following decoupling, baryonic matter is left to free fall into these overdense regions and form the building blocks of the large-scale structure of the universe (Springel et al. 2005). Empirical measurements of the distribution and masses of galaxy groups therefore provide a powerful constraint for different dark matter models.

Traditionally, mass estimates of groups are calculated virially, via measurements of the velocity dispersions of their members (e.g. Hughes 1989; Carlberg et al. 1996; Girardi et al. 1998; Tucker et al. 2000). By assuming that the group is in virial equilibrium, the dynamical mass of the group follows the relation $M \propto \sigma^2 R$. The obvious limitation of this method is that the relation will hold only out to the virial radius of the galaxy group, so mass estimates made using galaxies beyond this radius become less reliable. More accurate mass estimates at large radii are possible using weak lensing (Kaiser, Squires & Broadhurst 1995); however, the obvious drawback of this approach is the observational challenge involved in measuring the lensing signal for a large galaxy group sample.

A different approach to group mass estimation is to look at the distribution of galaxies within a group in redshift space (the projected distance r from the group centre and the line-of-sight velocity

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v with respect to the median group redshift for every member of the group) and estimate the group escape velocity by interpreting the distinct shape of this distribution. This method of analysing galaxy group members in redshift space was first introduced as a mass estimator by Diaferio & Geller (1997, hereafter DG97) and Diaferio (1999, hereafter D99) and is known as the caustic mass estimation technique. An early version of the method was first used in an attempt to constrain cosmological parameters, specifically Ω_{M} (Regös & Geller 1989) with little success. In identifying that velocity measurements of galaxy groups were heavily affected by random motions, as well as by comparing observed caustics to those predicted by cosmological models, DG97 determined that this method is unreliable for determining $\Omega_{\rm M}$. Navarro, Frenk & White (1997) went on to demonstrate that the density profiles of dark matter haloes do not vary with cosmological parameters. However, the amplitude $\mathcal{A}(r) = \min\{|v_u|, |v_l|\}$ of these caustics, defined as being half the difference between the upper and the lower line-of-sight velocities $v_{\rm u}$ and $v_{\rm l}$ does provide a measure of the gravitational potential $\phi(r)$ of the group. The method has been subsequently used by Diaferio, Geller & Rines (2005), Diaferio (2009) and Serra et al. (2011) as a robust way of calculating masses of galaxy groups using all of the positional information from a group (out to R_{200}) and as a test for cluster membership (Rines & Diaferio 2006). Additional research has shown that for a set of rich X-ray luminous clusters, caustic mass estimates agree to within a ratio of 1.03 ± 0.11 with mass estimates obtained via lensing analysis (Rines et al. 2003).

In this work we apply the caustic method to the Galaxy and Mass Assembly (GAMA¹) Galaxy Group Catalogue (hereafter G³Cv1; Robotham et al. 2011) and attempt to provide complementary caustic mass estimates to the dynamical mass estimates of the group haloes within the catalogue with the aim of verifying these masses. By also applying the method to mock light cones that mimic the GAMA data we are able to carefully calibrate our algorithm to produce median-unbiased mass estimators for each galaxy group using only redshift and positional information out to radii that are well beyond the virial radius.

The GAMA project (Driver et al. 2009, 2011) is an ongoing major galaxy survey covering 21 bands of the electromagnetic spectrum from the ultraviolet through to radio wavelengths using a multitude of ground- and space-based telescopes. The aims of the survey are to cover a region of \sim 360 deg² and to obtain \sim 400 000 redshifts for galaxies to a magnitude of $r_{AB} = 19.8$ mag. During phase one of GAMA we observed three fields of $4 \times 12 \text{ deg}^2$ centred at $\alpha =$ 9 h, $\delta = 1^{\circ}$ (G09), $\alpha = 12$ h, $\delta = 0^{\circ}$ (G12) and $\alpha = 14.5$ h, $\delta = 0^{\circ}$ (G15). In phase two we are expanding these to $5^{\circ} \times 12^{\circ}$ as well as gathering data in three new fields. One of the principal scientific goals of GAMA is to understand and better constrain the halo mass function (HMF; Press & Schechter 1974; Lacey & Cole 1994; Sheth, Mo & Tormen 2001; Warren et al. 2006; Tinker et al. 2008), which describes the relationship between the mass and number density of dark matter haloes. To that end, it is very important for any catalogue of galaxy groups produced to have accurate and reliable mass estimates, particularly for low-mass groups.

This paper is structured as follows. In Section 2 we briefly describe the theoretical framework behind the caustic mass estimation method, our changes to the algorithm and a step-by-step description of its implementation. In Section 3 we discuss the data set used in this work (mock galaxy group catalogues created for GAMA and the actual groups themselves), and our results are presented

¹ http://www.gama-survey.org/

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in Section 4. We summarize our results in Section 5. Throughout this paper, consistent with the cosmology used to create the GAMA mocks and Robotham et al. (2011), we use a cosmology of $\Omega_{\rm m} = 0.25$, $\Omega_{\Lambda} = 0.75$ and $H_0 = h \, 100 \, {\rm km \, s^{-1} \, Mpc^{-1}}$.

2 METHODOLOGY

The caustic method relies on analysing the distribution of group members in a redshift-space diagram, which is defined in D99 as the plane (r, v) of the galaxies, where r is the projected radial separation from the group centre and v is the line-of-sight velocity relative to the group centre of mass. The spherical infall model of Regös & Geller (1989) predicts the existence of two trumpet shaped 'lines' on this plane where the phase-space density in redshift space is infinite; and in practice these trumpets are observed when looking at both simulated and real groups. By definition, galaxies outside of these caustics are beyond the turnaround radius of the group. If we assume that galaxies lying outside the caustics are considered to be escaping the group, it follows that the caustic describes the escape velocity $v_{esc}^2(r)$ of a cluster as a function of distance r from its centre. The term 'caustic' refers to the formation of a singularity at a location where the Jacobian of a coordinate transformation vanishes; in the case of the caustic method, this is the transformation from real to redshift space. In the spherical model, this causes the galaxies within a group to collapse to the peak redshift of the group along the line of sight, placing them somewhere between the centre of the group and the turnaround radius. In redshift space this translates to the trumpet-like lines that describe the escape velocity of the group.

Here follows a brief review of the physical justification behind the caustic method. Full details of the model can be found in DG97, D99 and Serra et al. (2011). Assuming a spherically symmetric model, the escape velocity within a shell of radius r for a group is given by $v_e^2(r) = -2\phi(r)$. Given our position as observers, it is the line-of-sight component (v_{los}) of this escape velocity that determines the location of the caustics, but this value depends upon the escape velocity profile of the cluster which we may not always know. Instead, we require an expression for the caustic amplitude that is *independent* of the escape velocity profile. Serra et al. (2011) determine such an expression via the thought process summarized below.

We begin by looking at the velocity anisotropy parameter $\beta(r) = 1 - (\langle v_{\theta}^2 \rangle + \langle v_{\phi}^2 \rangle)/2\langle v_r^2 \rangle$, where v_{θ} , v_{ϕ} and v_r correspond, respectively, to the longitudinal, azimuthal and radial components of an individual galaxy's velocity. If we assume that cluster rotation is negligible, $\langle v_{\theta}^2 \rangle = \langle v_{\phi}^2 \rangle = \langle v_{los}^2 \rangle$ and $\langle v_r^2 \rangle = \langle v^2 \rangle - 2\langle v_{los}^2 \rangle$, where v_{los} is the line-of-sight component of the velocity. Rearranging this for $\langle v^2 \rangle$ and incorporating the equality of all velocity components into the expression for $\beta(r)$ gives

 $\langle v^2 \rangle = \left\langle v_{\rm los}^2 \right\rangle \left(\frac{3 - 2\beta(r)}{1 - \beta(r)} \right) \equiv \left\langle v_{\rm los}^2 \right\rangle g(r),$ (1)

where

$$g(r) = \frac{3 - 2\beta(r)}{1 - \beta(r)} = \frac{2\left\langle v_{\rm los}^2 \right\rangle + \left\langle v_r^2 \right\rangle}{\left\langle v_{\rm los}^2 \right\rangle}.$$
(2)

Given that the potential of a system is related to its escape velocity in the form of $-2\phi = \langle v_{\rm esc}^2(r) \rangle$, it is possible to link the potential to the caustic amplitude if we make the assumption that $\mathcal{A}^2(r) = \langle v_{\rm esc,los}^2 \rangle$ in the form

$$-2\phi(r) = \left\langle v_{\text{esc,los}}^2 \right\rangle g(r) = \mathcal{A}^2(r)g(r), \tag{3}$$

which reduces our problem to having only one unknown: the parameter β . The final link in the chain is to consider the mass of an infinitesimal shell:

$$G \,\mathrm{d}m = -2\phi(r)\mathcal{F}(r)\,\mathrm{d}r = \mathcal{A}^2(r)g(\beta)F(r)\,\mathrm{d}r,\tag{4}$$

with $\mathcal{F}(r) = \frac{-2\pi G\rho(r)r^2}{\phi(r)}$. Combining the expression for $\mathcal{F}(r)$ and $G \, dm$ brings us back to the familiar result for the mass of a shell; $G \, dm = 4\pi G \rho(r)r^2 \, dr$. Integrating equation (4) gives

$$GM(< r) = \int_0^r \mathcal{A}^2(r)g(r)F(r)\,\mathrm{d}r.$$
(5)

This expression is close to what we need, but is limited by the fact that the density profile of the system needs to be known in order to get a value for the mass. To overcome this, D99 assume that $\mathcal{F}_{\beta}(r) = \mathcal{F}(r)g(\beta)$ is a slowly varying function with respect to the radius of the system in hierarchical clustering scenarios, and this result is confirmed by Serra et al. (2011). We therefore set $\mathcal{F}_{\beta}(r)$ to be constant and adopt the value of $\mathcal{F}_{\beta} = 0.7$ of Serra et al. (2011; this is not critical, as we later adjust our mass estimates by a scaling factor, discussed below), giving the final expression

$$GM(< r) = \mathcal{F}_{\beta} \int_{0}^{r} \mathcal{A}^{2}(r) \,\mathrm{d}r, \qquad (6)$$

which can be used to provide mass estimates for our data sample.

2.1 Caustic mass estimation algorithm

A successful caustic mass estimation algorithm must be able to successfully infer the continuous dark matter distribution in the halo from a discrete set of points determined by galaxies. The most important goal of our algorithm is to correctly determine the location of the caustic for a group. To do this, we project the group into an area of redshift space and generate a kernel that describes the continuous density of the group within this area (Pisani 1993). Based on this density distribution, the algorithm then determines a threshold at which the caustic is placed.

For a given galaxy group for which member positions are known (α , δ and z), the projection into redshift space takes place via the following transformations:

$$r = \frac{cD_{\rm A}(z_{\rm c})}{H_0} \tan\psi \tag{7}$$

and

$$v = c \frac{z - z_{\rm c}}{1 + z_{\rm c}},\tag{8}$$

where D_A is the comoving distance to the galaxy, z_c is the redshift of the group centre and ψ is the angular separation of a member galaxy from the group centre at redshift z along the line of sight. The area of redshift space is therefore determined by the full radial extent of the group and its range of line-of-sight velocities. Consider N galaxies in a cluster distributed in a redshift diagram with coordinates $\mathbf{x} = (r, v)$. Using an adaptive kernel method (Silverman 1986), we describe the density distribution of these galaxies as

$$f_q(\mathbf{x}) = \frac{1}{N} \sum_{i=1}^N \frac{1}{h_i^2} K\left(\frac{\mathbf{x} - \mathbf{x}_i}{h_i}\right),\tag{9}$$

where K is the adaptive kernel

$$K(t) = \begin{cases} 4\pi^{-1} (1-t^2)^3 & \text{if } t < 1, \\ 0 & \text{otherwise,} \end{cases}$$
(10)

and $h_i = h_c h_{opt} \lambda_i$ is the local smoothing parameter. $\lambda_i = [\gamma/f_1(\mathbf{x}_i)]^{1/2}$, where f_1 is equation (9) where $h_c = \lambda_i = 1$ for

any *i* and $\log \gamma = \sum_i \log [f_1(\mathbf{x}_i)] / N$. The motivation for using an adaptive kernel estimator is to have a density estimator that can adapt to density distributions where the true probability density changes quickly (Pisani 1993); this is generally a caveat of fixed kernel estimators that risk to oversmooth or undersmooth the probability distribution. Finally, the optimal smoothing parameter h_{opt} is

$$h_{\rm opt} = \frac{3.12}{N^{1/6}} \left(\frac{\sigma_r^2 + \sigma_v^2}{2} \right)^{1/2},\tag{11}$$

where σ_r and σ_v are, respectively, the uncertainties in the galaxy coordinates. The positional uncertainty σ_r is calculated from the astrometric uncertainties in GAMA and is negligible, while for σ_v we use the same value of 55 km s⁻¹ as used in Robotham et al. (2011).

Performing this calculation can take a great deal of time, particularly when it comes to calculating f_q and optimizing for the best value of h_c . A faster, time-saving way of obtaining the density estimator is to use fast Fourier transforms (FFTs) to convolve a 2D histogram of the data in redshift space with the adaptive kernel (Silverman 1986). Other alternative implementations of the algorithm include using friends-of-friends (FoF) algorithms and binary trees, but in our case, where the galaxy groups have already been selected and statistically well defined, the FFT approach works best. The process is described in Silverman (1986) for one dimension, but can easily be extended to two.

We begin by creating a 2D normalized histogram of the galaxies in redshift space (projected radius from the group centre versus lineof-sight velocity), and over the same parameter space, a histogram of calculated values for the kernel given in equation (10). The smoothing parameters λ_i , h_{opt} and h_c are used to adjust the values of the data histogram. The density estimate $f_q(r, v)$ is defined as the inverse FFT of the product of the forward FFT of the data and kernel histograms, or in other words

$$f_q(r, v) = \mathcal{F}^{-1} \left[\mathcal{F}(\text{data}) \times \mathcal{F}(\text{kernel}) \right], \tag{12}$$

where \mathcal{F} and \mathcal{F}^{-1} denote forward and inverse FFTs, respectively. The end result of this calculation is a 2D matrix that describes the density distribution of the group galaxies in redshift space; note that we use the *modulus* of this matrix in order to discard any phase shifts caused by the Fourier transforms. The matrix has dimensions of $2^8 \times 2^8$; we find that any size lower than $2^7 \times 2^7$ does not provide our algorithm enough resolution to give reliable results. The caustics are drawn on the density distribution on locations where $f_q(r, v) = \kappa$, and κ is obtained by minimizing the function $S(\kappa, R)$ taken from D99:

$$S(\kappa, R) = \left| \left\langle v_{\rm esc}^2 \right\rangle_{\kappa, R} - 4 \langle v^2 \rangle_R \right|^2, \tag{13}$$

where the term $\langle v_{esc}^2 \rangle_{\kappa,R}$ is the square of the mean escape velocity at *R* for a given value of κ . This corresponds to the average size of the caustic amplitude from the group centre to the maximum projected radial distance *R* for a given value of κ and $\langle v^2 \rangle_R$ is the group velocity dispersion taken from the G³Cv1. To minimize the function $S(\kappa, R)$, we wish to find the value of κ for which the average caustic size is equal to $4\langle v^2 \rangle_R$. We use the R function OPTIM to do this; OPTIM is a general-purpose optimization function based around the Nelder–Mead algorithm (Nelder & Mead 1965), which lends itself particularly well to this task as $S(\kappa, R)$ is a parabolic function with only one well-defined minimum. Once the location of the contour is determined (black line in Fig. 1), the algorithm draws the caustics (green lines in Fig. 1 along min{ $|v_u|, |v_l|$ }, where



Figure 1. Four examples of the placement of the contour κ (black) and the caustics fitted to it (green) for four FoF mock galaxy groups (whose galaxies are shown as the black points) from the G³Cv1 in descending order of luminosity. It is evident here that the FFT method used to estimate the density distribution causes the final caustics to be very smooth with respect to the caustics drawn in DG97 and D99. This introduces a source of error in our caustic mass estimates.

 v_u and v_l correspond to the upper and lower values of the line-ofsight velocity of the group along the contour). The algorithm scans through the density distribution in bins of *r*, and for each bin selects the minimum of these two velocities $[v_u(r) \text{ and } v_l(r)]$, and reflects it along the line-of-sight velocity axis. The caustic amplitude beyond the maximum extent of the group is artificially set to 0, even though often the caustic closes before the maximum radial extent of the group is reached (see Fig. 1).

Based on mock catalogues of galaxies built with *N*-body simulations in DG97, there is a constraint on the logarithmic derivative of the caustic amplitude: $d \ln A/d \ln R \le 2$. Any values of A(r) for which this derivative does not hold are considered to be the result of the caustic algorithm coming up with the wrong location for the caustic at that particular radius, often due to excessive fore-ground/background galaxies. Instead, in these cases we use a value for the caustic amplitude such that $d \ln A/d \ln R = 1/4$, as in Serra et al. (2011).

To summarize, our algorithm works as follows:

(i) convert the galaxy positions in redshift space into a 2D histogram of galaxy number densities; (ii) create another histogram of the same dimensions containing values for the kernel as per equation (9);

- (iii) calculate $f_q(r, v)$ using equation (12);
- (iv) calculate the best value for κ with equation (13);
- (v) fit the caustics by reading off the minimum value of $|v_u|$ and $|v_1|$ along *r* whilst ensuring that the derivative inequality holds;

(vi) integrate between the caustics to estimate the mass of the group using equation (14) and scale it accordingly to obtain a median-unbiased estimator.

It is important to stress that this FFT implementation of the kernel estimator still retains the calculations and expressions given in this section; we simply speed up the procedure of calculating the density distribution by applying the kernel with a FFT.

Our final mass expression is

$$\frac{M_{\rm c}}{h^{-1}\,{\rm M}_{\odot}} = \frac{0.7A_{\rm c}}{G/({\rm M}_{\odot}\,{\rm km}^2\,{\rm s}^{-2}\,{\rm Mpc})} \int_0^r \mathcal{A}(r)^2\,{\rm d}r, \tag{14}$$

where *r* is given in units of h^{-1} Mpc and \mathcal{A} in s⁻¹ km. $\int_0^r \mathcal{A}^2(r) dr$ is calculated by discretizing $\mathcal{A}(r)$ over a set of equally spaced steps and A_c is the caustic mass scaling factor. In Fig. 1 we show example caustic fits for four FoF mock galaxy groups of descending total luminosity (from 10¹² to 10⁹ h^{-2} L_{(\odot}).

Despite the computational efficiency of the FFT method to calculate the density estimate, there are a number of drawbacks that need to be addressed. To begin with, the area over which the 2D histograms for the data and the kernel are created needs to be larger than the area the data span. This is to avoid the kernel (and the resulting density estimate) wrapping around the borders due to the periodic nature of Fourier transforms and results in a very smooth density distribution compared to those shown in DG97 and D99. This will effectively artificially increase the sizes of our caustics and cause a systematic overestimation of the caustic mass (though the scaling factor A_c corrects for this); the smoothing is independent of group size as shown in Fig. 1. We do not consider the presence of background galaxies in this analysis, and make the assumption that the FoF algorithm used in Robotham et al. (2011) has recovered group members as accurately as possible. This assumption is tested in Section 3.

3 DATA

3.1 The GAMA galaxy group catalogue

The groups presented in the G³Cv1 have been identified using a slightly modified FoF algorithm (Huchra & Geller 1982; Press & Davis 1982) to correctly account for the distortions due to peculiar velocities. It achieves this by considering projected separations independently of radial positions. Fig. 2 shows cone diagrams containing galaxies and groups for the G12 field, where it is possible to see how they trace the large-scale structure.

The final group catalogue contains approximately 14 000 galaxy groups with ≥ 2 members out to $r_{AB} = 19.4$ (fields G09 and G15) and 19.8 mag (G12 field) which encompass about 40 per cent of the galaxies in the full GAMA catalogue. Robotham et al. estimated their masses by using the relation $M \propto \sigma^2 R$, where σ and R correspond to the velocity dispersion and the projected group radius. The group velocity dispersion is calculated using the GAPPER algorithm given in Beers, Flynn & Gebhardt (1990) where all the recession velocities for N galaxies within a group are ordered, and the velocity dispersion is estimated using calculated values for the gaps between velocity pairs $v_{i+1} - v_i$ for i = 1, 2, ..., N - 1. Group



Figure 2. Example of a cut-out cone showing galaxies and groups with $r_{AB} < 19.8$ for the GAMA G12 field going from $174^{\circ} \le RA \le 186^{\circ}$ out to redshift $z \sim 0.5$. From left to right, the cones display all galaxies in this field, all groups found in G³Cv1 (coloured by their total group luminosity), all grouped galaxies and all ungrouped ones.

radius estimates were calculated for radii that contain 50, 68 and 100 per cent of the galaxies within each group (Rad_{50} , Rad_{68} and Rad_{100} , respectively), and the projected group centre was located by three different methods, discussed below. The mass of each group was estimated by

$$\frac{M_{\rm FoF}}{h^{-1}\,\rm M_{\odot}} = \frac{A}{G/(\rm M_{\odot}\,\rm km^2 s^{-2}\,\rm Mpc)} \left(\frac{\sigma_{\rm FoF}^2}{\rm km^2\,s^{-2}}\right) \frac{\rm Rad_{\rm FoF}}{h^{-1}\,\rm Mpc}, \quad (15)$$

where G is the gravitational constant (6.673 $\times 10^{-20}$ km² s⁻² Mpc), $\operatorname{Rad}_{\operatorname{FoF}}$ and $\sigma_{\operatorname{FoF}}$ are the radius and velocity dispersion of the group obtained by the methods described above. A is a scaling factor that is required to obtain a median-unbiased estimate of the FoF mass with respect to the real halo mass. It varies depending on multiplicity and median redshift of a group. In this work we mimic the multiplicity and redshift subsets of Robotham et al. (2011) to ensure a direct comparison. We consider every galaxy in a group when running our algorithm, while the dynamical mass estimates do not contain the full radial galaxy density profile information. The projected group centre is defined in three different ways in the G³Cv1: the first centre corresponds to the r_{AB} luminosity centre of light (CoL) of all the galaxies associated with the group. The iterative group centre is estimated by calculating the r_{AB} CoL of the cluster and then rejecting the most distant galaxy from this centre. This process is then repeated until only two galaxies remain, at which point the brightest one of these is selected as the group centre. In the

final method, the brightest group galaxy (BGG) in the r_{AB} band is selected as the group centre. In Section 3 we test the sensitivity of our caustic algorithm against the three group centre definitions.

3.2 The mock group catalogue

The mock catalogues were constructed by first populating the dark matter haloes of the Millennium Simulation (Springel et al. 2005) with galaxies, the positions and properties of which were predicted by the Bower et al. (2006) description of the Durham semi-analytical model, GALFORM, and adjusted to match the GAMA survey luminosity function of Loveday et al. (2012). To generate an all-sky survey to the GAMA depth, it is necessary to stack 11^3 replicated copies of the simulation box to create a 'supercube' (with sides of length 5500 h^{-1} Mpc).

Following the prescription of Merson et al. (in preparation) to place the haloes and galaxies in the supercube at the position at which they enter the light cone, galaxies are assigned their intrinsic properties, such as stellar mass and fluxes in the appropriate bands. Galaxy properties are generally not interpolated between snapshots, with the exception of fluxes. This is to avoid discontinuities at the snapshot boundaries. Having assigned the *r*-band apparent magnitudes, they are adjusted to perfectly match the redshift-dependent GAMA galaxy luminosity function, following a simple abundance matching in the *r* band. Finally, the flux limits of the GAMA survey are applied. We then take the all-sky mock catalogue and apply solid angle cuts to create the nine mocks.

4 CAUSTIC MASS ESTIMATES

Before applying this algorithm to the actual group catalogue, it is important to understand how well it performs when estimating masses for a set of mock catalogues that have been prepared alongside the G^3Cv1 . We therefore calibrate our caustic mass estimation algorithm using a set of nine GAMA mock galaxy catalogues (described in Section 2).

In these mock catalogues, the true grouping of galaxies is known, so a well-informed calculation of their halo mass is possible. This acts as a benchmark for our caustic mass estimation algorithm and allows us to experiment with different implementations of the method. Serra et al. (2011) show that fine-tuning the parameters of the caustic mass algorithm (h_c , q, κ) does not provide a considerable improvement of its results. Instead, we run the algorithm using different values for the group centre given in the G³Cv1: the CoL, the iterative group centre and the brightest cluster galaxy. This provides a useful way of testing the stability of the caustic algorithm to different definitions of the group centre, as well as confirming the conclusions from Robotham et al. (2011) of which definition is the most appropriate.

4.1 Sensitivity to definition of group centre

In Robotham et al. (2011), the iterative method seems to be particularly robust at picking out the group centre even in the presence of outliers, which tend to throw off the estimated group centre when using the CoL method. The brightest cluster galaxy approach is also robust to outliers, but analysis reveals that the iterative method recovers more group centres that match the mock groups. It precisely matches the group centre around 90 per cent of the time, while the CoL method is the poorest performer because it is the method that depends most explicitly on the group being recovered completely. For the caustic mass algorithm, we expect the most robust definition of the group centre to produce the most stable results; in other words, the variance in the scale factors A_c for each multiplicity and redshift bin should be minimal for the most stable group centre. This is confirmed when we run the caustic algorithm on the mock group catalogue and change only the location of the group centre from the iterative CoL group centre to the BGG and then to the CoL: $\sigma^2(A_{\text{IterCen}}) = 0.192$, whereas $\sigma^2(A_{\text{BGG}}) = 0.201$ and $\sigma^2(A_{\text{CoL}}) =$ 0.361. We expect the tendency of the CoL method to incorrectly define the group centre to be an outlying bright galaxy to throw off the placement of the caustics by deforming the density distribution of galaxies in redshift space. However, we also expect the caustic algorithm to be robust to minor perturbations in the placement of the galaxies in redshift space, as the difference in the variances given above is minor.

4.2 Sensitivity to definition of group extent

Our second exploration involves changing the number of galaxies that we consider when calculating the mass for each group, i.e. artificially increasing group membership. We do this by extending the boundary of the group in redshift space to include some nearby galaxies. In redshift space, this extension is defined as

$$|z - z_{\text{med}}| \le \Delta z; \ \Delta z = 4 \times \max|z - z_{\text{med}}|.$$
(16)

Spatially, we increase the maximum distance a given galaxy can be from the group centre. This effectively allows us to check whether the caustic algorithm is sensitive to other interloping groups. We find that including extra galaxies that in some cases belong to other groups not only systematically increases the mass estimates made by the caustic algorithm as one might expect, it also increases the mean spread of the results (defined as the ratio of the logarithm of the true and estimated mass) from $\langle \sigma^2 \rangle = 8.33 \times 10^{-3}$ for caustic mass estimates made with the groups as they are to $\langle \sigma^2 \rangle = 0.0126$. One expects the overall mass estimate to increase as a result of including more galaxies, but the increase in spread is unexpected: the caustic algorithm ought to work better with a greater number of galaxies. Instead, our algorithm is unable to correctly place the caustics in the redshift-space diagram because our extended search cut includes galaxies that are most likely inside other independent groups.

Visual examination of the redshift-space plots in Fig. 3 of these extended group cuts demonstrates that the inclusion of nearby galaxies disrupts the distinctive trumpet-shaped distribution seen in ideal spherical groups. Fig. 4 shows a mass comparison between the group catalogue data and the extended group cut. Shown are a subset of groups; those that with redshift between 0 and 0.1 and with 5–9 members (before including nearby galaxies). The caustic mass estimates made with the extended group cut (in dashed black lines) show a much greater spread, due to the reasons described above.

This result highlights the importance of carefully determining group membership when using the caustic algorithm, as the presence of galaxies that are not associated with the group being considered can have a catastrophic effect on the locations of the caustics, ultimately resulting in an incorrect mass estimate (Fig. 5). By combining the caustic method with the FoF algorithm used to determine group membership for the G³Cv1 and mocks, we are able to significantly reduce the probability of the caustics being placed incorrectly due to the presence of interloper galaxies within a group.

4.3 Application to mocks

Using the original grouping from the G³Cv1 with the iterative group centre, we calculate caustic mass estimates for every group in the mock catalogues and calculate A_c for a set of redshift and multiplicity bins in order to make these estimates median unbiased (Table 1). Fig. 6 shows the results of this process for each multiplicity and redshift bin, comparing the distribution of caustic mass estimates (in black) to the dynamical mass estimates (red). The caustic method performs best in very populated groups (N > 200, of which there is only one such group in the G³Cv1), demonstrated by the fact that the scatter of the distribution of mass estimates shown in Fig. 6 decreases as a function of increasing multiplicity. We would normally expect the scaling factor A_c to always equal 1. Instead, we find that the caustic mass is systematically greater than the masses of the mock groups, i.e. $A_c < 1$. The only exception to this is for groups with two to four members, where the caustic algorithm is much more likely to fail to find appropriate contours, and thus defaults to a specific value, artificially adding a tail to the distribution of masses for that group subset. It is likely that due to the simulated galaxy groups in the mock catalogues not being perfectly spherical, the groups not being virialized (one of the basic assumptions of the spherical infall theory, on which the caustic algorithm is based, is that the group is virialized), the extra smoothing in our caustic introduced by using FFT to calculate the density distribution $f_a(r, v)$, as well as the fact that the caustic method works best for galaxies with more than 200 members, A_c is not always equal



Figure 3. Side-by-side comparison showing how the caustic mass estimation performs for a large mock galaxy group. In both panels, the black points represent the locations of galaxies in redshift space, the black lines show the contour $f_q(r, v) = \kappa$ and the green lines are the caustics drawn along min{ $|v_u|, |v_l|$ }. The left-hand panel is a caustic fit only for galaxies present within this mock group and shows a clear example of the 'trumpet' distribution. The right-hand panel includes nearby galaxies in redshift space out to $\pm 4R_{100}$ and $|z - z_{med}| \le 4 \times \max|z - z_{med}|$ whilst circling the original group members in red. In this case, the trumpet distribution is lost and the caustics are artificially closed at the end of the sample.



Figure 4. The black dashed contours show the results of running the caustic algorithm on the mock catalogues and considering a larger number of galaxies around each cluster in the catalogues. By comparison, the solid black contours represent the mass estimates made when considering only galaxies known to be in each group from the simulation. Finally, the red contours represent the distribution of the dynamical mass estimates from the G³Cv1. All three distributions have been adjusted to the same median as the dynamical mass estimates, shown in the red contours. Each contour line contains 10, 50 and 90 per cent of the points, and the dashed green lines are two, five and 10 times away from the median.



Figure 5. A projection of the mock group in Fig. 3 showing all galaxies within the mock group circled in red, and all galaxies that are detected when the algorithm includes nearby galaxies out to $\pm 4R_{100}$ and $|z - z_{med}| \le 4 \times \max|z - z_{med}|$. The area shaded in blue represents the physical size of the simulation region at the median redshift of the group. At this range, it is evident that the algorithm is including galaxies that are likely to belong to other separate groups.

to 1 as it should be in an ideal case. The overall variation in the scale factor A_c is roughly a factor of 4.5, which is of the order of the range of scaling factors used to calibrate the G³Cv1 dynamical mass estimates (see table 3 in Robotham et al. 2011). In contrast to the dynamical mass estimate scaling factors, the caustic mass

Table 1. Values for A_c for each group subset in both the $r_{AB} < 19.4$ and $r_{AB} < 19.8$ mock G³Cv1 mock catalogues using iterative group centres and with all galaxies in group. Including these numbers in equation (14) gives a median-unbiased estimate for the group mass.

	$2 \le N_{\rm FoF} \le 4$		$5 \le N_{\rm FoF} \le 9$		$10 \le N_{\rm FoF} \le 19$		$20 \le N_{\rm FoF} \le 1000$	
	19.4	19.8	19.4	19.8	19.4	19.8	19.4	19.8
$0 \le z_{\text{FoF}} \le 0.1$	1.63	1.63	0.43	0.43	0.45	0.46	0.41	0.41
$0.1 \le z_{\rm FoF} \le 0.2$	1.58	1.59	0.43	0.43	0.42	0.42	0.38	0.39
$0.2 \le z_{\rm FoF} \le 0.3$	1.52	1.53	0.42	0.44	0.36	0.38	0.35	0.35
$0.3 \le z_{\rm FoF} \le 0.5$	1.18	1.21	0.29	0.31	0.29	0.29	0.24	0.26



Figure 6. Distribution of caustic (dynamical) masses for the intrinsic mock groups as a function of halo mass, drawn in black (red) for $r_{AB} \le 19.4$. For each panel, both mass estimates have been corrected to be median unbiased. The contours represent areas containing 10, 50 and 90 per cent of the groups and the green lines are regions where the mass estimate is two, five and 10 times off the true mass. Of particular interest is the tendency for both distributions to follow each other very closely, particularly when overestimating or underestimating the true halo mass.

scaling factors vary less as a function of redshift, but are far more susceptible to variations in group multiplicity.

As expected, the least satisfactory results are for groups that are galaxy pairs, where there is little velocity information for the caustic algorithm to use. This explains the presence of large tails in the $2 \le N_{\text{FOF}} \le 4$ panels of Fig. 6. However, we must note that

© 2012 The Authors, MNRAS **426**, 2832–2846 Monthly Notices of the Royal Astronomical Society © 2012 RAS both methods tend to fail at these low multiplicities. For higher multiplicity and redshift cuts, the caustic mass estimate probability distribution functions (PDFs) shown in this figure agree extremely well with those of the dynamical mass estimates, indicating some correlation between both algorithms when it comes to performing badly for certain galaxy groups. This can be seen in the scatter



Figure 7. PDFs of median-unbiased values of $\log M_{est}/M_{Halo}$ for the $r_{AB} < 19.4$ sample, with caustic masses drawn in black and dynamical masses in red. The blue line is the PDF of $\log M_c/M_{dyn}$ and highlights the agreement between the two methods. The green dashed lines indicate regions that are factors of 2, 5 and 10 away from the 'true' mass. The difference between scatter in the caustic and dynamical mass estimates is often minimal, with the caustic method showing less scatter for groups with $5 \le N_{FOF} \le 19$.

plots as both contours tend to follow roughly the same profile, meaning that it is likely that a group that performs badly in one algorithm is likely to do so with the other. This is particularly visible for the $10 \le N_{\text{FoF}} \le 19, 0.3 \le z_{\text{FoF}} \le 0.5$ bin where there is a secondary concentration of high-mass groups that is present in both distributions.

Fig. 7 translates the information shown in Fig. 6 into a set of density distributions where the ratio between the caustic and dynamical mass estimates and the known halo mass (shown in black and red, respectively) is displayed alongside the ratio between both mass estimates (in blue). As seen on Fig. 7, for groups with a mid-range multiplicity ($5 \le N_{\text{FoF}} \le 19$) the caustic mass estimates have a greater spread than the dynamical mass estimates; this is true across all redshift bins. For groups with $N_{\text{FoF}} \le 4$, the scatter is comparable across all redshifts. Both methods produce estimates that are within a factor of 2 in agreement with each other. The large tails seen in Fig. 6 are visible here: the small 'bumps' forming on the righthand side of the distribution are seen in both distributions, once again demonstrating that both methods tend to fail in similar ways. Despite the caustic algorithm being designed for high-multiplicity groups, we are still able to make reasonable estimates of the group mass.

Our aim is for the caustic mass estimate to recover the intrinsic halo mass of each group as accurately as possible. However, in practice and when applying the algorithm to the real data in the G^3Cv1 , we must run the algorithm not on intrinsic groups, but on groups defined by the FoF algorithm used in Robotham et al. (2011). An important test therefore is to see how the caustic mass estimation algorithm performs on bijectively matched groups drawn out from the mock catalogue using the same FoF algorithm, and the results



Figure 8. As in Fig. 6, but for bijectively matched groups identified by the FoF algorithm instead of the true known intrinsic grouping. The coloured lines represent regions containing 10, 50 and 90 per cent of groups. The black contours compare the caustic mass to the FoF halo mass, and FoF dynamical mass estimate to the FoF halo mass estimate are shown by the red contours.

of this can be seen in Figs 8 and 9. In both figures the distribution of data mimics that of the distributions for the caustic masses on the intrinsic mock groups, with these showing less scatter compared to the caustic masses of the FoF mock groups. Given that the design of the FoF algorithm used in Robotham et al. (2011) to construct the groups in both the mocks and the final group catalogue is to reject groups that have significant outliers, this greater agreement indicates that the caustic mass estimate may be slightly more sensitive to outliers.

One final check is to examine how the caustic mass estimates perform as a function of the quality of the grouping, as defined in Robotham et al. (2011). The total quality parameter, Q_{Tot} , is a measure of how significantly matched individual groups are. The best two-way matching group is defined as being the one which has the largest product for the relative membership between the recovered FoF group and the closest matching mock group [see equations (12)–(14) in Robotham et al. (2011)]. In Fig. 10 we show how the two mass estimates behave as a function of Q_{Tot} . From the left-hand panel we are able to see that the caustic mass estimates behave in just the same way as the FoF mock dynamical mass estimates, performing well after a total quality factor of about 0.2. This is further demonstrated in the right-hand panel of this figure, where the ratio between the caustic and dynamical mass estimates for the FoF mock groups remains close to unity as a function of Q_{Tot} , with a small tendency for the caustic mass to be systematically greater than the dynamical mass as Q_{Tot} approaches 1.

Based on these explorations, we chose to create our caustic mass estimates for the mock groups in the catalogue using group centres obtained with the iterative CoL rejection method, and to only include galaxies considered to belong to each group. To generate each set of results, we select the same redshift and multiplicity cuts used in Robotham et al. (2011). We calculate the scaling factors A_c for each



Figure 9. As in Fig. 7, but for the bijectively matched FoF groups from the mock galaxy catalogues. The different lines show median-unbiased values of $\log M_{est}/M_{Halo}$ for the $r_{AB} < 19.4$ sample. The black line shows the ratio between the caustic mass and the FoF halo mass, while the red line shows the ratio between the FoF dynamical and halo masses. As before, the blue line shows the distribution of the ratio between the caustic mass and the FoF dynamical mass.

bin as the necessary value to ensure that the median of the ratio of the mass estimate to the true mass is unity. Values for A_c are listed in Table 1.

4.4 Application to G³Cv1

With the appropriate scale factors in Table 1, we run the algorithm on the actual group catalogue itself, using the same redshift and multiplicity bins, the same group centre and only galaxies within each group. This provides us with a full set of halo mass measurements for every group in the catalogue that are complementary of those already existing in the G^3Cv1 .

Both the caustic and the dynamical mass estimates are adjusted by their appropriate scale factors and then compared. The results of this can be seen in Fig. 11 for the $r_{AB} < 19.4$ mag limited sample. By calculating the ratio of the caustic to the dynamical mass, we show that 90.8 \pm 6.1 per cent groups have a caustic mass estimate that is within a factor of 2 of the dynamical mass estimate. In a vast majority of cases, the two mass estimates agree very well with each other, particularly for groups at high redshift (bottom row). In all cases the scatter of the data is minimal, with the scatter decreasing with increasing group membership through a combination of better quality mass estimates and the smaller number of galaxies present in these subsets. When interpreting these results, one must bear in mind that the caustic algorithm has been designed with populous groups in mind, ideally with more than 200 members. The G³Cv1 has exactly one group that fits this criteria, at 264 members. Of the 12 200 groups in the G³Cv1, 10 813 of these have between two and four members and there are only 68 groups that contain more than 20 galaxies. We also observe a disparity between the number of compact groups in the mocks compared to those in GAMA; this is further discussed in Robotham et al. (2011). One also expects



Figure 10. Left: a comparison of the performance of the two mass estimation methods as a function of the grouping quality parameter, Q_{Tot} . Black points correspond to caustic masses and red ones to dynamical masses. Both methods perform worst when Q_{Tot} is close to 0, but quickly recover at approximately 0.2. The green lines show a rolling median in each 0.1 bin for the caustic mass (solid line) and for the dynamical mass (dashed line). Right: the ratio between the caustic and the dynamical mass estimates as a function of Q_{Tot} , with the rolling median in each 0.1 bin overplotted in green.

small-number statistics to affect the results for these larger groups: this is particularly evident in Table 2 which shows the fraction of caustic mass estimates within certain factors of the dynamical mass estimate. As group multiplicity increases, these fractions become less reliable, as the number of groups present in each bin drops sharply.

In order to make a comparison between the groups and mocks as fair as possible, we must account for the fact that velocity uncertainties have not been included in the mocks as this directly affects the kernel, through equation (11). This issue is addressed in Robotham et al. (2011), whereby all groups with $\sigma^2 > 130 \text{ km}^2 \text{ s}^{-1}$ are removed from any comparisons between the groups and mocks, arguing that below this cut the velocity dispersion of a group would be significantly affected by this uncertainty and that the dynamical mass estimate is directly proportional to σ^2 . Based on this result, we apply the same velocity cut to the group and mock catalogues. Figs 11 and 12 display the resulting comparison between the ratio M_c/M_{dyn} of the caustic and dynamical masses for the groups (black) and mocks (black). There is still some difference between the scatter of the mass estimates for the groups and the mocks and the disagreement is most noticeable in cases where the caustic mass is greater than the dynamical mass. However, if we do not include this velocity cut and include the mock groups with poorly defined masses, the discrepancy between the two distributions increases, with M_c/M_{dyn} for the groups showing much less scatter than for the mocks. We note that when calculating the mass estimates for the bijectively matched mock groups with $\sigma^2 \ge 130 \text{ km}^2 \text{ s}^{-2}$ for Fig. 12, we allow σ_v and σ_r to *tend* to 0, as equation (9) diverges if $h_i = 0$ when $h_{opt} = 0$. In much the same way as done in Table 2, we are able to calculate that the same percentage of caustic and dynamical mass estimates fall within 50 per cent of the FoF halo mass, implying that both algorithms have correctly been calibrated against the mocks. When comparing the ratios of both mass estimates to the known halo mass for bijectively matched groups, we find that these ratios are virtually the same. From this, we can conclude that any final discrepancies in the mass estimates shown in Figs 11 and 12 must be down to an intrinsic difference between the mocks and the real data and that both methods are properly calibrated to output results that are median unbiased with respect to the halo masses.

Taking these considerations into account, these new mass estimates appear to agree with the existing dynamical mass estimates for the G^3Cv1 . While both methods utilize the same velocity and positional information in different forms, the dynamical mass method is not as sensitive to the full 2D velocity profile of each group. This is caused by the dependence of v and r on each other, which is another effect that is considered in the caustic method, whereas the velocity dispersion and group radius used to calculate M_{dyn} are treated independently to each other.

5 CONCLUSION

Using the caustic mass estimation algorithm introduced by DG97 and D99, we have provided complementary caustic mass estimates for the G³Cv1. We have calibrated our implementation of the algorithm by running it on mock GAMA group catalogues where the intrinsic grouping is known, and for bijectively matched FoF mock groups. This allows us to derive a scaling factor A_c for bins of redshift and multiplicity which provides us with median-unbiased mock mass estimates, and we find that in all cases there is very good agreement between the caustic mass and dynamical mass estimates. Of great note is the tendency for both distributions shown in Fig. 6 to match each other extremely well, implying that both algorithms perform equally well and equally badly for mock groups: that both estimate methods fail for low-multiplicity $(2 \le N \le 4)$ groups highlights an interesting systematic bias present in both methods that warrants further study.

Having calibrated the algorithm on the mock catalogues, we apply it to the G3Cv1 and obtain caustic mass estimates for real groups as shown in Fig. 11. As with the mocks, we demonstrate that both mass estimates are generally consistent with each other: on average, 90.8 ± 6.1 per cent of groups have caustic and dynamical mass estimates that agree to within a factor of 2. This is strong evidence for the reliability of the caustic mass estimation method, particularly when considering the less than ideal conditions the algorithm faces when working with so many groups of low multiplicity. Despite being designed to work with groups with over 200 members, the caustic method is able to successfully determine accurate mass



Figure 11. Distribution of the caustic masses compared to dynamical masses for real galaxy groups from the G^3Cv1 . The dashed green lines are as in Fig. 6, and the solid black lines represent regions containing 10, 50 and 90 per cent of the data. There is a good correlation between the caustic and dynamical mass, estimates as already shown in Fig 8 and 9 for the mocks.

estimates for groups with as few as two members, highlighting the adaptability and strength of this method when combined with a FoF algorithm. We stress the importance of applying the caustic mass algorithm alongside a FoF algorithm; by accurately determining the membership of any given group, we reduce the chances that the caustics may be located incorrectly. The tightness of the contours shown in the first column of Fig. 11 and the large fraction of accurately determined masses given in Table 2 are very convincing

Table 2. Fraction of groups that have a caustic mass estimate that is within a factor of 2 and 5 from the dynamical mass estimate. The error $\epsilon(N_2)$ is defined as $\sqrt{1/N_2 - 1/N_{tot}}$, where N_2 is the number of groups within a factor of 2 and N_{tot} is the total number of groups for a given bin. The number of groups present in each multiplicity bin drops sharply (from thousands of groups to 10 or less) after the first multiplicity bin, drastically lowering the relevance of these statistics.

	$2 \le N_{\rm FoF} \le 4$			$5 \le N_{\rm FoF} \le 9$			$10 \le N_{\rm FoF} \le 19$			$20 \le N_{\text{FoF}} \le 1000$		
	2	5	$\epsilon(N_2)$	2	5	$\epsilon(N_2)$	2	5	$\epsilon(N_2)$	2	5	$\epsilon(N_2)$
$0 \le z_{\rm FoF} \le 0.1$	0.878	0.970	0.010	0.862	0.983	0.030	0.958	1.0	0.030	0.769	1.0	0.152
$0.1 \le z_{\rm FoF} \le 0.2$	0.898	0.977	0.005	0.836	0.904	0.020	0.949	1.0	0.020	0.978	1.0	0.022
$0.2 \le z_{\rm FoF} \le 0.3$ $0.3 \le z_{\rm FoF} \le 0.5$	0.904 0.909	0.979 0.978	0.006 0.009	0.794 0.904	0.997 0.979	0.028 0.034	0.952 0.889	1.0 1.0	0.028 0.118	1.0 1.0	1.0 1.0	0 0



Figure 12. As in Fig. 7, this figure shows the PDF of the logarithm of the mass ratio between the caustic and dynamical mass estimates for all groups with $\sigma^2 \ge 130 \text{ km}^2 \text{ s}^{-2}$. The blue line shows the same distribution but for the bijectively matched FoF mock catalogues with the same velocity cut to illustrate the smaller scatter between the two methods for the real data.

demonstrations that the caustic method is able to perform well even for very low-multiplicity groups.

We wish to draw particular attention to the result shown in Fig. 12 that the ratio between the caustic and dynamical mass estimates in the groups is very sensitive to the application of a velocity cut at $\sigma^2 \ge 130 \text{ km}^2 \text{ s}^{-2}$, as required by the fact that the mocks (and gene the mock group catalogues) have not been analysed with realistic velocity errors. By discarding groups whose velocity dispersions would be badly affected by the inclusion of velocity errors, we ensure that we apply a fair comparison to the group catalogue, which does include velocity errors (and these velocity errors play a crucial part in the calculation of the kernel on which the caustic algorithm depends). Once this cut is applied, we show that the distribution of ratio between the caustic and dynamical masses is consistent between the mocks and groups, particularly for groups with two to four members.

These caustic mass estimates serve to add further credibility to the dynamical mass estimates, as the caustic algorithm is sensitive to the escape velocity profile of a given group out to its full extent. That both dynamical mass and caustic mass estimates for the mocks and the groups give such similar results despite their relative independence from each other is a positive result that not only reinforces the dynamical mass estimates, but also demonstrates the power of the caustic approach when combined with a FoF algorithm.

The G^3Cv1 will be made publicly available on the GAMA website as and when the associated redshift data are made available. Those wishing to use the group catalogue before this time should contact Aaron S. G. Robotham at asgr@st-and.ac.uk. The caustic mass estimation algorithm developed by the author has been written in R (R Development Core Team 2011). A non-GAMA specific version of the algorithm is freely available to use and can be downloaded from http://www.gama-survey.org/pubs/.

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