# 1 Does exercise training prescription based on estimated heart rate training zones exceed

- 2 the ventilatory anaerobic threshold in patients with coronary heart disease undergoing
- 3 usual-care cardiovascular rehabilitation?: A United Kingdom perspective.
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1 Abstract

**Background:** In the United Kingdom (UK), exercise intensity is prescribed from a fixed percentage range (% heart rate reserve; %HRR) in cardiac rehabilitation (CR) programmes. We aimed to determine the accuracy of this approach by comparing it with an objective, threshold-based approach incorporating the accurate determination of ventilatory anaerobic threshold (VAT). We also aimed to investigate the role of baseline cardiorespiratory fitness status, and exercise testing mode dependency (cycle v treadmill ergometer) on these relationships.

9 Design/Methods: A maximal cardiopulmonary exercise test was conducted on a cycle 10 ergometer or a treadmill before and following usual-care circuit training from two separate 11 CR programmes from a single region in the UK. The heart rate corresponding to VAT was 12 compared to current heart rate-based exercise prescription guidelines.

13 **Results:** We included 112 referred patients (61 years [59-63]; body mass index 29 kg·m<sup>-2</sup> 14 [29-30]; 88% male). There was a significant but relatively weak correlation (r=0.32; P=0.001) 15 between measured and predicted %HRR, and values were significantly different from each 16 other (P=0.005). Within this cohort, we found that 55% of patients had their VAT identified 17 outside of the 40-70% predicted HRR exercise training zone. In the majority of participants 18 (45%), the VAT occurred at an exercise intensity <40% HRR). Moreover, 57% of patients with 19 low levels of cardiorespiratory achieved VAT at <40% HRR. Whereas, 30% of patients with 20 higher fitness achieved their VAT at >70% HRR. VAT was significantly higher on the treadmill 21 than the cycle ergometer (*P*<0.001).

Conclusion: In the UK, current guidelines for prescribing exercise intensity are based on a
 fixed percentage range. Our findings indicate that this approach may be inaccurate in a large
 proportion of patients undertaking CR.

25 Word Count: 274 words

Key words: cardiac rehabilitation, exercise prescription, cardiorespiratory fitness,
 ventilatory anaerobic threshold.

1 Introduction

2 Cardiovascular rehabilitation (CR) is a multi-disciplinary secondary prevention programme 3 that has been shown to contribute to reduced hospital admissions, and improvements in 4 patient quality of life, following a cardiac event.(1-4) Historically, a 1% improvement in peak 5 oxygen uptake (VO<sub>2peak</sub>) resulting from exercise-based CR, was thought to confer a 2% reduction in premature mortality.(5) Similarly, every 3.5 ml·kg<sup>-1</sup>·min<sup>-1</sup> increment in VO<sub>2peak</sub> 6 7 has been associated with a 12-13% survival benefit (6, 7) in men referred for exercise 8 testing. Therefore, it is essential that the prescribed dose of exercise is sufficient to 9 stimulate improvements in VO<sub>2peak</sub> following CR. Recent systematic reviews and meta-10 analyses have shown that increased exercise intensity is an important factor in achieving 11 superior outcomes in patients with cardiovascular disease.(8, 9)

12 The prescribed dose of exercise can be influenced by manipulating exercise frequency, 13 duration, type/mode, and/or intensity [exercise dose].(10) In the United Kingdom (UK), 14 current long-term exercise training guidelines for patients undertaking CR, recommend 15 exercise training intensities between 40-70% heart rate reserve (HRR), oxygen uptake 16 reserve (VO<sub>2</sub>R) or a Borg rating of perceived exertion (RPE) between 11-14.(11, 12) Both 17 continuous and interval training at an objective physiological threshold has been shown to have a 18 beneficial impact by improving VO<sub>2</sub>peak.(13) Training at or above the ventilatory anaerobic 19 threshold (VAT), often referred to as the first ventilatory threshold (VT1), indicates the 20 point above which, further increments in work rate are increasingly supplemented through 21 anaerobic metabolism.(14-17) Despite being associated with mild metabolic 22 perturbations, (16, 17) regular exercise bouts conducted at work rates equivalent to VAT are 23 well tolerated,(18) and induce physiological adaptation leading to improved 24 cardiorespiratory fitness (CRF) and other cardiovascular risk factors.(19, 20) However, whilst

work rates corresponding to VAT may represent a minimum intensity needed to improve
CRF, metabolic gas equipment and calibrated ergometers are often not available in a CR
setting in the UK. Prescribing exercise as a percentage of measured HRR, or most typically
estimated HRR, is often a more practical and realistic alternative in UK cardiac rehabilitation
settings.(10)

6 The 40% HRR threshold is cited as the lowest effective exercise intensity for improving CRF 7 in patients undertaking CR.(10, 12) The individual VAT is widely accepted to occur between 8 45-65% HRR in healthy and cardiac patients, (8) with lower values reported in patients with a 9 chronic cardiovascular disease.(10) However, the distribution of VAT values, and its relation 10 to exercise capacity, is unclear in patients undertaking CR. How commonly VAT occurs 11 within discrete exercise intensity ranges is also under-reported in patients with coronary 12 artery disease. Tan et al (21) showed that the mean VAT was equal to 82% of maximal heart 13 rate (HR), in 19 cardiac patients referred for a cardiopulmonary exercise test (CPET) prior to 14 CR, (21). However, the mode of exercise testing may also influence when an individual's VAT 15 occurs.

16 In the UK, the mode of exercise testing varies between CR programmes. This means that a 17 patient's exercise prescription could be based on a number of different submaximal exercise 18 tests, including the 6-min walk test, incremental shuttle walk test, step test, or cycle 19 ergometry. The differing metabolic responses to cycling compared with walking may affect a 20 patient's peak oxygen uptake ( $\dot{V}O_{2peak}$ ), and the occurrence of VAT. This, in turn, may 21 significantly affect the accuracy of exercise intensity prescription. These issues have not 22 been addressed sufficiently within UK guidelines for exercise prescription in CR 23 programmes. This information may help practitioners to optimise a patient's initial exercise

prescription and maximise the improvements associated with exercise training programmes. This is especially important when the frequency and duration of CR sessions are finite. We aimed to determine the accuracy of the standard UK approach for prescribing exercise in patients undertaking CR by comparing it with objective measures of exercise prescription, namely  $\dot{V}O_{2peak}$  and VAT. Secondary aims were to determine how exercise modality (exercise testing with cycle versus treadmill ergometer), and baseline levels of CRF affected the concordance of VAT and HRR measures.

8

## 9 Methods

10 Data was collated from the baseline assessment of two separate cohorts who undertook a 11 maximal effort CPET to volitional exhaustion prior to commencing a CR programme. The 12 methods for these studies have previously been reported.(22, 23) Ethical approval was 13 provided by the Yorkshire and Humber – Sheffield National (12/YH/0072) and Humber 14 Bridge NHS (12/YH/0278) Research Ethics Committees. Briefly, patients were recruited 15 following a referral to CR for angina, myocardial infarction (MI), coronary artery bypass graft 16 (CABG), or percutaneous coronary intervention (PCI). Patients attended a baseline study 17 assessment, where written informed consent was obtained. CPET was conducted on a cycle 18 ergometer following a 25W incremental protocol, or on a treadmill following the modified 19 Bruce protocol,(24) adopting previously outlined test termination and maximal effort 20 criteria.(15, 25) Breath-by-breath metabolic gas exchange data were collected using an 21 Innocor (Innovision, Glamsbjerg, Denmark) or Oxycon-Pro metabolic cart (Jaeger, 22 Hoechburg, Germany), respectively, which were calibrated according to manufacturers' 23 instructions and current recommendations. (26) Peak values were averaged over the final 30

1 seconds of the CPET. VO<sub>2peak</sub> was reported in absolute values (L·min<sup>-1</sup>) and standardised to 2 each patient's body mass (ml·kg<sup>-1</sup>·min<sup>-1</sup>). Individualised VAT was independently determined 3 by two investigators (using the average of the middle five of every seven breaths plotted in 4 the V-slope method, and verified using the ventilatory equivalents.(14, 27) Where 5 investigators reported different VAT values, a third reviewer was consulted and the 6 threshold value agreed by consensus. The VAT was reported in L·min<sup>-1</sup> and ml·kg<sup>-1</sup>·min<sup>-1</sup> and 7 expressed as a percentage of directly-determined and predicted VO<sub>2peak</sub> (28) The HR at VAT 8 was then established and reported as a ratio of HRmax and HRR determined from CPET, and 9 as a ratio of predicted HRmax and HRR with relevant adjustment for the effects of beta-10 blockade on maximal heart rates as follows [10]):

11

12 ((205.8 - (0.685 x age)) – resting heart rate (-30 beats per min if taking beta-blockers)

13

14 To characterise where a patients VAT occurred in relation to established training zones, the 15 VAT values were categorically assigned to exercise intensity groups of <40%, 40-49%, 50-16 59%, 60-69%, 70%, and >70% of measured, and predicted HRR. Adjustment for  $\beta$ -blockades 17 were made where appropriate,(12). We assessed how many patients had a VAT that 18 occurred within the exercise training intensity ranges recommended by UK CR guidelines, 19 namely 40-70% HRR, or an RPE between 11-14.(11, 12) Patients were sub-categorised 20 according to individual CRF levels as low (<5 METs for women, <6 METs for men), moderate 21 (5<7 METs for women, 6<8 METs for men), and high CRF ( $\geq$ 7 METs for women,  $\geq$  8 METs for 22 men), based on exercise capacity (MET) thresholds derived from the international literature 23 and previously applied to cardiac patients in the UK.(29) These sub-groups were then

categorised based on the HRR zone that the individualised VAT occurred within. We also
 conducted sub-analyses on patients who undertook their CPET either on a treadmill or cycle
 ergometer.

4

#### 5 Data analysis

6 Statistical analysis was conducted using SPSS version 24 (IBM, NY, USA). When data was not 7 normally distributed, normalisation of the distribution was attempted using  $\log_{10}$ 8 transformation. Logarithmically transformed data was analysed in its transformed state and 9 reported as an arithmetic mean to allow for meaningful interpretation. Normally distributed 10 and transformed data were analysed using a univariate general linear model with 11 significance set at arbitrary level (*P*<0.05), and is presented as mean (95% confidence 12 intervals), and partial-eta squared ( $\eta_p^2$ ) effect sizes, with 0.01, 0.06, and 0.14 denoting

13 small, moderate, and large effects, respectively (30). For non-normally distributed data, a 14 Mann-Whitney U test was conducted with median and range reported. Categorical data was 15 analysed using a Chi-squared test of independence and reported as percentage and 16 frequency. When ≥1 cell had an expected value <5, the Fisher's exact test was used.</p>

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#### 18 Results

#### 19 Patient Characteristics

20 One-hundred and twelve (*n*=112) cardiac patients were included for analysis (61.3 years 21 [59.4-63.1]; 29.3 kg·m<sup>-2</sup> [28.5-30.1]; 88% male). Forty-two patients (*n*=42; 37.5%) undertook 22 their CPET on a cycle ergometer. Patients on a cycle ergometer achieved 79.1% of their 23 predicted HRmax [74.6-83.6%], an RPE of 18 [17-18], and a peak respiratory exchange ratio

1 (RER) of 1.02 [1.00-1.05). Seventy (n=70) patients undertook CPET on a treadmill. Patients 2 conducting CPET on a treadmill achieved 82.3% [79.7-84.9%] of predicted HRmax), an RPE of 3 17.8 [17.3-18.3], and a peak RER of 1.09 [1.06-1.11]). 77% and 86% of the patients 4 undergoing cycle and treadmill testing, respectively, were prescribed beta-blockers. The 5 majority of patients had a diagnosis of myocardial infarction (MI) with primary (32.5%) or 6 elective (28.9%) PCI. There was a greater prevalence of active smokers (P=0.017) in those 7 that conducted a CPET on a cycle ergometer. There were significant between-group differences for age (P=0.012;  $\eta_{p}^{2}$ =0.054), and resting HR (mean difference 5.8bpm (95% CI 8

9 1.0-10.5bpm) *P*=0.032; Table 1) between the test modality groups. 42 out of 112 patients,
10 were classified within the lower cardiorespiratory fitness group, 50 in the moderate-fit
11 group, and 20 in the high-fit group (Table 2).

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## 14 VAT, HRR zones, and CRF categories

15 Measured HRR (72 ± 15 bpm) derived from maximal CPET demonstrated only a modest 16 correlation with predicted HRR (77.99  $\pm$  20.42bpm) (using current UK CR guidelines (*r*=0.32; 17 P=0.001). However, the directly determined and predicted HRR/peak HR variables were 18 significantly different from each other (mean difference = 6.74bpm (95% CI 2.99-10.49bpm) 19 P=0.001). The VAT occurred within 40-70% of directly determined HRR range in 61.6% of 20 patients. In the remaining 38.4% of patients, 33.9% achieved their VAT at <40% HRR, and in 21 4.5% of patients, their VAT did not occur until >70% HRR. For predicted HRR, VAT occurred 22 within 40-70% HRR in 44.6% of patients. Of the remaining 55.4% of patients, 45.4% achieved 23 VAT at <40% HRR, and 9.8% at >70% HRR (Table 2).

1 The VAT occurred between 40-70% of predicted HRR in 21.4% of patients undertaking 2 cycling exercise. The majority (76.2%) of patients exceeded the VAT at <40% HRR. For 3 patients undertaking CPET on a treadmill, 58.5% of patients had a VAT that occurred 4 between 40-70% of predicted HRR, and 27.1% had a VAT that occurred at <40% HRR. 5 Interestingly, the VAT occurred between 40-70% of predicted HRR in 35.8% of patients that 6 were categorised as having a low CRF. 57.1% of patients exceeded their VAT at <40% of 7 their HRR. For higher-fit patients, VAT occurred between 40-70% of predicted HRR in 50% of 8 patients, at <40% HRR in 20%, and >70% HRR for the remaining 30% of patients (Table 2).

9 Figure 1 shows the inter-quartile range for VAT as a percentage of predicted HRR, based on 10 CRF category, and exercise testing modality. The VAT occurred at a higher percentage of 11 VO<sub>2peak</sub> in patients with a higher CRF. This observation was also evident when CPET was 12 conducted on a treadmill for all CRF categories, but most apparently in the moderate and 13 high-fit groups.

14

### 15 Directly measured compared with predicted cardiorespiratory fitness variables

Mean VO<sub>2peak</sub> was not significantly different between exercise modality groups in absolute units (*P*=0.644;  $\eta_p^2$ =0.002), or relative to body mass (*P*=0.359;  $\eta_p^2$ =0.008) (Table 3). However, absolute (*P*=0.027) and relative (*P*=0.001) VAT was significantly different across the different CRF groups. VAT occurred at a higher percentage of predicted (*P*=0.003;  $\eta_p^2$ =0.08) and measured VO<sub>2peak</sub> (*P*<0.001;  $\eta_p^2$ =0.151), and HRR (*P*<0.001;  $\eta_p^2$ =0.132) in patients exercising on the treadmill. Measured HRR (*P*=0.012;  $\eta_p^2$ =0.056), and HR at VAT (*P*=0.016;  $\eta_p^2$ =0.052) were significantly higher in the treadmill group. There was a significant between-group difference for predicted HRmax adjusted for β-blockade (*P*=0.003; Table 4). However, there was no difference in predicted HRR (*P*=0.863  $\eta_p^2$ =<0.001) or VO<sub>2peak</sub> between groups (*P*=0.815,  $\eta_p^2$ <0.001). Figures 2a and 2b highlight individual case studies which demonstrate how the predicted HRR method can either over- or under- estimate individualised exercise prescription versus directly determined HRR and VAT.

6

## 7 Discussion

8 This study aimed to determine the accuracy of the standard UK approach for prescribing 9 exercise in patients undertaking CR. This method of determining target heart rates for 10 exercise training in cardiac patients relies largely on predictive methods for determining 11 maximal HR (including patients taking beta-blockade). We sought to compare it with a more 12 objective measure of exercise prescription, namely the VAT derived from respiratory gas 13 exchange during a maximal CPET. Our findings indicate that current UK CR exercise 14 prescription guidelines appear susceptible to substantial inaccuracy with more than half of 15 our cohort achieving a VAT outside the recommended target range of 40-70% HRR. We 16 found that 45% of patients had VAT identified at <40% HRR, and in 9% of patients, VAT was 17 identified at >70% HRR, suggesting that the required exercise intensity spectrum is wider 18 than the recommended 40-70 HRR%.

When considering baseline cardiorespiratory fitness, the proportion of patients whose VAT occurred outside the guidelines increased. 57% of low-fit patients achieving VAT at <40% HRR, and 30% of high-fit patients achieving VAT at >70% HRR, confirming that VAT occurs later with increasing CRF in cardiac patients.(31) For those who achieved VAT at <40% HRR,

their exercise prescription may overly exceed VAT and prove too challenging, whilst for those that achieve VAT >70%HRR, their prescription is unlikely to induce a training stimulus and prove too easy. We speculate that this may contribute to the 23% attrition rate recently reported in UK CR,(32) as some patients overly exceed their training stimulus (i.e. low fit patients), which may be uncomfortable, whilst some do not reach it, thus providing minimal benefit (i.e. high fit patients), both of which may cause patients to discontinue CR.

7 Therefore, a one size fits all approach, relying on predictive methods for maximal HR and 8 estimated HRR to prescribe exercise appears ineffective. Exercise prescription within cardiac 9 rehabilitation settings needs to be more accurate, patient specific and fine-tuned, ideally 10 based on ventilatory markers, actual HRR and baseline fitness category determined via 11 CPET.(33) One option could be to shift from 'range-based' to 'threshold-based' CR exercise 12 prescription, with moderate-high intensity exercise, corresponding to work rates between 13 VAT and critical power, being recommended.(17) Based on the current data, CPET would aid 14 prescription to ensure that *all* patients achieved VAT during CR, whilst also ensuring it is not 15 overly exceeded. This is important given that certain cardiac patients, namely those who 16 may be more deconditioned, often perform activities of daily living at levels of VO<sub>2</sub> that 17 exceed VAT.(34) Therefore, exercising in steady-state conditions above VAT is vital for these 18 patients, but may not be possible if it is exceeded. In the late 1970s, limitations in the 19 relative percent method (i.e %HRR) for prescribing exercise intensity were identified, with a 20 study by Katch et al showing this method failed to consider individual metabolic 21 differences, (35) yet it is still a recommended approach today. (8,10) More recent 22 investigations have proposed a more individualised exercise prescription based on 23 ventilatory thresholds to personalise individualised training load based on metabolic 24 responses.(36, 37) Recently, Weatherwax et al reported that in sedentary adults, 12 weeks

of aerobic exercise training based on an individualised exercise prescription using VAT had a greater effect on the incidence of training response compared to a standardised approach using HRR. While the exact mechanisms are still not entirely understood, it is believed that exercise intensity prescribed with the use of ventilatory thresholds takes into consideration individual metabolic characteristics which are overlooked when using relative percent methods.(38)

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8 The current data also indicate that VAT is mode-dependent for the overall cohort and across 9 all three CRF categories. Similar to previous suggestions,(17) VAT occurred at around 50% 10 HRR on the treadmill but is 12-15% lower on the cycle. A similar relation has also been 11 observed in patients with chronic heart failure.(39) This mode dependency is also evident in 12 terms of predicted HRR zones, which are adopted in most UK CR centres, with >75% of 13 patients on a cycle ergometer achieving VAT at <40% HRR, compared with just 27% of 14 patients exercising on a treadmill. Previous research has identified a VAT mode dependency 15 in cardiac patients based on VO<sub>2.</sub>(40) The current results differ somewhat as they show a 16 mode dependency for patients who are yet to begin as opposed to those who have finished 17 CR. Furthermore, in the current study this mode dependency is expressed using HRR, which 18 is adopted in most CR centres, rather than VO<sub>2</sub>.

19 UK CR is provided by the state-funded National Health Service, unlike CR operating in other 20 international and EU countries,(15) the integration of CPET equipment is not currently 21 incorporated into most UK centres and may prove to be prohibitive.(41) Another possible 22 solution could be to increase the upper intensity limit of exercise prescription in line with 23 international guidelines at 80% HRR, especially for patients in a higher fit category.(10, 42)

1 Of the 10 patients whose VAT occurred at >70% HRR, 6 achieved VAT at <80% HRR. This 2 suggests that increasing the upper range of exercise prescription guidelines could be helpful 3 to a small cohort of patients, and provide greater scope for training progression in those 4 that could tolerate it; aligning UK guidelines closer to those seen internationally.(43) This 5 does not however, address the issue for those who achieved VAT at <40%. A further 6 alternative to personalise exercise prescription across the whole spectrum would be to 7 identify the HR range corresponding to an RPE of 11-13, given that VAT has been shown to 8 occur around this point (44, 45). Submaximal testing is routinely performed in UK CR and 9 identification and utilisation of the HR between these points during testing could ensure 10 more patients are exercising at or around the VAT. One caveat to such an option is that RPE 11 is a subjective tool, meaning that appropriate anchoring of key values would be required for 12 each patient, and this would need to be applied consistently within and between each CR 13 centre in the UK.

14 To be able to confidently prescribe an individualised exercise programme in a safe and effective manner can be challenging in a cardiac population. The healthcare professional 15 16 must be able to account for medication usage, presence of non-CV co-morbidities, and for 17 example, adverse events during exercise testing. Hansen and colleagues [46] showed 18 significant inter-clinician variance in prescribing exercise for patients with different CVDs, 19 highlighting the challenges posed. Further training and education is key, however, digital 20 resources are available to assist practitioner decision-making processes. For example, the 21 European Association of Preventive Cardiology recently developed the Exercise Prescription 22 in Everyday Practice and Rehabilitative Training (EXPERT) tool.[47] The EXPERT tool is an 23 interactive, digital training and decision support system that assists healthcare professionals 24 in prescribing clinically effective and medically safe exercise training programmes for CVD

1	patients. The adoption of tools such as EXPERT should be more widely encouraged and
2	facilitated to support decision making processes around exercise prescription in cardiac
3	populations. The impact of their utility within clinical practice could then be audited to
4	determine changes in efficacy.

5

### 6 Limitations

The key limitation is that the two groups are made up of separate patients who varied on
some baseline characteristics. Ideally, all patients would have completed a CPET using both
modalities to reduce any individual effect.

10

### 11 Conclusion

12 To our knowledge, this is the first study of its kind to explore VAT in terms of prescribed HRR 13 zones for cardiac patients to identify the accuracy of current UK CR exercise prescription 14 guidelines. For a large proportion of patients, the guidelines are inaccurate with many 15 patients achieving VAT at <40% HRR, meaning their exercise prescription may be overly 16 challenging. Conversely, 30% of high-fit patients achieved VAT at >70% HRR, meaning their 17 prescription may be too conservative to provide a stimulus. This under/over-prescription 18 may lead patients to unnecessarily discontinue their CR (see Figures 2a and 2b). Therefore, 19 for UK CR, a one size fits all approach is ineffective and a shift from predictive equations and 20 submaximal exercise tests to gold-standard CPET on entry to CR would be required to 21 improve exercise prescription. However, this may not be viable for a number of reasons, 22 meaning that adoption of less conservative guidelines could provide a solution to ensuring 23 that a larger proportion of patients achieve a training stimulus. Furthermore, although

VO<sub>2peak</sub> did not demonstrate a mode dependency, VAT did. This suggests that it may be necessary to conduct a CPET using both modalities, or tailor exercise prescription based on the modality used. Future research could confirm this mode dependency for HRR at VAT in cardiac patients by testing the same group of patients twice, once during each modality.

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- Both SP and SN have contributed equally to this manuscript, therefore we would like them
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  drafted the manuscript. SB, JP, SC, LI critically reviewed the manuscript. All gave final approval
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# Table 1. Clinical characteristics of patients grouped by exercise modality

Mean (95% CI) <sup>+</sup> = median and ranges

Variable	Pooled (cycle and treadmill data)	Cycle	Treadmill	P-value	Partial eta- squared
Sex (male/female)	100/14 (87.70% male)	40/4 (90.0% male)	60/10 (85.7% male)	0.411	
Age (years)	61.25 (95% CI; 59.35 to 63.14)	63.13 (95% CI; 60.75 to 65.51)	58.25 (95% Cl; 55.21 to 61.29)	0.012*	0.054
BMI (kg/m²)T	29.30 (95% CI; 28.54 to 30.07)	30.1 (95% CI; 28.8 to 31.44)	28.80 (95%Cl; 29.74 to 27.90)	0.101	0.024
Resting SBP (mmHg) <b>Tr</b>	131.55(95% CI; 127.94 to 135.27)	139.57 (95%Cl 134.39 to 144.95)	126.74(95% CI; 122.18 to 131.46)	0.001**	0.099
Resting DBP (mmHg)†	83 (60 to 149)	85.50 (62 to 104)	82 (60 to 149)	0.09	
LVEF (%)	55.77 (95% Cl; 54.34 to 57.20)	57.05 (95%CI; 54.35 to 59.75)	54.99 (95%Cl; 53.35 to 56.62)	0.167	0.017

Resting HR	60 (42 to 95)	64 (44 to 95)	56 (42 to 91)	0.008**
(bpm)†	00 (42 (0 55)	04 (44 (0 55)	50 (42 (0 51)	0.008

BMI, Body mass index. kg·m<sup>-2</sup>, kilogram per metre squared. SBP, systolic blood pressure. mmHg, millimetres of mercury. DBP, diastolic blood pressure. LVEF, left ventricular ejection fraction. HR, Heart Rate. Bpm, beats per minute.

\*P<0.05, \*\*P<0.01, \*\*\*P<0.001. +, Variables are reported as median (minimum and maximum) values and analysed using a non-parametric test.

Tr, transformed using log<sub>10</sub> transformation and reported as arithmetic mean for meaningful interpretation.

	Number of patients (%)			
Predicted HRR threshold	Pooled cycle and treadmill	Cycle	Treadmill	
<40% predicted HRR	51 (45.4%)	32 (76.2%)	19 (27.1%)	
40-49% predicted HRR	24 (21.4%)	5 (11.9%)	19(27.1%)	
50-59% predicted HRR	15 (13.4%)	4 (9.5%)	11 (15.7%)	
60-69% predicted HRR	11 (9.8%)	0	11(15.7%)	
>70% predicted HRR	11 (9.8%)	1 (2.4%)	10(14.3%)	
Total within 40-70% HRR	44.6%	21.4%	58.5%	

**Table 2.** The occurrence of VAT in relation to predicted HRR training zones, stratified by exercise modality and baseline CRF levels

	Bas	eline CRF category	
	Low Fit	Mod Fit	High Fit
<40% predicted HRR	24 (57.1%)	23 (46%)	4 (20%)
40-49% predicted HRR	11 (26.2%)	11 (22%)	2 (10%)
50-59% predicted HRR	2 (4.8%)	8 (16%)	5 (25%)
60-69% predicted HRR	2(4.8%)	6 (12%)	3 (15%)
>70% predicted HRR	3 (7.1%)	2(4%)	6 (30%)
Total within 40-70% HRR	35.8%	50%	50%

Predicted heart rate reserve using current guidelines, accounting for beta-blockade. Baseline fitness category based on Taylor et al. (2016); low fit <5 METs for women and <6 METs for men, mod fit = 5<7 METs for women and 6<8 METs for men, high fit ≥7 METs for women, and ≥8 METs for men. VAT, ventilatory anaerobic threshold. HRR, heart rate reserve. MET, metabolic equivalent where 1 MET = 3.5ml·kg-<sup>1</sup>·min-<sup>1</sup>.

Table 3. Cardiorespiratory data based on maximal CPET in patients using cycle and treadmill exercise modalities

	Pooled	Cycle	Treadmill	P-value	Partial eta- squared
VO₂ <sub>peak</sub> (L∙min-¹)	2.00 (95% Cl; 1.88 to 2.11)	2.03 (95% Cl; 1.82 to 2.25)	1.98 (95% Cl; 1.83 to 2.12)	0.644	0.002
VO <sub>2peak</sub> (ml·kg <sup>-1</sup> ·min <sup>-1</sup> ) <b>Tr</b>	22.12 (95% CI; 19.8 to 24.7)	21.43 (95% CI; 18.0 to 25.5)	22.55 (95%Cl; 19.7 to 25.8)	0.359	0.008
HRmax (bpm)†	137 (88 to 181)	131 (88 to 181)	139 (88 to 169)	0.32	
HRR (bpm)	71.5 (95% Cl; 67.7 to 75.4)	65.1 (95% Cl; 58.9 to 71.3)	75.43 (95% CI; 70.69 to 80.17)	0.009*	0.061
VAT (ml·kg <sup>-1</sup> ·min <sup>-1</sup> ) †	13.1 (8.2 to 29.7)	13.3 (8.2 to 26.0)	16.6 (8.6 to 30.0)	0.001***	
VAT (L·min-¹) †	1.3 (0.7 to 2.5)	1.15 (0.7 to 2.0)	1.35 (0.7 to 2.5)	0.027*	
HR at VAT (bpm)	94 (95% Cl; 91 to 97)	90 (95% Cl; 85 to 94)	97 (95%Cl; 93 to 101)	0.016*	0.05
VAT (% of $VO_{2peak}$ )	67.5 (95%Cl; 65 to 70)	61.3 (95%Cl; 58 to 65)	71.1 (95%Cl; 68 to 74)	<0.001***	0.151
VAT (% of predicted VO <sub>2peak</sub> ) <b>Tr</b>	56.8 (95%Cl; 52 to 63)	51.8 (95%Cl; 45 to 60)	60.1 (95%Cl; 53 to 68)	0.003**	0.08

VAT (% of HRR)	45.90 (95%Cl; 43 to 49)	39.45 (95%CI; 35.6 to 43.3)	49.77 (95%Cl; 46.5 to 53.0)	<0.001***	0.129
VAT (% of HRmax)	71.58 (95%Cl; 70.1 to 73.1)	69.81 (95%CI; 66.9 to 72.7)	72.64 (95%CI; 70.9 to 74.4)	0.072	0.029

CPET, cardiopulmonary exercise test.  $VO_{2Peak}$ , Peak oxygen consumption. HRmax, maximum heart rate. Bpm, beats per minute. HRR, heart rate reserve. VAT = ventilatory anaerobic threshold. HR, heart rate. \**P*<0.05, \*\**P*<0.01, \*\*\**P*<0.001. \*,Variables are reported as median (minimum and maximum) values analysed using a non-parametric test. **Tr**, transformed using  $log_{10}$  transformation and reported as arithmetic mean for meaningful interpretation.

	Pooled	Cycle	Treadmill	P-value	Partial eta- squared
Predicted HRmax (adjusted for β-blockade; bpm) †	136 (118 to 174)	138 (126 to 174)	134 (118 to 167)	0.009**	
VAT (% of predicted HRmax adjusted for β-blockade)	67.97 (65.86 to 70.07)	62.74 (59.68 to 65.80)	71.10 (68.50 to 73.71)	<0.001* *	0.131
Predicted HRR (adjusted for β- blockade; bpm)	77.85 (95%Cl; 75.04 to 80.66)	77.93 (95% Cl; 73.19 to 82.68)	77.8 (95%Cl; 74.24 to 81.36)	0.965	<0.001
VAT (% of Predicted HRR adjusted for β- blockade)†	40.35 (9.57 to 87.93)	30.49 (9.57 to 69.23)	47.06 (12 to 87.93)	<0.001* **	
Predicted VO <sub>2Peak</sub> (ml·min <sup>-1</sup> )	2272.14 (95% CI; 2184.11 to 2360.17)	2258.79 (95% Cl; 2114.05 to 2403.53)	2280.35 (95%Cl; 2166.68 to 2394.01)	0.815	<0.001
VO <sub>2Peak</sub> (% of Predicted VO <sub>2Peak)</sub>	87.85 (95%Cl; 84.11 to 91.58)	89.99 (95%Cl; 82.64 to 97.35)	86.56 (95% CI; 82.40 to 90.72)	0.380	0.007

# Table 4. Relation between predicted and measured variables stratified by mode of exercise

HRmax, maximal heart rate. Bpm, beats per minute. VAT, ventilatory anaerobic threshold. HRR, heart rate reserve. VO<sub>2Peak</sub>, Peak oxygen consumption

\*P<0.05, \*\*P<0.01, \*\*\*P<0.001. †, Variables are reported as median (minimum and maximum) values and analysed using a non-parametric test.



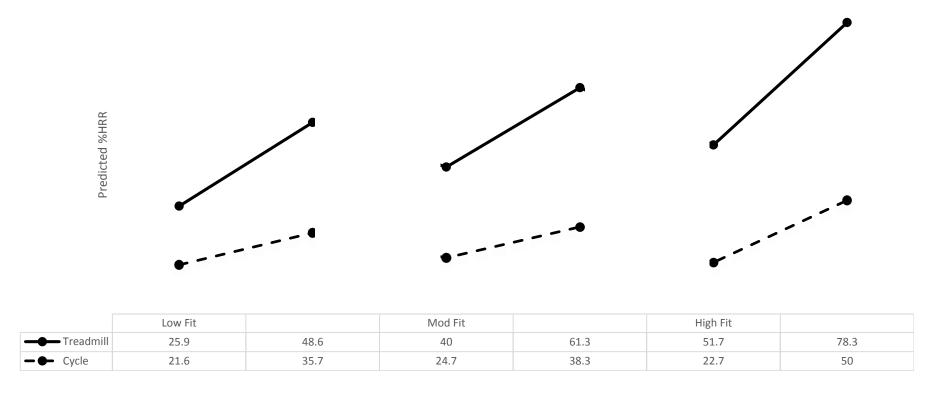
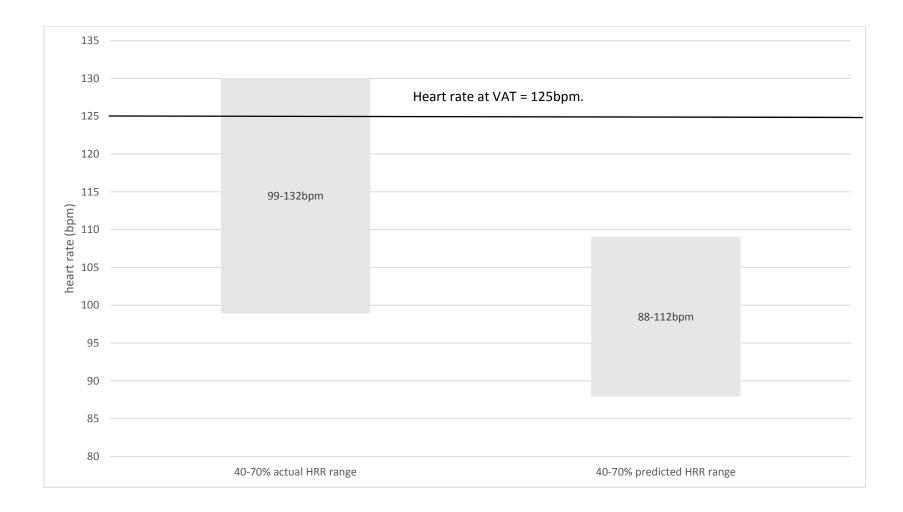
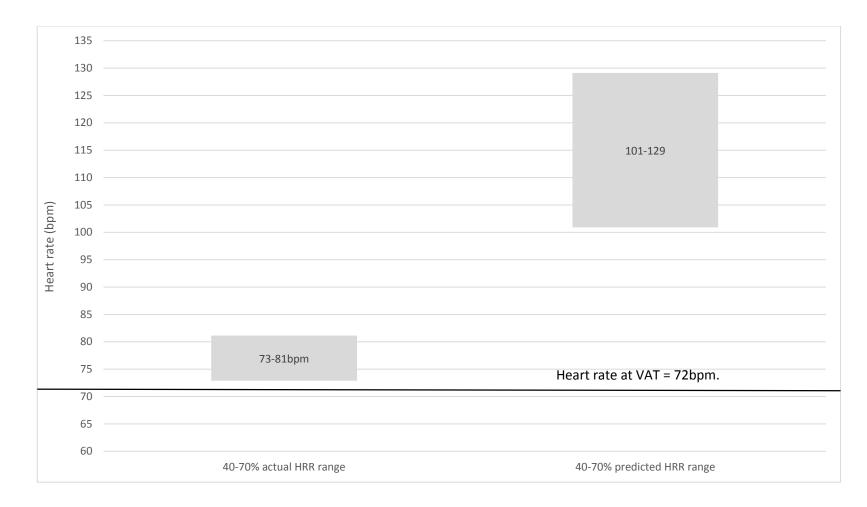


Figure 1. Inter-quartile range of VAT identification based on predicted HRR (% range) in cardiac patients separated by exercise modality and CRF category

Predicted HRR using current guidelines, accounting for beta-blockade. Baseline CRF category based on Taylor et al. (2016); low fit <5 METs for women, and <6 METs for men, mod fit 5<7METs for women, and 6<8 METs for men, high fit  $\geq$ 7 METs for women, and  $\geq$ 8 METs for men. VAT, ventilatory anaerobic threshold. HRR, heart rate reserve. MET, metabolic equivalent where 1 MET = 3.5ml·kg<sup>-1</sup>·min<sup>-1</sup>.



**Figure 2a.** A case study highlighting how the 40-70% HRR prediction equation may under-estimate individualised exercise prescription. A 58 year-old male taking beta-blockers with a BMI of 24.8, VO2peak of 35.28 ml·kg<sup>-1</sup>·min<sup>-1</sup> in the high fitness category. CPET was conducted on a treadmill. Solid line corresponds to heart rate at ventilatory anaerobic threshold, which is 125bpm.



**Figure 2b.** A case study highlighting how the 40-70% HRR prediction equation may over-estimate individualised exercise prescription. A 71 year-old male not taking beta-blockers with a BMI of 25.8, VO2peak of 13.82 ml·kg<sup>-1</sup>·min<sup>-1</sup> in the low fitness category. CPET was conducted on a cycle. Solid line corresponds to heart rate at ventilatory anaerobic threshold, which is 72bpm.