1	Terrestrial structure-from-motion: spatial
2	error analysis of roughness and
3	morphology
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24 Abstract

25 Structure-from-Motion (SfM) photogrammetry is rapidly becoming a key tool for morphological characterisation and change detection of the earth surface. 26 This paper demonstrates the use of Terrestrial Structure-from-Motion (TSfM) 27 28 photogrammetry to acquire morphology and roughness data at the reach-29 scale in an upland gravel-bed river. We quantify 1) spatially-distributed error in 30 TSfM derived Digital Elevation Models (DEMs) and 2) identify differences in 31 roughness populations acquired from TSfM photogrammetry versus TLS. We 32 identify an association between local topographic variation and error in the 33 TSfM DEM. On flatter surfaces (e.g. bar and terrace surfaces), the difference 34 between the TSfM and TLS DEMs are generally less than ±0.1 m. However, 35 in areas of high topographic variability (>0.4 m) such as berm or terrace 36 edges, differences between the TSfM and TLS DEMs can be up to ±1 m. Our 37 results suggest that grain roughness estimates from the TSfM point cloud 38 generate values twice those derived from the TLS point cloud on coarse berm 39 areas, and up to four-fold those derived from the TLS point cloud over finer 40 gravel bar surfaces. This finding has implications when using SfM data to 41 derive roughness metrics for hydrodynamic modelling. Despite the use of 42 standard filtering procedures, noise pertains in the SfM DEM and the time 43 required for its reduction might partially outweigh the survey efficiency using 44 SfM. Therefore, caution is needed when SfM surveys are employed for the 45 assessment of surface roughness at a reach-scale.

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47 <u>Keywords:</u> Digital Elevation Model (DEM), error, roughness, SfM
48 photogrammetry, Terrestrial Laser Scanning (TLS)

50 **1. Introduction**

51 The last ten years have seen a step-change in our ability to capture data 52 remotely for geomorphological and hydrological applications (Entwistle et al., 2018). In fluvial geomorphology, Terrestrial Laser Scanning (TLS) has 53 54 established itself as a key tool in the retrieval of data that allows detection of 55 morphological change at high resolution at the reach-scale (Milan et al., 2007, 56 Heritage and Milan, 2012; Wheaton et al., 2013), and in the characterisation 57 of grain-scale topographic and roughness data over dry (Heritage and Milan, 58 2009; Hodge et al., 2009; Huang and Wang, 2012), and submerged (Smith et 59 al., 2012; Miura and Asano, 2015) gravel surfaces capturing complex spatial 60 patterns and changes after floods (Milan et al., 2009).

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62 More recently, however, Structure-from-Motion (SfM) photogrammetry has 63 emerged as a more cost-effective alternative to TLS with the ability to retrieve high density point cloud data for a range of geomorphological applications 64 65 (Westoby et al., 2012; Fonstad et al., 2013; Smith et al., 2015; Dietrich, 2016; 66 Carrvick and Smith, 2019), with most studies employing the technique from an 67 unmanned drone (e.g. Marteau et al., 2017; Carbonneau and Dietrich, 2017; 68 Entwistle and Heritage, 2017). Photogrammetry is well established in 69 geomorphology (Lane et al., 1993; Barker, et al., 1997; Butler et al., 1998; 70 Heritage et al., 1998; Chandler, 1999; Westaway et al., 2001), as a rapid 71 survey technique that can be used to generate highly accurate grain-scale 72 DEMs (Wang et al., 2015). SfM photogrammetry utilises mathematical models 73 derived from early photogrammetry studies, including coplanarity and

74 collinearity, and self-calibrating bundle adjustment (Kenefick et al., 1972; Faig, 75 1975; Ullman, 1979). The emergence of SfM photogrammetry has also been accompanied with the development of software (Snavely et al., 2006; Lague 76 77 et al., 2013) capable of merging large digital image datasets, and the 78 development of algorithms capable of producing dense point clouds from the 79 imagery (Buscombe, 2016). SfM photogrammetry has been shown to produce 80 reliable data for DEM production when survey design such as photo overlap, 81 camera angle, distribution of ground control points, and environmental 82 conditions is appropriate (see James and Robson, 2012 and James et al., 2017a for details) or corrections are applied during processing (James and 83 84 Robson, 2014). Additional corrections such as for refraction at the water 85 surface even allows construction of high quality DEMs from submerged areas 86 of the bed (e.g. Woodget et al., 2015; Entwistle and Heritage, 2017; Dietrich, 87 2017). Retrieval of grain size and roughness data using SfM photogrammetry 88 is a recent further development (Langhammer et al., 2017; Woodget and 89 Austrums, 2017; Pearson et al., 2017; Woodget et al., 2018). The ability to 90 retrieve morphology data from dry and submerged parts of the bed, and grain 91 roughness information, allows for seamless surveys of the aquatic 92 environment that may not be achieved using red-wavelength LiDAR systems, 93 thus providing new opportunities for assessing spatial patterns in sediment 94 budgets at the reach-scale, and improved hydrodynamic modelling within river 95 systems.

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97 Despite the increasing number of studies deploying SfM photogrammetry from
98 unmanned drones, the challenges that exist when using this platform have

99 received only limited attention. A number of potential issues exist (e.g. Duffy 100 et al. 2017) as follows. 1) Access to a drone and a trained operator requires 101 considerable initial cost and reliance on the availability of the drone operator. 102 2) The trained drone operator may not always be familiar with geomorphological or hydrological processes, and may therefore not capture 103 104 the required information to the satisfaction of the geomorphologist. 3) Time needs to be taken for pre-flight planning of the site (Duffy et al., 2017). 4) 105 106 Flights need to comply with local legislation, and permissions may not always 107 be granted to fly at certain sites, and may take considerable time before being secured. It may therefore not be possible to retrieve data at short notice, as is 108 109 often required in fluvial and hydrological projects (e.g. during or immediately 110 after a flood event). Furthermore, drone flights are not possible at all in no-fly 111 zones. 5) Weather conditions may not be suitable for drone flights. For 112 example, it may not possible to deploy a drone during high wind speeds, yet 113 still possible to take photographs form a terrestrial platform. 6) Shadow and sun angle effects caused by vegetation or coarse sediment can be 114 115 problematic. 7) Drone battery life may limit photograph data retrieval, 116 particularly when working in remote areas, where it may be difficult to 117 recharge batteries. As a consequence, deployment of SfM photogrammetry 118 from a terrestrial platform (TSfM) could offer a more reliable and cost-effective 119 alternative in some instances. Indeed, some sites with steep slopes and nearvertical surfaces, such as river banks and landslides, might be more suitable 120 121 for ground-based approaches (Westoby et al., 2012).

123 Although SfM has made it easier for non-specialists to use photogrammetry 124 for landform measurement and change detection, this simplification has 125 resulted in the introduction of new types of measurement errors, previously 126 precluded by the strict application of camera calibration techniques and other classical 127 controls photogrammetry. Studies quantifying in SfM 128 photogrammetric errors, particularly at the reach-scale are lacking, largely due to the difficulties in acquiring suitable control datasets. Assessing the 129 130 accuracy of SfM-derived point clouds and DEMs and appropriate error 131 analyses are fundamental to the success of the approach in geomorphological change detection studies (e.g. Hugenholtz et al., 2013; Javernick et al., 2014; 132 133 Entwistle and Heritage, 2017; James et al., 2017a; Cook, 2017), and grain 134 size assessment (Westoby et al., 2015). Although SfM photogrammetry can 135 have geometric distortion issues (e.g. James et al., 2017a), occlusion is less 136 of an issue due to the multi-view geometry achieved thanks to the high 137 number of photograph loci. In contrast, TLS does not suffer from systematic warping, although can suffer from occlusion issues, particularly when 138 139 insufficient scans are taken with adequate overlap. In this paper we use a 140 TLS-derived DEM as ground-truth data to assess the spatial distribution of 141 SfM photogrammetric error. This paper aims to 1) interrogate spatial error in 142 both morphology and grain roughness data, and 2) critically evaluate the ability of SfM photogrammetry with a terrestrial platform (TSfM) to capture 143 morphology and roughness data. 144

145

146 **2. Study site**

147 This investigation focused on a 500 m reach of the Thinhope Burn, a small 148 tributary catchment to the River South Tyne situated in the north Pennines in 149 Cumbria, UK (OS National grid reference NY680550, latitude 54° 52' 48.31" 150 N, longitude 2º 31' 09.57" W, 180-595 m Above Ordnance Datum, catchment area 12 km²; Fig. 1). The river here is a sinuous single thread channel, 151 152 displaying pool-riffle and rapid morphology, with a mean bed slope of 0.031 m m⁻¹. The role of high flow events is significant in this catchment, with coarse 153 154 berm deposits with a typical D_{50} of 200 mm mobilised by infrequent 155 catastrophic events (Macklin et al., 1992; Milan, 2012), and finer more mobile deposits ($\sim D_{50}$ 30 mm) in the annually inundated areas of the channel making 156 157 up the bed and point bars that are typically reworked by winter high flow 158 events. The channel at this location has a Strahler (1952) stream order of 3, 159 and drains a catchment underlain by Carboniferous sandstones, limestones, 160 and shales, overlain by glacial diamicton. In the headwaters of the catchment, 161 peat overlays the diamicton with depths of up to 2 m. The variety of grain sizes and morphological units in the reach provided an excellent opportunity 162 to test the utility of TSfM photogrammetry to detect fluvial form and 163 164 roughness.

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The morphological development of Thinhope Burn over the Holocene and the more recent flood history has been reconstructed by Macklin et al. (1992), where three phases of incision were identified over the late Holocene, resulting in the formation of a series of terraces. Superimposed on these terraces were a series of boulder berm deposits, which Macklin et al. (1992) linked to 21 different large flood events occurring post 1766. In 2007, a large 172 flood event caused significant mobilisation to the valley floor, fully reworking 173 many of the old berms reported in Macklin et al. (1992), however depositing 174 new berms and reconfiguring channel morphology (Milan, 2012; Milan and 175 Schwendel 2019).

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177 **3. Methods**

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179 **3.1.** Field based approach

180 Smith (2015) reviewed TLS error sources, highlighting random and systematic instrument errors, error relating to the imaging geometry, the nature of the 181 182 reflecting surface (e.g. shiny versus dull objects), environmental errors (e.g. 183 atmospheric conditions), and methodological error (including registration and 184 georeferencing errors) as possible sources. Despite this, TLS is still 185 considered to currently be the best method available for producing accurate 186 point clouds and DEMs, and has been shown to produce DEMs with millimetric accuracy which have been used for morphological and boundary 187 188 roughness characterisation and change detection in a range of fluvial studies 189 (e.g. Milan et al., 2007; Hodge et al., 2009; Williams et al., 2014). TLS has 190 also been used to produce 'control' DEMs whereby the spatial error found in 191 other survey techniques can be quantified (e.g. Heritage et al., 2009; Nadal-192 Romero et al., 2015). A GLS 2000 red-pulse TLS (Topcon Corporation, 193 Tokyo, Japan) was used to gather sub-aerial data for the control DEM in this 194 study. Eight overlapping scans were taken of the 500 m reach of Thinhope 195 Burn from the valley sides and high terraces, where clear unobstructed views to the reach were available (Fig. 2). A series of overlapping tiepoints were 196

197 surveyed, allowing the scans to be merged using Scanmaster software 198 (Topcon Corporation, Tokyo, Japan). Topcon (2019) report a 'single point 199 accuracy of 3.5 mm surveyed between 1 and 150 m (1 σ) away from the 200 scanner (as in this study), with a spot size of 4 mm at 20 m.

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In union with the TLS survey, a total of 365 overlapping photographs were 202 203 taken from 55 vantage points overlooking the channel (Fig. 2), using a Lumix 204 TZ30 camera (Panasonic Corporation, Osaka, Japan). Thirty-six Ground Control Points (GCPs), scattered throughout the study site (Fig. 2), were used 205 206 to help merge the photographs and produce a point cloud using Agisoft 207 Photoscan software (Agisoft LLC, St. Petersburg, Russian Federation). 208 Overlap between individual adjacent images was >70%, with all parts of the 209 valley floor covered from at least nine camera stations. The average distance 210 between the camera stations and the study area was 72.5 m with a total area of 0.036 km² covered. Both the tiepoints for the TLS survey and the GCPs 211 212 were surveyed using a Leica dGPS 1200 (Leica Geosystems, Heerbrugg, 213 Switzerland), allowing both point clouds to be georeferenced into the same 214 coordinate system. The reported static accuracy of post-processed dGPS 215 data is 5 mm + 0.5 ppm for horizontal, and is 10 mm + 0.5 ppm for vertical 216 (Leica, 2008). Whilst the photogrammetric survey was carried out over a little 217 more than one hour, the scanning required a full day.

218

219 **3.2.** Data analysis and processing

The images taken were aligned and underwent the Scale-Invariant-Feature-Transform (SIFT) algorithm using high accuracy setting in Photoscan. The 222 sparse SFM point cloud (1777170 points) was subject to removal of points 223 that did not suffice certain criteria (e.g. reprojection error) which reduced the 224 sparse cloud by 7.5%. This resulted in an RMSE value of all tie points on all 225 images of 1.76 pixels with an effective ground resolution of 8.93 mm per pixel, 226 and ensured every point was projected based on the overlap of more than 227 nine images. After application of the Multi-View Stereo (MVS) algorithm to the sparse SFM cloud, both, the dense TSFM and the TLS point cloud, underwent 228 229 manual and automated low pass filtering (search radius 1 m, maximal 230 variation in elevation 2 m and angle of <30° between a ground class point and a preliminary ground surface consisting of the lowest point in each search) in 231 232 order to remove outlying points below and above the actual ground surface. 233 The TSfM-derived point cloud was additionally classified by pixel colour in 234 order to identify vegetation and points scattered below the coherent layer of 235 ground surface points (i.e. the latter as identified by their grey gravel colour). This resulted in a point density of 1237 m⁻² and 7322 m⁻² for the TLS and 236 237 TSfM clouds respectively. These clouds were subsequently reduced to the valley floor and the channel area. DEMs were produced in Surfer (Golden 238 239 Software, Golden, USA) using triangulation with linear interpolation as the 240 interpolation algorithm (Schwendel et al., 2012), with a grid spacing of 0.1 m 241 for the entire reach and 0.05 m for separately investigated patches within the 242 reach.

243

It is arguable whether remote sensing approaches actually measure grain size
(e.g. Woodget and Austrums, 2017; Pearson et al., 2017; Woodget et al.,
2018), as grains on a natural river bed are imbricated, partially buried and the

247 particle edges partially obscured by neighbouring clasts. However, remote 248 sensing approaches can measure roughness height of clasts, reflecting the 249 degree of protrusion into the flow. Heritage and Milan (2009) demonstrated a 250 linear relationship between twice the standard deviation of local elevation $(2\sigma_z)$ and ground-truth measurements of clast c-axes, reflecting flow 251 orientation of the primary axis in the streamwise direction exposing the 252 253 shortest axis to the flow. We adopt this approach as a roughness measure in 254 this study.

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256 Grain roughness grids were produced through interrogating the point cloud by 257 measuring the standard deviation of elevations in a moving window equivalent 258 to the largest clast in the area of interest (Heritage and Milan, 2009). Within 259 the entire reach the search radius was 0.8 m, while for the two selected coarser grained patches (S5 and S6) the search radius was 0.6 m, and for 260 261 two finer-grained patches (S7 and S8) the search radius was 0.15 m. The 262 standard deviation statistic is a measure of spread within the sample 263 population, and is unaffected by sample size, thus allowing this statistic to be 264 used on point clouds with different densities, and in situations where there are 265 spatial differences in point density. However, standard deviation values become more stable with increasing sample size, and as such we deployed a 266 267 minimum sample size of 30 points within the moving window. Populations of grain roughness values for these patches were produced through both survey 268 269 methods, and the grain roughness populations were compared to identify 270 differences.

272 **3.3. Spatial error analysis**

Spatial variation in difference (error) between the TSfM and TLS datasets 273 274 were assessed by subtracting the latter from the former with the TLS surface 275 regarded as reference (Heritage et al., 2009; Nadal-Romero et al., 2015). This permitted a visual assessment of the spatial patterns and magnitude of the 276 277 differences throughout the reach (Fig. 3a). Cross-sections from the DEM of 278 difference were also taken from a sub-reach containing several morphological 279 features including bars, berms, terraces and banks, to further visualize the 280 spatial differences in 2D.

281

282 The error inherent in DEMs for river survey datasets is known to be spatially 283 variable, and linked to local topographic variation; with greater errors found at 284 breaks of slope such as bank edges, as opposed to flatter bar surfaces (Heritage and Milan, 2009; Milan et al., 2011). We adopted the Milan et al. 285 286 (2011) approach to characterize this effect through interrogating the relationship between local surface topographic variation and the local 287 288 elevation difference between the two DEM surfaces. Local surface topographic (morphological) variability is defined by taking the local elevation 289 290 standard deviation in a 0.8-m radius moving window over the point cloud, to 291 produce a standard deviation of elevations grid (Fig. 4a). Elevation errors for 292 each coordinate are established from the difference between TLS and TSFM elevations (Fig. 3a) and are used to create a spatially variable Level of 293 294 Detection (LoD).

Greater topographic roughness values are generally found at breaks of slope 296 in both clouds, however roughness is generally below 0.6 m with the TLS 297 298 product having lower values (Fig. 4). Within the channel TLS derived 299 roughness is generally less than 0.2 m, and elevated values are restricted to 300 mid-channel bars throughout the reach and coarse flood-berms, particularly in 301 the lower part of the reach. The TSfM product shows roughness of up to 0.5 m with high values in the central part and at a riffle in the lower part of the 302 303 reach. Otherwise roughness of up to 0.2 m is found in similar locations than in 304 the TLS cloud but spatially more extensive.

305

306 The plot of elevation error against local surface variation (Fig. 5a), established 307 from digitising 2000 randomly distributed points from the TSfM-TLS difference 308 grid, shows that on flatter surfaces (e.g. bar and terrace surfaces) with a local surface elevation variation of $<\pm 0.05$ m, the difference between the TSfM and 309 310 TLS DEMs is generally less than ±0.3 m. The variability around the mean error clearly increases within increasing topographic variability. In areas of 311 high topographic variability (>0.4 m) such as berm or terrace edges, 312 313 differences between the TSfM and TLS DEMs (error) can be up to ±3 m. 314 Using the data in Fig. 5a, the standard deviation of elevation error was 315 established for different classes of local surface variation. The relationship 316 between standard deviation of elevation error and local surface variation classes is shown in Fig. 5b. The standard deviation of elevation error shows a 317 318 strong power law relationship with local surface elevation variability (Fig. 5b). This relationship may be used to filter spatial error after two further steps 319 320 (sensu Milan et al., 2011) are taken: 1) the regression equation (Fig. 5b) is applied to the grid of local topographic variability, produced here through
taking the standard deviation of elevations in a 0.8-m moving window over the
point cloud, to generate a spatial error grid, and 2) a spatially distributed root
mean square error grid is produce through the application of

325
$$U_{crit} = t\sqrt{(\sigma_e)^2}$$

to the spatial error grid, where U_{crit} is the LoD; and σ_e is the standard deviation of elevation error, and *t* is the critical *t*-value at the chosen confidence level here set at a value of 1.96 (2σ), in which case the confidence limit is equal to 95%.

330

331 4. Results

332

333 4.1. Digital Elevation Models

334 The surface of difference between the DEMs based on TSfM data and TLS 335 data (Fig. 3) shows the highest deviation near the lateral edges of the valley 336 floor and the channel as well as on the inside of some bends. Field 337 observations and photographs identify these areas as locations where the channel actively erodes valley slopes and terraces, and sudden breaks in 338 339 slope such as channel banks and terraces edges. Actively eroding slopes and 340 terraces (marked A in Fig. 3a) are underestimated in the TSfM DEM, in 341 particular the grassy surface of slumped blocks. Similarly, actively eroding 342 banks (marked B in Fig. 3a) tend to be lower and therefore appear more 343 retreated in the TSfM dataset. Some former cut-banks, now protected by bars or berm deposits (marked C in Fig. 3a), also show this pattern. In contrast, 344 banks dominated by coarse, bulldozed cobbles and boulders (marked D in 345

346 Fig. 3a) appear to be overestimated in elevation and less retreated in the 347 TSfM DEM. This also applies to currently inactive coarse bar deposits such as the berms marked E in Fig. 3a. The maximum vertical deviation between the 348 349 DEMs is up to 4 m. Fig. 3b demonstrates how the majority of error has been removed following the filtering procedure; based upon the relationship 350 351 between elevation error (difference between TSfM and TLS DEMs) and topographic variability (local morphological roughness). Most of the 352 353 differences evaluated here are within the topography-dependant LoD and that 354 genuine differences between the two DEMs are within ±1 m. Within the 355 channel the deviations are variable, usually within a range of 0.1 m around 0, 356 except for a coarse substrate area showing substantial underestimation of the 357 TSfM DEM in the centre of the reach (marked F in Fig. 3a). Open water 358 surfaces are represented generally lower in the TSfM DEM. Homogeneous 359 gravel bars (marked G in Fig. 3a) appear to show the least deviation between 360 the two DEMs.

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362 The long-profile for the lower part of the study reach (Fig. 6) shows a more 'noisy' profile for the TSfM data compared with the TLS DEM, particularly at 363 364 riffles. Cross-section A-A' traverses a series of flood berms and a point bar 365 and ends at a slumping hillslope. The strongest deviations between the two 366 DEMs occur in the North on vegetated berms but there appears to also be a systematic shift to the South West of the TSfM DEM which is also apparent in 367 368 Section C-C' (Fig. 6). Section B-B' is located between two terraces and shows the highest deviation at the terrace edges and in an area with coarse 369 370 flood deposits to the East of the current channel. Section C-C' shows 371 considerable underestimation of the surface elevation by the TSfM DEM in an area dominated by a riffle. In addition, the partially vegetated surface of a 372 373 terrace in the SW and a boulder berm show much higher variability for this 374 DEM. Section D–D' traverses the channel from the slumping valley side, over a relatively smooth point-bar onto a terrace. Despite the vegetation on the 375 376 latter, here both DEMs are largely in good agreement. However, in this 377 section and others, the angle of nearly vertical slopes subject to erosion 378 appears to be greater in the TLS DEM compared to the TSfM product. Slopes 379 extracted from the TSfM product appear to be more retreated and have less steep slopes at A', B' and D while the opposite, more stable, side may show a 380 381 steeper slope (e.g. at B).

382

383 4.2. Roughness comparison

384 Accurate measurement of boundary roughness is needed as input to 385 hydrodynamic modelling, and techniques such as TLS and TSfM now allow fully spatially distributed roughness information to be included in flow 386 387 simulations. Here we explore the difference in roughness characterisation using the two techniques. Grain roughness populations were investigated at 388 389 four patches representative of different morphological units. Patch S5 (Fig. 7), 390 a boulder berm, shows similar spatial distribution of roughness in the southern 391 half between both DEMs, while in the northern part there are three distinct zones with elevated roughness in the TSfM DEM. Patch S6 covers a boulder 392 393 berm deposited in 2007 (Fig. 8). The measured roughness is of similar 394 magnitude in both DEMs (Table 1) with two zones of elevated roughness 395 present in the TSfM DEM (a North-East edge and a North-South aligned ridge) that are not shown in the TLS product. The differences between the two
DEMs are shown as a shift of the maximum frequency to higher roughness
and a bimodal distribution for the SFM product which account for these zones
(Fig. 9, Table 1).

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401 The two fine-grained patches S7 and S8 differ in their roughness measurement between the two approaches (Figs. 10 and 11). The TLS DEM 402 is much smoother than the TSfM DEM and the spatial distribution of 403 404 roughness does not match. The TSfM DEMs show more variability in roughness which is reflected in their relatively wide frequency distribution (Fig. 405 406 9). In contrast, the roughness range of the TLS DEMs is rather narrow and 407 centred at considerably lower roughness compared to the TSfM DEM (Table 1). 408

409

410 **5. Discussion**

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The differences between DEMs generated from TSfM photogrammetry and 412 413 TLS are spatially variable and showed an association with local topographic variability. Substantial DEM differences were restricted to small areas 414 415 following error filtering. While the degree of vegetation appears to be 416 important, a clear attribution of these differences to specific morphological units was not evident. The channel and most bars show little detectable 417 418 difference which reflects the quality of the DEMs in areas of little topographic 419 variability. Even in the wet channel, differences of more than a few centimetres were only detected in areas where their magnitude and their 420

421 incongruence with geomorphological units (riffle) suggest outlying points that 422 escaped the filtering process of the TSfM point cloud (F in Fig. 3a). The level 423 of detection in the channel was rather low due to it being derived from a 424 comparison with the TLS dataset which shows very little topographic variation 425 within the channel (Fig. 4) and contains patches of water, detected as very 426 smooth surfaces (Fig. 6). Therefore, the general minor differences between 427 the two DEMs are remarkable given the difficulties introduced by the 428 differential penetration of water surfaces, reflection and refraction (Woodget et 429 al., 2015). The different representation of water surfaces, also evident in some parts of the long-profile (Fig. 6), can be attributed to the reconstruction of 430 431 some sub-aqueous surfaces with the TSfM approach while red laser 432 wavelengths are absorbed in water (Cook, 2017). A detailed assessment of 433 the suitability of the two techniques for measurement of topography and 434 roughness in sub-merged areas is beyond the scope of this paper, and ideally 435 these would have been excluded from the analysis. While manually blanking patches of water surface in the DEMs could address this issue, in shallow 436 gravel-bed reaches of this size this is very time consuming and can be 437 impractical. Because the true-colour TSfM pixel might not allow distinction 438 439 between shallow submerged channel and dry channel, the use of the intensity 440 of laser signal returns to detect the water edge might be preferable (Flener et al., 2013). However, in this instance differences between the DEMs at 441 patches of water were of small magnitude not exceeding the level of 442 443 detection, hence light penetration issues in the submerged areas appear to 444 have not significantly reduced DEM accuracy.

446 In contrast to the channel, more elevated bars and berms, terraces and 447 actively eroding slopes coupled to the channel showed in places substantial 448 differences of up to 1 m between the two DEMs (Fig. 3). Locations affected 449 can be separated in two categories: areas affected by vegetation and breaks 450 in slopes. Foliage of vegetation can lead to differential penetration of light and 451 therefore will affect surveys utilising light waves (Heritage and Hetherington, 2007; Cook, 2017). This study suggests that vegetation was a cause of 452 453 difference between the datasets as well as topographic variability, however 454 we are unable to quantify this in the present investigation. Although the area 455 of interest of this study largely consists of unvegetated river channel, bars and 456 banks, some of the stable floodplain and terraces were covered in short 457 herbaceous vegetation. The filters applied to the point clouds eliminated high 458 points but were unable to exclude gradual transition from a bare surface to low vegetation (Cook, 2017; James et al., 2017a). Although vegetated 459 460 surfaces will always be problematic for TSfM and TLS surveys (Lane, 2000; Castillo et al., 2012; Tonkin et al., 2014; Cook, 2017), fresh deposition of 461 462 sediment between vegetation or the gradual encroachment of plants on bars 463 mean that the presence of vegetation in peripheral areas cannot always be 464 excluded in geomorphological studies.

465

The greatest elevation differences between the two DEMs are located at breaks in slope such as eroding terrace edges, valley slopes and banks but they exceed the spatially variable level of genuine detection based on the local topographic variation only in a small number of places (Fig 3). The reason for significant elevation differences can be found in different

representation of slope angles: actively eroding slopes appear steeper in the 471 472 TLS DEM, while stable breaks in slope are often shown as steeper in the TSfM DEM (Fig. 3). Deviations at steep slopes and near vertical surfaces are 473 474 a common problem, particularly in aerial photogrammetry (Lague et al., 2013; Carbonneau and Dietrich, 2017; Cook, 2017; Huang et al., 2017). Since the 475 476 slopes in the two DEMs have common toe points, these deviations are not likely due to a uni-directional relative shift in DEM position, for example due to 477 478 GCP precision, or tilt but rather a result of distortion during the SFM-multi-479 view stereo process (Fonstad et al., 2013; James et al., 2017a). Smoothing of 480 breaks in slopes and misrepresentation of slope angles in SfM DEMs, e.g. as 481 reported by Kolzenburg et al. (2016), can be attributed to filtering processes 482 during image matching (James and Robson, 2017b). This study used a 483 variety of camera positions and camera angles from the terrestrial vantage points to minimise this problem. The slopes with considerable differences are 484 485 distributed throughout the DEM thus localised distortion or issues with individual images or GCPs can be excluded. Conversely, steep slopes facing 486 up-valley or down-valley and thus captured from both valley sides are equally 487 affected as slopes mostly captured only from one valley side. James et al. 488 (2017b) found systematic differences between SfM and TLS DEMs along 489 490 steep slopes which indicate horizontal error in the relative georeferencing of 491 the DEMs, and indicate that cloud-to-cloud comparison in combination with photogrammetric precision estimates can to some extent account for this 492 493 error. If image capture or processing issues can be ruled out, the different 494 representation of slope shape could potentially also be related to

495 characteristics of actively eroding slopes such as roughness and colour which
496 may be relevant during the SFM image matching process.

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As for the entire DEM, within the channel, the variation between the two DEMs appears to increase with topographic variation. Although DEM accuracy generally tends to show this tendency (e.g. Milan et al., 2011, Cook, 2017 but not Kolzenburg et al., 2016), the steepness of the regression line (Fig. 5b) suggests that the TSfM DEM differs not only at the discussed, significant breaks in slopes, but generally in areas with high topographic roughness.

505

506 By using twice the standard deviation of elevation values within a moving window equivalent to the largest clast, Heritage and Milan (2009) were able to 507 508 show how dense point clouds may be used to provide bar-scale grain 509 roughness information, and showed relationships between the roughness and 510 grain size. Due to the purely comparative nature of this study, only one 511 standard deviation is reported here. The measured roughness over the entire 512 reach compounds types of roughness at a range of scales from skin (surface) 513 roughness of large boulders, over grain roughness, to vegetation and bedform 514 roughness. Gravel-cobble bar surfaces such as patches 7 and 8 (Figs. 10 and 515 11) provide the opportunity to compare the assessment of grain roughness 516 based on the two datasets. The ratio of respective percentiles of roughness 517 height is up to four with barely any similarity between the spatial distribution of roughness. Although both sets of frequency distributions (Fig. 9) retain their 518 519 single-modal shape, there is a distinct shift in modal values and spread.

520 James and Robson (2017b) suggest that the representation of small 521 roughness elements can be affected by filtering and smoothing processes 522 during the image matching process (Hirschmuller, 2008). At the coarser 523 patches S5 and S6 (Figs. 7 and 8), the difference between the roughness representation between the two DEMs is smaller, i.e., there is some 524 525 agreement in spatial distribution of roughness elements. Both patches encompass boulder berms deposited in the 2007 flood (Milan, 2012). Patch 526 527 S5 was deposited on the inside of a bend, and its roughness has been 528 affected since then by gradual covering in finer sediment and partially stripping of the latter by smaller floods. Its mean roughness height derived 529 530 from the TLS and TSfM datasets of respectively 228 mm and 452 mm 531 substantially exceed the mean b-axis length of a visually very similar berm 532 situated nearby that has been reworked in 2007 (130 mm, berm 2 in Milan, 533 2012). Given that roughness height is better correlated with the smaller c-axis 534 length (Heritage and Milan, 2009) and standard deviation of elevation may be 535 much lower than measured particle size (Brasington et al., 2012), this shows 536 a considerable potential overestimation of measured roughness despite the 537 fine sediment cover. Since 2007 patch S6 has been subject to in-channel 538 reworking (Milan and Schwendel, 2019) of fines and thus has developed a 539 bimodal grain size distribution which is shown by both survey methods (Fig. 9). For both coarse patches, the mean roughness height of the SFM dataset 540 is approximately twice that of the TLS DEM with a remarkable consistency 541 542 between percentiles (Table 1) and their frequency distributions are of similar 543 character, e.g., are comparable after a simple exponential transformation. 544 This shows that the representation of grain roughness scales with grain size,

although it remains unclear to which extent the differences are due to systematic smoothing within the TSfM process or may be attributed to higher random noise in the TSfM point cloud (Cook, 2017) as evident in the roughness frequency distributions (Fig. 9).

549

550 Over the entire valley floor, both surveys agreed in identifying highest 551 roughness at areas of vegetation, at breaks in slope and coarse boulder 552 berms (Fig. 5). While in the first two locations, the values are an artefact of the 553 interrogation method or due to differential penetration of the vegetation cover 554 (Lane, 2000; Castillo et al., 2012; Tonkin et al., 2014), in the latter location 555 they may represent actual grain roughness. The gradual nature of 556 encroachment of vegetation onto bare surfaces as well as sediment deposited 557 on top of vegetation provides difficulties for the exclusion of vegetation from 558 the analysis. Investigation focussing on morphometric changes also cannot 559 neglect these marginal sites.

560

561 **6. Conclusions**

562

The comparison channel DEMs derived from interpolated point clouds based on TSfM and TLS surveys showed that on smooth gravel bars and terrace surfaces, the vertical difference does not exceed 0.3 m which reduces to 0.1 m after a threshold of genuine change detection is applied. Here the surface roughness, assessed as the standard deviation of local elevation, is considerably higher in the TSfM DEM compared with the TLS DEM suggesting that removal of random noise by filtering remains a key issue in 570 order to make full use of the survey efficiency of the technique. Caution 571 should be exercised when using TSfM point clouds to provide roughness data 572 for hydrodynamic modelling; perhaps through field calibration. In areas of 573 higher relief such as breaks in slopes, roughness estimates vary most between the two approaches and differences between the DEMs can 574 575 approach 1 m on terrace edges or slips on the valley sides. In these areas 576 inaccuracies introduced by differential penetration of vegetation play a role as 577 well, and might be of higher relative magnitude than noise. This is supported 578 by the similarities in the roughness frequency distributions in coarse grained patches. The representation of near vertical surfaces varies between the two 579 580 DEMs, in particular at the upper edge which could be improved by the use of 581 direct comparison of point clouds. This research highlights that in fluvial 582 landscapes, where spatial heterogeneity of relief, surface material and roughness is high, finding suitable filtering processes for point clouds is 583 584 challenging. Despite using a range of point cloud filtering processes and highquality settings in the analysis software, the TSfM dataset does not achieve 585 586 comparable results to the TLS DEM in key areas of the reach. Thus, for the accurate assessment of surface roughness on a reach-scale the higher 587 588 surveying time using the TLS technique might be in part offset by shorter data 589 processing time.

590

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823

825 Figure captions826

Fig. 1. The South Tyne catchment (dashed line shows its devide) in the North Pennines with the River South Tyne and its major tributaries (thick line) and smaller tributaries (thin lines). The location of the study reach is shown by a point within the Thinhope Burn sub-catchment (shaded rectangle). The inset on the right indicates the location of the catchment within the boundaries of the UK.

833

Fig. 2. DEM of the studied reach with position of TLS stations (open circles), camera positions (filled circles), ground control points for TSfM (open squares) and the location of the patches P5 to P8. The full 500 m long study reach is highlighted by the boundary line.

838

Fig. 3. DEM of difference (SFM – TLS) of the study reach at Thinhope Burn.

840 (a) For highlighting the raw differences without a Level of Detection (LoD) and

(b) with a spatially variable LoD applied. Grey areas indicate no difference.

842 The annotated letters are referred to in the text. Coordinates are given in

843 British National Grid (units are metres).

844

Fig. 4. Surface topographic roughness height (in metres) derived from the a)

TLS and b) SFM dense point clouds by assessing the standard deviation of

847 local topographic elevation within a 0.8 m search radius. Coordinates are

given in British National Grid (units are metres).

Fig. 5. Error assessment between the TLS and TSfM DEMs based on 2000

randomly selected points, (a) differences between the two DEMs versus local

surface elevation within a 0.8 m radius, and (b) standard deviation of the

853 difference between the DEMs plotted against local topographic variability.

854

Fig. 6. Transverse and longitudinal channel cross-sections of the TLS andTSfM DEMs.

857

Fig. 7. Surface roughness (in metres) of the TLS and TSfM DEMs as one

standard deviation of local topographic variability using a search radius of 0.6

860 m at patch S5 (location within the study reach given in Fig. 2), a boulder berm 861 deposited in 2007 as illustrated in the inset photograph. Coordinates are given

862 in British National Grid (units are metres).

863

Fig. 8. Surface roughness (in metres) of the TLS and TSfM DEMs as one

standard deviation of local topographic variability using a search radius of 0.6

866 m at patch S6 (location within the study reach given in Fig. 2), a boulder berm

867 deposited in 2007 as illustrated in the inset photograph. Coordinates are given

868 in British National Grid (units are metres).

869

Fig. 9. Frequency distributions of roughness height derived from the TLS and
TSfM DEMs at the patches S5 to S8.

872

Fig. 10. Surface roughness (in metres) of the TLS and TSfM DEMs as one

874 standard deviation of local topographic variability using a search radius of
0.15 m at patch S7 (location within the study reach given in Fig. 2), a lateral
gravel bar as illustrated in the inset photograph. Coordinates are given in
British National Grid (units are metres).

- 878
- Fig. 11. Surface roughness (in metres) of the TLS and TSfM DEMs as one
- standard deviation of local topographic variability using a search radius of

0.15 m at patch S8 (location within the study reach given in Fig. 2), a gravel

- bar as illustrated in the inset photograph. Coordinates are given in British
- 883 National Grid (units are metres).
- 884
- 885
- 886

Table 1. Percentiles of a grain roughness measure (in cm) derived from the

standard deviation of elevation within two coarse-grained patches (S5 and S6)

and two fine-grained patches (S7 and S8) at Thinhope Burn.

	Patch S5		Patch S6		Patch S7		Patch S8	
	TSfM	TLS	TSfM	TLS	TSfM	TLS	TSfM	TLS
25 th percentile	16.0	8.3	14.5	9.4	5.8	1.1	5.2	1.7
50 th percentile	22.6	11.4	22.7	11.7	7.4	1.6	6.5	2.0
75 th percentile	30.3	16.1	30.2	16.2	10.8	2.0	7.5	2.3
99 th percentile	57.4	29.8	52.5	34.0	22.8	4.2	10.7	3.8

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South Tyne Catchment



Figure 2 (Colour) Click here to download high resolution image









0.056 0.052 0.054 0.054 0.045 0.045 0.045 0.045 0.045 0.045 0.045 0.145 0.145 0.145 0.145 0.145 0.145 0.145 0.145



Figure 7 (Colour) Click here to download high resolution image

Figure 8 (Colour) Click here to download high resolution image









Figure 4 Click here to download high resolution image





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Figure 5