1 1 2 2	Freedom to act enhances the Sense of Agency, while movement and goal-related prediction errors reduce it
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35 ABSTRACT

The Sense of Agency (SoA) is the experience of controlling one's movements and their external consequences. Accumulating evidence suggests that freedom to act enhances SoA, while prediction errors are known to reduce it. Here, we investigated if prediction errors related to movement or to the achievement of the goal of the action exert the same influence on SoA during free and cued actions. Participants pressed a freely chosen or cued color button, while observing a virtual hand moving in the same or in the *opposite* direction - i.e., movement-related prediction error - and pressing the selected or a *different* color – i.e., goal-related prediction error. To investigate implicit and explicit components of SoA, we collected indirect (i.e., Synchrony Judgments) and direct (i.e., Judgments of Causation) measures. We found that participants judged virtual actions as more synchronous when they were free to act. Additionally, movement-related prediction errors reduced both perceived synchrony and judgments of causation, while goal-related prediction errors impaired exclusively the latter. Our results suggest that freedom to act enhances SoA and that movement and goal-related prediction errors lead to an equivalent reduction of SoA in free and cued actions. Our results also show that the influence of freedom to act and goal achievement may be limited respectively to implicit and explicit SoA, while movement information may affect both components. These findings provide support to recent theories that view SoA as a multifaceted construct, by showing that different action cues may uniquely influence the feeling of control.

Keywords

Sense of Agency, Movement, Goal, Free Choice, Prediction errors, Action Monitoring, Behavioral Adjustments, Virtual Scenario

1. INTRODUCTION

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The Sense of Agency (SoA) is the experience of controlling one's movements and their consequences in the external environment (Aarts et al., 2012; Moore & Fletcher, 2012; Tsakiris, Longo, & Haggard, 2010). Recent theoretical models suggest that SoA is a multi-faceted experience that comprises both implicit and explicit components (Moore & Fletcher, 2012; Synofzik, Vosgerau, & Newen, 2008a, 2008b; Synofzik, Vosgerau, & Voss, 2013; Wegner & Sparrow, 2004). Specifically, it has been proposed that SoA involves an implicit, non-conceptual component – i.e., *feeling of agency* – that relies mostly on sensorimotor information, and an explicit, conceptual and interpretative component – i.e., *judgment of agency* – that relies on the formation of beliefs about the causes of actions and their consequences (Synofzik et al., 2008a, 2008b). This distinction implies that SoA may depend on a set of multiple cues, such as contextual information and a comparison between the predicted and actual consequences of actions (Moore & Fletcher, 2012; Synofzik et al., 2013). This work focuses on the contribution to SoA of different prediction errors – movement and goal-related – within free and cued contexts of action.

Previous research consistently reported that prediction errors influence SoA. Prediction errors can broadly be defined as a mismatch between prior expectations and reality (den Ouden, Kok, & de Lange, 2012). Within the "active inference" framework of perception and action (Friston, 2010, 2012; Friston, Samothrakis, & Montague, 2012), prediction errors can be considered as the discrepancy between the bottom-up sensory input and the top-down predictions made by the brain about that input (Friston, 2011; Wolpe & Rowe, 2014a). Prediction errors are thought to play a central role in motor control, by signaling the difference between the predicted and the actual outcome of the action (Haggard, 2017; Wolpe & Rowe, 2014b). Interestingly, a recent study revealed that prediction errors also occur in a dyadic motor interaction when a virtual partner performs an unexpected action., i.e. violating the initial prediction (Moreau, Candidi, Era, Tieri, & Aglioti, 2019). Indeed, it is generally assumed that the brain forms predictions about how the action will unfold and about its sensory consequences (Blakemore & Frith, 2003; Blakemore & Sirigu, 2003; Wolpert, Ghahramani, & Jordan, 1995). Predictions are then compared with the actual events. If they match, no prediction error is generated, conversely, error signals are generated. According to one of the most popular theories of SoA - the Comparator Model (Blakemore, Wolpert, & Frith, 2002; Frith, Blakemore, & Wolpert, 2000) - prediction errors contribute both to adjust behavior and to modulate SoA. In particular, SoA would be experienced in the absence of prediction errors, and it would be reduced by their occurrence. Indeed, SoA was found to be reduced by movement-related prediction errors – i.e., by the observation of unpredicted movements that do not correspond to the ones executed by the participants (Daprati et al., 1997; David, Skoruppa, Gulberti, Schultz, & Engel, 2016; Farrer et al., 2008; van den Bos &

Jeannerod, 2002) – and by outcome-related prediction errors – i.e., by the occurrence of unexpected outcomes following participant's action (Caspar, Desantis, Dienes, Cleeremans, & Haggard, 2016; David et al., 2016; Kühn et al., 2011; Sato & Yasuda, 2005).

1**0**4 However, the idea that prediction errors systematically reduce SoA has been criticized by Synofzik 105 and colleagues (Synofzik et al., 2008a), who observed that the comparator model fails to explain 8 1906 situations where people experience SoA despite small prediction errors, or the finding that individuals 10 1**107** may experience a "vicarious" SoA for observed actions even in absence of real movements (Tieri, 12 1**108** Tidoni, Pavone, & Aglioti, 2015; Wegner, Sparrow, & Winerman, 2004). Similarly, the comparator 1409 15 1610 17 1811 19 2012 21 213 24 24 25 26 21 26 21 25 5 26 21 16 28 model may fail to account for the influence on SoA of low level bodily signals, such as breath (Monti, Porciello, Tieri, & Aglioti, 2020). Hence, SoA may depend on many sources of information, which would include but would not be limited to prediction errors. In a previous study (Villa, Tidoni, Porciello, & Aglioti, 2018) we tested the influence on SoA of three types of prediction errors, namely, prediction errors about i) movement execution, ii) goal achievement, and iii) the time in which these events should occur. We devised a novel paradigm, the SoA-GAME – i.e., Sense of Agency for Goal Achievement and Movement Execution – in which participants performed simple goal-directed actions. Their task was to press a button of a cued color 2**1917** 30 and to observe a virtual hand performing the same or a different action from a first-person perspective. <u>31</u>18 Specifically, the virtual finger could move in the same or in the opposite direction with respect to the 32 3**1**3**19** participants' finger and the color pressed in the virtual scenario could be the one selected by the 34 3**5**20 3621 37 participants or a different one. Additionally, delays of increasing duration were introduced between the executed and virtual action. By orthogonally manipulating movement (same/opposite), goal ³1822 39 41023 (achieved/missed) and time information (synchronous/delayed), we were able to measure the unique contribution of these cues to SoA. Tellingly, our data indicated that both movement and goal-related 41 4**124** prediction errors reduce SoA, but also that movement information seems to be a more constant source of SoA modulation than goal information.

⁴¹² of SoA modulation than goal information.
 ⁴⁵ It is important to underline that in our previous version of the task the goal of participant's action was
 ⁴⁶ defined by an external cue. Hence, it is unclear whether the same effects could be observed also for
 ⁴⁷² freely chosen actions.
 ⁵⁰ Being internally generated and independent from environmental influences (Fried, Haggard, He, &

Being internally generated and independent from environmental influences (Fried, Haggard, He, &
Schurger, 2017; Haggard, 2017) voluntary actions have been often identified by contrasting them to
reflexes and to actions guided by external cues, i.e., cued actions (Fried et al., 2017; Frith, 2013).
Voluntariness is often viewed as a fundamental cue to SoA (Haggard, 2017) and indeed accumulating
evidence suggests that freedom to act enhances SoA.

For instance, Wenke and colleagues asked their participants to freely press one of two buttons to

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obtain a visual outcome on screen (Wenke, Fleming, & Haggard, 2010) or to press one of two buttons following an instruction. Participants reported higher control over the outcome when they were free to act as compared to when they followed an instruction. In a series of studies, Barlas and colleagues (Barlas, Hockley, & Obhi, 2017, 2018; Barlas & Obhi, 2013) compared *intentional binding* between freely chosen and cued actions. Intentional binding (Haggard, Clark, & Kalogeras, 2002; Moore & Obhi, 2012) is a perceived time compression between the action and its outcome. Although recent evidence suggests that intentional binding may simply reflect multisensory integration associated to causal inference (Kirsch, Kunde, & Herbort, 2019; Poonian & Cunnington, 2013; Suzuki, Lush, Seth, & Roseboom, 2019), intentional binding was classically considered an implicit marker of SoA. Participants reported stronger binding when they were free to decide which action to perform, suggesting that freedom to act was associated to higher implicit SoA. The same trend was observed when participants provided explicit judgments of agency (Barlas et al., 2017, 2018).

In line with these findings, recent studies show that SoA is significantly reduced when participants are coerced to perform an action. In a series of experiments, Caspar and colleagues asked participants to perform button presses that could result either in an mildly painful electrical shock delivered to a co-participant or in taking part of his/her remuneration (Caspar, Christensen, Cleeremans, & Haggard, 2016; Caspar, Cleeremans, & Haggard, 2018; Caspar, Vuillaume, Magalhães De Saldanha da Gama, & Cleeremans, 2017). Simultaneously to the harmful outcome participants heard a tone and were asked to estimate the time interval between action and tone and thus provide a measure of intentional binding. Importantly, actions could be executed in a context of freedom of choice, or upon a specific request by the experimenter (i.e., coercive condition). Intentional binding was significantly reduced when participants were coerced to perform an action as compared to the freedom of choice context. Together, these studies bring additional evidence that freedom to act is crucial for SoA.

However, previous studies did not systematically investigate if the enhancement of SoA generated by freedom to act is also linked to changes of the impact on SoA of failures to control one's own movements or to achieve the goal of the action. In other words, it is not clear whether movement and goal-related prediction errors are equally effective in reducing SoA within free and cued contexts of action. In a previous study, Barlas and Kopp (2018) reported that SoA – measured by means of intentional binding and of explicit reports of their feeling of control – was independently reduced when participants freedom to act was limited and when incongruent outcomes occurred. However, Barlas and Kopp study did not allow a direct comparison of movement and goal related prediction errors, since their task only included the presentation to participants of incongruent outcomes. Here, we adapted our SoA-GAME paradigm so that participants could perform actions both in contexts of freedom of choice and following imperative cues. This way, we sought to directly compare the effects

of movement, goal and time-related prediction errors when the individual performs free or cued actions. As mentioned earlier, SoA may include implicit and explicit components. To capture the effects of our manipulations on these two components of SoA we employed two measures. In the first session of the experiment participants were asked to judge if the observed virtual action took place simultaneously to their action or with a delay. This measure, referred to as synchrony judgments (Villa et al., 2018) allowed us to collect information about participants' implicit SoA. Indeed, a previous study suggested that synchrony judgments rely on the same source of information involved in expressing agency judgments (Weiss, Tsakiris, Haggard, & Schütz-Bosbach, 2014). This conclusion was based on fMRI data showing that the inferior parietal cortex was activated both when participants noticed delays between their action and visual feedback of their action and when participants reported a reduction of SoA by attributing the observed action to someone else (Farrer et al., 2008). Consistently, a recent study found that the ability to detect delays is negatively correlated with explicit agency ratings - the better participants were at detecting delays between action and outcome, the less they reported to feel in control when delays occurred (Osumi et al., 2019). Hence, previous evidence suggested that Synchrony Judgments may allow to measure implicit SoA. In the second session of the experiment we collected a direct measure of explicit SoA by asking participants to rate their feeling of causing the virtual actions by means of a Visual Analogue Scale (VAS) (similarly to Pezzetta et al., 2018). This measure was adapted from previous studies that investigated explicit SoA over the movements of a virtual (Salomon et al., 2016; Tieri et al., 2015), robotic (Caspar, Cleeremans, & Haggard, 2015) or rubber hand (Braun, Thorne, Hildebrandt, & Debener, 2014; Kalckert & Ehrsson, 2012, 2014). By adding this measure, which was not present in our previous version of the task (Villa et al., 2018), we sought to capture the similarities and differences between the effects induced by our manipulations respectively on implicit and explicit SoA.

We hypothesized that freely chosen actions would be associated with higher SoA (i.e., higher perceived synchrony/higher judgments of causation) as compared to cued actions and that participants would experience a decrease of SoA (i.e., lower perceived synchrony/lower judgments of causation) after observing both movement and goal-related prediction errors similarly to our previous findings (Villa et al., 2018). However, in line with recent evidence (Beck, Di Costa, & Haggard, 2017; Borhani, Beck, & Haggard, 2017) we also expected that information about achievement of the goal of the action would influence SoA more in free than in cued actions: we expected that participants report higher synchrony/judgments of causation when they achieve a freely chosen goal as compared to a cued goal, and that a failure to achieve the goal may lead to a sharper reduction of the reported synchrony/judgments of causation in the free than in the cued context of action. However, we also expected differences between implicit and explicit measures of SoA: given that individuals tend to

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self-attribute successful outcomes (Arkin, Appelman, & Burger, 1980; Miller & Ross, 1975), information about goal achievement may affect more SoA at an explicit level. Finally, considering that SoA and motor performance may both be influenced by the same type of prediction errors (Haggard, 2017), we tested whether our manipulations could also induce "post-error adjustments". Making an error is known to affect performance in following trials, which is generally referred to as post-error adjustments (Danielmeier & Ullsperger, 2011; Fusco et al., 2018; Ullsperger, Danielmeier, & Jocham, 2014). For instance, participants perform actions more slowly in trials following an error, an effect known as Post-Error Slowing (PES). Interestingly, post-error adjustments were also reported after prediction errors and unexpected visual consequences of actions (Gentsch, Ullsperger, & Ullsperger, 2009; Padrao, Gonzalez-Franco, Sanchez-Vives, Slater, & Rodriguez-Fornells, 2016; Wessel & Aron, 2013; Wessel, Danielmeier, Morton, & Ullsperger, 2012). We measured behavioral adjustments by calculating the amount of time participants took to a) provide synchrony judgments – i.e., Judgment Times – and b) perform a new action after observation of every type of virtual action - i.e., post observation Reaction Times. We expected that movement and goal-related prediction errors would be associated to increased Judgment Times and post observation Reaction Times. In principle, one possibility was that our manipulations would generate the same effects on behavioral adjustments and on SoA. However, in light of recent theories that suggest that the magnitude of error signals may not necessarily translate in an equivalent reduction of SoA (Synofzik et al., 2008a, 2008b), movement and goal-related prediction errors would have a different impact on behavioral adjustments and SoA, respectively.

2. MATERIALS AND METHODS

To estimate the sample size, we performed a power analysis (MorePower 6.0.4, Campbell & Thompson, 2012). We used the effect size of the significant interaction between factors Goal and Delay, i.e., η_p^2 of 0.123, reported in our previous analysis of Synchrony Judgments where we employed the SoA-GAME (Villa et al., 2018). We chose to focus on this measure because Synchrony Judgments was the only measure of SoA that we employed in our previous study. Moreover, we chose this effect size because i) the interaction between factors Goal and Delay was the highest-order statistically significant interaction; and that ii) this interaction showed the smallest effect size among the significant effects observed in our previous data making maximally conservative our choice. Thus, to achieve a power of 0.80 for this effect and considering the 2x2x2x3 design (see below) of our study, the estimated sample size was 36. Moreover, to fully counterbalance our experimental design

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(see the section 2.3 Procedure and Task) we decided to collect data from 45 participants. All participants were right-handed, had no prior history of neurological or psychiatric disorders, had normal or corrected to normal visual acuity and were not color-blind. Participants were naïve with respect to the purposes of the study and explanations of the hypotheses were provided at the end of the experiment. The experimental protocol was approved by the ethics committee of Fondazione Santa Lucia (Prot. CE/PROG. 686) and was performed in accordance with the 1964 declaration of Helsinki. All participants read and provided written informed consent to take part in the study. Five participants were excluded from the final sample and from the analyses since they failed to meet predefined exclusion criteria (for details see the "Excluded Participants" section of the supplementary materials). The final sample was thus composed of 40 participants (20 males; age range: 19-31 years; mean \pm S.E.M: 23.7 \pm 0.408).

2.2 Apparatus

A Matlab (The MathWorks, Inc) custom script was used to run the experiment. A virtual scenario (Fig. 1) created by means of 3DS Max 2011 (Autodesk, Inc) was shown on a monitor (Beng GL 2250-T; refresh rate, 60 Hz; resolution set to 1280×720 pixels). This included a virtual humanoid right-limb (forearm and hand) and a virtual response box, composed of two dark grey buttons respectively attached to the top and to the bottom of a transparent structure. The index of the virtual hand laid between the two buttons of the virtual response box.

The monitor was sustained by a wooden structure located on the table, so that it was inclined of 12.7° with respect to the horizontal plane. Participants inserted their right arm in a rectangular hole at the front of the structure (58 \times 8.5 cm). They were asked to lay their arm on the table in order to match the position of the virtual arm. The presence of the screen prevented participants to observe their real arm and a piece of black cloth was used to cover their shoulders and the elbow joints to prevent any visual discontinuity between the virtual limb and participants' body. A custom-made response box, closely matching the features of the virtual response box, was placed on the table below the monitor. The response box was C-shaped and included two identical USB numeric pads. The keys of the two devices faced each other's. The upper pad was sustained at a height of 7 cm by a plastic support fixed on the table. Two plastic buttons (height: 1.5 cm), with a squared and flat top face (side length: 3.2 cm) were attached respectively to the "2" and "5" keys of the lower and upper pads and allowed to record downward and upward movements of the index finger. Before starting the experiment, the distance between the surfaces of the two plastic buttons was adapted for each participant by inserting paper supports below the lower pad, so that the dorsal part of the distant phalanx of the index touched the superior button, while the ventral part rested on the inferior button, and the two plastic buttons were vertically aligned. Finally, a standard USB keyboard was placed to the left of the monitor and

allowed participants to answer to the specific question that appeared on screen at the end of a trial $\frac{1}{271}$ (See "Procedure and task" and Fig. 1 for details).

Please insert Fig. 1 about here

2.3 Procedure and task

The experiment was performed in a dimly lit room. Participants sat comfortably on a chair, at a viewing distance of approximately 40 cm from the center of the screen. During the experiment, the two virtual buttons turned to yellow and blue, respectively. Participants' task was to select one of the two virtual buttons according to its color and to press the real button in the corresponding position as fast as possible, by performing an upward or downward movement. In separate blocks, participants could either freely select which color to press - i.e., Free Block - or select the color according to an imperative cue -i.e., Cued Block (see below for details). Pressing the real button triggered the observation of an action (visual feedback) in the virtual scenario. The virtual action could be similar or different from the one performed by the participant and it took place as soon as a button press was detected or with different possible delays (see the section 2.4 Action-Outcome manipulation for details). Then, an indirect - Synchrony Judgments, SJs - or direct -Judgments of Causation, JoC - measure of SoA was collected (see below and Fig. 2, panel A for details). These two measures were collected separately in two different sessions of the experiment the SJ session and JoC Session - each composed of a Free and Cued block. The order of Free and Cued blocks within the two sessions was counterbalanced across participants, while the two sessions followed a fixed order: participants always performed the SJ session before the JoC session (see Fig. 2, panel A). By keeping the order of SJ and JoC sessions fixed, we aimed at controlling potential response biases that could have been induced in the expression of synchrony judgments by the prior exposure to the explicit measure of SoA (for a similar approach, see Braun et al., 2014).

Specifically, in the SJ session participants provided SJs by judging as fast as possible if the observed visual "*change in the virtual scenario*" took place simultaneously to their action or delayed. They were informed that by "*change in the virtual scenario*" we referred to the fact that contingently on their button press, they would observe the virtual index pressing a virtual button of a certain color. We considered that using this terminology - instead of "observed action" - would not bias participants to focus on the movement of the virtual finger over the color of the pressed virtual button.

Participants were asked to respond to the question by only focusing on the temporal contiguity

between their action and the visual change in the virtual scenario, irrespective of the type of observed action. Two keys of a standard USB keyboard were respectively labeled "S" for Synchronous and "A" for Asynchronous, and participants used their left index and the middle fingers to respond. The finger (Index/Middle) used to respond "Synchronous" was fully counterbalanced across participants. Additionally, to check that participants were aware of the disposition of the colors when performing a button press, we added a control question. In a sub-set of trials participants were asked to report if "the final disposition of the colors - observed following the virtual action - was reversed with respect to the initial one - observed before performing the action" (see the section 2.4 Action-Outcome Manipulation – for more details). To answer to this question participants used the same fingers and keys as for SJs. They pressed S for "Yes" (the final disposition was reversed with respect to the initial one) and A for "No" (the disposition of the two colors did not change).

In the JoC session participants expressed judgments of causation. After each virtual action observation, they were asked to rate how much they felt they had caused the visual change in the virtual scenario. Participants were informed that they could choose between all the values of a 100 points VAS spanning from 0 ("*Not at all*") to 100 ("*Completely*") and to press a third key, labelled "enter", to confirm their answer. As for SJs, we referred to the visual change to avoid that participants would focus on the movement of the virtual finger over the color of the pressed virtual button.

2.3.1 Cued Block

The structure of a typical trial in the Cued block was as follows. A tone signaled the beginning of the trial, and at the same time a blue or yellow circle (i.e., a cue) appeared at the left of the virtual response box, at the same height of the virtual index and at equal distance from the two virtual buttons. The color of the circle instructed participants about which real button they should press in the current trial - i.e., if yellow, they had to press the real button that was in the same position of the virtual button that turned to yellow; if blue, they had to press the real button that was in the same position of the virtual button that turned to blue. The color of the circle was random for each trial and participants observed an equal number of times the two types of cues. The circle remained visible for 1000 ms and then disappeared. After a random time (included between 1000 and 1500 ms) the two virtual buttons flashed, one of them turning blue and the other yellow, for 120 ms. The two possible dispositions of the colors (yellow up, blue down and vice-versa) were presented an equal number of times, and their order of presentation was randomized for each participant. The colors of the two virtual buttons then returned dark grey, and participants had to press the real button corresponding to the position of the current trial was aborted. Moreover, if the participant pressed the wrong

button (e.g., the cued color appeared above the virtual index finger, but the participant pressed the lower real button) a "prohibition sign" was displayed for 2000 ms and the trial was aborted. Aborted trials were repeated at the end of the block. When participants pressed the cued button, a visual feedback simultaneous or delayed with respect to the button press was provided (see the section 2.4 Action-Outcome Manipulation and **Fig. 2, panel C**). The visual feedback remained visible for 500 ms. Then, the virtual hand and the virtual response box were covered by a black (for SJs and JoC) or grey (for control questions) rectangle and participants were asked to respond to the current question. The inter-trial interval (ITI) was set to 1000 ms.

2.3.2 Free Block

The structure of a typical trial in the Free block was identical to the one described for the Cued block, with only one difference: in the Free block, the color of the circle appearing at the beginning of the trial was half yellow and half blue. The orientation of the circle (whether the left half was yellow or blue, See **Fig. 2, panel B**) was random for each trial and participants observed an equal number of times the two types of circles. This symbol was introduced to maintain a perceptual similarity with respect to the Cued block, and participants were asked to use it as a reminder that they should decide which color to press in that trial. The orientation of the virtual hand was identical with respect to the one employed in the Cued block. As in the Cued block, participants had to respond within three seconds, or the trial would be aborted. Participants were asked to: i) freely choose which color to press in each trial; ii) refrain from using a predefined strategy in choosing the color and iii) avoid pressing always the same color. Adherence to these constraints was assessed at the end of the experiment for each participant. After participants pressed the chosen button they were shown a visual feedback (see the section 2.4 Action-Outcome Manipulation and **Fig. 2, panel C**) and they were asked to respond to the SJs/JOC or control question.

2.4 Action-Outcome Manipulation

Pressing one of the two buttons of the response box triggered the observation of a *visual feedback* which could be simultaneous or delayed with respect to button press (0 ms, + 150 ms, +300 ms). Indeed, the virtual finger could move in the same (M+) or in the opposite (M-) direction with respect to participant's movement and the goal could be achieved (G+) or missed (G-) depending on whether the virtual hand pressed the selected or the other color. The combination of movement and goal manipulations resulted in four possible types of feedback: one was fully *correct* (M+G+), while three were *erroneous* (M+G-, M-G+, M-G-; see **Fig. 2, panel C** for a graphical representation of the four types of feedback).

To familiarize with the different types of feedback and with freely chosen and cued actions,

participants performed practice trials before starting each of the two blocks that composed the SJ session. In these trials, the first 2 button presses were always followed by the observation of simultaneous M+G+ feedback; in twelve trials participants observed each of the possible Feedback x Delay combinations (e.g., M+G+, delay 0, for a total of 12 possible combinations), and in 1 trial participants responded to the control question. Since participants could make errors, fail to perform an action within the given response window, or need to adjust the position of the hand to facilitate button presses, the overall number of trials during practice was not the same for all participants. They performed on average 15 trials (range: 15-17; \pm S.E.M.: 0.106) before starting the free block and 16 trials (range: 15-24; \pm S.E.M.: 0.277) before starting the free block. Data from practice trials were not included in the analysis.

In each session of the experiment (SJ/JoC) and in each block (Free/Cued), the order of appearance of each Feedback x Delay combination was randomized. In the cued blocks, the color to press and the corresponding visual feedback were known before the participant performed the action. In the free blocks, the visual feedback was determined online according to the color chosen by the participant. To help participants familiarize with the experimental procedure, in each block the first 4 button presses were always followed by simultaneous M+G+ feedback. These trials were excluded from the analysis. In the SJ session each Feedback x Delay combination was presented 24 times, for a total of 288 trials (144 trials in each block, 12 trials per condition). Additionally, in 16 trials (8 per block, 2 per each type of feedback) participants were asked to respond to the control question aimed at assessing participants' awareness of the disposition of the colors. No delays between action and feedback were introduced when participants were required to respond to the control question. During the JoC session each Feedback x Delay combination was presented 8 times, for a total of 96 trials (48 trials in each block).

Please insert Fig. 2 about here

2.5 Data Handling

Although the number of trials for each Feedback x Delay combination was fixed, the total number of trials was not identical for each participant (for instance, participants could make errors in the cued blocks, or they could fail to perform an action within the given response window of 3 seconds – i.e., action failures). Participants performed on average 318 trials in the SJ session (*Block:* total trials range, Mean \pm S.E.M; *Free block:* 156-166, 157 \pm 0.274; *Cued block:* 156-191, 161 \pm 1.022), and

106 trials in the JoC session (*Free block*: 52-53, 52 \pm 0.053; *Cued block*: 52-61, 54 \pm 0.328). We removed from the analysis i) real errors (*in cued blocks*) ii) action failures iii) trials where the experiment was suspended to adjust the position of participant's index finger to favor optimal reception of button presses i.e., interruptions (see table S2 in the supplementary materials for details). Finally, we analyzed participants' accuracy in responding to the control questions separately with respect to the rest of the trials (see paragraph 3.5).

After trial removal, analyses were performed on 288 trials per participant for the SJ session and on
 96 trials for the JoC session.

¹408 15 ¹409 17 ¹410 We analyzed four dependent variables: three in the SJ sessions and one in the JoC sessions. For SJ trials, for each condition we calculated 1) the proportion of "Synchronous" answers to the synchrony judgments (i.e., SJs); 2) the mean amount of time participants took to provide an answer after 19 2**4011** observing a visual feedback in the virtual scenario (i.e., Judgment Times, JTs) and 3) the mean amount ²¹ 2412 2413 2413 ²⁴¹⁴ 26 ²⁴¹⁵ 28 of time participants took to perform a new action in the trial that followed the observation of each specific type of feedback (post observation Reaction Times, poRTs). For JoC trials, we calculated the mean value representing the feeling of causing the virtual action for each condition (i.e., JoC). Mean values were calculated for each dependent variable, for each subject in each of the 24 conditions 24916 resulting from the combination of 4 independent variables: Context (Free/Cued), Movement 30 3**417** (Congruent, Incongruent), Goal (Achieved/Missed) and Delay (+0 ms, +150 ms, +300 ms). Before 32 3**4318** running parametrical statistical tests, we checked normality assumption by verifying that at least one 34 **419** of the following criteria was met (Field et al., 2013), namely that Kolmogorov-Smirnov test was not ³420 significant and that z-scores for skewness and kurtosis were included between -2.58 and +2.58. No 34**21** 39 44**22** condition violated the abovementioned criteria in JoC, while several conditions were not normally distributed for all dependent variables in the SJ session. To correct for this, SJs values were 41 4**423** transformed by means of an ipsatization procedure (similarly to Tieri et al., 2015), an intra-subject standardization method that is carried out by subtracting the subject mean across conditions from the value obtained in a specific condition (Fischer, 2004; Fischer & Milfont, 2010). Following the ipsatization procedure, positive scores indicate that the participant showed a higher perceived 4**427** 50 5**4128** synchrony in that condition with respect to her/his mean, while negative scores indicate that the participant showed a lower perceived synchrony in that condition with respect to her/his mean. Hence, 52 5**429** we calculated the mean reported synchrony for each subject across conditions, and we subtracted it 54 5**430** from the individual values obtained in each condition (see Villa et al., 2018 for a similar appoach). 54**31** After the ipsatization procedure 4 out of 24 conditions were still not normally distributed. Given the 5**432** 59 small number of conditions not meeting the normality assumption and the high number of conditions 6433 and of participants (n=40), we decided to proceed with parametrical testing. However, to check the 61

validity of our results on SJs, we also conducted a non-parametrical analysis that can be found in the
Supplementary Materials. For JTs and poRTs we applied a square root transformation to the raw
mean values so that no deviations from normality were found. SJs, JTs, poRTs and JoC data were
entered into 4 separate 2x2x2x3 repeated measures Analysis of Variance (Anovas), with Context,
Movement, Goal and Delay as within-subjects factors. The level of significance was set to .05 and
Tukey correction was applied to all post-hoc comparisons. Statistical analyses were run using
STATISTICA 8.

3. RESULTS

3.1 Synchrony Judgments (SJs)

The Anova on SJs revealed a main effect of factor Movement (F(1,39) = 7.581, p = .009, $\eta_p^2 = .163$. Fig. 3, panel A). Participants perceived a congruent movement (mean \pm S.E.M., M+: 0.062 \pm 0.023) as more synchronous than an incongruent movement (M-: -0.062 ± 0.023). Interestingly, the factor Context was also significant (F(1, 39) = 6.052; p = .018, $\eta_p^2 = .134$. Fig. 3, panel B): participants perceived the visual feedback as more synchronous in the free (Free: 0.017 ± 0.007) than in the cued block (Cued: -0.017 ± 0.007). The main effect of factor Delay was also significant (F(2, 78) = 74.830, p < .001, $\eta_p^2 = .657$. Fig. 3, panel C). This result confirms that participants correctly understood the meaning of the synchrony judgment question and that they could successfully discriminate increasing delays, which were all different from each other as confirmed by post-hoc comparisons ($Delay_{(0)}$: 0.250 ± 0.029 ; Delay₍₁₅₀₎: 0.015 ± 0.016 ; Delay₍₃₀₀₎: -0.264 ± 0.026 ; all ps < .001; all ds > 1.608). Importantly, the effects of factors Context and Delay were further explained by a Context x Delay interaction (F(2, 78) = 5.221, p = .007, $\eta_p^2 = .118$. Fig. 3, panel D). Post-hoc comparisons revealed that participants could discriminate increasing delays in both blocks (all ps < .001 and ds > 1.328, see **Table 1** for mean \pm S.E.M for each Context x Delay level). Importantly, feedback was perceived as more synchronous in the free block than in the cued block at $Delay_{(150)}$ (p = .041, d = 0.367) and Delay₍₃₀₀₎ (p < .001; d = 0.354). No difference between free and cued actions was observed when no delay was introduced after the button press (p = .999). The Anova on SJs did not reveal any other significant main or interaction effects (all Fs < 2.573, all ps > .083).

Please insert Fig. 3 about here

Please insert Table 1 about here

3.2 Judgments of Causation (JoC)

The Anova on JoC revealed three main effects. Firstly, we found a main effect of factor Movement $(F(1, 390) = 28.074, p < .001, \eta_p^2 = .419$. **Fig. 4, panel A**). Participants expressed higher judgments of causation when the virtual finger moved in the same direction as the participant's one (M+: 53.070 \pm 2.942), as compared to the opposite one (M-: 35.907 \pm 2.971). Secondly, we found a main effect of factor Goal (F(1, 39) = 4.446, $p = .041, \eta_p^2 = .102$. **Fig. 4, panel B**). Participants expressed higher judgments of causation when the virtual hand pressed the virtual button of the selected color (G+: 45.928 \pm 2.592), as compared to the other one (G-: 43.048 \pm 2.539). Lastly, we found a main effect of factor Delay (F(2, 78) = 15.463, $p < .001, \eta_p^2 = .284$. **Fig. 4, panel C**). Participants reported higher judgments of causation for virtual feedback that immediately followed their actions as compared to delayed feedback. Post-hoc comparisons revealed that the three delays (Delay₍₀₎: 52.141 \pm 3.432; Delay₍₁₅₀₎: 44.509 \pm 2.547; Delay₍₃₀₀₎: 36.815 \pm 2.771) were all significantly different (all *ps* < .020, all *ds* > .399), with lower judgments of causation for increasing delays. The Anova did not reveal any other significant main or interaction effects (all *Fs* < 3.173, all *ps* > .083).

Please insert Fig. 4 about here

3.3 Judgment Times (JTs)

The Anova on JTs revealed a main effect of factor Delay (F(2, 78) = 14.892, p < .001, $\eta_p^2 = .276$. **Fig.5, panel A**). Post-hoc analysis showed faster JTs when the delay between action and virtual feedback was of 300 ms (Delay₍₃₀₀₎: 0.828 ± 0.016), as compared to delays 0 (Delay₍₀₎: 0.865 ± 0.017 . p < .001, d = .351) and 150 (Delay₍₁₅₀₎: 0.880 ± 0.018 , p < .001, d = .482). Delays 0 and 150 did not significantly differ (p = .119). A significant main effect of factor Goal was also found (F(1, 39) = 30.218, p < .001, $\eta_p^2 = 0.437$. **Fig. 5, panel B**). JTs were significantly slower after participants observed that the goal was missed (G-: 0.871 ± 0.017), as compared to when it was achieved (G+: 0.845 ± 0.016). Importantly, the effects of goal manipulation on JTs were further explained by a significant Movement x Goal interaction (F(1, 39) = 20.088, p < .001, $\eta_p^2 = .340$. **Fig. 5, panel C**). JTs were significantly faster after participants observed a fully congruent virtual action (M+G+:

496 0.829 ± 0.015) as compared to when they observed any of the possible types of erroneous feedback 4,97 (all ps < .008; all ds > .272). Moreover, JTs were significantly slower when participants observed a 4<u>3</u>98 congruent movement with a missed goal (M+G-: 0.884 ± 0.017) as compared to the other two types **499** of erroneous feedback, M-G- (0.857 \pm 0.017, p = .027, d = 0.244) and M-G+ (0.860 \pm 0.018, d =5⁄00 0.215) respectively, even though the latter difference was only marginally significant (p = .055). JTs following M-G+ and M-G- observation did not differ (p = .991, d = 0.023). The Anova on JTs did not show any other significant main or interaction effects (all Fs < 3.885, all ps > .056).

Please insert Fig. 5 about here

3.4 post observation Reaction Times (poRTs)

The Anova on poRTs revealed three main effects. Firstly, we found a main effect of factor Movement $(F(1, 39) = 5.317, p = .027, \eta_p^2 = .120$. Fig. 6, panel A) with slower RTs following the observation of an incongruent (M-: 0.772 ± 0.018) as compared to a congruent movement (M+: 0.768 ± 0.018). Secondly, the Anova revealed a main effect of factor Goal (F(1, 39) = 5.092, p = .030, $\eta_p^2 = 0.115$. Fig. 6, panel B) with slower RTs in the trial immediately following a missed (G-: 0.773 ± 0.018) as compared to an achieved goal (G+: 0.767 ± 0.017). Finally, the Anova also revealed a main effect of factor Context (F(1, 39) = 4.590, p = .038, $\eta_p^2 = .105$): RTs were significantly slower after observing any type of virtual feedback in the free (Free: 0.784 ± 0.022) as compared to the cued block (Cued: 0.756 ± 0.016). The Anova on poRTs did not reveal any other main or interaction effects (all Fs < 2.121, all ps > .153).

The effect of factor Context suggested that participants were generally faster in performing a button press in the cued as compared to the free block. To check for this, we compared the reaction times in the two blocks, without sorting them according to the type of feedback in the previous trial. We performed this comparison by means of a paired samples t-test on the mean reaction times for each subject in the two blocks (square root transformation was applied, consistently with other analyses on RTs). The t-test was significant (t(39) = 2.218, p = .032, d = .247) indicating that participants performed faster actions in the cued block (Cued: 0.756 ± 0.016) than in the free block (Free: 0.786 ± 0.022).

To make sure that the difference between the reaction times in the two contexts could not explain the pattern of results revealed by the analysis of Synchrony Judgments we performed a correlation analysis between Synchrony Judgments and Reaction Times. We hypothesized that, given that in the Free context participants reported more synchrony and performed actions more slowly, participants with slower reaction times should also be those reporting the higher synchrony and vice versa. Hence, for each subject we calculated the mean reported Synchrony and the mean reaction time by averaging all the conditions and performed a linear correlation of the two measures. Synchrony Judgments and Reaction Times were not correlated (r = .117; p = .47), suggesting that the effects of our experimental factors on synchrony judgments were not associated with reaction times.

Please insert Fig. 6 about here

3.5 Control question analysis

To check that participants were aware of the disposition of the colors when they performed a button press, we conducted an analysis of the control question collected during the SJ session. We calculated the accuracy for each participant in both free and cued blocks. Participants' responded correctly to the control question on average 77.3% (\pm S.E.M: 3.1%) of the times in the free block and 71.1% (\pm S.E.M: 2.7%) of the times in the cued block. We then compared accuracy scores for both free and cued blocks against chance (50%) by means of two separate one-sample t-tests. Participants were significantly better than chance both in free (t(39) = 8.891, *p* < .001, *d* = 1.406) and in the cued blocks (t(39) = 7.917, *p* < .001, *d* = 1.252), which suggests that they were aware of the color disposition when they performed a button press in both free and cued contexts of action.

4. DISCUSSION

The aim of this study was to investigate the effects of movement and goal-related prediction errors on implicit and explicit components of SoA within free and cued contexts of action, and if they both lead to behavioral adjustments. To do this, we modified our recently developed paradigm (i.e., SoA-GAME, Villa et al., 2018) so that both free and cued actions were possible. Participants performed simple goal-directed actions while they observed similar or different virtual actions represented on a screen from a first-person perspective. We collected both indirect (i.e., SJs) and direct (i.e., JoC) measures of SoA. We also measured behavioral adjustments due to the observation of virtual actions by calculating the amount of time participants took to provide synchrony judgments – i.e., JTs – and to perform a new action after observation of each type of virtual action – i.e., poRTs.

4.1 Freedom to act enhances implicit, but not explicit SoA

As expected, the analysis of SJs revealed that participants tended to perceive a virtual action as more synchronous to their own actions when they freely decided which action to perform as compared to when they followed an external cue (main effect of Context). Specifically, this effect was observed only when a delay of 150 or 300 ms was introduced between real and virtual action and not when the virtual action was simultaneous to the button press (Context x Delay interaction). Interestingly, we did not observe a similar effect in the analysis of JoC, suggesting that implicit, but not explicit SoA is enhanced by freedom to act. Our findings are in line with recent studies that reported stronger binding between action and outcome – and hence stronger implicit SoA – in a context of freedom of choice, as compared to actions performed following external instructions (Barlas et al., 2017, 2018; Barlas & Kopp, 2018; Barlas & Obhi, 2013; Caspar, Christensen, et al., 2016; Caspar et al., 2018, 2017). In particular, the interaction we found between factors Context and Delay is strikingly similar to the one reported by Barlas and colleagues (2017). In their case, stronger binding was observed for free as compared to cued actions, but this effect was observable only when the delays between action and outcome were longer. Our data suggest that information about the context of actions (free choice vs environmental demands) may contribute to SoA when evidence in favor of oneself as the cause of actions is reduced by other factors, such as low temporal contiguity between one's action and the external consequences. A similar interpretation was provided before to explain the contribution of active control over movements for the Sense of Ownership (SoO) - i.e., the sense that my body is 'my own': information about the executed movements may become relevant only when SoO is reduced by the observation of a morphologically incongruent limb (Brugada-Ramentol, Clemens, & de Polavieja, 2019. But see also Burin et al., 2017, 2015 on the role of movements for the SoO). However, differently from previous studies (Barlas et al., 2017, 2018; Barlas & Kopp, 2018; Wenke et al., 2010), our data do not support the finding that freedom to act enhances SoA also at an explicit level. Some methodological differences may account for this. For instance, in previous studies participants performed actions finalized at producing outcomes in the external environment, such as eliciting a tone or the appearance of an object on screen. Here, participants observed a virtual action from a first-person perspective. Hence, it is possible that the manipulations of movement and goal present in our task may have been more relevant cues to explicit SoA than freedom to act (see section 4.2).

It is unlikely that the effects of freedom to act on implicit SoA may be due to the fact that participants performed the actions more slowly in the free than in the cued context as revealed by the analysis of reaction times. As a matter of fact, the significant interaction between Context and Delay found in the analysis of Synchrony Judgments is not compatible with this interpretation. Indeed, if the difference between the two contexts was due to a difference in reaction times, it is not clear why this 593 would take place only for longer delays between the executed and virtual action, and not also for 5_,94 virtual actions that were simultaneous to the button press. In addition, we did not find any significant 5³95 correlation between Synchrony Judgments and Reaction Times as shown by the analysis reported in **5**96 section 3.4.

5⁄97 One could also argue that the effects of freedom to act on Synchrony Judgments could be due to the 598 fact that participants may have paid more attention to the events taking place in the virtual scenario 10 1**5]99** when freely choosing which color to press. However, the analysis of the control question (see section 12 1**600** 3.5) revealed that participants were better than chance in recognizing changes of the disposition of 14 15 1601 1602 17 the colors in both contexts, suggesting that participants were paying attention to the virtual actions both when performing actions freely and following cues. 1603 We therefore argue that participants experienced a genuine increase of implicit SoA under a context 19 2**604** of freedom to act.

22 2**6**05 4.2 Movement-related prediction errors reduce both implicit and explicit SoA, while goalrelated prediction errors impair only explicit SoA

2606 25 26 2607 In addition to the effects of freedom to act on the feeling of control, our data show that other action-28 2**698** cues contribute to implicit and explicit SoA. Participants perceived a virtual action as more 30 3**609** synchronous to their own when the virtual finger moved in their same direction (M+), as compared 3610 33 36111 35 3612 to when the virtual finger moved in the opposite direction (M-, main effect of Movement). In addition, SJs were reduced when a delay was introduced between the executed and observed action (main effect of factor Delay). These results partly overlapped with those obtained analyzing JoC. Participants 37 3**6313** reported higher control when the virtual finger moved in the same as compared to the opposite 39 4**614** direction and importantly also when the virtual hand pressed the virtual button of the expected color 46**1**5 42 (G+) as compared to the unexpected color (G-). In addition, participants felt less in control when 4**616** 4 4 longer delays between real and virtual action were introduced (main effect of Delay). Indeed, 4617 movement and the temporal contiguity between action and the resulting effect appear to influence 46 4**6/18** both implicit and explicit SoA. Our data suggest that information about movement may be a pivotal 48 4**6919** source of SoA modulation. This is consistent with previous studies that found that movement 50 5**620** congruency influenced SoA both when indirect (Caspar, Desantis, et al., 2016) and direct measures 5**621** of SoA were employed (Daprati et al., 1997; Farrer et al., 2008; Fourneret & Jeannerod, 1998; Padrao 56422 et al., 2016; van den Bos & Jeannerod, 2002). Our results are also in line with previous studies that show a reduction of SoA when introducing a delay between executed and observed actions, and between an action and its outcome (Farrer et al., 2008; Franck et al., 2001; Sato & Yasuda, 2005; Shanks, Pearson, & Dickinson, 1989; Weiss et al., 2014). In contrast with the effects of movement

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626 information, our data also suggest that the influence of goal achievement may be limited to explicit 627 SoA. The fact that the failure to achieve the goal of the action did not reduce implicit SoA may be 6<u>3</u>28 surprising in light of previous studies that employed intentional binding measures (Barlas & Kopp, 6<mark>5</mark>29 2018; Caspar, Desantis, et al., 2016) and of our previous study (Villa et al., 2018). There, we reported 6⁄30 that the failure to achieve the goal of an action reduced SoA, but only when real and virtual action 8 631 took place simultaneously or with a very short delay (+75 ms). Here we did not observe the same 10 1632 12 1633 1633 14 1635 17 1636 19 2637 pattern of results, but some methodological differences may account for this seeming discrepancy. First, in the present study the goal of the action in the cued block was assigned randomly in each trial, 26942 30 36_43 32 3**6**344 34 3**645** ³⁶46 3**647** 39 4648 41 4**6249** 43 4650 4551 4651 4652 48 4**653** 50 5**654** 52 5**6555** 54 5**656** 5657 5**658** 59 achievement is low. 659 61 62 63 64 65

while in our previous study participants were asked to press a button of a cued color (blue/yellow) for a long series of trials (around 250). Additionally, in this study each type of virtual feedback was observed an equal number of times (25% of trials), while in the previous study participants observed a fully correct feedback (M+G+) in 50% of trials, and each type of erroneous feedback (M+G-, M-G+, M-G-) in 16% of trials. Hence, participants to our previous study may have formed a stronger association between action and outcome that resulted in a higher influence of information about goal achievement on SoA with respect to the current study. This interpretation is consistent with a cueintegration theory of SoA (Moore & Fletcher, 2012. See below). Moreover, it should also be noted that other studies failed to find any effect of outcome congruency on intentional binding (Desantis, Hughes, & Waszak, 2012; Haering & Kiesel, 2014). Whether implicit SoA is modulated by goalrelated prediction errors remains an open question that should be further investigated in future studies. Interestingly, goal-related prediction errors were instead effective in modulating explicit SoA. This result is in line with previous studies that reported a reduction of explicit SoA for unexpected action outcomes (David et al., 2016; Kühn et al., 2011; Sato & Yasuda, 2005). That goal information modulated explicit but not implicit SoA is compatible with the proposal that inferential processes are involved in the formation of explicit beliefs about control (Synofzik et al., 2008a, 2008b; Wegner & Wheatley, 1999) and with the fact that individuals tend to view themselves as the cause of successful outcomes, and to attribute failures to external factors (Arkin et al., 1980; Miller & Ross, 1975). This result is also in line with the findings of a recent study by Pezzetta and colleagues, who reported that when participants passively observed a goal-directed action in a fully immersive virtual scenario -areaching movement to grasp a glass they experienced more control over the virtual action when the virtual hand successfully grasped the glass as compared to when it failed to do so (Pezzetta et al., 2018). Importantly, the proportion of failures (75%) was higher than the proportion of successes (25%), which suggests that individual can experience explicit SoA even when the probability of goal Overall, the different effects of movement and goal information may be compatible with recent

models that explain how various sources of information contribute to SoA (Moore & Fletcher, 2012; 660 661 Synofzik et al., 2008a, 2008b). Moore and Fletcher (2012) proposed a Bayesian model in which 6³62 multiple action cues are weighted according to their *reliability*, i.e. to their effectiveness in identifying 663 oneself or an external source as the cause of an event. Given our experimental design, the probability 6764 of observing a movement or goal-related prediction error was 50%. This may have different 665 implications for movement and goal information and for implicit and explicit SoA. With respect to 10 1**6**66 movement information, participants may have had strong prior predictions about the way the virtual 12 1**667** movement unfolded once they performed the action, since control of one's own body is generally part 14 668 15 1669 17 1670 of everyday experience. Hence, movement-related prediction errors may have been considered by participants an effective source of information that could modulate both implicit and explicit SoA. On the other hand, feeling in control of events in the external environment may require the formation 19 2**671** of a stable association between action and outcome (Moore, Lagnado, Deal, & Haggard, 2009). Given 21 2672 23 2473 2674 2674 2675 28 2676 30 3677 the high probability of failure in achieving the goal in our task, participants may have considered goal information as ineffective in modulating their implicit SoA, that may mostly rely on non-conceptual sensorimotor processes (Synofzik et al., 2008a). Nevertheless, goal-related prediction errors may have been effective in reducing explicit SoA, which may rely also on conscious thoughts about causality.

Importantly, our results do not provide support to the possibility that movement and goal-related 32 3**6378** prediction errors may exert a different influence on SoA respectively in free and cued actions. Indeed, 34 3**679** we did not find any significant interactions between factors Context, Movement and Goal in SJs or 36**80** 37 JoC analyses. Although conclusions from null results should be extremely cautious, it is nonetheless 3**681** 39 4**682** interesting to note that a similar pattern of results was also reported by Barlas and colleagues (2018). In their study, freedom to act enhanced SoA, while observation of an unexpected outcome reduced 41 4**6283** it, but these two effects did not interact. We extend Barlas and colleagues conclusions by showing 43 4**684** that movement and goal-related prediction errors do not exert a different influence on SoA in free 45 4**685** and cued action. Contrary to our hypothesis, information about achievement of the actions' goal does 4**686** 48 not appear to influence SoA more in free as compared to cued actions as suggested by previous studies 4**687** 50 (Beck, Di Costa, & Haggard, 2017; Borhani, Beck, & Haggard, 2017). Our results are similar to those 5688 obtained by Caspar and colleagues, who demonstrated that binding between action and outcome is 52 5**689** reduced by the presence of a context of coercion, and it is enhanced by freedom to act irrespective of 54 5**690** whether actions resulted in a more or less severe event for another individual (Caspar, Christensen, 5691 et al., 2016; Caspar et al., 2018, 2017). Thus, our results support the notion that freedom to act itself 5**692** 59 may be linked to an enhancement of (implicit) SoA, irrespective of the consequences in the external 693 environment.

4.3 Behavioral adjustments follow both movement and goal-related prediction errors 694

é95 In addition to modulation of participants' SoA, we also found evidence that movement and goal-6496 related prediction errors had an influence on their motor performance. 697 Participants were faster in providing a Synchrony Judgment (i.e., JTs) when they observed a fully 7 **698** correct feedback as compared to all types of erroneous feedback (M+G-, M-G+, M-G-, Movement x 9 16999 1700 12 1700 1701 14 1702 16 1703 Goal interaction). Interestingly, the feedback associated to slower JTs was the one where participants observed a congruent movement which did not achieve the goal (M+G-). This indicates that goalrelated prediction errors affected participants behavior even if the movement was congruent.

Evidence for behavioral adjustments following movement and goal-related prediction errors also comes from the analysis of poRTs. Indeed, participants were slower in performing a new action after observing both a failure to achieve the goal of the action and an incongruent movement in the previous trial. Overall, the analyses of JTs and poRTs suggest that not only SoA, but also participants' behavior was affected by prediction errors. The slowing observed in both measures may be similar to behavioral adjustments that occur after a real error, in particular the Post Error Slowing (Danielmeier & Ullsperger, 2011; Fusco et al., 2018; Ullsperger et al., 2014). Our findings are also in line with previous evidence suggesting that prediction errors and unexpected action-related visual events (in our case the observation of congruent or incongruent virtual actions from a first-person perspective) lead to behavioral adjustments (Gentsch et al., 2009; Padrao et al., 2016; Wessel & Aron, 2013; Wessel et al., 2012).

Interestingly, despite movement appeared to be a more relevant cue to SoA as compared to goal achievement (at least for implicit SoA), the latter appeared to exert a strong influence on behavioral adjustments, even stronger than movement information as suggested by the analysis of JTs. This further suggests that the effect of prediction errors on SoA is influenced by other factors, such as the reliability of a specific action cue (Moore & Fletcher, 2012; Synofzik et al., 2008a, 2008b). Finally, we did not find any evidence of a significant interaction between movement and goal-related prediction errors and free or cued contexts of action. This suggests that erroneous or unexpected consequences of free and cued actions may lead to similar behavioral adjustments.

5. CONCLUSION

In this study we investigated the effects of movement and goal-related prediction-errors on implicit and explicit components of the Sense of Agency and on behavioral adjustments when participants performed freely chosen and cued actions. Our data support the notion that freedom to act enhances SoA, but we show that its influence may be limited to implicit SoA and to conditions where the

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temporal contiguity between one's actions and the external consequences is low. Moreover, our data indicate that that information about movement execution may be the pivotal cue to both implicit and explicit SoA, while goal achievement appears to mostly influence explicit SoA. We hypothesize that the contribution of goal information to implicit SoA may increase in case of a more stable association between action and outcome (Moore et al., 2009) or in case the goal was endowed with an affective or rewarding valence (Takahata et al., 2012; Yoshie & Haggard, 2013). Future studies may tackle these issues. Importantly, our data suggest that the effects on SoA of freedom to act and of movement and goal-related prediction errors are independent. Finally, we show that movement and goal related prediction errors may generate behavioral adjustments.

Conflict of Interest: The authors declare that they have no conflict of interest.

Compliance with ethical standards: The experimental protocol was approved by the ethics committee of Fondazione Santa Lucia (Prot. CE/PROG. 686) and was performed in accordance with the 1964 declaration of Helsinki. All participants read and provided written informed consent prior to taking part in the study.

Data availability statement The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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CAPTIONS TO FIGURES AND TABLES

1006 Fig. 1 Experimental set-up. Participants performed simple goal-directed actions – to select one of 1007 the two virtual buttons according to its color (blue or yellow) and to press the real button in the 1008 corresponding position as fast as possible - and observed a virtual hand performing the same or a **1009** different action from a first-person perspective (panel a). A screen was placed on a wooden structure **1010** 12 in an inclined position, and a hole at the front of the structure allowed participants to place their hand 10211 under the screen and hidden from sight. Participants inserted their finger between the two plastic 14 **10:12** buttons of a custom-made response box (panel b), which allowed to collect downward and upwards 16 10,13 movements. In separate blocks, participants could either freely select which color to press (free 1014 actions) or follow an imperative cue (cued actions, in the example we show a participant that has to **1015** 21 press blue). The virtual action could be simultaneous (+0 ms) or delayed (+150, +300 ms) with respect 10916 to the real button press. We collected Synchrony Judgments and Judgments of Causation expressed 23 **1017** by means of a Visual Analogue Scale as indirect and direct measure of SoA, respectively 25

1018 Fig. 2 Experimental procedure and virtual stimuli. The experiment was divided in two sessions **1019** 29 (panel A): in the SJ session participants were asked to provide Synchrony Judgments between the <u>1</u>020 virtual action and the observed the virtual action. In the JoC session, participants provided Judgments 31 **1021** of Causation of the virtual action by means of a Visual Analogue Scale. Participants performed the 33 **1₉0₁22** two sessions in a fixed order – first the SJ session, then the JoC session. Each session was divided in 35 **1023** two blocks, whose order was counterbalanced across participants: in the "Free" block participants 130724 performed freely chosen actions, in the "Cued" block they followed cues. The structure of each trial **1025** 40 was identical for free and cued actions (panel B), with the only difference being the type of symbol 11026 that appeared at the beginning of the trial. In the cued block, a yellow or blue circle instructed 42 **14027** participants about which color they had to press. In the free block, the circle was half blue and half 44 **140328** yellow and reminded participants to choose which color to press. The circle remained visible for 1000 46 1**40**29 ms and then disappeared. After a random time comprised between 1000 and 1500 ms the two virtual 4030 buttons flashed for 120 ms, one of them turning blue and the other yellow. Participants pressed the £031 real button in the same position as the selected color with an upward or downward movement and 51 observed a virtual action - i.e., visual feedback - which took place simultaneously (+0 ms) or delayed 1032 53 **1033** (+150, +300 ms) with respect to the button press. The visual feedback remained visible for 500 ms. 55 **1034** After that participants were asked to respond to the agency question (Synchrony Judgments or ⁵⁷ 1035 Judgments of Causation). The inter-trial interval (ITI) was 1000 ms. The possible types of visual 1036 feedback are reported in panel C. For simplicity we represent only the case where blue is up and

1037 yellow is down and the participants presses the blue button. At the center of the panel the four possible 10,38 types of feedback (M+G+, M+G-, M-G+, M-G-) are represented. To the right of the panel we report 10³/₄39 the prohibition signal that participants observed in the cued block if they pressed the wrong button 10540 7 10541 (real error)

Fig. 3 Effects of movement, context and delay manipulations on Synchrony Judgments (SJs). 9 **1042** The analysis of Synchrony Judgments revealed that participants perceived the visual feedback as 1043 more synchronous when the virtual movement was congruent with the real one (main effect of factor **10344** 14 Movement; panel A) and when they performed free as compared to cued actions (main effect of 10545 factor Context, panel B). Moreover, participants could discriminate delays of increasing duration 16 10746 (main effect of factor delay, panel C). Interestingly, participants perceived free actions as more 18 **1047** synchronous with respect to cued ones when delays of 150 ms or 300 ms were introduced between 20 **1048** real and virtual actions, but not when virtual actions took place simultaneously (+0 ms) (Action Type 1049 X Delay interaction, **panel D**). Error bars represent the Standard Error of the Mean in all panels

1050 Fig. 4 Effects of Movement, Goal and Delay manipulations on the Judgments of Causation 26 **1<u>0</u>51** (JoC). The analysis of JoC revealed three main effects. Participants experienced lower SoA i) when 28 1052 they observed that the virtual index finger moved in the opposite direction as compared to when it 1053 moved in their same direction (main effect of factor Movement, panel A); ii) when the color pressed 1054 33 1055 by the virtual hand was not the selected one as compared to when it was the selected one (main effect of factor Goal, Panel B); and iii) for longer delays between real and virtual actions (main effect of 35 **130556** factor Delay, panel C) 37

1057 Fig. 5 Effects of movement, goal and delay manipulations on Judgment Times (JTs). The **1058** 41 analysis of JTs showed that participants were significantly faster in providing a Synchrony Judgment 1059 when a delay of 300 ms was introduced between real and virtual action, as compared to when the 43 **140460** delay was of 150 ms or when the virtual action was simultaneous to the real one (+0 ms. Main effect 45 **1061** of factor Delay, panel A). Moreover, JTs were significantly higher when participants observed that 47 1062 the goal of the action was missed as compared to when it was achieved (main effect of factor Goal, 1063 **panel B**). This effect was further explained by a significant interaction between factors Movement 1064 and Goal: participants showed faster JTs when they observed a fully congruent virtual action (the 1065 virtual index finger moved in the same direction of the participant and pressed the selected color) as 54 1066 compared to all the types of erroneous feedback. Additionally, participants showed slower JTs when 56 **1067** they observed a congruent movement and the goal of the action was missed (M+G-) as compared to 58 **1068** the other types of erroneous feedback (M-G+, M-G-). Please note that the difference between M+G-

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and M-G+ approximated significance (p = .055). Error bars represent the Standard Error of the Mean in all panels

Fig. 6 Effects of Movement and Goal manipulations on post observation Reaction Times (poRTs). The analysis of poRTs revealed that participants were significantly slower in performing a button press after observing an incongruent as compared to a congruent movement (main effect of factor Movement, panel A). Moreover, participants were significantly slower in performing a new action after observing that the virtual hand pressed the virtual button of the color they did not select as compared to when the virtual hand pressed the selected color (main effect of factor Goal, panel **B**). Error bars represent the Standard Error of the Mean in all panels

as compared to when the virtual hand pressed the selected color (main effect of factor Goal, panel 14
 B). Error bars represent the Standard Error of the Mean in all panels
 Table 1 The table reports the mean ± Standard Error of the Mean of ipsatized Synchrony Judgments for all levels of the interaction between factors Context (Free/Cued) and Delay (+0 ms, + 150 ms, + 17080 300 ms)
 300 ms)

CAPTIONS TO FIGURES AND TABLES

1006 Fig. 1 Experimental set-up. Participants performed simple goal-directed actions – to select one of 1007 the two virtual buttons according to its color (blue or yellow) and to press the real button in the 1008 corresponding position as fast as possible - and observed a virtual hand performing the same or a **1009** different action from a first-person perspective (panel a). A screen was placed on a wooden structure **1010** 12 in an inclined position, and a hole at the front of the structure allowed participants to place their hand 10211 under the screen and hidden from sight. Participants inserted their finger between the two plastic 14 **10:12** buttons of a custom-made response box (panel b), which allowed to collect downward and upwards 16 10,13 movements. In separate blocks, participants could either freely select which color to press (free 1014 actions) or follow an imperative cue (cued actions, in the example we show a participant that has to **1015** 21 press blue). The virtual action could be simultaneous (+0 ms) or delayed (+150, +300 ms) with respect 10916 to the real button press. We collected Synchrony Judgments and Judgments of Causation expressed 23 **1017** by means of a Visual Analogue Scale as indirect and direct measure of SoA, respectively 25

1018 Fig. 2 Experimental procedure and virtual stimuli. The experiment was divided in two sessions **1019** 29 (panel A): in the SJ session participants were asked to provide Synchrony Judgments between the <u>1</u>020 virtual action and the observed the virtual action. In the JoC session, participants provided Judgments 31 **1021** of Causation of the virtual action by means of a Visual Analogue Scale. Participants performed the 33 **1₉0₁22** two sessions in a fixed order – first the SJ session, then the JoC session. Each session was divided in 35 **1023** two blocks, whose order was counterbalanced across participants: in the "Free" block participants 130724 performed freely chosen actions, in the "Cued" block they followed cues. The structure of each trial **1025** 40 was identical for free and cued actions (panel B), with the only difference being the type of symbol 11026 that appeared at the beginning of the trial. In the cued block, a yellow or blue circle instructed 42 **14027** participants about which color they had to press. In the free block, the circle was half blue and half 44 **140328** yellow and reminded participants to choose which color to press. The circle remained visible for 1000 46 1**40**29 ms and then disappeared. After a random time comprised between 1000 and 1500 ms the two virtual 4030 buttons flashed for 120 ms, one of them turning blue and the other yellow. Participants pressed the £031 real button in the same position as the selected color with an upward or downward movement and 51 observed a virtual action - i.e., visual feedback - which took place simultaneously (+0 ms) or delayed 1032 53 **1033** (+150, +300 ms) with respect to the button press. The visual feedback remained visible for 500 ms. 55 **1034** After that participants were asked to respond to the agency question (Synchrony Judgments or ⁵⁷ 1035 Judgments of Causation). The inter-trial interval (ITI) was 1000 ms. The possible types of visual 1036 feedback are reported in panel C. For simplicity we represent only the case where blue is up and

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1037yellow is down and the participants presses the blue button. At the center of the panel the four possible $10^{\frac{1}{2}}$ types of feedback (M+G+, M+G-, M-G+, M-G-) are represented. To the right of the panel we report $10^{\frac{3}{2}}$ the prohibition signal that participants observed in the cued block if they pressed the wrong button $10^{\frac{5}{40}}$ (*real error*)7Fig. 3 Effects of movement, context and delay manipulations on Synchrony Judgments (SJs).

Fig. 3 Effects of movement, context and delay manipulations on Synchrony Judgments (SJs). 9 **1042** The analysis of Synchrony Judgments revealed that participants perceived the visual feedback as 1043 more synchronous when the virtual movement was congruent with the real one (main effect of factor **10344** Movement; panel A) and when they performed free as compared to cued actions (main effect of 10545 factor Context, panel B). Moreover, participants could discriminate delays of increasing duration 16 10746 (main effect of factor delay, panel C). Interestingly, participants perceived free actions as more 18 **1047** synchronous with respect to cued ones when delays of 150 ms or 300 ms were introduced between 20 **1048** real and virtual actions, but not when virtual actions took place simultaneously (+0 ms) (Action Type 1049 X Delay interaction, **panel D**). Error bars represent the Standard Error of the Mean in all panels

Fig. 4 Effects of Movement, Goal and Delay manipulations on the Judgments of Causation $\begin{bmatrix} 26\\1051 \end{bmatrix}$ (JoC). The analysis of JoC revealed three main effects. Participants experienced lower SoA i) when they observed that the virtual index finger moved in the opposite direction as compared to when it moved in their same direction (main effect of factor Movement, **panel A**); ii) when the color pressed by the virtual hand was not the selected one as compared to when it was the selected one (main effect of factor Goal, **Panel B**); and iii) for longer delays between real and virtual actions (main effect of factor Delay, **panel C**)

1057 Fig. 5 Effects of movement, goal and delay manipulations on Judgment Times (JTs). The **1058** 41 analysis of JTs showed that participants were significantly faster in providing a Synchrony Judgment 1059 when a delay of 300 ms was introduced between real and virtual action, as compared to when the 43 **140460** delay was of 150 ms or when the virtual action was simultaneous to the real one (+0 ms. Main effect 45 **1061** of factor Delay, panel A). Moreover, JTs were significantly higher when participants observed that 47 1062 the goal of the action was missed as compared to when it was achieved (main effect of factor Goal, 1063 **panel B**). This effect was further explained by a significant interaction between factors Movement 1064 and Goal: participants showed faster JTs when they observed a fully congruent virtual action (the 1065 virtual index finger moved in the same direction of the participant and pressed the selected color) as 54 1066 compared to all the types of erroneous feedback. Additionally, participants showed slower JTs when 56 **1067** they observed a congruent movement and the goal of the action was missed (M+G-) as compared to 58 **1068** the other types of erroneous feedback (M-G+, M-G-). Please note that the difference between M+G-

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and M-G+ approximated significance (p = .055). Error bars represent the Standard Error of the Mean in all panels

Fig. 6 Effects of Movement and Goal manipulations on post observation Reaction Times (poRTs). The analysis of poRTs revealed that participants were significantly slower in performing a button press after observing an incongruent as compared to a congruent movement (main effect of factor Movement, **panel A**). Moreover, participants were significantly slower in performing a new action after observing that the virtual hand pressed the virtual button of the color they did not select as compared to when the virtual hand pressed the selected color (main effect of factor Goal, **panel B**). Error bars represent the Standard Error of the Mean in all panels

as compared to when the virtual hand pressed the selected color (main effect of factor Goal, panel 14
B). Error bars represent the Standard Error of the Mean in all panels
Table 1 The table reports the mean ± Standard Error of the Mean of ipsatized Synchrony Judgments 1979
for all levels of the interaction between factors Context (Free/Cued) and Delay (+0 ms, + 150 ms, + 1080 300 ms)
300 ms)



Correct feedback



C Substituting the set of the se

Free Choice



* = p < .05 ** = p < .01 *** = p < .001











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	+ 0 ms	+ 150 ms	+ 300 ms	
Free	0.249 ± 0.029	0.037 ± 0.021	-0.233 ± 0.028	
Cued	0.251 ± 0.030	-0.007 ± 0.017	-0.296 ± 0.028	