

1 High Amplitude Redox Changes in the late Early Triassic of South China  
2 and the Smithian/Spathian extinction

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40

41 Abstract

42 The Early Triassic was a time of remarkably high temperatures, large carbon  
43 cycle perturbations and episodes of widespread ocean anoxia. The sediments in  
44 the Nanpanjiang Basin of South China provide superb opportunities to examine  
45 the sedimentary response to these extreme conditions especially during the crisis  
46 interval at the Smithian-Spathian (S-S) boundary. We have investigated a deep  
47 water section at Jiarong and a shallower water section at Mingtang. These contain  
48 a range of facies including black shales, micritic limestone units and rudaceous  
49 carbonate event beds that include flat pebble conglomerates and breccia debrites  
50 that bear similarities to the hybrid event beds seen in clastic turbidite successions.

51 Redox proxies (pyrite framboids and trace metals) reveal that widespread  
52 anoxia in the late Smithian persisted into the *Novispathodus pingdingshanensis*  
53 Zone of the early Spathian before a sharp transition to highly oxygenated “griotte  
54 facies” (red marine strata) in the *Icriospathodus collinsoni* Zone that records an  
55 “oxic rebound”. Benthic faunas are locally common but of low diversity and  
56 dominated by thin-shelled bivalves and ostracodes with small foraminifers and  
57 exceptionally rare fish remains. Bioturbation was intense only in the early-middle  
58 Spathian (*Ic. collinsoni* conodont zone) Griotte facies. Anoxia and extremely high  
59 temperatures probably played a role in severely restricting the abundance of fish  
60 and the small sizes of marine invertebrates at this time. The presence of ooids and  
61 seafloor fan cements in our study sections indicates highly saturated conditions  
62 rather than acidification at the end of the Smithian.

63

64 Key words: Smithian-Spathian extinction; redox changes; carbon isotopes; Early  
65 Triassic

66

67 1. Introduction

68 Occurring in the shadows of the end-Permian mass extinction, the Smithian-  
69 Spathian (S-S) extinction was first recognized as a minor Early Triassic marine  
70 crisis by Hallam and Wignall (1997). It has subsequently been identified as a major  
71 extinction of nektonic taxa (Orchard, 2007; Stanley, 2009), which coincides with a  
72 peak of Early Triassic warmth that is likely one of the hottest intervals of the  
73 Phanerozoic (Sun et al., 2012). Many of the benthic victims of the S-S crisis were  
74 “disaster taxa” that had flourished in the aftermath of the end-Permian mass  
75 extinction (e.g., many species of *Claraia* bivalves and bellerophonid gastropods)  
76 (Chen, 2004; Kaim and Nützel, 2011). In Utah, the S-S boundary is marked by a  
77 distinct lithology change from ammonoid wackestone to bivalve wackestone-  
78 packstone and an extinction of 10 out of 11 Smithian conodont species (Solien,  
79 1979). Amongst radiolarians the low diversity populations of Permian holdovers  
80 were devastated causing the group to reach the lowpoint of its long history: only  
81 12 genera are known from the late Smithian (O’Dogherty et al., 2011). It is a similar  
82 story on land with communities reaching a diversity minimum (Irmis and  
83 Whiteside, 2012). The successful *Lystrosaurus*, that had dominated post-  
84 extinction terrestrial environments, may also die out at this time (Fröbisch et al.,  
85 2010).

86 The Smithian was a time interval of major disturbances in the global carbon  
87 cycle. Negative excursions, typically of ~7‰ to ~10‰ magnitude are frequently  
88 seen in both carbonate ( $\delta^{13}\text{C}_{\text{carb}}$ ) and organic carbon isotopes ( $\delta^{13}\text{C}_{\text{org}}$ ) (Payne et  
89 al., 2004; Grasby et al., 2012), suggesting enormous light carbon inputs and/or  
90 major re-organization of the global carbon cycles. This was followed by a positive  
91  $\delta^{13}\text{C}_{\text{carb}}$  excursion of comparable size beginning around the S-S boundary interval  
92 before a second, lesser negative excursion in the early middle Spathian (Sun et al.,  
93 2012).

94 The extinction losses and carbon isotope trends have been linked in several  
95 models. One invokes isotopically light carbon dioxide emissions associated with  
96 Siberian flood basalt volcanism (Payne et al., 2004). The consequent acidification  
97 pulse is envisaged to have caused the marine extinctions (Galfetti et al., 2008; Saito  
98 et al., 2013) whilst the warming trend went hand-in-hand with oceanic anoxia. The  
99 subsequent enhanced burial of isotopically light organic C in anoxic sediments  
100 would have generated the positive  $\delta^{13}\text{C}$  trend seen across the S-S boundary  
101 (Galfetti et al., 2007). In contrast, Meyer et al. (2011) argue that the positive  
102  $\delta^{13}\text{C}_{\text{carb}}$  trend records increased productivity in the water column thereby  
103 generating a steeper surface to deep isotopic gradient. In a third alternative,  
104 Horacek et al. (2007) argue that the initial negative shift reflects the overturn of a  
105 previously well-stratified ocean water column causing the release of light  
106 dissolved inorganic carbon, derived from remineralized organic matter, into the  
107 upper water column. All three scenarios differ in their predictions of the relative  
108 timing of carbon isotope excursions and anoxia. The Galfetti et al. (2007)  
109 alternative sees anoxia developed as  $\delta^{13}\text{C}$  values swing to positive values in the  
110 latest Smithian, the Meyer et al. (2011) alternative has anoxia peaking later as  $\delta^{13}\text{C}$   
111 reaches maximum values whilst the Horacek et al. (2007) model has peak anoxia  
112 much earlier (in the late Smithian) just prior to the minimum in  $\delta^{13}\text{C}$ .

113 The temporal relationship between marine anoxia and the  $\delta^{13}\text{C}$  curve is clearly  
114 a subject of debate as is the relationship between redox changes and the S-S biotic  
115 crisis. We examine both topics here in a study of sections from the Nanpanjiang  
116 Basin of South China and aim to show the relationship between anoxia and  $\delta^{13}\text{C}$   
117 trends. A combined conodont biostratigraphic and carbon isotope study is used to  
118 generate an age model, in conjunction with a facies and petrographic analysis of  
119 depositional conditions. The recovery from S-S boundary environmental  
120 perturbations also reveals the development of extraordinary marine red-bed  
121 facies (Griotte) reminiscent of similar facies developed in the aftermath of other

122 intense phases of oceanic anoxia in both the Devonian and Cretaceous.

## 123 2. Geological setting

124 In the Late Permian, the Yangtze Platform was situated at equatorial latitudes  
125 in the Palaeo-Tethys (Fig. 1A) and gradually rotated counter clockwise and moved  
126 northwards to  $\sim 12^\circ\text{N}$  in the early Middle Triassic (Enkin et al., 1992; Lehrmann et  
127 al., 1998). The Nanpanjiang Basin was situated to the southwest of the Yangtze  
128 Platform, and was a deep water epicontinental basin (Fig. 1B) in which the Great  
129 Bank of Guizhou (GBG) was an isolated carbonate platform (Lehrmann et al.,  
130 2003).

131 A series of sections ranging from the Late Permian to Late Triassic are exposed  
132 around Jiarong Village and Bianyang Town (Guizhou Province) within the  
133 Nanpanjiang Basin. The studied section Jiarong III ( $25^\circ 55' 17.12''\text{N}$ ,  
134  $106^\circ 33' 49.75''\text{E}$ ; Fig. 2A) was situated between the southern edge of the Yangtze  
135 Platform and the northern margin of the GBG, representing a basin margin  
136 location. The other studied section at Mingtang ( $25^\circ 36' 15.84''\text{N}$ ,  $106^\circ 38' 18.72''\text{E}$ ),  
137 4.5 km west of Bianyang Town, was situated at the northern edge of the GBG,  
138 representing an outer platform setting. Two additional sections at Jinya and  
139 Zuodeng (Guangxi Province) were also briefly examined for reference.

## 140 3. Methods

141 The two sections were logged in detail and 7-14 kg samples were collected for  
142 conodont extractions together with more abundant smaller samples for  
143 petrographic and carbon isotope analysis.

### 144 3.1 Conodont extraction

145 Conodont samples were crushed to small chips and dissolved by using 10%

146 acetic acid at China University of Geosciences (Wuhan). The acid solution was  
147 exchanged every 48 hours until the rock chips were fully dissolved. Residuals were  
148 wet sieved and dried at 50 °C in an oven. Sodium polytungstate heavy solution  
149 (2.81 g/cm<sup>3</sup>) was used for density separations. The heavy fraction was washed  
150 with distilled water and dried at 50 °C. Conodonts elements were picked under a  
151 binocular microscope.

### 152 3.2 Thin-section, SEM and framboid pyrite analysis

153 Seventy four thin sections were examined using a petrographic microscope.  
154 Microfacies types are based on the petrographic features as well as macroscopic  
155 features of the sediments observed in the field. In addition, 30 polished blocks  
156 were examined using scanning electron microscopes equipped with energy-  
157 dispersive X-ray spectroscopy (SEM-EDS) under backscattered electron (BSE)  
158 mode at the University of Hull (UK) and the University of Hong Kong (China).  
159 Elemental mapping was performed to characterize the distribution of iron in the  
160 red lithologies. Where present, pyrite framboid diameters were measured at  
161 2500X magnification under BSE mode.

### 162 3.3 X-ray diffraction (XRD)

163 X-ray diffraction (XRD) analyses were conducted to determine main mineral  
164 compositions in rocks. Measurements were performed on a Siemens D5000 X-ray  
165 diffractometer with CuK $\alpha$  radiation ( $\lambda = 0.154$  nm) and a graphite secondary  
166 monochromator at the GeoZentrum Nordbayern, University of Erlangen-  
167 Nuremberg (Germany) (short as "Erlangen" below). Samples were ground to fine  
168 powders by using a mill and tightly packed into round tablets. The sample tablets  
169 were scanned from 2° to 65° for 2 $\theta$ , with a step size of 0.02° and a counting time  
170 of 2 s/step.

171 3.4 Major elements (X-ray fluorescence-XRF)

172 Fresh samples were milled to fine powders and dried at 105 °C overnight. After  
173 determination of combustion loss, samples were melted into glass tablets and  
174 measured using a AMETEK Spectro XEPOS XRF analyzer at Erlangen.

175 3.5 Trace metals

176 Trace metals (molybdenum and uranium) concentrations were determined at  
177 the Isotope Science Laboratory, University of Calgary (Canada). Elemental  
178 determinations were carried out on powdered samples digested in a 2:2:1:1 acid  
179 solution of H<sub>2</sub>O-HF-HClO<sub>4</sub>-HNO<sub>3</sub>. Solutions were analyzed using a PerkinElmer  
180 mass spectrometer. Reproducibility was better than ±2% (2σ).

181 3.6 Mössbauer spectroscopy

182 <sup>57</sup>Fe Mössbauer spectroscopy is a powerful tool to determine the oxidation  
183 state and coordination of iron in bulk mineral compositions (e.g., Burns, 1994).  
184 Investigations were performed on a custom-built Mössbauer spectrometer at the  
185 University of Hong Kong. Fine sample powders were loaded in acrylic holders and  
186 measured in a transmission mode with a 25 m Ci<sup>57</sup>Co/Pb gamma-ray source under  
187 constant acceleration at room temperature. The velocity scale was calibrated with  
188 a reference to the spectrum of α-Fe foil. Lorentzian doublets were used and the  
189 recoilless fractions of iron in octahedral and tetrahedral crystallographic sites  
190 were considered equal for fitting the areas of sub-spectra (Gorski and Scherer,  
191 2010). Mössbauer hyperfine parameters: Fe(II)- IS=0.7-1.2 mm/s, Fe(III)- IS=0.3-  
192 0.6 mm/s.

193 3.7 Carbon isotope and total organic carbon (TOC) analyses

194 For δ<sup>13</sup>C<sub>carb</sub> analyses, carbonate powders were sampled using a micro-drill on



195 fresh-cut rock surface. Sample powders were reacted with 100% phosphoric acid  
196 at 70 °C in a Gasbench II auto-sampler connected online with a ThermoFinnigan  
197 Delta V Plus mass spectrometer at Erlangen. All values are reported in per mil  
198 relative to V-PDB by assigning a  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  value +1.95‰ and -2.20‰ to NBS  
199 19 and -46.6‰ and -26.7‰ to LSVEC, respectively. Reproducibility was  
200 monitored by replicate analysis of laboratory standards calibrated to NBS 19 and  
201 LSVEC, and was better than  $\pm 0.09\text{‰}$  ( $2\sigma$ ).

202 For measurements of  $\delta^{13}\text{C}_{\text{org}}$  and TOC contents, samples were milled to fine  
203 powder and treated with 10% HCl at  $\sim 60$  °C to remove carbonate components.  
204 Insoluble residues were washed with deionized water for at least 5 times, dried at  
205 55 °C and homogenized using a mortar.  $\delta^{13}\text{C}_{\text{org}}$  and TOC were analyzed using an  
206 elemental analyser (CE 1110) connected online to a ThermoFisher Delta V Plus  
207 mass spectrometer. Reproducibility of the analyses was better than  $\pm 0.06\text{‰}$  ( $2\sigma$ )  
208 for  $\delta^{13}\text{C}_{\text{org}}$  and 0.09% ( $2\sigma$ ) for TOC.

#### 209 4. Conodont biostratigraphy

210 Detailed studies of the conodont biostratigraphy for the Lower Triassic strata  
211 of the Jiarong area were provided by Chen (2011). During this study, additional  
212 samples were collected. This enabled the *Novispathodus waageni*, *Discretella*  
213 *discreta* and *Parachirognathus -Pachycladina* zones to be established indicating a  
214 middle-late Smithian age whilst the *Nv. pingdingshanensis*, *Icriospathodus*  
215 *collinsoni* and *Triassospathodus homeri* zones are established for the Spathian (Fig.  
216 3).

217 At Mingtang we recognised three zones, despite exceptionally low conodont  
218 yield rates (commonly 1~3 elements per 10 kg rock). They are, in ascending order,  
219 *Tr. symmetricus* Zone, *Tr. homeri* Zone and *Nv. triangularis* Zone (Fig. 4). The *Tr.*  
220 *symmetricus* Zone is established for the early Spathian and is correlated to the

221 upper part of *Ic. collinsoni* Zone at Jiarong, due to the absence of *Ic. collinsoni*. The  
222 S-S boundary interval is either not exposed or cut-out by faulting. A massive  
223 dolomite unit represents the lowest part of the late Smithian (?) sediments and the  
224 contact with the overlying dark grey, laminated/nodular marls and micrites is  
225 irregular and partially obscured, suggesting a gap in the section.

226 Overall the two sections show a slight overlap in their stratigraphic range. The  
227 Jiarong III section straddles the S-S boundary whilst the sediments of the Mingtang  
228 section are of Spathian age and the presence of *Tr. brochus* at the top of the section  
229 suggests it extends to late in this substage.

## 230 5. Lithology and facies

231 Based on field features and petrographic analysis, 7 facies were identified in  
232 the sections.

### 233 5.1 Black shale

234 Black or grey-brownish shales are developed at Jiarong and Jinya. The Jiarong  
235 III section was freshly exposed, due to building excavations. Thus, the brown-  
236 coloured variant of “black shale” in the latest Smithian is considered to be the  
237 original depositional colour rather than a weathered version of the black shale. In  
238 thin sections the shales are laminated on a sub-millimeter scale and lack any  
239 fossils. At Jinya, pyrite framboids are very abundant in the black shales; in addition,  
240 cm-size pyrite cubes occur in the interbedded limestones.

### 241 5.2 Banded (alternating) wackestone and marl

242 This very common facies consists of centimetre-scale alternations of  
243 wackestone (or sometimes micritic mudstone) and marl (Fig. 2D). Lamination is  
244 present at some levels whilst more massive developments of the facies are caused

245 by thorough bioturbation. The effect of burrowing is clearly demonstrated in the  
246 intervals where the marls are typically red and the wackestones are white while  
247 the burrow fills show contrasting colour with host rocks. The colour contrast  
248 provides an excellent opportunity to investigate the bioturbation, which consists  
249 of millimetre-diameter burrows that include both vertical “micro-*Skolithos*” and  
250 horizontal “micro-*Planolites*” (Fig. 5A, B). At no level does the bioturbation succeed  
251 in homogenizing the original centimetre-scale bedding suggesting that intense  
252 burrowing by larger organisms was never present. However, at some levels the  
253 beds become discontinuous and nodular in appearance, which is probably due to  
254 bioturbation (Fig. 5C).

255 The red limestones are grouped into a unit informally called the “Griotte Unit”,  
256 best observed at Mingtang. Griotte is a term applied to nodular, red micrites that  
257 were widespread in deeper water settings of the Famennian (Late Devonian),  
258 when they developed after the Kellwasser anoxic events (Boyer, 1964). We suspect  
259 the Spathian Griotte beds to have similar significance to their Devonian  
260 counterparts (discussed in Section 6) and as such we use the term here to describe  
261 similar reddish units.

262 Fossils are common in the non-laminated wackestones and include ostracodes,  
263 thin-shelled bivalves and several types of calcispheres. The bivalves are typically  
264 articulated and filled with sparry cement suggesting a low energy environment. In  
265 some cases, the exterior of the shells reveals an overgrowth of radial crystals with  
266 irregular thickness reminiscent of fans of seafloor cement common elsewhere in  
267 the Early Triassic (Fig. 6G; Woods et al., 2007). Fossils are much rarer in the  
268 laminated beds but tiny pyrite grains are common.

### 269 5.3 Dolosparite

270 Beds of dolostone comprised of silt-sized rhombs are relatively common,

271 notably at Jiarong III. Where vestiges of original (unaltered) lithology are seen, the  
272 precursor lithology is micritic mudstone suggesting the dolosparite is a product of  
273 diagenetic alteration of the banded wackestone/marl facies.

#### 274 5.4 Filamentous wacke-/ packstone

275 Thin-shelled bivalves dominate this rare facies with most valves aligned  
276 parallel to bedding. The majority of valves are flat and often fragmented although  
277 more convex valves also occur. Other grains include peloids and pyrite (Fig. 6A).

#### 278 5.5 Pelsparite/calcarenite

279 Well-sorted, sand-grade peloids dominate the components of this facies.  
280 Occasionally the peloids contain calcispheres indicating that in some cases they  
281 are highly abraded intraclasts of calcisphere wackestone. Other clasts in this facies  
282 include ostracodes, echinoderms, superficial coated grains, composite ooids and  
283 rare pisoids (Figs. 6B, D, H and 7A, B).

284 Calcarenite beds are typically ~30 cm thick, with sharp, planar bases.  
285 Occasionally they show grading and have upper surfaces that are hummocky.

#### 286 5.6 Intraclast Breccia

287 Intraclast breccia beds are present at both Jiarong, where bed thickness is < 1  
288 m, and at Mingtang where this facies is more common with individual beds  
289 reaching thicknesses of up to 6 m. Clasts types are diverse and are derived from all  
290 the other facies types described above, including black shale (Fig. 2D). Typical  
291 clasts are angular and irregular in shape although those derived from the banded  
292 wackestone/marls are often tabular. Clasts are poorly sorted and show a wide  
293 range of sizes within the beds. The maximum clast size correlates with bed  
294 thickness. Thus, beds <2 m thick have some clasts approaching 40 cm in size whilst

295 the thickest bed (6 m thick, seen at Mingtang) has a peak clast size >1.4 m in  
296 maximum dimension.

297 Most clasts “float” in a micritic matrix, which is commonly altered to dolosilt,  
298 and occur in beds that lack any structure or internal organization. However, there  
299 are some intriguing exceptions. A 1 m thick bed found 8 m above the base of the  
300 Mingtang section (Fig. 4) reveals a well-sorted, clast-supported basal level  
301 composed of clasts a few centimeters in size, which grades up into a more typical  
302 matrix-supported breccia with a greater range of clast sizes. A 50 cm-thick bed at  
303 the 22 m level at Mingtang shows imbrication of tabular clasts at its base but  
304 chaotically oriented clasts above. Both these horizons suggest considerable  
305 variation of the flow regime beginning with a traction carpet of clasts before  
306 developing into high-concentration debris flows.

#### 307 5.7 Flat Pebble Conglomerate (FPC)

308 Flat pebble conglomerate beds, composed of intraclasts, are common in both  
309 sections and differ significantly from the intraclast breccias. Clast sizes are smaller  
310 and less variable, typically ranging from a few millimetres to several centimetres.  
311 Most clasts are eroded from the banded wackestone facies (Fig. 6C), which  
312 explains their consistent “flat pebble” morphology. Beds are also of more  
313 consistent thickness, typically ~40 cm, and never exceed one metre thickness.  
314 Clast density is also much greater; all FPC beds are clast supported with the great  
315 majority of clasts lying bedding parallel (Figs. 2F, 6C). In a few cases normal  
316 grading (fining upward) is seen but in most beds the clast size is uniform  
317 throughout the bed.

#### 318 6. Iron petrography, trace metal variations and redox trends

319 Framboids are common in all lithologies at Jiarong below the Griotte Unit.

320 Mean framboid diameters are generally between 5~7  $\mu\text{m}$ , typical of dysoxic  
321 conditions but framboids from the banded wackestone/marl facies in the *Parach.-*  
322 *Pachy.* and the basal *Nv. pingdingshanensis* zones have smaller sizes indicating  
323 anoxic conditions (Fig. 3, Table 1). Interestingly this indicates that the most  
324 intensely anoxic intervals were immediately prior to the onset of black shale  
325 deposition in the latest Smithian, and again immediately above the S-S boundary.  
326 These observations are supported by redox-sensitive trace elements that show  
327 increases above average shale values in the same intervals (e.g., Mo>1 ppm; U>3  
328 ppm).

329 Framboids are much rarer at Mingtang indicating much better oxygenation  
330 levels. Only three samples yielded sizable populations of framboids (Fig. 7). Mean  
331 framboid diameters ranged from 6.3 to 7.4  $\mu\text{m}$ , typical of dysoxic conditions. These  
332 are from the early Spathian Carbonate Unit I, and one sample each from the FPC  
333 facies in the Griotte Unit and the upper Carbonate Unit II. The framboids are only  
334 concentrated in the flat pebbles in the Griotte Unit. Likewise, redox sensitive trace  
335 elements remain below average shale values throughout the section (in most  
336 samples Mo<1 ppm, U<1.5 ppm).

337 Collectively, oxygen-starved conditions began in the late Smithian and  
338 extended into the earliest Spathian. They are frequently developed in the  
339 laminated, framboid-rich banded wackestone/marl in the *Parach.-Pachy.* Zone as  
340 well as in black shale facies in the *Nv. pingdingshanensis* Zone. At the latter level  
341 oxygenation varied dramatically because the lowermost Griotte beds are  
342 interbedded with the black shales (Figs. 2C). The griotte-dominated Mingtang  
343 section was largely oxic, with only sporadic dysoxia.

344 The Griotte Unit forms a distinctive part of the Spathian stratigraphy in both  
345 study sections and extend upwards to higher stratigraphic levels. The red colour  
346 is confined to fine-grained sediments and consequently many of the intraclast

347 breccias consist of distinctive white clasts floating in a red, fine-grained matrix (Fig.  
348 5A). The transition between red and grey coloured strata is always bedding  
349 parallel rather than (for example) along joint surfaces suggesting it was an original  
350 sedimentary colour and not produced by later oxidizing groundwater (Fig. 5A, B).  
351 The boundary between red and grey facies is also typically sharp, either occurring  
352 over a few millimetres or across the span of a bedding plane (Fig. 5E). Remarkably,  
353 red micrite can be in sharp contact with pyrite-rich black shale facies suggesting  
354 abrupt changes in redox conditions (Fig. 2C).

355 XRD investigations on bulk samples from the Griotte Unit show that the  
356 reddish levels have a major mineral composition of calcite, dolomite (ankerite),  
357 quartz and a small proportion of clay minerals such as kaolinite and clinochlore.  
358 Muscovite may also occur in some levels. There is no significant difference in  
359 mineral composition between the Griotte Unit and underlying beds. Iron  
360 concentration is also low (generally <1%) and decreases upsection (Fig. 4; Table  
361 2). EDS-BS-SEM examinations on polished surfaces of Griotte limestone/marl  
362 reveal that non-carbonate minerals are mainly apatite, iron-oxides, Fe-Ti oxides,  
363 “pyrite” framboids, quartz, rutile, zircon and occasionally rare earth element-rich  
364 minerals (Fig. 8A-C). Iron oxides are not detected by XRD, due to the low content  
365 but were revealed by SEM-EDS as finely disseminated iron oxide particles <1  $\mu\text{m}$   
366 or larger mineral grains  $\sim 15 \mu\text{m}$  in size (Fig. 8A-C, E).  $^{57}\text{Fe}$  Mössbauer  
367 spectroscopy indicates that hematite is the main iron-bearing phase in the Griotte  
368 facies, composing  $\sim 40\text{-}50\%$  of all iron-bearing minerals. Other mineral phases  
369 include Ti-Fe oxides, carbonates, silicates and trace amounts of sulfides (Fig. 8F).  
370 Despite its low concentration, the presence of the ultra-fine iron oxides is  
371 considered sufficient to impart the bright reddish pigmentation to the rocks (Fig.  
372 8E).

## 373 7. Paleontology

374 Benthic fauna is locally common and dominated by thin-shelled bivalves and  
375 ostracodes with rarer echinoderm grains, foraminifera and very rare  
376 microgastropods. The Griotte Unit is bioturbated but contains few shelly fossils.

#### 377 7.1 Conodonts

378 The S-S conodonts in the Nanpanjiang Basin generally show a very low  
379 diversity compared to coeval faunas from higher latitudes. Three genera, namely  
380 *Neospathodus* (*sensu lato*, including *Novispathodus*, *Triassospathodus*),  
381 *Parachirongnathus* and *Icriospathodus*, are most commonly seen. *Neospathodus* is  
382 the dominant genus from the early Smithian to the late Spathian (Fig. 9), whilst the  
383 *Parachirongnathus/Pachycladina* group was only briefly dominant in the late  
384 Smithian. *Icriospathodus* only occurs in the early-middle Spathian. Gondolellids  
385 (*sensu stricto*) are, if present at all, always a minor component amongst conodont  
386 populations. They are entirely absent in the Smithian and only appear in the *Ic.*  
387 *collinsoni* zone. In contrast, late Smithian *Scythogondolella* is the common and  
388 dominant genus in North America, the Canadian Arctic and Spitsbergen (Paull,  
389 1983; Orchard and Zonneveld, 2009).

390 Oxygen isotope analyses suggest that the neogondolellids lived in deeper  
391 water than *Neospathodus sensu lato* (Sun et al., 2012). The development of an  
392 oxygen-poor deeper water column in the Smithian may therefore account for their  
393 absence/rarity at this level.

#### 394 7.2 Fish

395 No fish body fossils are found in our study despite the prevalence of dysoxic-  
396 euxinic facies favorable for their preservation. However, fish diversity/abundance  
397 data can be assessed because they are a common “by-product” of conodont  
398 extraction. Remarkably our study yielded few fish remains from the conodont



399 residues despite the processing of large samples weighing 7-14 kg. In contrast, late  
400 Permian (and Middle/Late Triassic)-age samples of comparable weight and facies  
401 yield many more fish teeth than conodonts (e.g., Youngquist, 1952).

402 At Mingtang, fish remains are entirely absent in the lower Spathian strata but  
403 appear upsection. Thus, four small fish teeth are found in a late Spathian sample  
404 (*Nv. triangularis* Zone). Three of them are small cone teeth from bony fish (300-  
405 500  $\mu\text{m}$  in height) and the other is from the chondrichthyian *Hybodus* – a tooth  $\sim$ 2  
406 mm in length. More than 500 kg of Griesbachian to Spathian samples from Jiarong  
407 were processed. They yielded 1874 conodont elements and only 7 fish teeth, 5 out  
408 of which came from the *Ic. collinsoni* and *Tr. homeri* zones of the middle-late  
409 Spathian.

### 410 7.3 Foraminifers

411 Foraminifers are mostly encountered in the Spathian Griotte Unit at Mingtang,  
412 but only occur at 3 levels at Jiarong (Table 3). At Mingtang, the most common taxa  
413 are *Hemigordiellina regularia*, and *Meandrospira pusilla*, while *Earlandia*,  
414 *Rectocornuspira kahlori*, *Hoyenella* spp., and *Globivalvulina lukachiensis* are also  
415 present at several levels in the Griotte Unit. They are mostly 100-300  $\mu\text{m}$  in  
416 diameter. In contrast, foraminifers at Jiarong are exceptionally rare, and very small,  
417 being generally less than 100  $\mu\text{m}$  in diameter. *H. regularia*, *M. pusilla*, and *R. kahlori*  
418 are present in the Smithian Carbonate Unit. Only *H. regulari* and a questionable  
419 globivalvulinid are recorded in the Spathian, at the top of the Black Shale Unit.

420 Collectively, foraminifers were very small, simple and rare at the S-S boundary  
421 interval (Fig. 3). Their diversity shows a steady increase in the middle-late  
422 Spathian (Fig. 4). However, at no level does any sample contain more than 3 genera.

## 423 8. Carbon isotope chemostratigraphy and total organic carbon (TOC) content

424 The  $\delta^{13}\text{C}_{\text{carb}}$  data from Jiarong show large perturbations (Fig. 3), consistent  
425 with earlier studies of this interval (e.g., Payne et al., 2004; Horacek et al., 2007).  
426 Values are relatively stable in the late Smithian albeit with a minor negative  
427 excursion from -2.2‰ to -3.4‰ in the *Ds. discreta* and *Parach. -Pachy.* zones of the  
428 late Smithian. This represents the nadir of the longer-term  $\sim$ -8 to -10‰ Smithian  
429 negative  $\delta^{13}\text{C}$  excursion (Fig. 2B). A rapid  $\sim$ +7‰ positive excursion is recorded  
430 from the latest Smithian to earliest Spathian, coincident with the onset of black  
431 shale sedimentation at Jiarong III. Analyses of  $\delta^{13}\text{C}_{\text{org}}$  show a similar positive  
432 excursion with the same amplitude (Fig. 3), suggesting a co-variation of carbon  
433 isotope compositions in both the inorganic and organic carbon. This is followed by  
434 a  $\sim$ -4.5‰ negative excursion in the early Spathian. Thus,  $\delta^{13}\text{C}$  values decrease  
435 from +4.1‰ in the lower *Nv. pingdingshanensis* Zone to -0.2‰ in the uppermost  
436 *Ic. collinsoni* Zone coincident with the gradual suspension of organic-rich  
437 sedimentation, recorded in the uppermost Black Shale Unit, and the development  
438 of the Griotte Unit. This positive trend is seen at the top of the Jiarong III section  
439 and is picked up at the base of the Mingtang section where values reach a low point  
440 of -1.2‰ in the middle of *Tr. homeri* Zone (Fig. 4; Fig. 10) before rising to values  
441 of 0.8‰ in the highest sampled level in the *Nv. triangularis* Zone of the late  
442 Spathian.

443 TOC values measured on shales and limestone lenses in the lower Black Shale  
444 Unit at Jiarong III show a decrease from  $\sim$ 1.1 – 1.5% in the black shales of the late  
445 Smithian to  $\sim$ 0.3-0.5% in the brown shales that are immediately below the S-S  
446 boundary.

## 447 9. Discussion

### 448 9.1 Facies interpretation and stratigraphic trends

449 The facies at Jiarong and Mingtang records a considerable range of

450 depositional conditions. The black shale and banded alternating  
451 wackestone/marls facies represent low-energy hemipelagic sediments and it is  
452 likely that the filamentous packstones are of similar origin. In contrast, the  
453 calcarenite facies records prolonged winnowing and sorting suggesting a  
454 persistently high-energy environment. The sharp-based nature of the calcarenite  
455 beds may record storm events that transported material down slope from higher  
456 energy, shallower waters settings.

457 In contrast, both the intraclast breccias and the FPCs contain material that was  
458 sourced *in situ*, within the sections. This observation, together with the often-  
459 angular nature of the clasts, suggests erosion and down-slope transportation  
460 during a single event. Such events must have had considerable erosive power  
461 because they were capable of eroding and transporting blocks in excess of a metre  
462 in diameter. For some beds the flow regime varied substantially during  
463 emplacement. In a few cases the presence of traction-related basal layers, such as  
464 imbricate flat pebbles, indicates an early phase of relatively low-density, poorly  
465 cohesive flow prior to the emplacement of much higher-density, cohesive debris  
466 flow deposits (matrix-supported breccia). This evolution of flow style is  
467 reminiscent of hybrid event beds, often known as linked debrites, recorded from  
468 clastic turbidite systems (Haughton et al., 2009), although our coarser and thicker  
469 carbonate examples contain a much greater debris flow component.

470 FPCs are common carbonate facies prior to the Ordovician and become rarer  
471 afterward (Sepkoski et al. 1991), although they are common in the Early Triassic  
472 (Wignall and Twitchett, 1999; Woods, 2014). FPCs have been generally interpreted  
473 as a storm facies caused by the break-up of partially lithified, thin-bedded  
474 sediment during storm events followed by transport (Wignall and Twitchett,  
475 1999). However, common features of other FPC beds, such as edgewise stacking  
476 and imbrications, are lacking from our S-S examples. The alignment of flat clasts

477 with bedding suggests settling from suspension of a low cohesion flow. In this  
478 regard they are probably of similar origin to the basal layers seen in some of the  
479 intraclast breccias. The FPCs and breccias could both be the product of the same  
480 sequence of events: seafloor erosion (by storms) followed by down-slope  
481 movement of sediment gravity flows. They may only differ in the nature of the  
482 transported material: the FPCs only incorporating small, flat pebbles whilst the  
483 breccias involved the entrainment of considerable amounts of micrite mud that  
484 ensured much higher concentration flows.

485 Overall Mingtang has a much higher proportion of event beds (pelsparites,  
486 intraclast breccias and FPCs) than Jiarong suggesting it may be more proximal. The  
487 clearest water depth indicator occurs at the levels in the Spathian where  
488 hemipelagic facies are lost and pelsparites (the highest energy facies) dominate.  
489 This suggests shallowest conditions are found during the early Spathian in the *Ic.*  
490 *collinsoni* Zone (Fig. 3) and at the top of study interval in the *Nv. triangularis* Zone.

## 491 9.2 A Smithian-Spathian anoxic event

492 Oxygen-depleted facies straddle the S-S boundary at Jiarong III, as shown by  
493 the abundant small pyrite framboids as well as redox sensitive trace elements at  
494 these levels. A prolonged phase of anoxia began in the late Smithian. True organic-  
495 rich shales only occur in the *Parach. -Pachy.* Zone of the latest Smithian and (in thin  
496 beds) in the *Nv. pingdingshanensis* Zone. Intriguingly these “black shales” yield  
497 slightly larger framboids, suggestive only of dysoxic conditions. The smallest  
498 framboids are found in interbedded wackestones immediately above the stage  
499 boundary.

500 Black shales are geographically widespread in the latest Smithian, recording  
501 enhanced organic matter burial accompanying anoxic-euxinic conditions. For  
502 example, Chen et al. (2011) record laminated carbonates, a probable anoxic facies,

503 from the latest Smithian of the Chaohu region. Similar organic-rich sediments  
504 associated with oxygen-poor conditions have been reported in the Nanpangjiang  
505 Basin (China), Japan, Vietnam and the Russian Far East (Galfetti et al., 2008;  
506 Shigeta et al., 2009; Komatsu et al., 2014). Further afield and contemporaneously,  
507 intense euxinia is developed in the Smithian Stratotype in the Sverdrup Basin,  
508 Canada (Grasby et al., 2012), whilst the overall oxygen-poor Early Triassic history  
509 of the Panthalassa Ocean shows an intensification of anoxia in the late Smithian  
510 sedimentary record of Japan (Wignall et al., 2010).

### 511 9.3 Anoxia and $\delta^{13}\text{C}_{\text{carb}}$ oscillations

512 The trends and magnitude of the  $\delta^{13}\text{C}_{\text{carb}}$  values at Mingtang and Jiarong III  
513 closely match other inorganic (Payne et al., 2004) and organic (Grasby et al., 2012)  
514  $\delta^{13}\text{C}$  records, and independently confirm our conodont age dating (Fig. 10). The  
515 detailed record of oxygenation trends also helps to evaluate the proposed models  
516 for oceanographic changes. The onset of the positive shift in  $\delta^{13}\text{C}_{\text{carb}}$  in the latest  
517 Smithian coincides precisely with the onset of black shale deposition (although  
518 anoxic conditions had begun earlier). It could therefore be argued that the  
519 widespread and enhanced burial of isotopically light organic matter was  
520 responsible for the coincident positive excursion in  $\delta^{13}\text{C}_{\text{carb}}$  as suggested by  
521 Galfetti et al. (2007).

522 Alternatively the positive excursion has been ascribed to increasing surface  
523 water productivity creating a steeper  $\delta^{13}\text{C}_{\text{carb}}$  gradient within the water column  
524 (Meyer et al., 2011). In this scenario the organic carbon enrichment is due to high  
525 surface water productivity. However,  $\delta^{13}\text{C}_{\text{carb}}$  values only reflect the changes of  
526 isotopic composition in the dissolved inorganic carbon pool in the upper water  
527 column where most carbonates are formed. Changes in  $\delta^{13}\text{C}_{\text{carb}}$  alone cannot  
528 determine whether this positive trend is due to enhanced primary productivity  
529 exporting  $^{12}\text{C}$  from the photic zone or due to enhanced organic carbon burials in

530 the sedimentary reservoir (e.g., Joachimski, 1997).

531 Comparison of the  $\delta^{13}\text{C}_{\text{carb}}$  values of our relatively shallow-water site at  
532 Mingtang and the deep-water settings such as Zuodeng and Jinya (Sun et al., 2012;  
533 Fig. 10) suggest that the middle-late Spathian ocean had a  $\delta^{13}\text{C}_{\text{carb}}$  gradient similar  
534 to the modern Bahama Bank where values from the platform are lighter, due to  
535 higher organic carbon remineralization rates (e.g., Patterson and Walter, 1994; Fig.  
536 11). This contradicts the prediction of the Meyer et al. (2011) model that  $\delta^{13}\text{C}_{\text{carb}}$   
537 from shallow water sites should be heavier than coeval values from deep-water  
538 settings.

539 In a third alternative, Horacek et al. (2007) argue that the exceptionally large,  
540  $-6\text{‰}$  negative shift in  $\delta^{13}\text{C}_{\text{carb}}$  in the Smithian reflects the overturn (and  
541 oxygenation) of a well-stratified water column releasing isotopically light carbon.  
542 This scenario receives no support from the redox/sedimentary history of the  
543 Nanpanjiang Basin, nor other studies, which show increasing euxinicity as well as  
544 enhanced organic carbon burial in many global settings in the late Smithian.

545 In summary, the close link between the onset of anoxia in the latest Smithian  
546 of South China and a reversal of  $\delta^{13}\text{C}$  trends from lighter to heavier values lends  
547 credence to the notion that enhanced organic carbon burial rates are the main  
548 control of the positive  $\delta^{13}\text{C}$  excursion in the latest Smithian.

#### 549 9.4 Griotte Units

550 Perhaps the most extraordinary facet of the Smithian-Spathian strata of the  
551 Nanpanjiang Basin and elsewhere are the Griotte Units developed in the early  
552 Spathian. The occurrence of coeval red claystone in the deep-sea sediments in the  
553 middle of Panthalassa (e.g., Takahashi et al., 2009) argues against a detrital  
554 provenance of the red colour. Interestingly, this red pigmentation is clearly

555 associated with the “Iron Paradox”: Fe(II) (ferrous ion) is soluble in seawater but  
556 only stable in anoxic environments, whereas Fe(III) is much less mobile (insoluble  
557 in seawater) and predominates in oxic, surface water. Thus development of Griotte  
558 facies at the sea floor requires extensive oxygenation of Fe(II) that only exists in  
559 reducing environments. The question is how to oxygenate/ventilate an anoxic and  
560 potentially stratified ocean.

561 Our analysis shows that the reddish pigmentation is due to trace amounts of  
562 ultra-fine grained (<1 µm) iron oxide particles (i.e., hematite) dispersed amongst  
563 the micrite muds (Fig. 8E). These hematite particles are the stable phase  
564 transformed from the most reactive phase, Fe(oxyhydr)oxides. Fe(oxyhydr)oxides  
565 nano-particulates form in sea waters where 1) Fe(II) in reducing environments  
566 mix (and react) with an oxic water mass and 2) oxidation of Fe(II)-bearing  
567 minerals (carbonate, silicate, sulfide etc.) (Raiswell and Canfield, 2012).

568 In normal marine settings such fine material would be highly reactive and  
569 involved in the oxidation of organic matter. That the tiny grains survived  
570 diagenesis and later burial suggests very organic poor/well oxygenated conditions.  
571 Stable carbon/oxygen isotope analyses at the contact between the Griotte  
572 limestone and the grey limestone show no variations at this change in redox  
573 conditions (Fig. 5F). Even more remarkable, the oldest Griotte facies are closely  
574 interbedded with organic-rich shale with abundant pyrite framboids (Fig. 2C)  
575 pointing to depositional conditions that alternated from highly reducing to highly  
576 oxic on short timescales.

577 Red marine sediments of Spathian age are also known from deeper water  
578 settings in China and elsewhere (Fig. 1A), although they are most commonly < 10  
579 m in thickness and restricted to the *Ic. collinsoni* conodont zone (e.g., in Jiarong  
580 and Zuodeng). The *Ic. collinsoni* Zone is correlated to the *Columbites* ammonoid  
581 zone (Sweet et al., 1971), representing an early Spathian age. The Griotte

582 development is alternatively assigned to the *Ic. crassatus* or *Tr. homeri* conodont  
583 zone because of absence of *Ic. collinsoni* and/or coarser conodont biostratigraphic  
584 schemes (e.g., Wang et al., 2005).

585 In most shallow-water sections, the Griotte develops around the *Ic. crassatus*  
586 zone and lasts until the *Tr. homeri* zone of the middle-late Spathian (e.g., Mingtang,  
587 this study; Guandao, Wang et al., 2005). In South Tibet red limestones and shales  
588 (“Ammonitico Rosso” facies) are known in the early-mid Spathian (Garzanti et al.,  
589 1998). Similar Spathian red shales/claystones are known from the deep sea  
590 sediments in Japan (Takahashi et al., 2009).

591 The Griotte facies have also been recorded in the aftermath of other  
592 widespread anoxic events. For example, red marine shales are found immediately  
593 following widespread black shale deposition in the Early Silurian (Ziegler and  
594 McKerrow, 1975). The “Vrai Griotte” is another example developed after the Late  
595 Devonian twin-phased anoxia of the Kellwasser facies (e.g., Pr at et al., 1999; Bond  
596 et al., 2004). Deep-ocean red beds are also widespread during the Turonian (Late  
597 Cretaceous) following the Cenomanian-Turonian (C-T) oceanic anoxia (Wang et al.,  
598 2011). Models to explain the formation of these Cretaceous strata may be  
599 applicable to the Spathian Griotte Unit.

600 The model of Wang et al. (2011) for the Cenomanian-Turonian works thus:  
601 oceanic anoxia enhances the burial of organic matter and pyrite thereby liberating  
602 large amounts of oxygen to the atmosphere and drawing down atmospheric  
603 carbon dioxide levels. The cooling trend improves ocean circulation and the  
604 increased oxygen availability improves dissolved oxygen levels. Thus, the oceans  
605 become better oxygenated allowing increased iron oxide burial along with  
606 phosphorus burial. This process increases ocean circulation but does not lead to  
607 increased nutrient input to shallow waters via upwelling because scavenging of  
608 phosphorous by sinking iron (hematite) particles is enhanced. Consequently, the



609 increased oxygenation and diminished nutrient availability creates a well-  
610 ventilated, low productivity ocean in which organic matter remineralization is  
611 minimal, allowing fine particulate iron oxides to survive diagenesis.

612       There are several similarities between the C-T and S-S events besides the post-  
613 anoxia red beds: both show widespread black shale deposition during peaks in  
614 global warmth and both were followed by loss of anoxia during a cooling trend. In  
615 fact, the basic gist of this model, in which oceanic anoxia is self-limiting due to  
616 carbon dioxide drawdown and cooling, is well known and long established (e.g.,  
617 Joachimski and Buggisch, 1993). The model of Wang et al. (2011) is in effect a more  
618 extreme version in which the oxic rebound is exacerbated by exceptionally low  
619 primary productivity in a nutrient-starved ocean. Interestingly, in both cases it is  
620 the first phase of widespread anoxia and high temperatures that coincides with  
621 extinctions, and not the low productivity but well ventilated aftermath.

622       Alternatively, the red pigmentations could be attributed to iron bacterial  
623 activities, which could produce submicronic hematite in dysoxic conditions during  
624 the early diagenesis. This is suggested for the Jurassic Ammonitico Rosso  
625 limestones in Italy (Préat et al., 2011). However, typical features of this scenario  
626 such as ferruginous microstromatolites and iron hardgrounds are not seen in our  
627 Early Triassic samples. In more extreme circumstances such as ferruginous oceans  
628 with low sulphate concentrations, hematite could form, without the presence of  
629 free oxygen, through photochemical pathways or by anoxygenic phototrophic  
630 bacteria (e.g., Francois, 1986; Keppler et al., 2005). However, such conditions are  
631 not widely known in the Phanerozoic and the interbedded black shales contain  
632 abundant pyrite.

### 633 9.5 Extinction mechanism of the Smithian-Spathian crisis

634       Ocean acidification is a popular extinction mechanism for the S-S marine biotic

635 crisis (Galfetti et al., 2008; Saito et al., 2013), but we highlight that the widespread  
636 development of marine anoxia (discussed above) is likely to have been a significant  
637 cause of the extinction. We also note the evidence for carbonate supersaturation  
638 in the later Early Triassic (e.g., Pruss et al., 2005) does not support arguments for  
639 acidified oceans. Anoxic oceans could be more homogeneously saturated because  
640 of bicarbonate production by anoxic remineralization, which in effect suppresses  
641 CaCO<sub>3</sub> dissolution and promotes CaCO<sub>3</sub> precipitation on the seafloor (Higgins et  
642 al., 2009). In our study, ooids are common throughout the sections in the  
643 pelsparite facies (Fig. 6D, I-K) and the development of seafloor fans on bioclasts  
644 (Fig. 6G) indicates carbonate supersaturated conditions in the S-S interval.

645 It has recently been shown that the S-S boundary coincides with a peak of sea-  
646 surface temperatures through the Late Permian – Middle Triassic, with equatorial  
647 values in excess of 40°C (Sun et al., 2012). Comparison with modern temperatures  
648 thresholds shows such values would be hostile for many marine organisms (Allen  
649 et al., 2002; Pörtner & Knust 2007; Sharp et al., 2014). Equatorial fish are  
650 considered likely to be especially vulnerable to temperature increases in the  
651 coming century (Cheung et al., 2013) and the rarity of fish remains in our study,  
652 from an equatorial setting, could reflect such a high temperature-controlled  
653 elimination.

654 It is well known that high temperatures also cause organisms to shrink in size  
655 (e.g. Allen et al., 2002; Peck et al., 2009; Cheung et al., 2013) and we note that all  
656 the fossils and trace fossils seen in the Nanpanjiang strata are exceptionally small.  
657 For example, most Smithian-Spathian foraminifers are ≤100 µm in diameter  
658 whereas those taxa also present during other intervals were consistently larger.  
659 Thus, Carboniferous *Rectocornuspira* are typically >200 µm in width (e.g., Cózar et  
660 al. 2008) and in the latest Permian *Hemigordiellina* approach 400 µm (e.g.,  
661 Angiolini et al., 2010) whilst *Globivalvulina* are typically 250 µm in diameter (e.g.,

662 Nestell et al., 2011). Other factors such as low oxygen levels can be responsible for  
663 small size but in this case the small foraminifers are found in the well-oxygenated  
664 Griotte facies of the Spathian.

665 It is likely that the exceptional warmth at the S-S boundary was a critical factor,  
666 along with anoxia, in the extinction mechanism (Sun et al., 2012; Song et al., 2014).  
667 Indeed it is significant that the higher oxygen needs of marine organisms at higher  
668 temperatures makes them especially vulnerable to dysoxic conditions (Pörtner,  
669 2010).

## 670 10. Conclusions

671 The studied sections from the Nanpanjiang Basin record both hemipelagic and  
672 storm-influenced deposition. Changes in facies and redox conditions are  
673 constrained by our high-resolution  $\delta^{13}\text{C}$  chemo- and conodont biostratigraphy. A  
674 range of event beds are seen, including flat pebble conglomerates and breccia  
675 debrites that bear similarities to the hybrid event beds seen in clastic turbidite  
676 successions albeit with much thicker debrite intervals. Convincing evidence for  
677 shallowing, manifest as the loss of fine-grained facies and development of  
678 grainstones, is seen in the early-middle Spathian as well as late Spathian (upper *Ic.*  
679 *collinsoni* Zone and *Nv. triangularis* Zone).

680 Anoxia was widespread in this storm-influenced setting during the late  
681 Smithian and persisted into the earliest Spathian (last seen in the *Nv.*  
682 *pingdingshanensis* Zone at Jiarong). This was followed by a rapid transition to the  
683 exceptionally well-ventilated conditions recorded by marine red beds. The reddish  
684 pigmentation is derived from nano-particles of hematite. Their preservation  
685 suggests there was minimal remineralization of organic matter in the Early  
686 Spathian ocean.

687 The Spathian marine red unit, informally called the Griotte Unit, shows a form  
688 of “oxic rebound” from the anoxic-euxinic waters of the S-S interval. This may have  
689 been triggered by climatic cooling and oxygen increase driven by organic carbon  
690 and pyrite burial. The balance between euxinic and oxic conditions was a delicate  
691 one in the early Spathian. The timing of anoxia and  $\delta^{13}\text{C}$  oscillations favour a model  
692 in which the late Smithian switch from a negative to a positive trend in  $\delta^{13}\text{C}$  is best  
693 explained by increased burial of organic matter.

694 Anoxia, together with high temperatures, is postulated to have played a role in  
695 the S-S biotic crisis, while the latter factor also responsible for the exceptionally  
696 small size of foraminifers in the Griotte Unit and also the extreme rarity of fish  
697 throughout the Smithian-Spathian study interval.

698

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#### 709 Figure and Table Captions

710 Fig. 1. A., Early Triassic palaeogeographic reconstructions of Pangea and  
711 Panthalassa, modified from Muttoni et al. (2009). The Spathian Griotte facies are

712 widely distributed but are not known from the Boreal Ocean (Yin et al., 1992,  
713 Garzanti et al., 1998; Takahashi et al., 2009; Li et al., 2007 and this study); B.,  
714 Relative positions of the Yangtze Platform, Nanpanjiang Basin and Qinling Trough;  
715 C., Palaeogeographic reconstruction of the Nanpanjiang Basin, after Lehrmann et  
716 al. (2003).

717 Fig. 2. Field photos of studied sections and biostratigraphic summary of Jiarong  
718 area. A., Field photograph of the middle and upper part of the Jiarong III section,  
719 showing 3 distinctive lithological units across the S-S boundary interval; B.,  
720 Summary of the stratigraphic succession, conodont zones and the carbon isotope  
721 record of the Jiarong area. Conodont zonation is from Chen et al. (2013), carbon  
722 isotope data are from Sun et al. (2012); C., The lowermost part of the Griotte Unit  
723 at Jiarong III. Ruler is 35 cm in length; D., Intraclast Breccia facies overlain by thin-  
724 bedded Banded Wackestone and Marl facies at Jiarong III. The blue arrow points  
725 to large, black shale clast. Ruler is 40 cm in length; E., The Griotte Unit at Mingtang  
726 showing red-coloured wackestones, micrites and a flat pebble conglomerate bed;  
727 F., Flat pebble conglomerate bed in the lower Griotte Unit, Mingtang; Pebbles range  
728 from less than 1 cm to 30 cm in size. Conodont abbreviations: *Ic.*=*Icriospathodus*;  
729 *Nv.*=*Novispathodus*; *Parach.*=*Parachirognathus*; *Tr.*=*Triassospathodus*.

730 Fig. 3. Log of Jiarong III section with conodont and foraminifer ranges, the carbon  
731 isotope record, trace metal concentrations and pyrite framboid box-and-whisker  
732 plots (whereby the 'box' depicts the 25th and 75th percentile of framboid  
733 distributions, the 'whiskers' depict the minimum and maximum framboid  
734 diameters, and the central line the median average). Brown and orange dashed  
735 lines represent Mo and U concentrations in average shale, black dashed line shows  
736 Mo/Al ratio. Note that black shales change to brown shales in the latest Smithian.  
737 Conodont zonations are combined from data in Chen (2011) and Chen et al. (2013).

738 Fig. 4. Log of shallow water Mingtang section with conodont and foraminifer

739 ranges, the carbon isotope record, pyrite framboid box-and-whisker plots, Fe and  
740 Mo, U concentrations and Mo/Al ratio (see Fig. 3 caption for explanation). The  $\delta^{13}\text{C}$   
741 record shows smaller excursions (from 0.7‰ to -1‰) compared to most open  
742 water sections (e.g., from 3‰ to 0.5‰, Sun et al. 2012).

743 Fig. 5. Features of the Griotte Unit. A., Hand specimen showing small vertical and  
744 horizontal burrows (arrows), the coin is 1.5 cm in diameter; B., Polished slab of  
745 Griotte limestone (MT 19), showing both vertical “micro-*Skolithos*” and horizontal  
746 “micro-*Planolites*”; C., Banded (alternating) wackestone and marl facies, beds  
747 become discontinuous and nodular in appearance; D., Hand specimen of Griotte  
748 limestone, showing early Spathian bioclasts [consisting of unusually small  
749 ammonoids (A), bivalves (B) and scaphopods (S)] developed in the aftermath of  
750 the S-S crisis; E., Thin section photo, showing the sharp, undulatory contact  
751 between griotte and grey limestone; F., Polished slab of the Griotte limestone  
752 showing the grey-greenish micrite in contact with red micrite.  $\delta^{13}\text{C}_{\text{carb}}$ , sampled  
753 with a small dental drill, show no significant changes across the contact; G., a  
754 photomicrograph of the Griotte limestone shown in D, molluscan wackestone with  
755 cephalopod/bivalve shells, thick-shelled ostracodes, calcispheres and rare peloids.  
756 The ostracode shows both external encrustation (white arrow) and fringing  
757 bladed cements of variable thickness (black arrow).

758 Fig. 6. Carbonate thin-section photographs of Jiarong and Mingtang sections. A.,  
759 filamentous wacke-packstones; B., pelsparite with dark round peloids and thin  
760 bivalve shells. Note the thick micritic envelope that has developed on the shell  
761 (blue arrow); C., flat pebble conglomerate (FPC) fabric from the Griotte Unit. Most  
762 pebbles are calcisphere wackestones except one (black arrow) which is a peloidal  
763 packstone with thin-shelled bivalves, crinoids and foraminifers; D., Superficial  
764 ooid with a peloid nucleus. The peloids are darker and finer-grained than the  
765 matrix suggesting aggrading neomorphism of the latter; E., A small benthic

766 foraminifer (*Hemigordiellina regularia*) from the late Smithian of Jiarong; F,  
767 Articulated bivalve clast with drusy spar internal fill; G., Articulated bivalve clast  
768 infilled with coarse spar and with an external coating of calcite crystals showing a  
769 fan-like morphology (white arrows), suggesting sea-floor precipitation; H.,  
770 Pelsparite consisting of 70-80% peloids with crinoids, ostracodes and  
771 foraminifers and two intraclasts (blue arrows) composed of calcisphere  
772 wackestone. Some peloids show isopachous rim cements (green arrows) but most  
773 do not; I., Irregular coated grain, interpreted to be a pisoid (blue arrows), some  
774 degree of compaction is seen, Mingtang; J., Composite ooid with a thin oolitic  
775 coating; internal components include an ooid and two recrystallised grains with  
776 micritic envelopes, Mingtang; K., Ooid truncated by a stylolite showing later stage  
777 calcite cement overgrowth (blue arrows), *Ds. discreta* Zone of Jiarong.

778 Fig. 7. Pyrite framboid size-frequency distributions from Jiarong and Mingtang  
779 according to facies type. The dividing line between the dysoxic, anoxic and euxinic  
780 fields is based on experimental studies of Wilkin et al. (1996) and subsequently  
781 recalibrated and modified by Bond and Wignall (2010).

782 Fig. 8. SEM-BSE imaging and <sup>57</sup>Fe Mössbauer spectroscopy results. A., The bulk  
783 mineral composition of the Griotte Unit is mainly calcite and dolomite; B. and C.,  
784 Non-carbonate minerals in the Griotte Unit; D., A (reworked?) pyrite framboid  
785 pseudomorph of ~6 µm size, EDX examinations (insets) show all sulfur has been  
786 replaced by oxygen; note the Si, Ca, Al signals are probably from surrounding  
787 carbonates; E., Elemental mapping shows nanometer-scale iron oxides (green dots)  
788 dispersed in the Griotte facies; F., <sup>57</sup>Fe Mössbauer results show hematite is the  
789 main iron-bearing phase in red beds, comprising ~43% of all iron species. Other  
790 phases include Ti-Fe oxides, carbonates, silicates and possibly sulphides; No pyrite  
791 has been found in SEM-EDS examinations of the same sample (2 cm\*2cm). Mineral  
792 abbreviations: Ap., apatite; Cal., calcite; Dol., dolomite; Hem., hematite; Ilm.,

793 ilmenite; Py, pyrite; Qtz., quartz; Rt., rutile; Zrn., zircon.

794 Fig. 9. SEM images of Mingtang conodonts. **1., 2., 7., 11., *Triassospathodus***  
795 ***homeri*** (Bender, 1970), 1., MTC-39\_i022, 2., MTC-39\_i023, 7., MTC-39\_i03, 11.,  
796 MTC-02\_i134; **3., 6., *Neospathodus sp.***, 3., 6., MTC-39\_i02; **4., 14., *Ns. pusillus***  
797 Orchard, 1995, 4., MTC 39\_i024, 14., MTC 39\_i016; **5., *Ns. brochus*** Orchard, 1995,  
798 MTC-39\_i005; **8., *Ns. radialis*** Zhao and Orchard, 2008, MTC-11.5\_i137; **9., *Tr.***  
799 ***symmetricus*** Orchard, 1995, MTC-02\_i133; **10., *Ns. curtatus*** Orchard, 1995, MTC-  
800 02\_i132; **12. *Novispathodus abruptus*** Orchard, 1995, MTC-39\_i029; 13., *Ns. aff.*  
801 *abruptus*, MTC-02\_i129; **15., *Nv. triangularis*** (Bender, 1970), MTC 39\_i026; **16.,**  
802 ***Cratognathodus sp.***, MTC 39\_i028.

803 Fig. 10. Comparison of  $\delta^{13}\text{C}$  across studied sections. The South China record is from  
804 Sun et al. (2012). Changh., Changhsingian; Gries., Griesbachian; Dien., Dienerian;  
805 Aeg., Aegean; Bith., Bithynian. Conodont zonations: 1., *Ds. discrete*; 2., *Parach.-*  
806 *Pachy*; 3., *Nv. pingdingshanensis*; 4., *Ic. collinsoni*; 5., *Tr. homeri*; 6., *Tr. symmetricus*;  
807 7., *Nv. triangularis*.

808 Figure 11. Comparison of carbon cycling (simplified) in modern open oceans and  
809 Early Triassic isolated platforms assuming a “high productivity” scenario.  
810 Highest organic carbon remineralization occurs in modern open oceans just below  
811 the euphotic zone (e.g., highly productive equatorial Pacific, Feely et al., 2004). In  
812 contrast, on Early Triassic isolated platforms, organic carbon remineralization  
813 mostly occurred in surface waters and at the water/sediment interface;  
814 remineralization below storm wave base was probably minor due to wide-spread  
815 anoxic/euxinic conditions. In comparable modern settings, inner platform  
816  $\delta^{13}\text{C}_{\text{carb}}$  can be up to 4 ‰ lighter than open water  $\delta^{13}\text{C}_{\text{carb}}$  (e.g., Patterson and  
817 Walter, 1994). CCD - carbonate compensation depth; DIC - dissolved inorganic  
818 carbon; TOC - total organic carbon. ▲ -  $^{13}\text{C}$  enriched; ▼ -  $^{13}\text{C}$  depleted. Models  
819 are horizontally and vertically not to scale.



820 Table 1. Pyrite framboid size-frequency distributions from Mingtang and Jiarong.  
821 n = number of framboids counted per sample; FD = framboid diameter ( $\mu\text{m}$ ); SD =  
822 standard deviation. Note that JR43 was not plotted on the Mean versus Standard  
823 Deviation plot (Fig. 7) due to its low framboid count.

824 Table 2. XRF data of major element variations in the Griotte Limestone and normal  
825 grey limestone. LOI, loss on ignition.

826 Table 3. Foraminifera recorded in the Mingtang and Jiarong sections.

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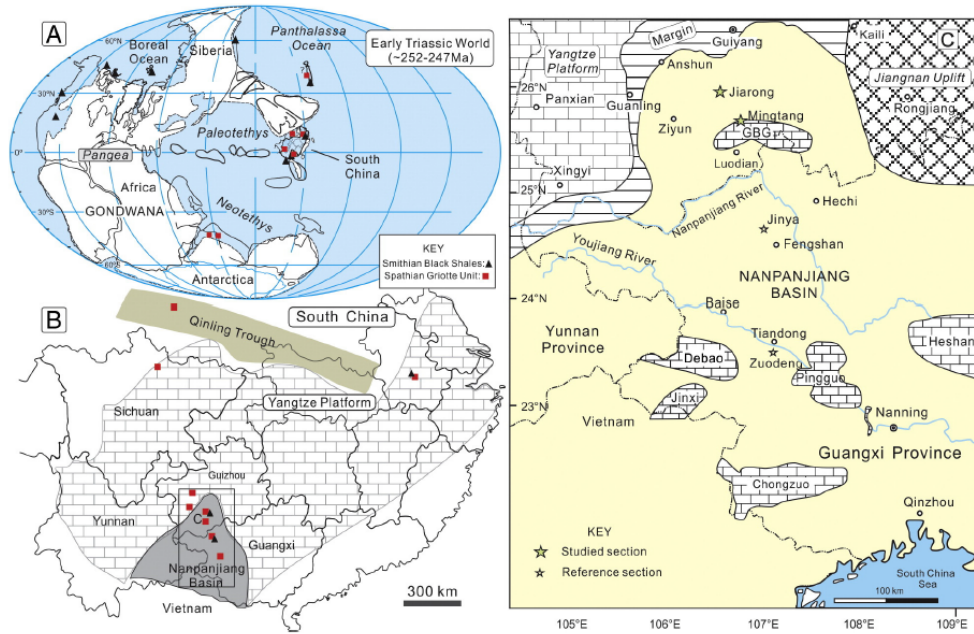
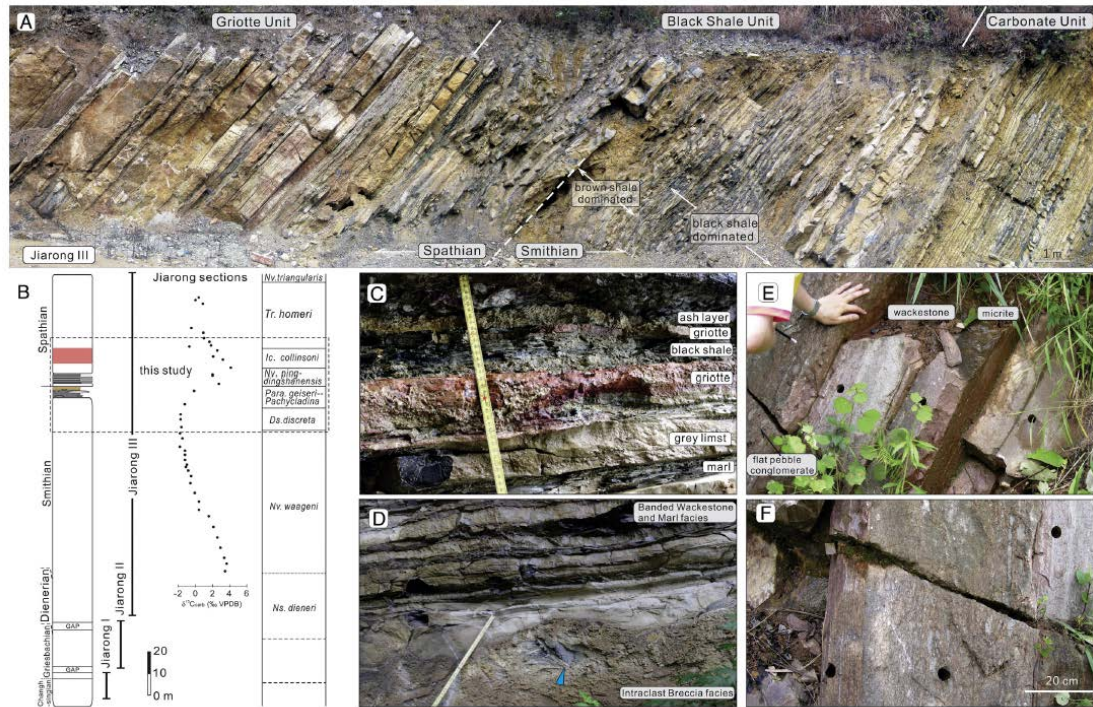


Fig. 1. A. Early Triassic palaeogeographic reconstructions of Pangea and Panthalassa, modified from Muttoni et al. (2009). The Spathian Grötte facies are widely distributed but are not known from the Boreal Ocean (Yin et al., 1992; Garzanti et al., 1998; Takahashi et al., 2009; Li et al., 2007 and this study). B. Relative positions of the Yangtze Platform, Nanpanjiang Basin and Qinling Trough. C. Palaeogeographic reconstruction of the Nanpanjiang Basin, after Lehrmann et al. (2003).

1044

1045 Figure 1 (above)

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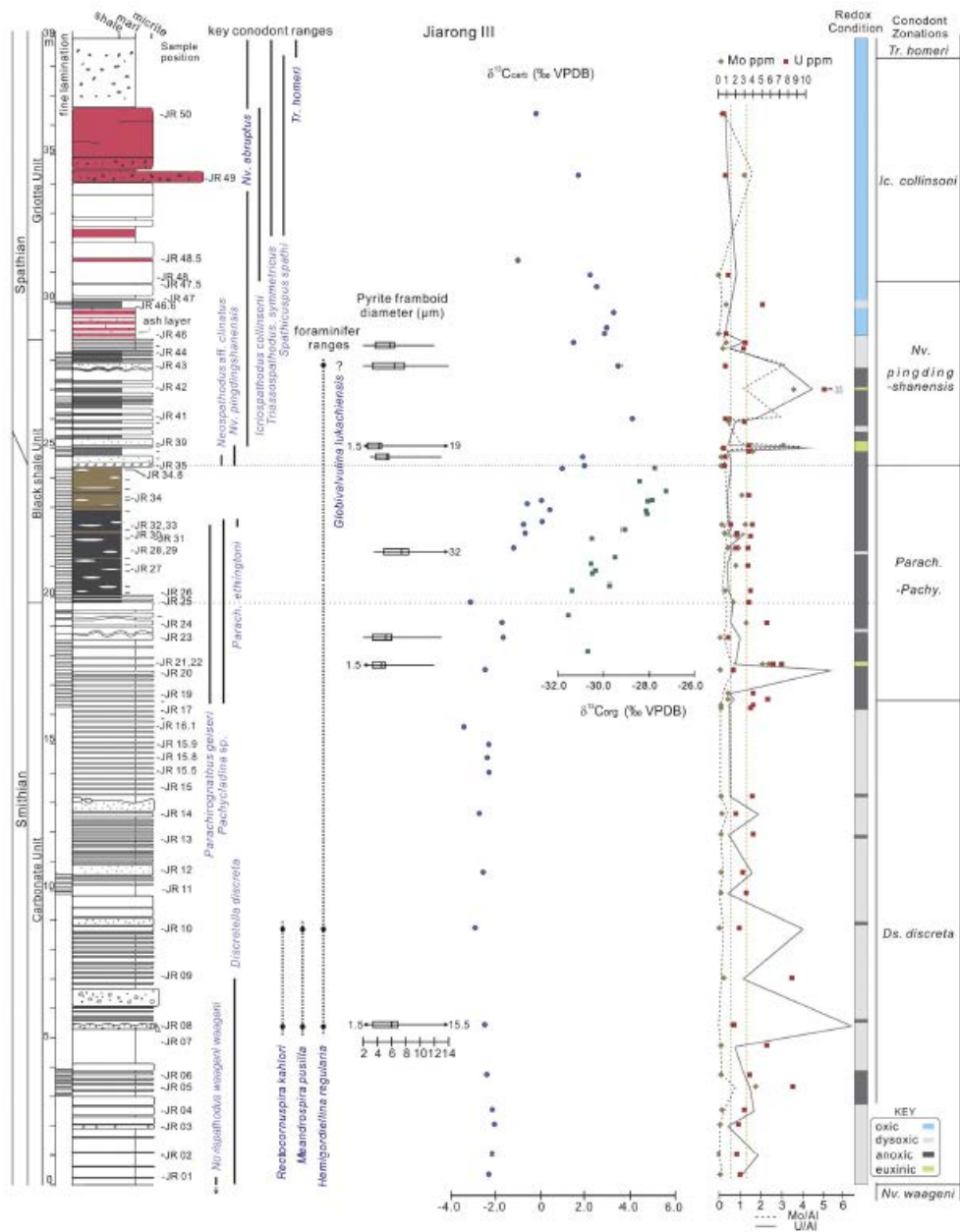


**Fig. 2.** Field photos of studied sections and biostratigraphic summary of Jiarong area. A. Field photograph of the middle and upper part of the Jiarong III section, showing three distinctive lithological units across the S-S boundary interval. B. Summary of the stratigraphic succession, conodont zones and the carbon isotope record of the Jiarong area. Conodont zonation is from Chen et al. (2013), carbon isotope data are from Sun et al. (2012). C. The lowermost part of the Griotte Unit at Jiarong III. Ruler is 35 cm in length. D. Intraclast breccia facies overlain by thin-bedded Banded Wackestone and Marl facies at Jiarong III. The blue arrow points to large, black shale clast. Ruler is 40 cm in length. E. The Griotte Unit at Mingtang showing red-colored wackestones, micrites and a flat pebble conglomerate bed. F. Flat pebble conglomerate bed in the lower Griotte Unit, Mingtang; pebbles range from less than 1 cm to 30 cm in size. Conodont abbreviations: *lc.* = *Icriospathodus*; *Nv.* = *Notospathodus*; *Parach.* = *Parachirognathus*; *Tr.* = *Triassospathodus*.

1047

1048 Figure 2 (above)

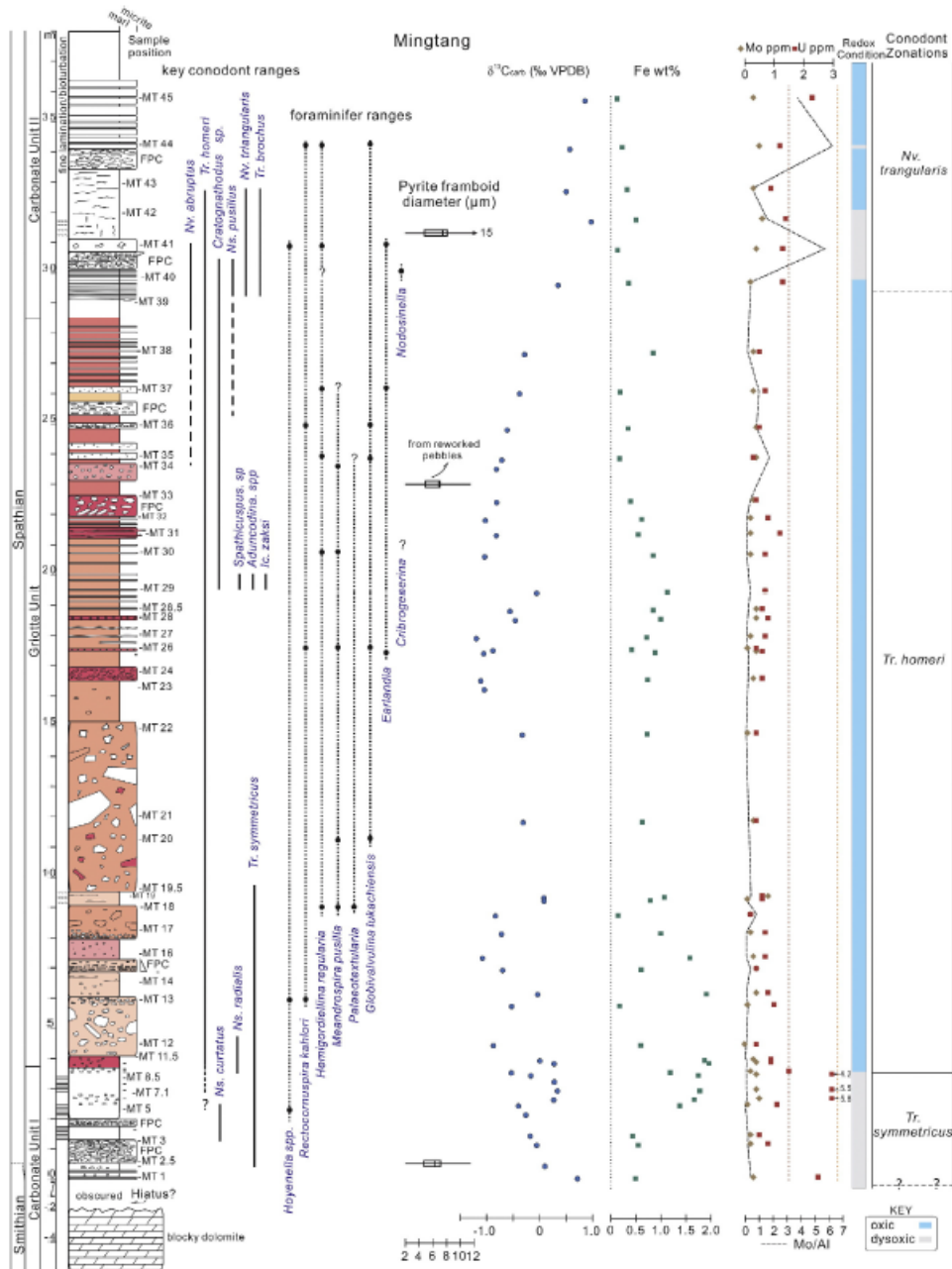
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1051 Figure 3 (above)

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1054 Figure 4 (above)

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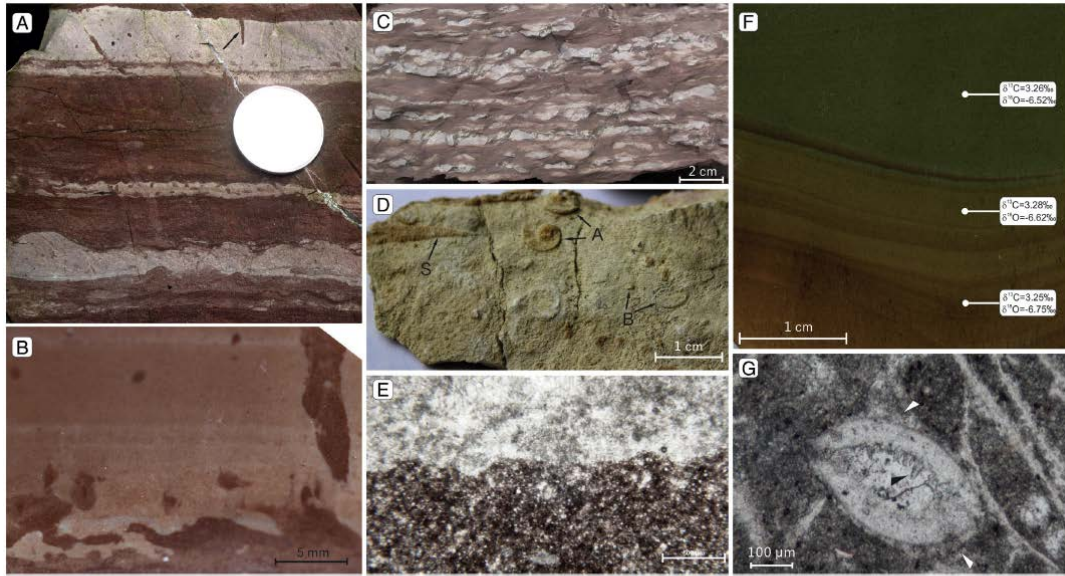


Fig. 5. Features of the Griotte Unit. A. Hand specimen showing small vertical and horizontal burrows (arrows), the coin is 1.5 cm in diameter. B. Polished slab of Griotte limestone (MT 19), showing both vertical "micro-Skolithos" and horizontal "micro-Hanulites". C. Banded (alternating) wackestone and marl facies, beds become discontinuous and nodular in appearance. D. Hand specimen of Griotte limestone, showing early Spathian biodiasts [consisting of unusually small ammonoids (A), bivalves (B) and scaphopods (S)] developed in the aftermath of the S-S crisis. E. Thin section photo, showing the sharp, undulatory contact between griotte and gray limestone. F. Polished slab of the Griotte limestone showing the gray-greenish micrite in contact with red micrite.  $\delta^{13}C_{org}$ , sampled with a small dental drill, shows no significant changes across the contact. G. A photomicrograph of the Griotte limestone shown in D, molluscan wackestone with cephalopod/bivalve shells, thick-shelled ostracods, calcispheres and rare peloids. The ostracode shows both external encrustation (white arrow) and fringing bladed cements of variable thickness (black arrow).

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1057 Figure 5 (above)

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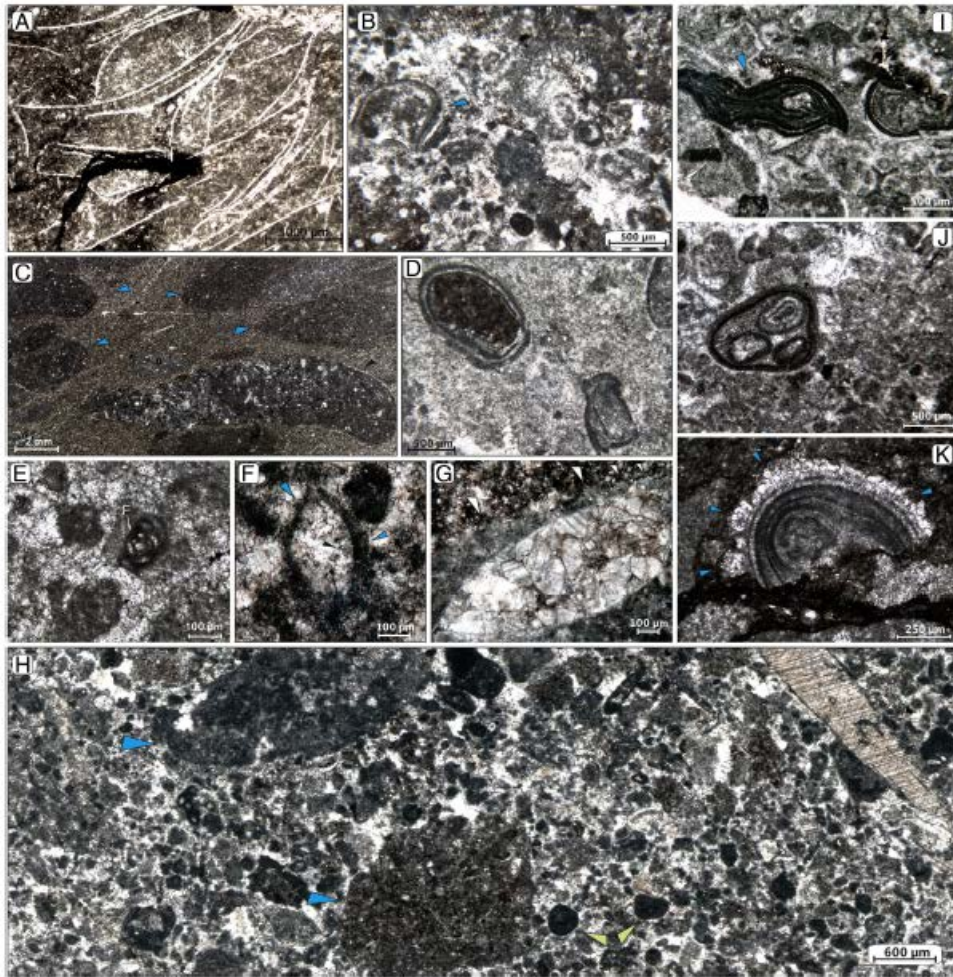


Fig. 6. Carbonate thin-section photographs of Jiulong and Mingtang sections. A. Filamentous wacke-packstones. B. Peloparite with dark round peloids and thin bivalve shells. Note the thick micritic envelope that has developed on the shell (blue arrow). C. Flat pebble conglomerate (FPC) fabric from the Gaojie Unit. Most pebbles are calcisphere wackestones except for one (black arrow) which is a peloidal packstone with thin-shelled bivalves, crinoids and foraminifers. D. Superficial ooid with a peloid nucleus. The peloids are darker and finer-grained than the matrix suggesting aggrading neomorphism of the latter. E. A small benthic foraminifer (*Hemigondellina regularia*) from the late Sinian of Jiulong. F. Articulated bivalve clast with drusy spar internal fill. G. Articulated bivalve clast infilled with coarse spar and with an external coating of calcite crystals showing a fan-like morphology (white arrows), suggesting sea-floor precipitation. H. Peloparite consisting of 70–80% peloids with crinoids, ostracodes and foraminifers and two intracasts (blue arrows) composed of calcisphere wackestone. Some peloids show isopachous rim cements (green arrows) but most do not. I. Irregular coated grain, interpreted to be a pisoid (blue arrows), some degree of compaction is seen, Mingtang. J. Composite ooid with a thin oolitic coating; internal components include an ooid and two recrystallized grains with micritic envelopes, Mingtang. K. Ooid truncated by a stylolite showing later stage calcite cement overgrowth (blue arrows), *Discrete & discrete* Zone of Jiulong.

1059

1060 Figure 6 (above)

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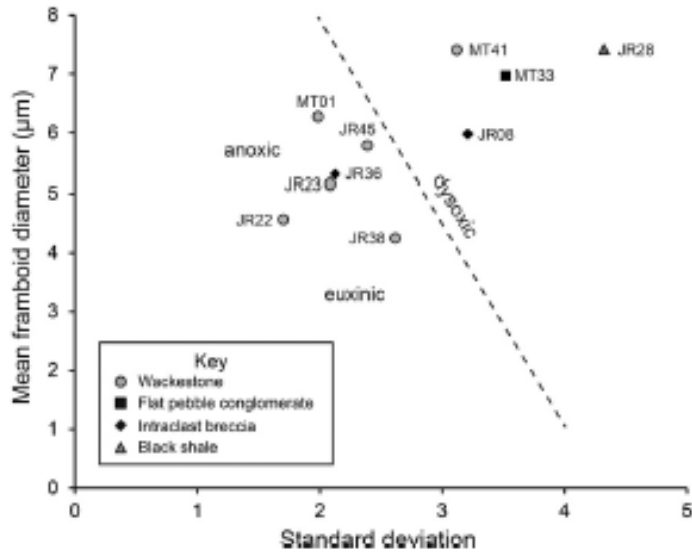


Fig. 7. Pyrite framboid size-frequency distributions from Jiarong and Mingtan according to facies type. The dividing line between the dysoxic, anoxic and euxinic fields is based on experimental studies of Wilkin et al. (1996) and subsequently recalibrated and modified by Bond and Wignall (2010).

1062

1063 Figure 7 (above)

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**Table 1**  
 Pyrite framboid size-frequency distributions from Mingtang and Jarong. n = number of framboids counted per sample; FD = framboid diameter ( $\mu\text{m}$ ); SD = standard deviation. Note that JR43 was not plotted on the mean versus standard deviation plot (Fig. 7) due to its low framboid count.

Sample	Lithology	n	Mean FD	SD	Min FD	Max FD
MT01	Wackestone	101	6.27	1.99	2	11.5
MT33	Intraclast breccia	18	6.97	3.5	2	11.5
MT41	Wackestone	22	7.41	3.12	3.5	15
JR08	Intraclast breccia	68	5.98	3.2	1.5	15.5
JR22	Wackestone	56	4.56	1.7	1.5	12
JR23	Wackestone	79	5.15	2.08	2	13
JR28	Black shale	50	7.42	4.32	3.5	32
JR36	Flat pebble conglomerate	29	5.31	2.12	3	13
JR38	Wackestone	50	4.25	2.62	1.5	19
JR43	Flat pebble conglomerate	6	6.42	4.25	2	14
JR45	Wackestone	92	5.78	2.39	2	12

1065

1066 Table 1 (above)

1067

**Table 2**  
XRF data of major element variations in the Griotte Limestone and normal gray limestone. LOI, loss on ignition. Values are in wt %.

Sample	Lithology	SiO <sub>2</sub>	TiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Fe <sub>2</sub> O <sub>3</sub>	MnO	MgO	CaO	Na <sub>2</sub> O	K <sub>2</sub> O	P <sub>2</sub> O <sub>5</sub>	LOI	Summary %
MT08	gray marl	33.01	0.39	7.90	2.69	0.06	9.54	18.12	0.04	2.30	0.14	25.71	99.90
MT14R	red marl	24.28	0.30	6.19	2.63	0.03	7.31	27.82	0.14	1.81	0.13	29.28	99.91
MT19R	red limst	16.43	0.15	2.89	1.15	0.04	14.08	27.12	<0.01	0.87	0.13	37.07	99.93
MT24R	red limst	13.42	0.18	2.13	1.01	0.02	0.93	45.14	<0.01	0.59	0.10	36.34	99.94
MT35	gray limst	3.10	0.08	0.49	0.42	0.03	1.15	68.27	<0.01	0.24	0.06	26.10	99.95
MT40	gray limst	4.59	0.09	1.07	0.67	0.03	4.92	59.06	<0.01	0.37	0.10	29.04	99.93
MT42	gray limst	2.61	0.06	0.58	0.62	0.02	8.87	54.21	<0.01	0.22	0.36	32.41	99.94

1068

1069 Table 2 (above)

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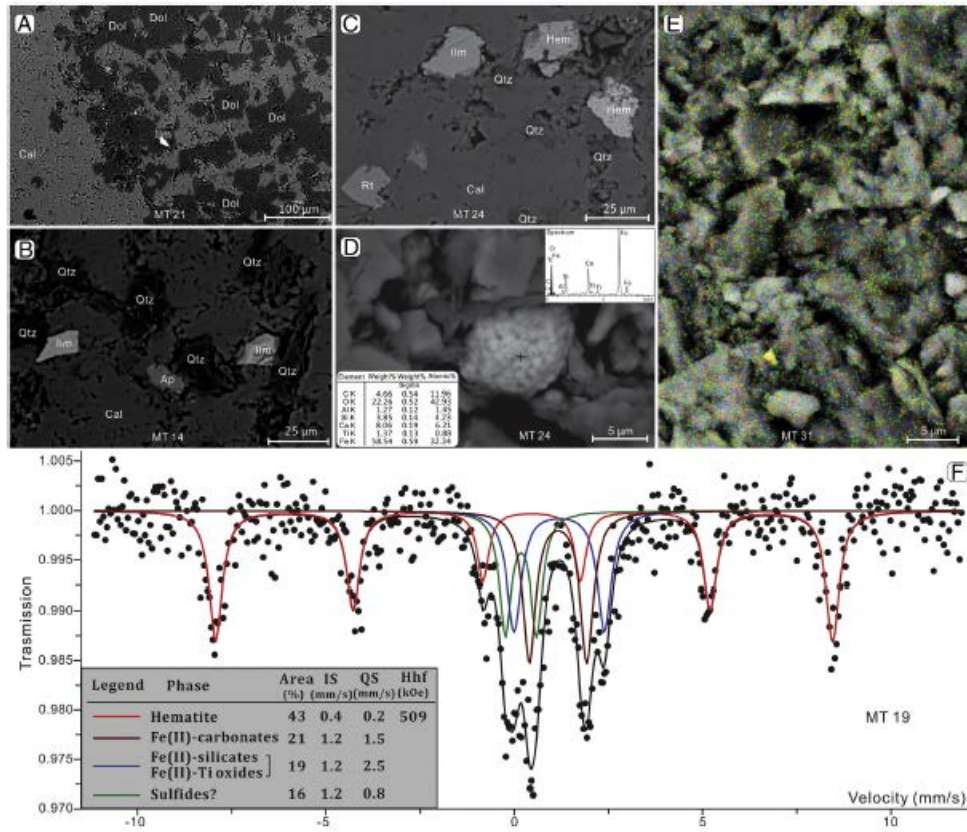


Fig. 8. SEM-BSE imaging and  $^{57}\text{Fe}$  Mössbauer spectroscopy results. A. The bulk mineral composition of the Griotte Unit is mainly calcite and dolomite. B and C. Non-carbonate minerals in the Griotte Unit. D. A (reworked?) pyrite framboid pseudomorph of  $\sim 6\mu\text{m}$  size. EDX examinations (insets) show all sulfur has been replaced by oxygen; note the Si, Ca, Al signals are probably from surrounding carbonates. E. Elemental mapping shows nanometer-scale iron oxides (green dots) dispersed in the Griotte facies. F.  $^{57}\text{Fe}$  Mössbauer results show hematite is the main iron-bearing phase in red beds, comprising  $\sim 43\%$  of all iron species. Other phases include Ti-Fe oxides, carbonates, silicates and possibly sulfides. No pyrite has been found in SEM-EDS examinations of the same sample ( $2\text{ cm} \times 2\text{ cm}$ ). Mineral abbreviations: Ap, apatite; Cal, calcite; Dol, dolomite; Hem, hematite; Ilm, ilmenite; Py, pyrite; Qtz, quartz; Rt, rutile; Zrn, zircon.

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1072 Figure 8 (above)

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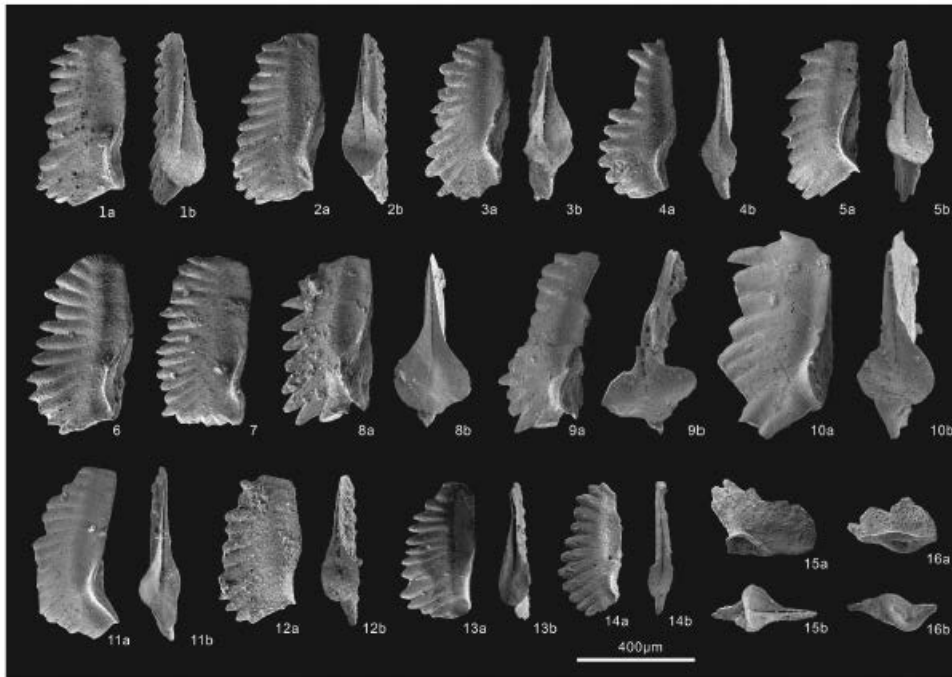


Fig. 9. SEM images of Mingtang conodonts 1, 2, 7, 11, *Triassospathodus homeri* (Bender, 1970), 1, MTC-39\_j022, 2, MTC-39\_j023, 7, MTC-39\_j03, 11, MTC-02\_j134; 3, 6, *Neospathodus* sp., 3, 6, MTC-39\_j02, MTC-39\_j024; 4, 14, *Neospathodus pusillus* Orchard, 1995, 4, MTC-39\_j024, 14, MTC-39\_j016; 5, *Neospathodus brockus* Orchard, 1995, MTC-39\_j005; 8, *Neospathodus rudialis* Zhao and Orchard, 2008, MTC-115\_j137; 9, *Triassospathodus symmetricus* Orchard, 1995, MTC-02\_j133; 10, *Neospathodus curtatus* Orchard, 1995, MTC-02\_j132; 12 *Novispathodus abruptus* Orchard, 1995, MTC-39\_j029; 13, *Neospathodus aff. abruptus*, MTC-02\_j129; 15, *Noispathodus triangularis* (Bender, 1970), MTC-39\_j026; 16, *Cratognathodus* sp., MTC-39\_j028.

1074

1075 Figure 9 (above)

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**Table 3**  
Foraminifera recorded in the Mingtang and Jiarong sections.

Sample	Taxa									
	<i>Hoyenella</i> spp.	<i>Rectocornuspira</i> <i>kablri</i>	<i>Hemigordalima</i> <i>regularia</i>	<i>Meandrospira</i> <i>pusilla</i>	<i>Palaeotectularia</i>	<i>Globivalvulina</i> <i>lukechensis</i>	<i>Eerlandia</i>	<i>Cribrogenina</i>	<i>Nodosinella</i>	
MT05	x									
MT13	x	x								
MT18			x	x	x					
MT20				x		x				
MT25							x			
MT26		x		x		x				
MT30			x	x				?		
MT34				x						
MT35			x		?	x				
MT36		x				x				
MT37			x	?			x			
MT40			?							
MT41	x		x				x		x	
MT44		x	x			x				
J88		x	x	x						
JR10		x	x	x						
JR43			x			?				

1077

1078 Table 3 (above)

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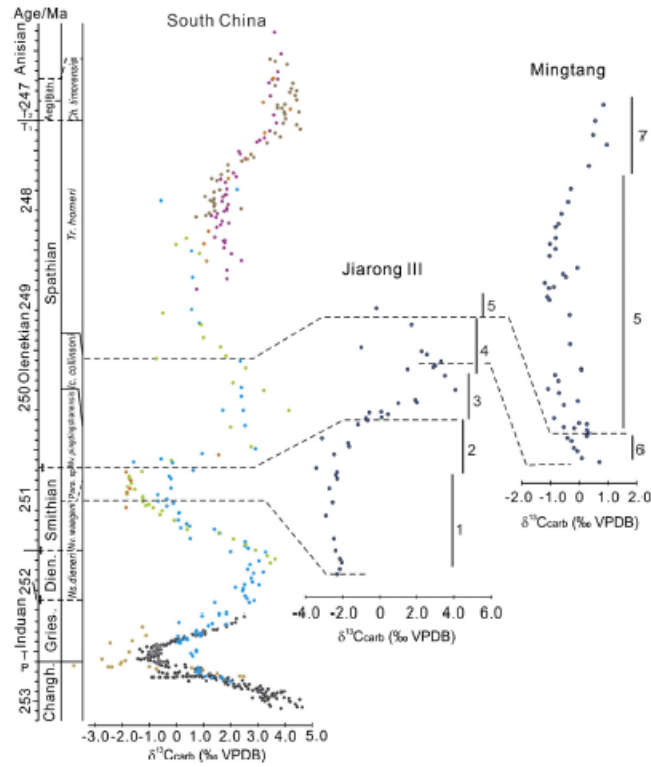


Fig. 10. Comparison of  $\delta^{13}\text{C}$  across studied sections. The South China record is from Sun et al. (2012). Changh, Changhsingian; Gries, Griesbachian; Dien, Dienerian; Aeg, Aegean; Bith, Bithynian. Conodont zonations: 1, *Discretella discreta*; 2, *Parachirognathus-Pachycladina*; 3, *Novispathodus pinglinghanensis*; 4, *Krisospathodus collinsoni*; 5, *Triasospathodus homeri*; 6, *Triasospathodus symmetricus*; 7, *Novispathodus triangularis*.

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1081 Figure 10 (above)

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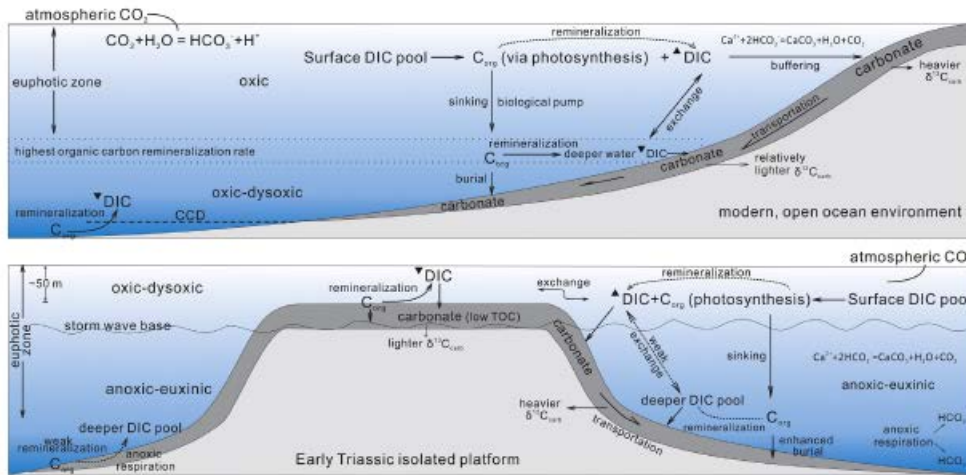


Fig. 11. Comparison of carbon cycling (simplified) in modern open oceans and Early Triassic isolated platforms assuming a "high productivity" scenario. Highest organic carbon remineralization occurs in modern open oceans just below the euphotic zone (e.g. highly productive equatorial Pacific, Feely et al., 2004). In contrast, on Early Triassic isolated platforms, organic carbon remineralization mostly occurred in surface waters and at the water/sediment interface; remineralization below storm wave base was probably minor due to wide-spread anoxic/euxinic conditions. In comparable modern settings, inner platform  $\delta^{13}\text{C}_{\text{carb}}$  can be up to 4‰ lighter than open water  $\delta^{13}\text{C}_{\text{carb}}$  (e.g. Patterson and Walter, 1994). CCD, carbonate compensation depth; DIC, dissolved inorganic carbon; TOC, total organic carbon.  $\blacktriangle$ ,  $^{13}\text{C}$  enriched;  $\blacktriangledown$ ,  $^{13}\text{C}$  depleted. Models are horizontally and vertically not to scale.

1083

1084 Figure 11 (above)

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