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2 How to measure mood in nutrition research

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## Abstract

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Mood is widely assessed in nutrition research, usually with rating scales. A core assumption is that positive mood reinforces ingestion, so it is important to measure mood well. Four relevant theoretical issues are reviewed: (i) the distinction between protracted and transient mood; (ii) the distinction between mood and emotion; (iii) the phenomenology of mood as an unstable tint to consciousness rather than a distinct state of consciousness; (iv) moods can be caused by social and cognitive processes as well as physiological ones. Consequently, mood is difficult to measure and mood rating is easily influenced by non nutritive aspects of feeding, the psychological, social and physical environment where feeding occurs, and the nature of the rating system employed. Some of the difficulties are illustrated by reviewing experiments looking at the impact of food on mood. The mood rating systems in common use in nutrition research are then reviewed, the requirements of a better mood rating system are described, and guidelines are provided for a considered choice of mood rating system **including that assessment should: have two main dimensions; be brief; balance simplicity and comprehensiveness; be easy to use repeatedly. Also mood should be assessed only under conditions where cognitive biases have been considered and controlled.**

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Key Words: Affect; Mood Assessment; Mood Rating;

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## Introduction

34 Measuring mood is important in nutrition research because changes in mood motivate  
35 human ingestion choices, including what is eaten and drunk, when, and how often,  
36 which can have major consequences for health. Mood is usually measured with some  
37 type of questionnaire rating system. Common dimensions of mood include subjective  
38 energy or level of arousal, positive versus negative mood or good versus bad mood,  
39 and tension versus calmness.

40 This paper reviews theory underlying both mood and mood assessment, drawing three  
41 important distinctions: between mood and emotion; between protracted and transient  
42 mood; that transient mood is probably not a state of consciousness, but rather a tint to  
43 consciousness. The complex causes of mood also will be discussed, which raise  
44 difficulties for assessing mood changes that might occur after eating or drinking and  
45 for considering how observed changes in mood ratings should be interpreted. The  
46 paper goes on to briefly review experiments that have focussed on the effects of food  
47 on mood, to review both the common and some alternative methods of rating mood,  
48 and to suggest good practice in choosing and interpreting mood rating systems in  
49 nutrition research.

50 It will be proposed that: transient mood assessment needs to be in accord with  
51 contemporary theories of mood, which prefer mood to have two main dimensions; the  
52 questionnaire or other assessment needs to be brief and administrable quickly before  
53 transient mood is altered by time and the processes of questionnaire completion; it  
54 should strike a balance between simplicity and comprehensiveness; it should be easy  
55 to use in order to minimise bias and error when it is being completed repeatedly;  
56 mood should be assessed only under conditions where theoretically irrelevant  
57 cognitive factors that can influence mood rating have been considered and controlled.

58 It is difficult to measure mood, for it is inherently phenomenological, so methods of  
59 mood assessment are problematic (1,2). Nonetheless, ingestion is a major method  
60 people use to try to manage their conscious mood state, in a complexity of ordinary

61 foods, nutritional foods, functional foods, medicines, psychoactive drugs, vitamins  
62 and dietary supplements. The everyday experience of influencing mood by ingesting  
63 something often fails to appear as a significant difference in a controlled experiment.  
64 As will be seen, mood assessment techniques can fail to capture transient  
65 phenomenological experience.

66 Here, 'phenomenological' is used after Merleau-Ponty (3) to mean the content and  
67 form of human experience, without making a-priori assumptions about the causes or  
68 structure of that experience. This term is used rather than 'subjective' because the  
69 latter has come to be the antonym of 'objective', which is misleading here because the  
70 ultimate aim of mood measurement systems is objectively to measure  
71 phenomenological experience. Additionally, as will become clear, there is a need for  
72 a term to describe subjective experience without implying the assumption that such  
73 phenomenology involves full conscious awareness, which can easily be reported.

74 As shall be reviewed, reward, or reinforcement, can occur without mood, and mood  
75 reports are influenced by many things other than the current or immediately prior  
76 phenomenological experience. **It is widely recognised that** experienced mood is not  
77 caused only by underlying changes in physiological state but also by a range of other  
78 factors, and the connections between experienced mood and rated mood are  
79 cognitively mediated. **However, some nutrition research over-simplifies these issues**  
80 **and treats rated mood as if it were an uncomplicated approximation of physiological**  
81 **state (4).**

82 This applies across different research topics including the extent to which the obesity  
83 epidemic has been caused by abundant sweet, high fat, and otherwise highly  
84 reinforcing food, and which reinforcement mechanisms apply; whether  
85 macronutrients have specific impact on mood; whether functional foods can be  
86 designed to enhance mood or performance; whether abnormalities of food choice and  
87 eating behaviour are caused or worsened by the foods chosen. Mood has been  
88 measured in nutrition research for over thirty years, yet there has been little progress  
89 in agreeing standards regarding the choice and use of appropriate measurement  
90 instruments (1).

91 **Defining mood**

92 Affect science distinguishes ‘mood’ from ‘emotion’. Emotions are strong affective  
93 responses that usually have visible behavioural effects, such as changes in facial  
94 expression (5) and are fundamentally communicative acts (4). One way of defining  
95 emotion is according to facial expression; Happiness; sadness; surprise; fear; disgust;  
96 anger (6), although this may not cover all emotions. Moods are weaker  
97 phenomenological experiences that may not have behavioural effects (2).

98 It is also important to distinguish ‘protracted mood’ over a period of hours or days,  
99 which more readily can be assessed by questionnaire (1,2), from ‘transient mood’,  
100 which fluctuates (4). Most research with ‘mood’ in the title is about protracted,  
101 usually depressed, mood. Reports and ratings of protracted mood draw upon and  
102 somehow average information from episodic memory to generate the phenomenon of  
103 a relatively stable state from the underlying momentary flux of feelings. For example,  
104 depressed people exhibit specific memory and attention biases towards reporting  
105 negative events and thoughts (7), although in reality they also experience positive  
106 events and thoughts. Thus, although depression is probably caused by a complex of  
107 metabolic, neurological and cognitive factors (8,9) and has behavioural effects as well  
108 as phenomenological ones, depression as a protracted mood is cognitively formed  
109 and involves selective attention to different aspects of experience, as for instance in  
110 rumination (10).

111 Transient mood being much shorter is inherently variable. Additionally, the  
112 determinants of transient mood may be unconscious, or only briefly accessible to  
113 conscious and rapidly forgotten (11). A transient mood does not dominate  
114 consciousness, for if it does then either it becomes strong enough to manifest in  
115 behaviour as an emotion, or it becomes a protracted mood, which permeates  
116 consciousness and/or behaviour for some time.

117 It may be inaccurate to conceptualise transient mood as a ‘state’ (4). By definition  
118 transient mood is relatively brief and prone to change, also ‘state’ implies something  
119 that dominates consciousness and has content that can be introspected. Some affect  
120 research uses ‘valence’ to mean a tendency to orient towards some types of stimuli  
121 rather than others, or respond in certain ways, as in the attentional biases of  
122 depression (7). In animal models ‘value’ is sometimes used in a similar way (12).

123 As will be reviewed, transient mood effects may not always involve an overt  
124 orientation of this kind. Hammersley & Reid (4) suggest use of 'tint'. A transient  
125 mood can tint consciousness, but awareness of the tint itself varies, and the tint subtly  
126 emphasises some aspects of phenomenological experience and de-emphasises others.  
127 Valence and value are two examples of the effects of a mood tint, but there may be  
128 others, such as cognitive biases of various kinds.

129 Research on the effects of single acts of ingestion usually hypothesises changes in  
130 tint, rather than effects on protracted mood, or emotion. For example, high  
131 carbohydrate breakfasts might tint consciousness with happiness (13), without  
132 causing the happy facial expressions commonly shown in advertising.

133 Transient mood is caused by cognitive processes, along with physiological ones (4).  
134 Asking people to evaluate, rate or report their mood tends to orient people to the  
135 affective tinting of their conscious state and also to change the contents of  
136 consciousness, filling it with the relevant mood assessment task. At the extreme,  
137 people may be unaware of their transient mood unless they are asked about it, because  
138 transient mood can exist without full awareness (4). Affective priming involves both  
139 fully conscious and less conscious processes (14). One example is irritable mood,  
140 when a person may feel normal and show no overt signs of irritability, but  
141 nonetheless have more propensity to exhibit irritation to stimuli that might not irritate  
142 them normally.

143 Affective priming is one cause of transient mood; prior events and thoughts causing a  
144 tendency to be oriented towards cognitions of specific emotional significance. For  
145 example, mood salient words embedded in a task can influence subsequent mood  
146 ratings without the subject noticing their systematic presentation (15). Similarly,  
147 foods or drinks with learned associations to specific moods might trigger the mood.  
148 Sweet taste consistently causes a transient facial expression of happiness, whereas  
149 bitterness or bitter-sweet tastes have less consistent effects (5).

150 Even strong and immediate affect experiences are cognitively mediated (16,17), so it  
151 is unsafe to assume that rated mood represents the unmediated physiological effects  
152 of ingestion on subjective state. Research participants' feelings can be influenced by  
153 their prior outcome expectancies (18-20). It is also necessary to consider the demand

154 characteristics of the experiment, including the nature and demeanour of the  
155 experimenter (21-25). To minimise experimenter effects (26), social priming effects  
156 (27) and expectancy effects (28,29), ideally all research with people should be  
157 double-blind whenever feasible.

158 Most procedures reporting on mood involve retrospective reconstructing of recent  
159 feelings, so by the time people rate or otherwise report on a small mood change, they  
160 may have forgotten or distorted its initial nature. Modern conceptualisations of  
161 consciousness, such as the working memory framework (30) and fast versus slow  
162 processes (31), regard it as having multiple components with different functions and  
163 properties, as well as indistinct boundaries from unconscious processes, rather than it  
164 being a simple state that can be examined and reported upon without problem. Many  
165 studies of the effects of ingestion on mood have assumed that rated mood represents  
166 conscious state, caused by ingestion. Then, research sometimes 'back-calculates' the  
167 physiological effects of ingestion from mood ratings, which is unsafe because of the  
168 above complexity and the relationships between physiological arousal and conscious  
169 state are complex (4). For example, below will be reviewed the challenge of  
170 demonstrating that carbohydrate can improve mood due to elevating tryptophan levels  
171 in the brain and enhancing serotonin metabolism. Carbohydrate often apparently  
172 affects transient mood, but this mechanism applies only amongst people who crave  
173 carbohydrates and habitually ingest pure carbohydrate deliberately to improve mood  
174 (32).

175 However, sweetness produces a strong positive affect experience (33) and a happy  
176 facial expression (5), so it may have direct effects on subjective state. As well as in  
177 adult humans, this occurs also in young infants, primates and other animals (34,35).  
178 Depending on how one defines and distinguishes 'reward' from 'reinforcement' this  
179 may suggest that sweetness is a primary positive reinforcer with the potential to cause  
180 a positive mood without cognitive processing. Some tastes also trigger disgust  
181 reactions (36). However, there is no convincing evidence that any of the other  
182 properties of food are primary reinforcers. Indeed some orosensory properties that  
183 tend to be associated with energy dense foods, such as viscosity, are represented  
184 independently of their reinforcement value (37). Research on conditioned taste  
185 aversions suggests that near instantaneous responses to foods are often learned (38).

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## Research on the effects of carbohydrates on transient mood

This section reviews experiments that examine the effects of food and drink on transient mood. Excluded are experiments using caffeine and other drugs, studies that assess the protracted mood effects of various diets across several days or longer, and studies where mood is assessed but is not reported as a main focus of the study. Of remaining experiments there have been most on the effects of carbohydrates, including studies of sugar, breakfast cereal, and breakfast bars. There are also a few studies of protein without comparing it to carbohydrate, a few on the effects of other meals, and some on the effects of miscellaneous foodstuffs that possibly have mood benefits. This section will focus on carbohydrates, because there are too few of the other studies to be able to judge the consistency of their findings, even before considering the challenges of mood rating.

In studies on simple carbohydrates of under 24 hours, effects on mood have been found inconsistently (13,39-62). Not all studies have blinded participants to what they ingest (56,58), which may help explain variations in findings (63). Another difficulty is that many studies use multiple measures of mood, and sometimes multiple questionnaires, making it difficult to interpret a changes on a small number of mood items. The questionnaires themselves are not optimised for this purpose (see below).

Other difficulties in this literature include that eating has generic mood effects, releasing endorphins (64), alleviating hunger and the cognitive effects of fasting (65), and reducing dehydration (66). Consequently, showing that a meal **of specific composition** changes mood compared to fasting cannot be attributed to the nutrient content of the meal. **To demonstrate this requires comparison with a control meal of systematically varied composition.**

Breakfast **compared to fasting** improves cognitive performance, often without affecting rated mood (13,67-70). When mood is affected by breakfast, then alertness tends to increase and fatigue to decrease (13,41,53,69,71-74), but as shall be reviewed, there are difficulties with inconsistent mood measurement techniques. It is

218 also conceivable that breakfast improves cognitive function after an overnight fast,  
219 then consequently better cognitive function improves rated mood.

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221 Five papers explicitly compare high carbohydrate breakfasts to high fat or high  
222 protein breakfasts of otherwise similar content. For this comparison, there may be  
223 issues regarding the different speeds at which different meals are digested. Two have  
224 found no distinct mood effects of different meals using the Visual Analogue Scales  
225 (VAS) and the Profile of Mood States (POMS) (42,43). Experiments finding specific  
226 ‘significant’ mood effects of one meal type compared to another are difficult to  
227 interpret, because effects found differ. Carbohydrate may reduce fatigue and  
228 dysphoria (VAS)(53), or increase fatigue (VAS)(41), or increase alertness and  
229 happiness whilst reducing nervousness and thirst (VAS)(13). It is impossible to tell  
230 whether reduced ‘fatigue’ and increased ‘alertness’ are the same thing, or how VAS  
231 ‘fatigue’ can be translated into POMS scales or those of another instrument. Nor can  
232 one tell whether one instrument is more sensitive than another.

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234 There have also been four experiments looking at giving glucose drinks during  
235 vigorous exercise, **compared to only water**. Such drinks had no mood (all POMS) or  
236 performance effects on recreational cycling (75). Playing soccer, compared to placebo  
237 they increased ratings of activation and of perceived exertion (76). They improved  
238 vigilance, reduced confusion and increased vigour amongst military personnel during  
239 sustained aerobic activity (77). In real desert training glucose drinks improved energy  
240 intake but did not influence mood (78). As will be discussed, the extrinsic situation  
241 can dominate mood and make it unlikely that food will influence it. Again, there are  
242 issues of mood measurement, and the possibility that improved cognitive function  
243 causes mood.

244

245 However, there is a plausible mechanism whereby simple carbohydrates could affect  
246 transient mood, by altering tryptophan levels in the brain (79), although even small  
247 amounts of protein consumed simultaneously prevent this, so the mechanism may be  
248 uncommon in everyday living (80). Moreover, the dose-response relationships to  
249 tryptophan for cognitive functioning and for mood appear to be different, and

250 mediated by the prior neurochemical state of the brain (81), again raising the question  
251 of whether tryptophan sometimes improves mood by improving cognitive efficiency.

252

253 It has been hypothesised that some people are prone to carbohydrate craving, making  
254 them atypically vulnerable to mood changes and prone to using relatively pure  
255 carbohydrate to manage their mood (82-84). According to this hypothesis, only  
256 carbohydrate cravers should exhibit mood changes after carbohydrate. There is  
257 evidence of a correlation between craving and mood or mood management (85), and  
258 there has been a blind, randomised controlled trial of responses to a carbohydrate rich  
259 food, given after an induced low mood. This suggested that carbohydrates can reverse  
260 induced low mood amongst people who score highly on ratings of carbohydrate  
261 craving, and, critically, also regularly consume pure carbohydrates that could affect  
262 brain serotonin (32). This trial used POMS, but only looked at effects on dysphoria  
263 (hedonic tone) and vigour (arousal), finding reduced dysphoria but no effect on  
264 arousal.

265

266 Another study relevant to the tryptophan hypothesis (86) fed high and low  
267 neuroticism scoring participants high carbohydrate or high protein diets across  
268 breakfast, a snack and lunch and gave them a stressful task. High neuroticism  
269 participants who had eaten the high carbohydrate diet did not show the stress-induced  
270 rise in depression, decline in vigour (POMS) and elevated cortisol levels that they  
271 showed after the high protein diet. This suggests that carbohydrate may moderate or  
272 protect against a stressed mood response, rather than changing normal mood.

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274 In summary, it appears that carbohydrates can affect mood but it remains difficult to  
275 specify the relevant conditions. Nutritional status, stress, and the habitual use of  
276 carbohydrates to affect mood may be important, but findings are obscured by some  
277 designs that do not blind participants to what they are ingesting, and by lack of a  
278 consistent and planned approach to mood measurement. It is possible that POMS and  
279 VAS measure somewhat different things, and their sensitivity to nutritional  
280 manipulations may also differ.

281

### **Causes of transient mood rating**

282 Mood rating may only take from a few seconds to a few minutes, depending on how  
283 many items are used, but this is ample time for complex unconscious and conscious  
284 cognitive processes to affect mood rating (87). Priming effects may be particularly  
285 important, where prior stimuli affect subsequent responses although the person does  
286 not consciously recall the stimuli, and the stimuli may be non salient and incidental to  
287 the response.

#### 288 *Semantic priming of mood rating*

289 One cause of transient mood rating effects may be semantic priming (88,89), even in  
290 the absence of initial mood changes. Semantic priming is the well-established  
291 phenomenon of the priming of meaning by prior exposure to related material  
292 associated with it through learning (31). A well known case in psycholinguistics is  
293 that prior context primes the recognition of one meaning of a polysemous word rather  
294 than the other; ‘Time flies like an arrow’ versus ‘Fruit flies like a banana’ (90). In  
295 mood research, mood induction procedures that use verbal stimuli, such as the Velten  
296 procedure, may prime the semantics rather than the actual affective experience (91),  
297 leading for example to more choice of sad words because the text contained words  
298 priming sadness. Similarly, a product repeatedly advertised as making people happy  
299 might increase ratings of happiness without affecting happy mood. Semantic priming  
300 can affect tasks involving alcohol-relevant words (88,92,93). Cues associated with  
301 substance use, including words, can prime both substance-like and substance-  
302 opponent responses, although the mechanisms of cue exposure may be different (94).  
303 There is also a literature showing that emotional stimuli can prime various kinds of  
304 task – affective priming – including recognition of related words (95-97) and  
305 drinking beer (98). Smells and tastes also can prime episodic emotional memories  
306 (99-101), which might cause moods and/or influence mood ratings.

#### 307 *Mood rating affects subsequent mood rating*

308 Initial ratings of mood may influence subsequent ratings. First of all, there are the  
309 effects of repetition, whereby simply repeating a response without reinforcement  
310 makes the response more likely in the future (102,103). So a rating of ‘tired’ at time 1  
311 may make that rating more likely at time 2. There is also the phenomena of semantic  
312 saturation, whereby repetitive presentation of similar items can eventually inhibit

313 further similar responding (104). Thus, repetitive use of the same rating scales might  
314 lead people to shift their ratings, but not in ways that are easily predicted or  
315 controlled, as there are at least two opponent processes involved.

#### 316 *Sensitisation and orientation*

317 Moreover, it is likely that completing rating scales about current affective state  
318 sensitises respondents to affective information (105) and makes them more likely to  
319 experience and/or report small changes in mood. For example, sometimes in everyday  
320 life the person may not feel hunger until asked if they are hungry. Mood rating is  
321 unlikely to be a simple ‘readout’ of current state, but is always based on a second  
322 round cognitive appraisal (4). This appraisal does not necessarily use information  
323 from an initial rapid affect experience, or it may contradict that initial experience.  
324 Mood rating systems therefore have the potential for generating ‘mood’ on the basis  
325 of little or no information from consciousness. Consequently ingestion may  
326 sometimes systematically influence mood ratings, without affecting prior subjective  
327 state.

#### 328 **Analysis of mood ratings**

329 Mood ratings repeated over time generate large quantities of data. There is the  
330 potential for type I error, so data analysis needs to have a plan to minimise the  
331 number of statistical comparisons. Such a plan ideally includes having clear  
332 hypotheses about how ingestion should influence mood, regarding which components  
333 of mood should be affected, at what time, and for how long. Research should still  
334 assess mood comprehensively, to avoid item availability bias, but analysis should  
335 focus on the components where effects are hypothesised.

336 It is not always possible to form clear hypotheses about how ingestion should  
337 influence mood, particularly as mood is often assessed as an adjunct to other research  
338 questions. In such cases it is important that a minimal number of mood components  
339 are analysed, and a conservative approach to analysis is taken that considers the  
340 problem of multiple comparisons. Without a considered approach to analysis, there is  
341 a risk of the ad hoc identification of isolated ‘significant’ but meaningless  
342 differences, on one mood rating at one point in time, or a few ratings at different

343 points in time. This may partially explain inconsistent and not readily replicated  
344 findings regarding the effects of ingestion on mood.

345 In analysis and interpretation, it is important to not lose sight of the fact that mood  
346 ratings are ordinal ratings of subjective state, not accurate interval measurements of  
347 anything. The absolute rating values are relatively meaningless and analysis should  
348 focus instead on change and comparison between groups or conditions and over time.  
349 It is advisable to consider individual differences in rating scale use, for example by  
350 transforming raw data into z-scores.

### 351 **Requirements of a transient mood rating system**

- 352 1. It should cover the theoretically considered main dimensions of mood (arousal  
353 and affect).
- 354 2. It should also assess common physical feelings such as hunger, thirst, pain and  
355 illness, so that changes in these do not manifest as changes in arousal or  
356 affect.
- 357 3. It should use as few questions as possible, given requirements 1 and 2, to:  
358 facilitate repeated administration; reduce test fatigue; reduce non conscious  
359 priming effects, which increase with repetition; reduce other biases; minimise  
360 the duration of the rating process in order to capture 'mood' across a  
361 relatively brief moment in time; facilitate data analysis.
- 362 4. Individual rating items should be structured as polar opposites.
- 363 5. The granularity of each scale should be fit for purpose, usually either an  
364 unnumbered line, or a five or seven-point scale.
- 365 6. The instrument should be straightforward and have face validity because  
366 mood is inherently subjective. What are the implications of 'subtle' mood  
367 effects averaged across multiple items? If someone is subjectively  
368 experiencing a mood they should be able to report it with an appropriate  
369 instrument administered in a timely fashion.

370 7. Assessing mood too often may radically alter the natural phenomenological  
371 condition. For example, 50 or more questions rated repeatedly across a period  
372 of hours may dominate consciousness more than any effects of the initial food.  
373 Alternative approaches include making more use of between-subjects designs  
374 with mood measured but once in each group, and ecological momentary  
375 assessment methods (106) where participants do not know when they will be  
376 asked to rate mood.

377 The putative mood effects of substances are usually only one of a range of things to  
378 be assessed when measuring the short or long-term effects of what people ingest.  
379 Many studies have relied on either very basic rating scales, or one or more standard  
380 rating scales discussed below. In analysis and interpretation of data, mood rating data  
381 are generally treated as unproblematic measures of internal subjective state. Indeed  
382 abstracts often fail to report how mood was rated, as if for example ‘fatigue’ was the  
383 same in every rating system.

#### 384 **Are widely used rating systems fit for purpose?**

385 Table 1 summarises the most commonly used rating systems. The Visual Analogue  
386 Mood Scale (107) is too simple, with just one rating item of best to worst mood, and  
387 it has not been properly validated for use with transient mood, so it is not  
388 recommended.

389 Most popular in nutrition research (60,76,108-114) is the POMS (13,41,75,77,86,115-  
390 117), which was originally developed to assess how people “have been feeling  
391 recently, including today”, so it was validated to measure protracted mood. It  
392 originally asked participants to rate 72 mood adjectives on a 1-5 scale of “not at all”  
393 to “extremely” generating the six scales shown in Table 1, and it has developed over  
394 the years (63-68). Now updated to the POMS-2, with 65 items, or 60 items in the  
395 youth form, or 35 items in the short form (116), giving six scales plus ‘friendliness’  
396 (which is rated separately), labeled somewhat differently to POMS-1.

397 **Insert Table 1 about here**

398 Widespread use does not of itself validate a measure (1). POMS never has been  
399 properly validated as a measure of transient mood, and it may be too complex for

400 purpose, particularly for repeated administration. Also, it is entirely empirically  
401 derived and it is unclear how the six scales map on to the two primary mood  
402 dimensions of arousal and affect: Arousal might be measured with ‘vigor’ or  
403 ‘fatigue’, while bad mood might be measured with ‘anger’ or ‘tension’, or  
404 ‘depression’. If POMS results in nutrition research were highly consistent, then one  
405 might be more assured of its utility.

406 The VAS (Visual Analogue Scales) (118) comprise 18 (or sometimes 16) rating items  
407 producing two, or three, factors. Although VAS factors are similar to the main  
408 dimensions of mood, they are empirically derived and the variation in the number of  
409 factors raises questions about the stability of the underlying factor structure and  
410 whether those particular questionnaire items are the most appropriate ones (1).  
411 However, there is some evidence that VAS is sensitive to changes over periods of less  
412 than 24 hours, especially to the effects of breakfast (53,69,74,118,119).

413 The evidence that the Activation-Deactivation Adjective Check-List (ADACL) has  
414 this sensitivity is more limited because it has not been widely used other than by its  
415 author (48,62,120-123). ADACL was theoretically derived according to a two-  
416 dimensional mood structure as is commonly found in affect research (2,120).  
417 Dimensions are arousal or energy level and hedonic tone (positive or negative mood)  
418 and it is also possible to cross-culturally map emotion words on to these dimensions  
419 (124). The 50 item version of ADACL is too long for repeated administration, but the  
420 20 item short version could be used in this way.

421 In choosing a method, a caution is that the validated VAS is sometimes cited as  
422 justification for using other, non validated, sets of visual analogue scales, as if it were  
423 the scale design rather than the content that had been shown to measure mood.  
424 English includes hundreds of mood-relevant words (125), and the choice of which to  
425 use needs to be theoretically or empirically derived. There is a risk of arbitrary items  
426 being chosen (1).

427 Not commonly used in nutrition research, but worth considering are the ‘Visual  
428 Analogue Mood Scales (126), which are widely used in medical research, require  
429 minimal cognitive or verbal skills, and measure eight mood dimensions: Afraid,  
430 Confused, Sad, Angry, Energetic, Tired, Happy, and Tense. As with POMS, these

431 dimensions are not theoretically derived and do not appear to have been shown to be  
432 sensitive to changes over less than 24 hours. Also worth considering are the Positive  
433 and Negative Affect Scales (PANAS) (127,128), here the dimensions are negative  
434 arousal – annoyed/anxious versus contented/serene – and positive arousal –  
435 euphoric/elated versus tired/bored. This maps the same space as arousal/ hedonic  
436 tone, emphasising different axes. Another method is the Geneva Emotion Wheel,  
437 which maps emotion words on a circle with axes of hedonic tone (called emotional  
438 valence) and perceived low to high control, which is hypothesised to influence mood  
439 (17).

440 A final consideration is how many dimensions of mood to measure. Two-dimensional  
441 models of mood are usefully simplifying, but using a two dimensional scale begs the  
442 question of whether food might affect components of mood other than arousal or  
443 hedonic tone. Moreover, often in nutrition research phenomenological state is not  
444 assessed just with a single mood questionnaire, but also with questions regarding  
445 subjective physical well being, and sometimes also with multiple mood  
446 questionnaires, including many others in wide use in health-related research not  
447 shown to be sensitive to changes over less than 24 hours. As discussed above, these  
448 different ratings can potentially interact in ways that are not yet understood, but with  
449 multiple questionnaires to complete, it is not unusual for designs to require  
450 participants to complete several 100 questions in total, leading to test fatigue.

#### 451 *Inherent biases in the rating process*

452 Whichever rating system is chosen, there are multiple, interacting sources of bias in  
453 the use of rating systems to judge mood or anything else. The following are some of  
454 the most important and widely recognised biases (1,87,129):

455 *Set point biases*, where what you initially report limits what you can report next. For  
456 example, if you initially rate your hunger 6/7 and then get more hungry, you can only  
457 increase your rating by 1 point. If you had initially rated yourself 2/7 you would have  
458 more room for rated hunger to increase. Unipolar systems, such as POMS and  
459 ACADL may be particularly problematic.

460 *Biases due to the granularity of the scale*. When the scale has a lot of points (100  
461 point scales are sometimes used) then participants tend to not use the full scale. When

462 the scale has few points then this may force participant responses into categories. This  
463 is a particular problem with even numbers of options, such as the four in ACADL.  
464 Recommended solutions are: (a) To use a blank line with clearly labelled end points  
465 and ask participants to mark the line according to their mood rating (e.g. VAMS).  
466 This produces the best rating data, but it can lead to incomplete and uninterpretable  
467 responses for less literate, less intelligent participants, who are less familiar with  
468 concepts of graphical representation. Consequently, (b) use a seven- or five-point  
469 scale, with the points numbered and clearly labelled end points. This produces  
470 adequate ratings, and with less missing data.

471 *Biases due to the labelling of the scale.* It is particularly important that the end points  
472 are clearly labelled and, for bipolar scales, are convincing opposites. This is  
473 problematic for mood ratings because not all possible mood words have unique,  
474 convincing opposites. For example, is the opposite of aroused calm, or tired?

475 *Biases due to the items available for rating.* At one extreme, it is possible to rate  
476 mood with a single rating of best to worst mood (107), but this forces participants to  
477 represent any change in subjective state on this scale; worst mood could be due to  
478 many factors such as boredom, depression, low arousal, hypoglycaemia, or  
479 indigestion. At the other extreme, mood can be rated with as many as 72 questions,  
480 which requires nuances of judgement that may be unrealistic; can one be more  
481 'drowsy' than 'tired', and can one simultaneously be 'alert'?

482 Ideally, a mood questionnaire should cover all relevant aspects of subjective state. For  
483 nutrition research this involves measuring both the main dimensions of transient  
484 mood, and common dimensions of subjective physical condition; hunger, thirst,  
485 illness and intoxication.

#### 486 *Towards a solution*

487 To address some of these issues, we have developed a theoretically-derived 10 item  
488 questionnaire. Bi-polar items consist of the two main dimensions of arousal (Tired/  
489 Energetic; Restless/ Relaxed), the main emotions (Happy/ Sad; Angry/ Calm;  
490 Anxious/ Composed; Disgusted/ Satisfied), plus items reporting the phenomenology  
491 of physical condition (Hungry/ Full; Thirsty/ Not Thirsty; Intoxicated/ Sober; Ill/  
492 Well). Pain could be added in relevant studies. The questionnaire is readily usable

493 over periods of hours (44-47) and days (130-132). Ratings vary across the circadian  
494 cycle in consistent and expected ways. For example energy is higher in the morning  
495 than the evening. The questionnaire also has content validity and can be completed  
496 quickly without tedium, for example as part of a food and activity diary (130-132).  
497 Unlike the questionnaires derived by factor analysis, this system is not supposed to  
498 have a coherent factor structure and cannot be reduced to two factors, because it was  
499 designed to measure the minimum number of orthogonal ratings in the same number  
500 of questions. It has not yet been validated against established instruments, or by  
501 comparing mood disordered groups with control participants, or by the use of mood  
502 induction procedures.

### 503 **Conclusions**

504 Despite its importance as a reinforcer of ingestion, mood is hard to define and is  
505 inherently subjective. Consequently it is not feasible to produce a definitive procedure  
506 for assessing it. However, it is important to distinguish mood from emotion, and in  
507 nutrition research it is important to be aware of the distinction between protracted and  
508 transient mood, because the problems of assessing the two are quite different, and  
509 because eating and drinking are more likely to affect transient mood. Most mood  
510 research focuses on protracted mood and some of the mood rating systems were  
511 developed for protracted mood assessment, so may not be as suitable for transient  
512 mood assessment. Moreover, unconscious affective and semantic priming can affect  
513 both mood and responses on mood rating systems, making it possible that rated mood  
514 bears little relationship to recent phenomenological experience, and that  
515 phenomenological mood is not the sole or dominant cause of rated mood, which is  
516 also determined by other cognitive factors. Consequently, mood changes may be  
517 more important as *reasons* for food choices than they are as *reinforcers* of food  
518 choices. People may commonly believe that they make choices to affect mood, but  
519 they have less awareness of making them on the basis of other pathways.

520 Transient mood is difficult to measure, so assessment needs to be theoretically  
521 considered, brief and administrable quickly, comprehensive, usable, fit for repetitive  
522 administration, and administrable under conditions where theoretically irrelevant  
523 cognitive factors that can influence mood rating have been considered and controlled.  
524 The assessment method should also be validated for use in this way, not just

525 previously have been used in this way. Despite its popularity, there are reasons to be  
526 cautious about the appropriateness of POMS and other questionnaires derived entirely  
527 by factor analysis of large item pools, and there are a variety of other instruments that  
528 are worth considering. However, using multiple questionnaires is not recommended.

529 The minimum standard is to provide a clear rationale for the choice of instrument,  
530 based on the requirements of the research, to have a theoretically informed approach  
531 to the analysis of mood data, and to be cautious about interpreting 'significant' mood  
532 differences. It is hoped these principles will enhance the sophistication of future work  
533 using mood assessment in human nutrition research, and there is also a need for  
534 further research addressing the question of how and when ingestion affects transient  
535 mood, that could inform the choice, development and use of appropriate measurement  
536 techniques.

537

538

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544 **Authorship**

545 **Richard Hammersley and Marie Reid have jointly developed and written the**  
546 **theoretical ideas in this paper with Richard Hammersley taking the lead on its final**  
547 **form. Stephen Atkin provided further review, editing and critique to ensure that its**  
548 **psychology content was presented appropriately for a nutrition science audience.**

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Table 1

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3

## The mood rating systems most commonly used in ingestion research

	VAMS	POMS	VAS	ADACL
Advantages/ Disadvantages	Simple/ Too simple	Popular/ Too long, not validated for purpose	Validated/ Are factors appropriate?	Theoretically derived/ Not popular
Dimensions	One item: Best to Worst.	Six (POMS 2): Anger/ Hostility; Confusion/ Bewilderment; Depression/ Dejection; Fatigue/ Inertia; Tension/ Anxiety; Vigor/Activity; plus Friendliness.	Two or three: Arousal; Affect; Calmness.	Two: Arousal; Affect.
Number of items	1	72/ 65/ 35	18	50/20
Type of rating	Bipolar Analogue	Unipolar 1-5 rating	Bipolar analogue	Unipolar 0-4 rating
Content Validity?	No	For psychiatric evaluation	Some	Yes
Construct Validity?	Not Applicable	Yes	Yes	Yes
Sensitive to normal mood changes over <24 hours?	Maybe	Not established	Yes	Not established

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