

# Zinc Calixarene Complexes for the Ring Opening Polymerization of Cyclic Esters

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Reaction of  $\text{Zn}(\text{C}_6\text{F}_5)_2 \cdot \text{toluene}$  (two equivalents) with 1,3-dipropoxy-*p*-*tert*-butyl-calix[4]arene ( $\text{L}^1\text{H}_2$ ) led to the isolation of the complex  $[\{\text{Zn}(\text{C}_6\text{F}_5)\}_2\text{L}^1]$  (**1**), whilst similar use of  $\text{Zn}(\text{Me})_2$  resulted in the known complex  $[\{\text{Zn}(\text{Me})\}_2\text{L}^1]$  (**2**). Treatment of  $\text{L}^1\text{H}_2$  with *in-situ* prepared  $\text{Zn}(\text{N}(\text{SiMe}_3)_2)_2$  in refluxing toluene led to the isolation of the compound  $[\text{ZnN}(\text{SiMe}_3)_2\text{L}^1(\text{Na})]$  (**3**). The stepwise reaction of  $\text{L}^1\text{H}_2$  and sodium hydride, followed by  $\text{ZnCl}_2$  and finally  $\text{NaN}(\text{SiMe}_3)_2$  yielded the compound  $[\text{Zn}(\text{N}(\text{SiMe}_3)_2)_2\text{L}^1]$  (**4**). The reaction between three equivalents of  $\text{Zn}(\text{C}_6\text{F}_5)_2 \cdot \text{toluene}$  and oxacalix[3]arene ( $\text{L}^2\text{H}_3$ ) at room temperature formed the compound  $[\{\text{Zn}(\text{C}_6\text{F}_5)\}_3\text{L}^2]$  (**5**); heating of **5** in acetonitrile caused the ring opening of the parent oxacalix[3]arene and rearrangement to afford the complex  $[(\text{L}^2)\text{Zn}_6(\text{C}_6\text{F}_5)(\text{R})(\text{RH})\text{OH} \cdot 5\text{MeCN}]$   $\text{R} = \text{C}_6\text{F}_5\text{CH}_2-(p\text{-}^t\text{BuPhenolate}-\text{CH}_2\text{OCH}_2)_2-p\text{-}^t\text{BuPhenolate}-\text{CH}_2\text{O}^3-$  (**6**). The molecular structures of the new complexes **1**, **3** and **6**, together with that of the known complex **2**, whose solid state structure has not previously been reported, have been determined. Compounds **1**, **3** – **5** have been screened for the ring opening polymerization (ROP) of  $\epsilon$ -caprolactone ( $\epsilon\text{-CL}$ ) and *rac*-lactide. Compounds featuring a  $\text{Zn}-\text{C}_6\text{F}_5$  fragment were found to be poor ROP pre-catalysts as they did not react with benzyl alcohol to form an alkoxide. By contrast, compound **4**, which contains a zinc silylamide linkage, was the most active of the zinc-based calix[4]arene compounds screened and was capable of ROP at ambient temperature with 65 % conversion with 4 h.

## Introduction

A great number zinc-based ring opening polymerization (ROP) catalysts have been explored since the seminal work by Coates and co-workers.<sup>1</sup> The majority of these catalysts employ ligand systems such as diphenolates,<sup>2, 3</sup> or Schiff bases,<sup>4</sup> whilst relatively few calixarene-based catalysts for the ROP of either lactides or lactones have been examined.<sup>5</sup> Generally, ligands that are monoanionic are chosen for reaction with zinc precursors as they will inevitably lead to a metal that still contains a viable nucleophilic group for ROP, which may be the reason that *p*-*tert*-calix[4]arenes have rarely been utilized. Vigalok and co-workers have had success with zinc alkyl-based calix[4]arenes and although the dialkoxycalix[4]arene ligand is dianionic when deprotonated its use leads to a dimetallic complex that can still contain a nucleophilic group.<sup>6</sup> Indeed, in related work, we have accessed a highly selective and immortal magnesium based mononuclear complex  $[\text{L}^3\text{Mg}(n\text{-Bu})]$ , where  $\text{L}^3$  is derived from tripropoxy-*p*-*tert*-butylcalix[4]arene, which exhibited exceptional activity for the ROP of *rac*-lactide.<sup>7</sup> Given zinc compounds are often synthesized due to their higher tolerance of water,<sup>8</sup> we have initiated a programme to more fully explore both the coordination chemistry and catalysis of zinc-based calixarenes. Herein, we explore the use of the calix[4]arene ligand  $\text{L}^1\text{H}_2$  and the oxacalix-

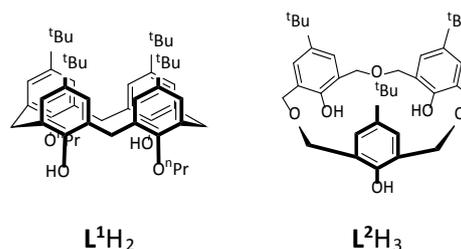


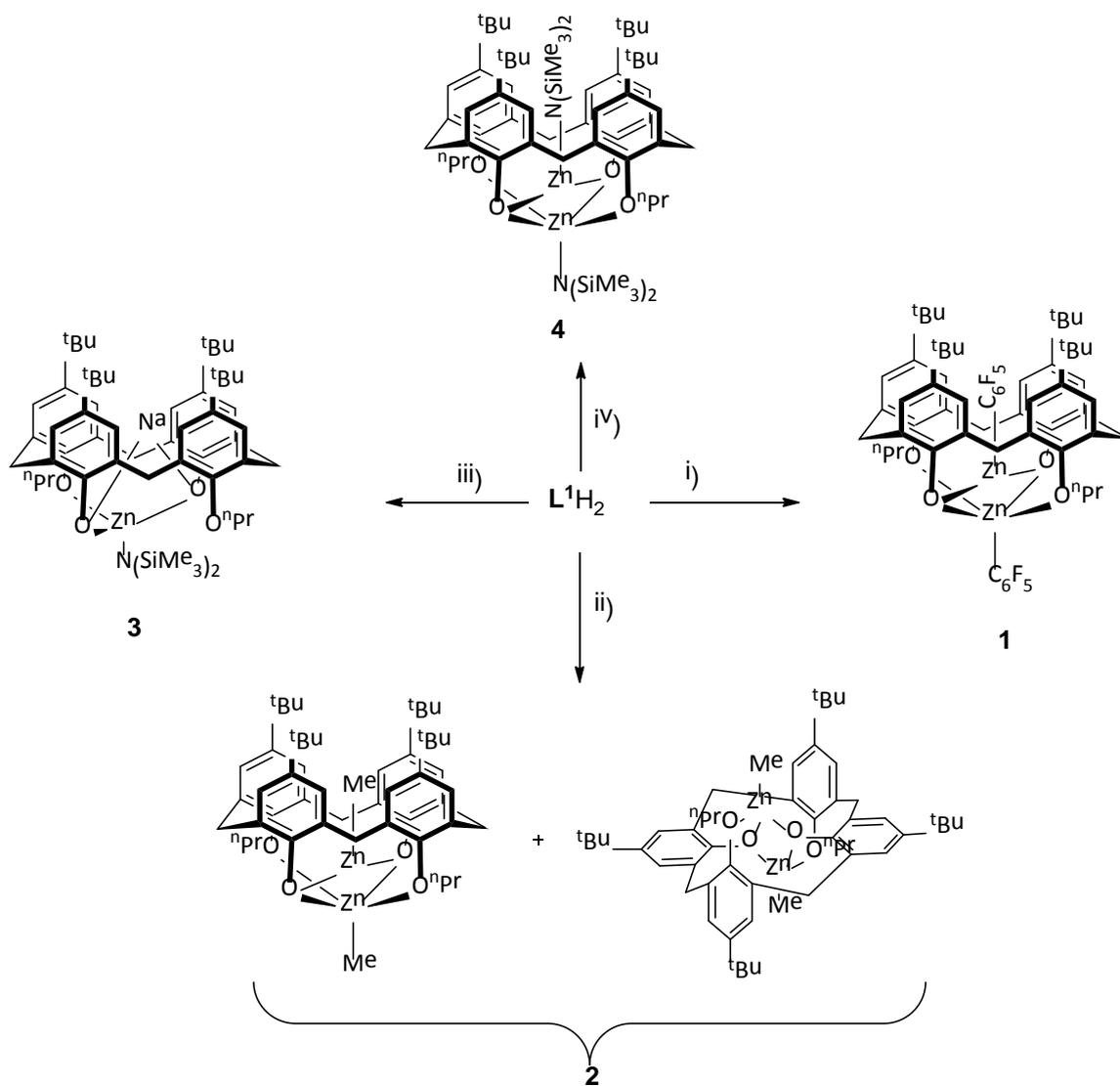
Chart 1. Ligands utilized herein.

[3]arene ligand  $\text{L}^2\text{H}_3$  (see Chart 1).<sup>9</sup> Resulting zinc compounds have been subjected to both  $\epsilon$ -caprolactone and *rac*-lactide ROP studies. The effect of additional chain transfer agents are described, and the tacticity of the resulting polymers are discussed.

## Results and discussion

### Calix[4]arene Complexes

A number of new zinc-containing calix[4]arene complexes have been synthesised and fully characterized. The synthetic procedures are outlined below in Scheme 1.

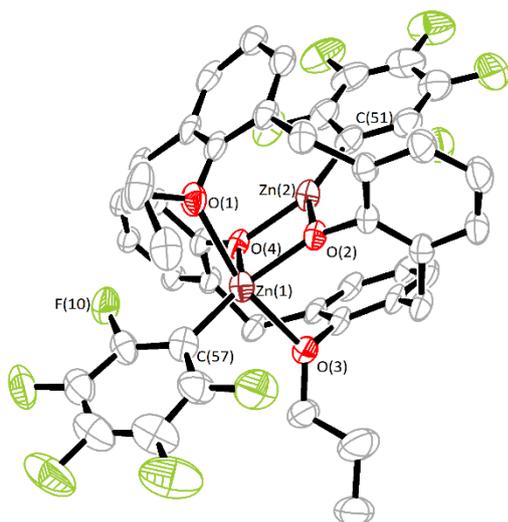


**Scheme 1** Synthesis of zinc compounds 1 – 4. i) 2 Zn(C<sub>6</sub>F<sub>5</sub>)<sub>2</sub>·tol, toluene, reflux, 16 h. ii) 2 ZnMe<sub>2</sub>, toluene, RT, 16 h. iii) 1) 2 NaH, THF, 16 h, room temperature, 2) ZnCl<sub>2</sub>, THF, 2 h, RT, 3) Na(N(SiMe<sub>3</sub>)<sub>2</sub>), THF, 2 h, RT. iv) 2 Zn(N(SiMe<sub>3</sub>)<sub>2</sub>)<sub>2</sub>, toluene, reflux, 72 h.

5 The compound 1,3-dipropoxy-*p*-*tert*-butyl-calix[4]arene (**L**<sup>1</sup>H<sub>2</sub>) was synthesized as previously described.<sup>10,11</sup> Treatment of **L**<sup>1</sup>H<sub>2</sub> with Zn(C<sub>6</sub>F<sub>5</sub>)<sub>2</sub>·toluene (two equivalents) in refluxing toluene led to the isolation of the complex [{Zn(C<sub>6</sub>F<sub>5</sub>)<sub>2</sub>}<sub>2</sub>**L**<sup>1</sup>] (**1**) in good yield (54 %). A related *n*-propoxy calix[4]arene derivative, 10 synthesized via treatment with ZnMe<sub>2</sub>, was previously employed by Vigalok and co-workers, who reported that calix[4]arene derivatives containing smaller alkyl chains (at the lower rim) led to more complex products, including partial and 1,3-alternate cone conformations.<sup>12</sup> In the case of **1**, the cone conformation 15 was isolated exclusively. Crystallization of compound **1** using hot acetonitrile led to the formation of clear blocks on slow cooling to ambient temperature, which proved suitable for single crystal X-ray diffraction studies. Compound **1** crystallises with two different pentafluorophenyl zinc environments, one outside of the calix[4]arene backbone and the other within the cavity. The *exo* zinc metal centre is five co-ordinate in a trigonal bipyramidal

geometry bonding to all four of the calix[4]arene lower-rim oxygens, whereas the encapsulated zinc is trigonal planar and only binds to the ‘non-propoxy’ oxygen atoms. The structure of 25 compound **1** is depicted in Figure 1, with selected bond lengths and angles given in the caption.

Disappointingly, the pre-polymerization screening of compound **1** indicated no reaction between the benzyl alcohol (BnOH) and the Zn—C<sub>6</sub>F<sub>5</sub> moiety, which was also the conclusion obtained by 30 Schnee *et al* and Piedra-Arroni *et al* when Zn(C<sub>6</sub>F<sub>5</sub>)<sub>2</sub>·toluene was employed in the presence of either BnOH or amine/phosphine respectively.<sup>13,14</sup> In such systems, the catalyst was thought to behave as a ‘monomer activator’ rather than proceeding via a ‘co-ordination insertion’ pathway; the lack of 35 activity contrasts with a number of previous Zn—C<sub>6</sub>F<sub>5</sub> containing compounds.<sup>13,14</sup> To ensure that the polymerization would proceed through a ‘co-ordination insertion’ mechanism, the



**Figure 1** ORTEP representation of compound 1. Hydrogen atoms, *tert*-butyl groups and minor disordered components have been removed for clarity. Displacement ellipsoids are drawn at the 50 % probability level.

5 Selected bond lengths (Å) and angles (°): Zn(1)—O(1) 2.346(2), Zn(1)—O(2) 1.968(2), Zn(1)—O(3) 2.312(2), Zn(1)—O(4) 1.964(2), Zn(2)—O(2) 1.956(2), Zn(2)—O(4) 1.931(2), Zn(1)—C(57) 1.948(13), Zn(2)—C(51) 1.944(3), O(4)—Zn(1)—O(2) 79.16(8), O(4)—Zn(2)—O(2) 80.26(8), Zn(2)—O(2)—Zn(1) 99.78(8), Zn(2)—O(4)—Zn(1) 100.80(8).

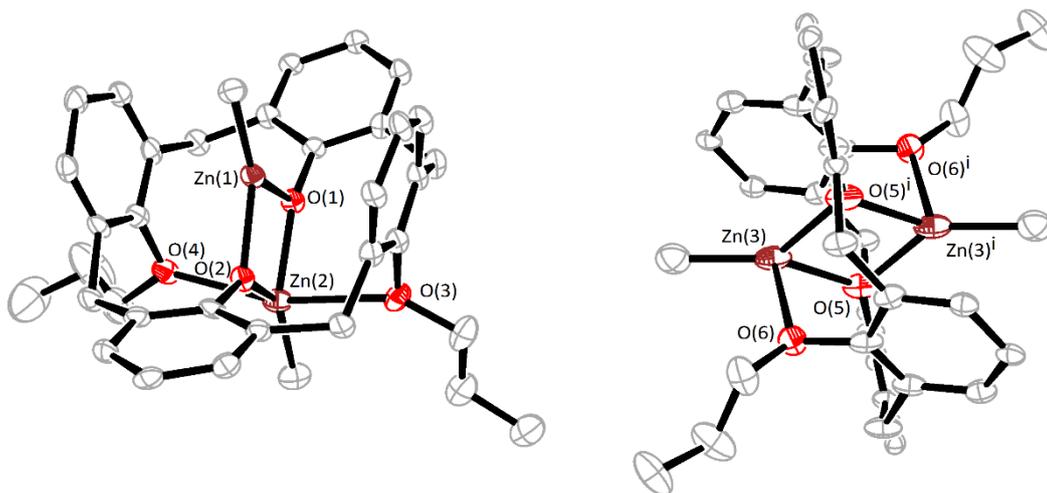
10 pentafluorophenyl moiety was substituted for a more nucleophilic group. To isolate a zinc alkoxide, firstly the methyl zinc derivative (compound 2) was synthesized following the literature procedure.<sup>6</sup> Single crystals of compound 2 suitable for single

crystal X-ray diffraction were grown from a saturated petroleum  
15 ether solution. The structure of 2 was initially assigned based on  
<sup>1</sup>H NMR spectroscopic data and is similar to the ethyl derivative.<sup>6</sup>  
Surprisingly, the crystal structure of 2 (See Figure 2) reveals both  
20 the cone and partial cone conformations within the unit cell  
(although the partial cone is better described as a chair  
25 conformation); the <sup>1</sup>H NMR spectrum (CDCl<sub>3</sub>) indicates that  
only the cone conformation is present in solution, which is  
consistent with the literature data.<sup>6</sup>

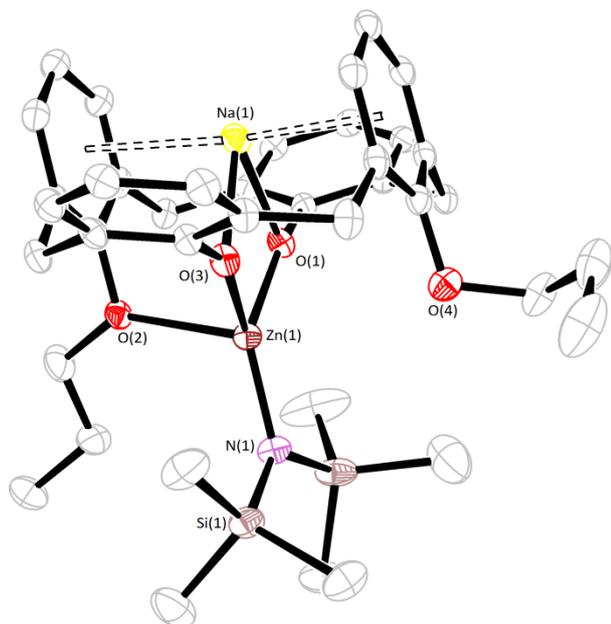
The cone conformation of 2 is similar to that observed in  
compound 1, and again the *exo*-Zn is trigonal bipyramidal,  
30 the *endo*-Zn is trigonal planar. In the chair conformation, there is  
a centre of inversion in the middle of the calix[4]arene. The zinc  
metal centres are in the base of a trigonal pyramid with the *n*-  
propoxy oxygen at the apex.

Treatment of 2 with alcohol (MeOH, <sup>i</sup>PrOH) at -80 °C did not  
35 form the alkoxide; only starting material was detected. At higher  
temperatures, free calix[4]arene was formed, suggesting that the  
alcohol displaced the calix[4]arene; a similar result was reported  
by Drouin *et al.*<sup>15</sup>

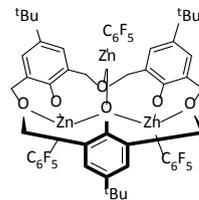
Zinc silylamides have previously been shown to be active for  
40 ROP of *L*-lactide and as such the synthesis of a calix[4]arene zinc  
silylamide was targeted. Treatment of L<sup>1</sup>H<sub>2</sub> with  
Zn(N(SiMe<sub>3</sub>)<sub>2</sub>)<sub>2</sub>, which was synthesized *in situ*, from the sodium  
salt, in refluxing toluene led to the isolation of compound 3.  
Rather than the expected formation of a dizinc silylamide species,  
45 where one Zn—N(SiMe<sub>3</sub>)<sub>2</sub> fragment is present in the cavity,  
compound 3 contains a sodium cation within the cavity. The  
sodium cation likely originates from unreacted sodium  
hexamethyldisilazane.



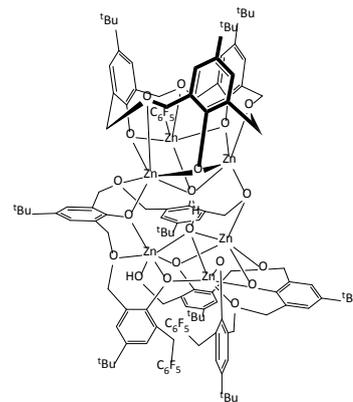
**Figure 2** ORTEP representation of compound 2. Hydrogen atoms, *tert*-butyl groups and minor disorder components have been removed for clarity. Displacement ellipsoids are drawn at the 50 % probability level. Selected bond lengths (Å) and angles (°): Cone: C(51)—Zn(1) 1.942(5), C(52)—Zn(2) 1.955(5), O(1)—Zn(1) 1.972(3), O(1)—Zn(2) 1.978(3), O(2)—Zn(1) 1.970(3), O(2)—Zn(2) 1.984(3), O(4)—Zn(2) 2.360(3), Zn(1)—O(1)—Zn(2) 101.26(14), Zn(1)—O(2)—Zn(2) 101.12(13), O(2)—Zn(1)—O(1) 78.95(13), C(52)—Zn(2)—O(1) 139.96(19), C(52)—Zn(2)—O(2) 141.36(19), O(1)—Zn(2)—O(2) 78.47(13). Partial Cone: C(78)—Zn(3) 1.941(6), O(5)—Zn(3) 1.981(4), O(5)—Zn(3)<sup>i</sup> 1.985(4), O(6)—Zn(3) 2.211(3), Zn(3)—O(5)<sup>i</sup> 1.985(4), Zn(3)—O(5)—Zn(3)<sup>i</sup> 104.49(16), O(5)—Zn(3)—O(5)<sup>i</sup> 75.51(16), O(5)—Zn(3)—O(6) 86.70(13), O(5)<sup>i</sup>—Zn(3)—O(6) 90.36(14).



**Figure 3** ORTEP representation of compound **3**. Hydrogen atoms, *tert*-butyl groups and disorder have been removed for clarity. Displacement ellipsoids are drawn at the 50 % probability level. Selected bond lengths (Å) and angles (°): Zn(1)—N(1) 1.8929(13), Zn(1)—O(1) 1.9297(10), Zn(1)—O(3) 1.9402(10), Zn(1)—O(2) 2.2760(10), N(1)—Zn(1)—O(1) 131.42(5), N(1)—Zn(1)—O(3) 134.32(5), O(1)—Zn(1)—O(3) 88.46(5), N(1)—Zn(1)—O(2) 109.26(5), O(1)—Zn(1)—O(2) 87.08(4), O(3)—Zn(1)—O(2) 91.34(4).



**5**



**6**

**Chart 2.** Oxacalixarene complexes **5** and **6**.

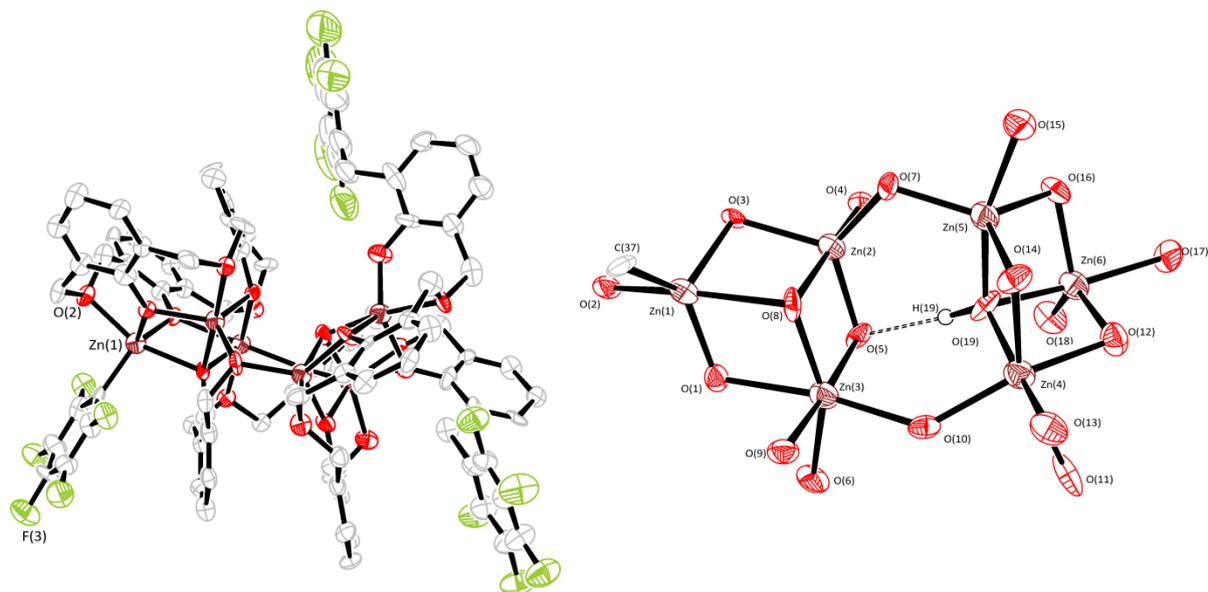
#### 40 Oxacalix[3]arene complexes

For comparison we have prepared the related oxacalixarene complexes. The reaction between three equivalents of  $\text{Zn}(\text{C}_6\text{F}_5)_2$ -toluene and oxacalix[3]arene ( $\text{L}^2\text{H}_3$ ) at room temperature led to the formation of compound **5** after removal of volatiles. However, on attempted crystallisation from hot acetonitrile, ring opening of the parent oxacalix[3]arene and rearrangement to complex **6** was observed. The ability of an electrophilic species to open the ether linkages of the oxacalix backbone is not unprecedented, for example Iglesia and co-workers proposed a similar product from a Ti/SiO<sub>2</sub> grafted oxacalix[3]arene,<sup>17</sup> however this is the first structurally characterised result.

Unfortunately, suitable single crystals of compound **5** could not be obtained. The <sup>1</sup>H NMR spectra are consistent with the complex existing in a partial cone conformation: there are three distinct sets of doublets for each of the methylene bridges and there is a two to one integration for the two discrete *tert*-butyl peaks. The <sup>19</sup>F NMR spectra also show a two to one integration for each of the *ortho*- and *para*-fluorine signals; the *meta*-fluorine signals overlap. Compound **5** has also been characterised by mass spectroscopy and elemental analysis, both of which are consistent with the structure depicted in chart 2.

The structure of the ring opened oxacalix[3]arene compound **6** was determined by single crystal X-ray diffraction, which revealed the presence of three separate oxacalix[3]arene ligands within the molecule, two of which have been ring opened with formation of two carbon—C<sub>6</sub>F<sub>5</sub> bonds and a protonated oxygen which is involved either in hydrogen bonding to an acetonitrile molecule or an oxygen anion that forms two short bonds with two Zn<sup>2+</sup> centres (See Figure 4). The remaining oxacalix[3]arene remains intact. There are six zinc metal centres within the compound, one of which is bound to a C<sub>6</sub>F<sub>5</sub> ring. The core of the molecule consists of two Zn<sub>3</sub>O<sub>4</sub> cubes missing one corner, linked via two O atoms and supported with an O—H...O H-bond (see Table 1). The resulting <sup>1</sup>H NMR spectrum is complex due to lack of symmetry.

Single, rod-like, crystals were obtained on prolonged standing of a petroleum ether solution of **3** at ambient temperature. The crystal structure was determined by X-ray diffraction (Figure 3). The zinc centre is bound to three of the oxygens of the calixarene, the two phenolic oxygens and one *n*-propoxy oxygen. As expected, the dative O—Zn bond length is significantly longer than the other two, *viz* 2.2760(10) *vs.* 1.9297(10) and 1.9402(10) Å; the N—Zn bond is 1.8929(13) Å. The sodium cation occupies the calix[4]arene cavity and is  $\pi$ -bonded to two opposite aryl rings, both  $\eta^6$ . The Na(1) to centroid distances are 2.741 and 2.607 Å. The interaction between the sodium cation and one of the  $\eta^6$ -centroids causes a pinching of the calixarene so that the final OR group is far enough removed that it does not participate in dative bonding to the zinc; the latter is in the base of a trigonal pyramid rather than in the trigonal bipyramidal geometry seen for **1**. The sodium and zinc centres are 3.1725(7) Å apart. The target dizinc silylamide, compound **4**, was synthesized from the reaction between two equivalents of zinc bis(hexamethyldisilyl amide), which has been vigorously separated, and  $\text{L}^1\text{H}_2$  in toluene. Attempts to crystallize the product from THF/light petroleum, acetonitrile and pentane were unsuccessful; the compound was exceptionally soluble in these solvents. The volatiles from the reaction were removed *in vacuo* to give a yellow solid. The <sup>1</sup>H NMR spectrum, elemental analysis and mass spectrum all match the structure as depicted in Scheme 1. The <sup>1</sup>H NMR spectrum is consistent with the calix[4]arene possessing a cone conformation and is similar to the recorded spectrum for **1**.



**Figure 4** ORTEP representation of compound 6 (left) and the core of compound 6 (right). Hydrogen atoms except for those participating in hydrogen bonding in the core of compound 6 (H19), *tert*-butyl groups, solvent molecules and minor disorder components have been removed for clarity. Displacement ellipsoids are drawn at the 50 % probability level. Selected bond lengths (Å): Zn1—O1 1.966(8), Zn1—C37 1.999 (13), Zn1—O3 2.047 (8), Zn1—O2 2.156(8), Zn1—O8 2.241(7), Zn2—O7 1.942 (8), Zn2—O4 2.014 (8), Zn2—O3 2.040 (7), Zn2—O5 2.043 (9), Zn2—O8 2.101(8), Zn3—O8 2.019 (9), Zn3—O6 2.047 (8), Zn3—O10 2.099(8), Zn3—O5 2.105 (8), Zn3—O9 2.147(8), Zn3—O1 2.270 (7), Zn4—O19 2.029(8), Zn4—O13 2.051(10), Zn4—O10 2.065(8), Zn4—O12 2.071 (8), Zn4—O14 2.120 (9), Zn5—O7 1.941 (7), Zn5—O14 1.968 (8), Zn5—O16 2.027(8), Zn5—O19 2.042 (8), Zn5—O15 2.154 (9), Zn6—O18 1.895 (8), Zn6—O12 2.015(9), Zn6—O16 2.021(8), Zn6—O19 2.025 (8), Zn6—O17 2.132(8).

with nine separate *tert*-butyl signals. The  $^{19}\text{F}$  NMR spectrum consists of nine peaks in total for the three  $\text{C}_6\text{F}_5$  fragments.

**Table 1.** Hydrogen-bond geometry (Å, °) for **6**

D—H...A	D—H	H...A	D...A	D—H...A
O19—H19...O5	1.00	1.84	2.786 (11)	157

## 15 Polymerization Screening

Compounds 1, 3 – 5 were screened for the polymerization of  $\epsilon$ -caprolactone ( $\epsilon$ -CL) and *rac*-lactide. The results are presented in Table 1.

Compound 1 was screened for the polymerization of  $\epsilon$ -caprolactone at room temperature and was found to be inactive when using dichloromethane, tetrahydrofuran or toluene as solvent (Table 2, runs 1 – 3). Only at temperatures greater than 80 °C was compound 1 found to be active for the ROP of  $\epsilon$ -caprolactone; attempting polymerization without benzyl alcohol present was detrimental to the catalytic system (Table 2, runs 5 – 7). Furthermore, compound 1 was only active for the ROP of *rac*-lactide at high temperature. In both cases ( $\epsilon$ -caprolactone and *rac*-lactide) high conversion rates can be achieved at high temperature, however the resulting polymer molecular weight is much lower than expected; this indicates that there are significant *trans*-esterification reactions occurring at such temperatures. Screening of compound 4, where the  $\text{C}_6\text{F}_5$  groups have been replaced with  $\text{N}(\text{SiMe}_3)_2$ , revealed that the system was active at room temperature and converted 100 equivalents of  $\epsilon$ -caprolactone with 65 % completion over 4 h in toluene (Table 2, run 13). The polymer molecular weights were close to the expected values; lower activity was observed using THF. This compares favourably with the ROP activity (43 % over 24 h at 60

°C) observed for the hexanuclear complex  $[\text{L}^2(\text{ZnEt})_4\text{Zn}_2(\text{CH}_3\text{CN})_4(\mu\text{-OEt})_2]^{9a}$ .

Compound 3, which differs from compound 4 by replacement of the  $\text{Zn}-\text{N}(\text{SiMe}_3)_2$  in the calix[4]arene cavity with a sodium cation, was not active under the same conditions as for 4. Compound 5 was only active for the ROP of *rac*-lactide and  $\epsilon$ -caprolactone at high temperatures (100 °C) and gave  $\epsilon$ -caprolactone molecular weight much lower than expected. The polymerization using 5 was further complicated due to the probability of forming a species similar to compound 6; the latter was not screened for polymerization. Interestingly, despite the aforementioned *trans*-esterification at high temperatures, all of the zinc compounds screened afforded products with low PDI values (1.06 – 1.48). We also note that for the  $[\text{L}^2(\text{ZnEt})_4\text{Zn}_2(\text{CH}_3\text{CN})_4(\mu\text{-OEt})_2]^{9a}$  the use of low co-catalyst loadings resulted in molecular weights far lower than the calculated values, indicating the importance of back biting reactions. Similarly for  $[\text{L}^2(\text{ZnEt})_4\text{Zn}_2(\text{CH}_3\text{CN})_4(\mu\text{-OEt})_2]^{9a}$ , the polydispersity ( $\leq 1.3$ ) was not hampered by such back biting.

## Experimental

All manipulations were carried out under an atmosphere of nitrogen using standard Schlenk and cannula techniques or in a conventional nitrogen-filled glove-box. Solvents were refluxed over an appropriate drying agent, and distilled and degassed prior to use. Elemental analyses were performed by the microanalytical services at London Metropolitan University. NMR spectra were recorded on Bruker Ascend 500/300 MHz spectrometers at 298 K; chemical shifts are referenced to the residual protio impurity of the deuterated solvent. IR spectra (Nujol mulls) were recorded

on Perkin-Elmer 577 and 457 grating spectrophotometers.  $L^1H_2$  and  $L^2H_3$  were synthesized by the reported procedures.<sup>10,18</sup> *rac*-Lactide was purchased from Sigma Aldrich and used without further purification. GPC analysis was performed on a Polymer

Laboratories, PL-GPC 50 using THF at 0.5 mL/min flow rate and 30 °C, corrected by the Mark-Houwink factor (0.58).

**Table 2** ROP of  $\epsilon$ -caprolactone/*rac*-lactide using zinc compounds 1, 3 – 5.

Run	Pre- Cat	Solvent	Monomer	T (°C)	M : BnOH	Time (h)	Conv <sup>a</sup> (%)	$M_{n,GPC}$	$M_{n,Cal}$	PDI
1	1	Toluene	$\epsilon$ -caprolactone	20	25 : 1	24	-			
2	1	THF	$\epsilon$ -caprolactone	20	25 : 1	24	-			
3	1	CH <sub>2</sub> Cl <sub>2</sub>	$\epsilon$ -caprolactone	20	25 : 1	24	-			
4	1	Toluene	$\epsilon$ -caprolactone	60	25 : 1	24	-			
5	1	Toluene	$\epsilon$ -caprolactone	80	25 : 1	3	96			
6	1	Toluene	$\epsilon$ -caprolactone	100	25 : 0	2	95			
7	1	Toluene	$\epsilon$ -caprolactone	100	25 : 1	1	98			
8	1	Toluene	$\epsilon$ -caprolactone	100	100 : 1	3	85	4,760	9,700	1.06
9	1	Toluene	$\epsilon$ -caprolactone	100	200 : 1	4	90	7,600	20,500	1.48
10	3	THF	$\epsilon$ -caprolactone	20	100 : 1	24	21			
11	3	Toluene	$\epsilon$ -caprolactone	20	100 : 1	24	21			
12	4	THF	$\epsilon$ -caprolactone	20	100 : 1	4	27			
13	4	Toluene	$\epsilon$ -caprolactone	20	100 : 1	4	65	11,900	7,920	1.27
14	4	Toluene	$\epsilon$ -caprolactone	20	100 : 2	4	49	4,740	2,800	1.27
15	4	Toluene	$\epsilon$ -caprolactone	20	200 : 4	4	47	4,500	2,680	1.18
16	5	Toluene	$\epsilon$ -caprolactone	20	100 : 1	24	-			
17	5	Toluene	$\epsilon$ -caprolactone	40	100 : 1	24	-			
18	5	Toluene	$\epsilon$ -caprolactone	80	100 : 1	2	77	2,800	8,800	1.07
19	5	Toluene	$\epsilon$ -caprolactone	100	100 : 1	1	95	2,970	10,800	1.11
20	1	Toluene	<i>rac</i> -lactide	100	100 : 1	3	90 (P <sub>r</sub> = 0.62)	1,440	13,000	1.26
21	4	Toluene	<i>rac</i> -lactide	20	100 : 1	5	64 (P <sub>r</sub> = 0.54)	8,970	9,220	1.13
22	5	Toluene	<i>rac</i> -lactide	100	100 : 1	3	52			

**Conditions:** Polymerisation carried out using 60  $\mu$ mol catalyst at 20 °C, [Monomer]<sub>0</sub> = 0.6 M, 10 mL solvent, ROH taken from a ROH/toluene solution.<sup>a</sup>

<sup>b</sup> Determined by NMR spectroscopy, <sup>c</sup> Calculated from ([Monomer]<sub>0</sub>/[OH]<sub>0</sub>) x conv.(%) x Monomer molecular weight + ROH.  $M_n$  GPC values corrected considering Mark-Houwink factors (0.58 polylactide/0.56 poly( $\epsilon$ -caprolactone)) from polystyrene standards in THF.<sup>19,20</sup>

### Synthesis of $L^1(ZnC_6F_5)_2$ (1)

1,3-dipropoxy-*p-tert*-butylcalix[4]arene (0.75 g, 1.0 mmol) and bis(pentafluorophenyl)zinc.toluene (0.98 g, 2.0 mmol) were dissolved in toluene (30 ml) and refluxed for 16 h. The volatiles were removed *in vacuo*. The residue was extracted into warm acetonitrile and after 24 h clear blocks of **1** formed. (0.65 g, 54 %). MS (EI, m/z) 1196 [M]<sup>+</sup>, 1181 [M-Me<sup>+</sup>]. Found: C, 62.06; H, 5.42. C<sub>62</sub>H<sub>66</sub>F<sub>10</sub>O<sub>4</sub>Zn<sub>2</sub> requires C, 62.27; H, 5.56 %. IR (ATR, cm<sup>-1</sup>): 2953m, 1738m, 1632w, 1505m, 1457s, 1363m, 1256m, 1203m, 1097w, 1074m, 1056m, 986m, 953s, 917w, 831w, 755m, 721w, 526m. <sup>1</sup>H NMR (CDCl<sub>3</sub>): 7.13 (s, 4H, Ar-H), 6.83 (s, 4H, Ar-H), 4.43 (d, 4H, J = 17.5, *endo-CH*<sub>2</sub>), 3.82 (t, 4H, J = 10.0 Hz, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3.39 (d, 4H, J = 17.5, *exo-CH*<sub>2</sub>) 1.54 (m, 4H, CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1.39 (s, 18H, C(CH<sub>3</sub>)<sub>3</sub>), 0.68 (m, 24H, C(CH<sub>3</sub>)<sub>3</sub> + CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>). <sup>19</sup>F (CDCl<sub>3</sub>): -113.9 (m, 2F, *o*-ArF), -114.0 (m, 2F, *o*-ArF) -154.8 (t, 1F, J = 19.3, *p*-ArF), -158.0 (t, 1F, J = 19.3, *p*-ArF), -160.5 (m, 2F, *m*-ArF), -164.4 (m, 2F, *m*-ArF).

### Synthesis of $L^1[NaZn(SiMe_3)_2]$ (3)

1,3-dipropoxy-*p-tert*-butylcalix[4]arene (2.00 g, 2.73 mmol) and sodium hydride (140 mg, 5.83 mmol) were dissolved in THF (30 ml). The solution was stirred for 1 h and then ZnCl<sub>2</sub> (0.37 g, 2.73 mmol) was added as a THF solution (15 ml). The solution was stirred for a further 1 h, NaN(SiMe<sub>3</sub>)<sub>2</sub> (2.73 ml, 1 M solution in THF) was then added and after 1 h, the volatiles were removed *in vacuo*. The residue was extracted in petroleum ether and on standing (2 h) clear rods of compound **3** formed. (1.32 g, 50 %). MS (EI, m/z): 977 [M]<sup>+</sup>. Found: C, 68.44; H 8.72; N, 1.49. C<sub>56</sub>H<sub>84</sub>NNaO<sub>4</sub>Si<sub>2</sub>Zn requires C, 68.65; H, 8.64; N, 1.43 %. IR (ATR, cm<sup>-1</sup>): 2954s, 2903m, 2870m, 1453s, 1350m, 1301m,

1249m, 1194m, 1097w, 995m, 930s, 872m, 839s, 752m. <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  = 7.13 (s, 4H, Ar-H), 6.70 (s, 2H, Ar-H), 6.67 (s, 2H, Ar-H), 4.38 (d, 2H, J = 13.1, *endo-CH*<sub>2</sub>), 4.32 (d, 2H, J = 12.9, *endo-CH*<sub>2</sub>), 4.26 (t, 2H, J = 8.02, OCH<sub>2</sub>), 3.77 (t, 2H, J = 7.80, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3.18 (d, 2H, J = 13.1, *exo-CH*<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3.13 (d, 4H, J = 12.7, *exo-CH*<sub>2</sub>), 1.99 (m, 2H, J = 7.68, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1.46 (m, 2H, J = 7.62, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1.39 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 1.37 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 0.97 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 0.94 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 0.91 (t, 3H, J = 7.00, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 0.52 (t, 3H, J = 7.28, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 0.11 (s, 18H, N(SiMe<sub>3</sub>)<sub>2</sub>). <sup>13</sup>C NMR (CDCl<sub>3</sub>):  $\delta$  = 162.5, 157.3, 154.0, 153.5, 136.9, 136.0, 132.1, 131.6, 125.5, 125.4, 123.3, 122.2, 78.1, 77.5, 34.2, 34.0, 33.9, 33.7, 33.7, 32.4, 32.1, 31.4, 31.3, 23.0, 22.5, 10.1, 9.5, 5.5, 2.7.

### Synthesis of $L^1[ZnN(SiMe_3)_2]$ (4)

1,3-dipropoxy-*p-tert*-butylcalix[4]arene (2.00 g, 2.73 mmol) was dissolved in toluene (30 ml) and zinc bis(bis(trimethylsilyl)amide) (2.20 ml, 5.46 mmol) was added. The solution was heated at reflux for 72 h. The volatiles were removed *in vacuo* and the residue extracted with pentane. The pentane solution was concentrated to 15 ml and left to stand overnight resulting in a yellow microcrystalline solid **4**. (1.39 g, 43 %) MS (EI, m/z) 1022 [M-ZnN(TMS)<sub>2</sub>]<sup>+</sup>. Found: C, 63.12; H 8.68; N, 2.22. C<sub>62</sub>H<sub>102</sub>N<sub>2</sub>NaO<sub>4</sub>Si<sub>2</sub>Zn requires C, 62.97; H, 8.69; N, 2.37 %. IR (ATR, cm<sup>-1</sup>): 2955s, 2905m, 2869m, 1478s, 1390m, 1361m, 1303m, 1250m, 1194s, 1124w, 1096w, 995m, 966s, 931s, 870s, 827s, 799m, 754m. <sup>1</sup>H NMR (CDCl<sub>3</sub>):  $\delta$  = 7.03 (s, 4H, Ar-H), 6.76 (s, 4H, Ar-H), 4.50 (d, 4H, J = 12.1, *endo-CH*<sub>2</sub>), 4.06 (t, 4H, J = 7.46, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 3.16 (d, 4H, J = 12.1, *exo-CH*<sub>2</sub>), 1.91 (m, 4H, J = 7.47, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 1.28 (s,

18H, C(CH<sub>3</sub>)<sub>3</sub>), 1.03 (t, 6H, *J* = 7.45, OCH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>), 0.90 (s, 18H, C(CH<sub>3</sub>)<sub>3</sub>), 0.11 (overlapping s, 36H, N(SiMe<sub>3</sub>)<sub>2</sub>). <sup>13</sup>C NMR

(CDCl<sub>3</sub>): δ = 156.3, 148.4, 145.1, 138.0, 131.2, 131.1, 124.8, 123.3, 79.2, 32.8, 32.6, 30.9, 30.1, 29.9, 19.7, 8.2, 3.9.

**Table 3** Crystallographic data for compounds **1**, **2**, **3** and **6**.

Compound	1	2	3	6
Formula	C <sub>62</sub> H <sub>66</sub> F <sub>10</sub> O <sub>4</sub> Zn <sub>2</sub>	C <sub>52</sub> H <sub>72</sub> O <sub>4</sub> Zn <sub>2</sub>	C <sub>56</sub> H <sub>84</sub> NNaO <sub>4</sub> Si <sub>2</sub> Zn	C <sub>126</sub> H <sub>137</sub> F <sub>15</sub> O <sub>19</sub> Zn <sub>6</sub> ·5CH <sub>3</sub> CN
Formula weight	1195.89	891.89	979.80	2837.84
Crystal system	Triclinic	Triclinic	Triclinic	Triclinic
Space group	<i>P</i> $\bar{1}$	<i>P</i> $\bar{1}$	<i>P</i> $\bar{1}$	<i>P</i> $\bar{1}$
Unit cell dimensions				
<i>a</i> (Å)	10.935(3)	12.4407(9)	10.0724(7)	16.9733(14)
<i>b</i> (Å)	15.653(4)	13.4833(9)	12.3152(9)	20.4715(17)
<i>c</i> (Å)	18.150(5)	24.1096(17)	22.8866(16)	21.2759(17)
<i>α</i> (°)	95.911(4)	90.508(5)	81.897(3)	91.246(6)
<i>β</i> (°)	105.236(3)	100.609(6)	88.440(3)	109.931(8)
<i>γ</i> (°)	105.822(4)	113.943(7)	89.093(3)	102.477(7)
<i>V</i> (Å <sup>3</sup> )	2832.4(13)	3617.2(5)	2809.3(3)	6749.0(10)
<i>Z</i>	2	3	2	2
Temperature (K)	120(2)	100(2)	100(2)	100(2)
<i>D</i> <sub>calcd</sub> (Mg/m <sup>3</sup> )	1.402	1.228	1.158	1.396
Absorption coefficient, <i>μ</i> (mm <sup>-1</sup> )	0.869	1.036	0.530	1.135
Crystal size (mm <sup>3</sup> )	0.18 x 0.05 x 0.02	0.050 x 0.040 x 0.020	0.18 x 0.13 x 0.05	0.11 x 0.02 x 0.01
2 $\theta$ <sub>max</sub> (°)	26.0	27.5	27.5	22.5
Reflections measured	24992	42558	48002	60159
Unique reflections, <i>R</i> <sub>int</sub>	11859, 0.042	16159, 0.075	12822, 0.039	17582, 0.340
Reflections with <i>F</i> <sup>2</sup> > 2σ( <i>F</i> <sup>2</sup> )	7445	11427	12053	5403
Transmission factors (max., min.)	0.983 and 0.859	1.000 and 0.757	1.000 and 0.747	0.994 and 0.885
Number of parameters	888	808	603	1766
<i>R</i> <sub>1</sub> [ <i>F</i> <sup>2</sup> > 2σ( <i>F</i> <sup>2</sup> )]	0.046	0.079	0.041	0.086
w <i>R</i> <sub>2</sub> (all data)	0.118	0.228	0.116	0.142
GOOF, <i>S</i>	0.989	1.041	1.055	0.807
Largest difference peak and hole (e Å <sup>-3</sup> )	0.387 and -0.337	2.818 and -1.586	0.901 and -0.806	0.505 and -0.448

### Synthesis of L<sup>2</sup>(ZnC<sub>6</sub>F<sub>5</sub>)<sub>3</sub> (**5**)

A toluene solution (30 ml) of *p*-tert-butylhexahomotrioxacalix[3]arene (0.50 g, 0.87 mmol) and bis(pentafluorophenyl)zinc.toluene (1.27 g, 2.60 mmol) was stirred at ambient temperature for 12 h. The volatiles removed *in vacuo*. The residue was extracted into warm light petroleum and compound **5** immediately formed as a white powder. (0.91 g, 79 %). MS (EI, *m/z*): 1270.2 [M]<sup>+</sup>. IR (Nujol, KBr, cm<sup>-1</sup>): 1634m, 1608m, 1588w, 1532w, 1504s, 1394m, 1304m, 1261s, 1215s, 1052s, 1023s, 974s, 954s, 925m, 915m, 878s, 828m, 799s, 771m, 751m, 659w, 598m, 590m, 534m, 498w, 455m. Found: C, 51.12; H 3.63 %. C<sub>62</sub>H<sub>66</sub>F<sub>10</sub>O<sub>4</sub>Zn<sub>2</sub> requires C, 51.03; H, 3.57 %. <sup>1</sup>H NMR (CDCl<sub>3</sub>): δ = 7.20 (s, 2H, Ar-*H*), 7.16, 7.13 (ABq, 4H, *J* = 1.91 Hz, Ar-*H*) 5.54 (d, 2H, *J* = 13.6, Ar-*H*), 5.54 (d, 2H, *J* = 13.6, *endo*-CH<sub>2</sub>), 5.40 (d, 2H, *J* = 10.4, *endo*-CH<sub>2</sub>), 5.26 (d, 2H, *J* = 10.6, *endo*-CH<sub>2</sub>), 4.82 (d, 2H, *J* = 10.4, *exo*-CH<sub>2</sub>), 4.71 (d, 2H, *J* = 10.6, *exo*-CH<sub>2</sub>), 4.51 (d, 2H, *J* = 13.6, *exo*-CH<sub>2</sub>), 1.25 (s, 18H, C(CH<sub>3</sub>)<sub>3</sub>), 1.17 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>). <sup>19</sup>F (CDCl<sub>3</sub>): -115.8 (m, 4F, ArF), -116.2 (m, 2F, ArF) -155.5 (t, 2F, *J* = 25.0, ArF), -155.6 (t, 1F, *J* = 25.0, ArF), -161.5 – 162.0 (m, 6F, ArF).

### Synthesis of (L<sup>2</sup>)Zn<sub>6</sub>(C<sub>6</sub>F<sub>5</sub>)<sub>3</sub>(R)(RH)OH·5MeCN (**6**) R = C<sub>6</sub>F<sub>5</sub>CH<sub>2</sub>-(*p*-<sup>1</sup>BuPhenolate-CH<sub>2</sub>OCH<sub>2</sub>)<sub>2</sub>-*p*-<sup>1</sup>BuPhenolate-CH<sub>2</sub>O)<sup>3-</sup>

Compound **5** (1.0 g, 0.79 mmol) was dissolved in acetonitrile (30 ml) and heated at reflux for 1 h. Clear blades of compound **6** formed on cooling to room temperature. (0.11 g, 5.3 % yield). Found: C, 56.26; H 4.72. C<sub>126</sub>H<sub>137</sub>F<sub>15</sub>O<sub>19</sub>Zn<sub>6</sub> requires C, 57.53;

H, 4.67 %. <sup>1</sup>H NMR (CDCl<sub>3</sub>): 7.24 (s, 2H, Ar-*H*), 7.18-7.14 (m, 1H, Ar-*H*), 7.11-7.01 (m, 3H, Ar-*H*), 6.93 (d, 1H, *J* = 2.5, Ar-*H*), 6.88 (d, 1H, *J* = 2.5, Ar-*H*), 6.86-6.85 (m, 1H, Ar-*H*), 6.77 (d, 1H, *J* = 2.45, Ar-*H*) 6.74 (d, 1H, *J* = 2.25, Ar-*H*), 6.70 (d, 1H, *J* = 2.35, Ar-*H*), 6.63 (br t, 2H), 6.58 (d, 1H, *J* = 2.32) 6.56 (d, 1H, *J* = 2.32) 6.10-6.02 (m, 2H), 5.94-5.88 (m, 2H), 5.86-5.83 (m, 2H), 5.73-5.67 (m, 2H), 5.58-5.55 (m, 2H), 5.31 (d, 1H, *J* = 9.21, *endo*-CH<sub>2</sub>), 4.97 (d, 1H, *J* = 13.7, *endo*-CH<sub>2</sub>), 4.90 (d, 1H, *J* = 10.85), 4.85 (d, 1H, *J* = 13.7), 4.79 (d, 1H, *J* = 11.0), 4.64-4.52 (m, 2H), 4.48 (d, 2H, *J* = 13.6), 4.19 (d, 2H, *J* = 13.6), 4.15 (d, 1H, *J* = 9.45), 4.10 (d, 1H, *J* = 9.10), 4.07-3.96 (m, 4H), 3.88-3.75 (m, 5H), 3.70 (d, 1H, *J* = 13.9), 3.63 (m, 2H), 3.55 (d, 1H, *J* = 10.8), 3.07 (d, 1H, *J* = 17.3), 2.80 (d, 1H, *J* = 14.5), 2.35 (s, 2H), 2.00 (s, 6H, MeCN), 1.36 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 1.29 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 1.28 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 1.23 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 1.14 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 1.10 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>) 0.98 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>) 0.82 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>) 0.62 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>). <sup>19</sup>F NMR (CDCl<sub>3</sub>): -114.8 (m, 2F), -141.8 (m, 2F), -142.3 (m, 2F), -158.3 (t, 1F, *J* = 21.3), -158.8 (t, 1F, *J* = 19.7), -160.14 (t, 1F, *J* = 20.9), -163.2 (m, 2F), -163.6 (m, 2F), -164.2 (m, 2F).

### Polymerization methods

#### ε-Caprolactone

A Schlenk flask (250 ml) was charged with the required quantity of pre-catalyst in a glove box. The required amount of dry, degassed toluene and alcohol (from an alcohol/toluene solution) was added. The solution was heated to the required temperature. The polymerization was initiated by addition of the ε-

caprolactone and was stirred for the allotted time. Conversion of monomer was determined by  $^1\text{H}$  NMR spectroscopy, and the polymerization was quenched by addition of methanol

### 5 *rac*-Lactide

Solutions of *rac*-lactide and catalyst were prepared separately using the required solvent. The required amount of alcohol, from a standard alcohol solution in toluene, was added to the catalyst. The *rac*-lactide solution was added to the catalyst solution and stirred for the allotted time at room temperature under nitrogen. 0.5 – 1.0 mL aliquots were taken out of the stirred solution where required and quenched with 1 drop of 0.1 M HCl. The aliquots were then dried and analysed by  $^1\text{H}$  NMR spectroscopy and GPC.

### 15 Crystallography

Intensity data were collected on Bruker Apex 2 CCD diffractometer (**1**) or a Rigaku FR-E+ diffractometer (all others). For **1**, data were measured using synchrotron radiation at SRS Daresbury station 9.8; all other data were measured with monochromated Mo-K $\alpha$  radiation. Structures were determined by the direct methods routines in SHELXS-97 (**1**, **6**)<sup>21</sup> or SIR-92 (**2**, **3**),<sup>22</sup> and were refined by full-matrix least-squares methods on  $F^2$  in SHELXL-2013/2014.<sup>21</sup> Non-hydrogen atoms were refined with anisotropic displacement parameters. Hydrogen atoms were included in idealized positions and their  $U_{\text{iso}}$  values were set to ride on the  $U_{\text{eq}}$  values of the parent carbon atoms except for H(13) in **6** for which coordinates were refined with an O–H distance restraint. Complex **2** contained a disordered solvent region which was handled using the BYPASS procedure.<sup>23</sup>

Crystal data and refinement results for all samples are collated in Table **3**. CCDC 1014114-1014117 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via [www.ccdc.cam.ac.uk/data\\_request/cif](http://www.ccdc.cam.ac.uk/data_request/cif)

### 35 Conclusion

In conclusion, we have structurally characterized a number of new zinc complexes bearing ligands derived from either 1,3-dipropoxy-*p*-*tert*-butyl-calix[4]arene or *p*-*tert*-butylhexahomotrioxacalix[3]arene. These include a complex in which there are two different calixarene conformations in the same structure, and an unusual structure bearing an oxacalix[3]arene derived ligand as well as two ring-opened ligands derived from the parent oxacalix[3]arene. Screening for the potential to ROP either  $\epsilon$ -caprolactone ( $\epsilon$ -CL) and *rac*-lactide revealed that the presence of a Zn-C<sub>6</sub>F<sub>5</sub> motif was detrimental in the calix[4]arene systems, whilst use of the amide group N(SiMe<sub>3</sub>)<sub>2</sub> proved to be more effective, with a 65 % conversion over 4 h at ambient temperature.

### 50 Notes and references

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