

**CLINICAL BIOMECHANICS – BIOMECHANICAL DEMANDS DIFFERENTIATE
TRANSITIONING vs. CONTINUOUS ASCENT GAIT IN OLDER WOMEN**

Lisa Alcock^{1,2}, Thomas D. O'Brien^{3,4}, & Natalie Vanicek^{2,5}

¹ Institute of Neuroscience, Newcastle University Institute for Ageing, Newcastle University, UK

² Department of Sport, Health and Exercise Science, University of Hull, UK

³ Research Institute for Sport and Exercise Sciences, Liverpool John Moores University, UK

⁴ School of Sport, Health and Exercise Science, Bangor University, UK

⁵ Discipline of Exercise and Sport Science, Faculty of Health Sciences, University of Sydney, AUSTRALIA

ABSTRACT

Background- The mechanics of stair ascent have been shown to change with age, but little is known about the differing functional demands of transitioning and continuous ascent. Equally, there is a lack of work investigating the important and risky transition from level gait to ascent, and the strategies older adults adopt to achieve these demanding tasks have not been investigated.

Methods- This study compared the biomechanics of a 2-step transitional (floor-to-step2) and continuous ascent cycle (step1-to-step3) and investigated the role of limb preference in relation to dynamometer-derived knee strength during the transition linking level and ascent gait. A biomechanical analysis of 36 women (60-83 years) ascending a 3-step custom-built staircase was conducted.

Findings- The 2-step transitioning cycle was completed quicker, with larger joint range of motion, increased ground reaction forces, larger knee flexor and dorsiflexor moments and ankle powers ($p \leq 0.05$), but reduced peak hip and knee flexion, smaller hip extensor moments and hip and knee powers compared to continuous ascent. During the transition, 44% of participants demonstrated a consistent limb preference. In these cases large

between-limb knee extensor strength differences existed (13.8%) and >71% of these participants utilised the stronger limb to execute the 2-step transitional cycle.

Interpretation- The preferential stronger-limb 2-step transitioning strategy conflicts with previous recommendations of leading with the stronger limb for very frail/asymmetric populations during ascent. Instead, our findings suggest that most healthy older women with a large between-limb strength difference utilise the stronger limb to achieve the high levels of propulsion required to redirect momentum during the 2-step transition from gait into ascent. The biomechanical demands observed during ascent, in relation to limb strength, can inform exercise programmes by targeting specific muscle groups helping older adults improve or maintain general functioning.

INTRODUCTION

Stair ascent (STA) is a complex task placing greater demands on the lower limbs with larger joint range of motion (ROM) and joint kinetics compared with level gait (Andriacchi et al., 1980). Substantial postural control is required, while primarily concentric muscular contractions displace the centre of mass horizontally and vertically (McFadyen and Winter, 1988). The heightened demands of STA are amplified further in conjunction with diminishing musculoskeletal capacity during older age (Faulkner et al., 2007; Kang and Dingwell, 2008). Consequently, STA presents a considerable falls risk for older adults (Wyatt et al., 1999) who may adopt altered movement patterns during this challenging task (Hamel and Cavanagh, 2004).

Age-related differences in STA mechanics such as reduced locomotor speeds and increased double-limb support (Benedetti et al., 2007; Stacoff et al., 2005) may be indicative

of efforts aimed at improving locomotor safety and stability. Equally, these changes may compensate for declining musculoskeletal function, evidenced by reduced initial peak vertical and anterior-posterior forces, and increased vertical forces in mid-stance in older adults (Bertuccio and Cesari, 2009; Hamel et al., 2005). In response to diminishing strength, older adults displace the mechanical demands proximally, and rely on the musculature of the knee rather than ankle to generate the required propulsion during continuous STA (Reeves et al., 2009). Although some of the changes allow older adults to meet the high demands of STA, these adjustments may inadvertently contribute to an increased falls risk.

When approaching the staircase, the lower limbs conform to a typical gait pattern. As STA is initiated, and using a reciprocal stepping pattern, a 1-step gait cycle between the ground and the first step is completed (by what is sometimes referred to as the leading limb). This is followed by a 2-step gait cycle between the ground and the second step (by what is sometimes referred to as the trailing limb), which completes the transition between level gait and STA (see Figure 1). Successive, continuous 2-step STA gait cycles, which are initiated and terminated on the staircase, are then performed until the top of the staircase is reached. Finally, at the top of the staircase a 1-step gait cycle completes the final transition between the staircase and first floor level.

The mechanics of continuous STA have frequently been described (McFadyen and Winter, 1988; Nadeau et al., 2003; Novak and Brouwer, 2011; Reeves et al., 2009; Wilken et al., 2011). In comparison, the biomechanical requirements of the transitioning phase between gait and STA have received less attention. This is an important aspect of stair locomotion to consider given that a Locomotor Risk Index has suggested that the 1-step transition

presents an increased falls risk compared to level gait and continuous STA (that study did not consider the 2-step-transition) (Sheehan and Gottschall, 2012). Previous work has examined the 1-step-transition (Benedetti et al., 2007), but few have compared the different 2-step STA cycles (transitioning vs. continuous) and limited evidence suggests that the 2-step transitioning cycle is completed significantly quicker with considerably larger external knee moments compared to 2-step continuous STA gait (Andriacchi et al., 1980; Lee and Chou, 2007). However, much remains unknown and further biomechanical investigations are required.

Despite covering the same vertical distance, the 2-step cycles (transitioning vs. continuous) may be responsible for distinct functional objectives. Understanding the biomechanical demands of the 2-step transitioning cycle may elucidate the mechanics underpinning adequate control when task demands are high and locomotor function is reduced (e.g., such as in an ageing population). This information may be used in the design and implementation of targeted exercise interventions. Current rehabilitation practice supports the use of the stronger limb to complete the 1-step transition into STA when utilising a 'step-to' gait pattern (whereby both limbs contact each step), as might be performed by those with significant muscle weakness (e.g., very elderly) or functional asymmetry (e.g., amputees). However, it is unknown whether older women adopt a similar strategy during the transition into STA. It is possible that older adults may meet the increased mechanical demands of risky situations by selectively leading with the weaker limb, thus allowing the stronger limb to execute the 2-step transitioning cycle which we believe is more challenging than the 1-step transition due to its greater vertical displacement. Such a theory would contradict current practice with other clinical populations, but may reveal the compensatory musculoskeletal strategies older adults make subconsciously in response to changing biomechanical capacities.

Considering the high incidence of falls that occur near either the top or the bottom of the stairs (Jackson and Cohen, 1995; Templer, 1978, 1992), it is surprising that so much remains unknown about the transitioning phases linking level and STA gait. By understanding the biomechanical demands of continuous and transitioning STA, strategies to meet these demands and reduce falls risk may be developed and interventions may be tailored appropriately. This information would be particularly valuable to older women due to a greater incidence of falls amongst women compared to men and the higher falls risk associated with stair locomotion (Blake et al., 1988; Campbell et al., 1989; Gine-Garriga et al., 2009). Older women also exhibit reduced muscle strength compared with men (Hughes et al., 2001), making them more susceptible to instability during challenging tasks like STA. The aim of this study was to quantify the biomechanical differences between the 2-step transitioning and continuous STA cycles in healthy older women. It was hypothesised that the 2-step transitioning cycle would vary mechanically to continuous STA due to the differing functional objectives when transferring the body from level gait (horizontal translation) to STA (combination of horizontal and vertical translations). A second aim of this study was to evaluate whether between-limb strength differences influenced preferential limb use during the transition between gait and STA. It was hypothesised that, should a large between-limb strength difference exist, one limb would be used preferentially to perform either the 1-step or 2-step transition from level into STA gait.

METHODS

PARTICIPANTS

Following NHS ethical approval (Ref: 08-H1305-91), thirty-six participants were recruited through the local community [Mean(SD) age:71.3(7.3) years, range:60-83 years, height:163.1(6.6) cm, mass:70.4(12.7) kg] and written informed consent was obtained. Participants were pre-screened and excluded if they had any cardiovascular, musculoskeletal or neurological diseases, or a history of falls.

PROCEDURES

A biomechanical comparison of the 2-step transitioning and continuous STA cycles was performed. Lower limb segments were modelled using a six degrees-of-freedom marker set (Cappozzo et al., 1995). A custom-built 3-step wooden staircase was used (step height: 20 cm, tread: 25 cm, width: 80 cm) with a final tread of 80 cm. Handrails were available for safety reasons, but no participant used them. Kinematic data were obtained at 100Hz using 14 ProReflex cameras (Qualisys, Sweden). Ground reaction forces (GRF) were obtained from complete foot contacts made on two piezoelectric platforms (Model 9286AA, Kistler, Switzerland) sampling at 500 Hz. One platform was embedded into a concrete pit in the ground before the staircase and another was mounted into the first step which was an independent structure separate to the remainder of the staircase (Figure 1). The influence of alternate platform mountings (wooden vs. concrete) and the staircase structure (vibrations) on the kinetic data obtained was assessed using fast-Fourier transformation (Chesters et al., 2013). This analysis indicated that the error induced by staircase mounting was negligible: <2.2 % of the total power was lost, but only at higher frequencies (18 Hz) than those exhibited during gait (<10 Hz) (Wearing et al., 2003).

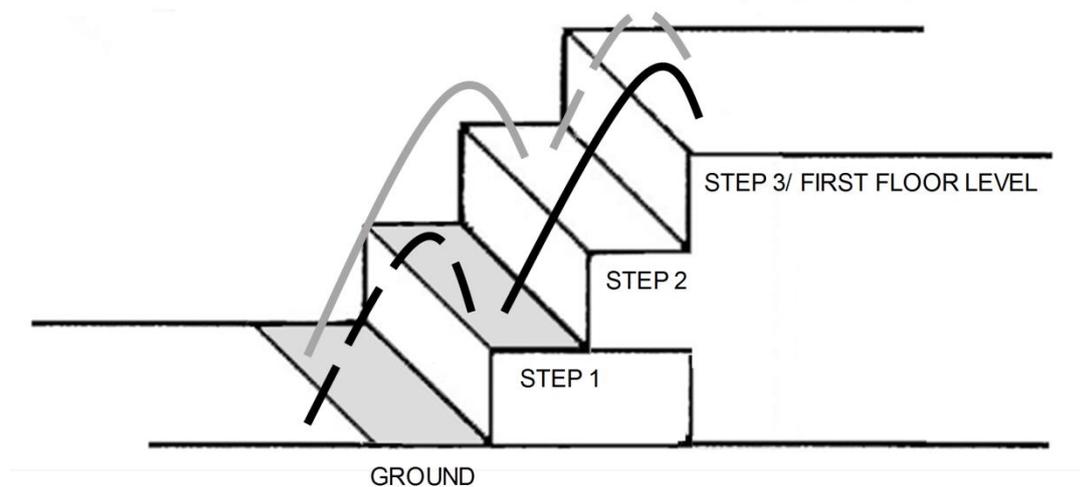


FIGURE 1 Experimental staircase set-up presenting the 1-step (dashed) and the 2-step (solid) gait cycles during STA

Complete 2-step gait cycles selected for analysis were the transitioning cycle between ground level and step 2 (solid grey line) and a continuous STA gait cycle from step 1 to step 3 (solid black line)

The dashed lines represent the 1-step gait cycles (not analysed in the current study), and the solid lines represent the 2-step gait cycles that were selected for further analysis. The grey shaded steps denote the positioning of force plates for kinetic data acquisition of the transitioning (ground) and continuous (step 1) gait cycles

Participants walked along a 4-metre level walkway to achieve a steady-state speed prior to ascending the stairs unaided at a self-selected pace. Steady-state speeds can be reached after 2.5 metres (Lindemann et al., 2008) and therefore the walkway was considered ample room for steady-state gait to be attained. Furthermore, mechanical differences have been reported when STA is initiated from walking or from a standing position, such that hip and knee joint biomechanics are underestimated in the latter case (Vallabhajosula et al., 2011).

Due to the critical role of the knee joint during STA in older adults (Reeves et al., 2009), peak dynamometry-derived (Biodex System 3, Biodex Medical, Shirley, NY) knee flexion and extension moments were used to quantify between-limb strength differences. Participants were seated with a hip flexion angle of 90° and performed five consecutive maximal voluntary concentric knee extension-flexion trials through the full ROM at 180°/sec. The sample had a mean knee ROM of ~98° (16SD). Participants performed several warm-up trials before gravity-corrected joint moments were recorded. Due to technical failure, strength data for four participants were excluded (n=32).

GAIT VARIABLES

Temporal-spatial parameters including gait speed (m/s), stance phase duration (%) and cycle time (s) are presented. Sagittal angles of the hip, knee and ankle joints were normalised to 100 % gait cycle (stance and swing). Joint ROM was calculated as the range between the peak flexor/ extensor angle observed. The following peak orthogonal GRFs (N) were analysed: medial (Fx1), lateral (Fx2), posterior (Fy1), anterior (Fy2), and vertical forces achieved in the first and latter part of stance (Fz1 and Fz3, respectively), and the minimum vertical GRF achieved mid-stance (Fz2). Loading and decay rates (N/kg/s) were determined using the positive slope from initial contact to Fz1 and the negative slope from Fz3 to toe-off, respectively. Kinetic data were normalised to mass (kg) and presented for the gait cycle except for GRF parameters which were time normalised to stance. Peak moments and power bursts were defined for the lower limb joints (Vanicek et al., 2010) and presented as 100% gait cycle. The only exception was the A2 power burst, which indicated power absorption during the pull-up phase in this study. This was in contrast to previous findings

that showed the second power burst as power generation (McFadyen and Winter, 1988; Vanicek et al., 2010).

DATA ANALYSIS

Marker trajectories were identified and data were exported to Visual 3D (C-Motion, Rockville, MD, USA). A cubic-spline algorithm was used to interpolate the kinematic data over a maximum gap of 10 frames. Kinematic and kinetic data were filtered using a low-pass Butterworth filter with a cut-off frequency of 6Hz and 25Hz, respectively (Siegel et al., 1996). The 2-step transitioning cycle began on the floor and ended on step 2 (Figure 1). The 2-step continuous STA cycle began on step 1 and ended on step 3. Gait events (first foot contact and toe-off) of both the continuous and transitioning cycle were identified using the kinetic data from the platform embedded in the first step and level ground, respectively. The foot contact terminating the gait cycle for each limb was identified by examining the kinematic profile of the 1st metatarsal marker, as most participants made initial contact with the forefoot.

LIMB PREFERENCE DURING THE GAIT-TO-STAIR TRANSITION

Participants were not notified that limb preference was being observed. Participants self-selected their starting position for each trial beyond 4-metres of the staircase, which removed any potential bias introduced by standardising this position, and enabled steady-state gait speed to be achieved. The limb used (right or left) to execute the 2-step transitioning cycle was noted and participants were assigned to one of the following groups: if they (a) had no limb preference during the 2-step transition, thus using each limb

interchangeably (*bilateral*); (b) used their right limb consistently to complete the 2-step transition (*unilateral-right*); and (c) used their left limb consistently to complete the 2-step transition (*unilateral-left*). If a participant used a different limb for at least one trial, they were categorised into the bilateral group. Participants completed 8-10 trials depending on functional ability. The bilateral group displayed a mean ratio of 54/46% split of left/right limb preference during the 2-step transition.

STATISTICAL ANALYSES

Aim 1: Transitioning vs. continuous ascent

Paired samples t-tests assessed the biomechanical differences between the 2-step transitioning and continuous STA cycles.

Aim 2: Limb preference during the gait-to-stair transition

Using the stronger vs. weaker limb strength values, data for the unilateral limb preference groups were combined. Statistical inferences were made between the *bilateral* and the *combined unilateral* limb preference groups. Independent samples t-tests evaluated the between-group (bilateral/ combined unilateral) differences in dynamometer-derived maximal knee flexor and extensor strength.

All statistical analyses were performed using PASW v18.0 software (SPSS Inc., IL), with significance accepted at $P \leq 0.05$. Normality was assessed using the difference between the biomechanical variables selected for the continuous and transitioning cycles and this was

satisfied in all cases. A family-wise Hommel correction was used to manage the type I error associated with multiple comparisons (Hommel, 1988). Where statistical differences were found, Cohen's *d* effect sizes (*d*) were calculated to verify these differences.

RESULTS

TRANSITIONING vs. CONTINUOUS ASCENT

Significant differences were observed between the temporal-spatial parameters of the 2-step transitioning and continuous STA cycles (Table 1). The continuous STA cycle (step 1 to 3) was completed on average 23% slower, with an increased cycle time (7%) and stance phase duration (3%, $P \leq 0.004$). Significantly greater hip flexion (stance) and knee flexion (mid-swing) were observed during the continuous STA cycle. In contrast the 2-step transitioning cycle demanded a larger ankle ROM (Table 1 and Figure 2). Significant kinetic differences ($P \leq 0.018$) were identified between the 2-step transitioning and continuous STA cycles (Table 2). The continuous STA cycle demonstrated greater contributions from the hip, as evidenced by a greater hip extensor moment (Figure 3), power generation during weight-acceptance (H1), and significantly larger knee power bursts (K1 generation and K3 absorption, Figure 3). Increased GRF parameters (lateral (Fx2), posterior (Fy1), anterior (Fy2), vertical forces (Fz1 and Fz3), and load rate), larger hip flexor and ankle dorsiflexor moments, and greater peak ankle power absorption (A2; terminal stance, $P < 0.02$) were observed during the 2-step transitioning cycle. Moreover, notable (albeit non-significant) increases in ankle power generation (A3; pre-swing) and peak plantarflexor moment (pre-swing) were observed during the 2-step transitioning cycle.

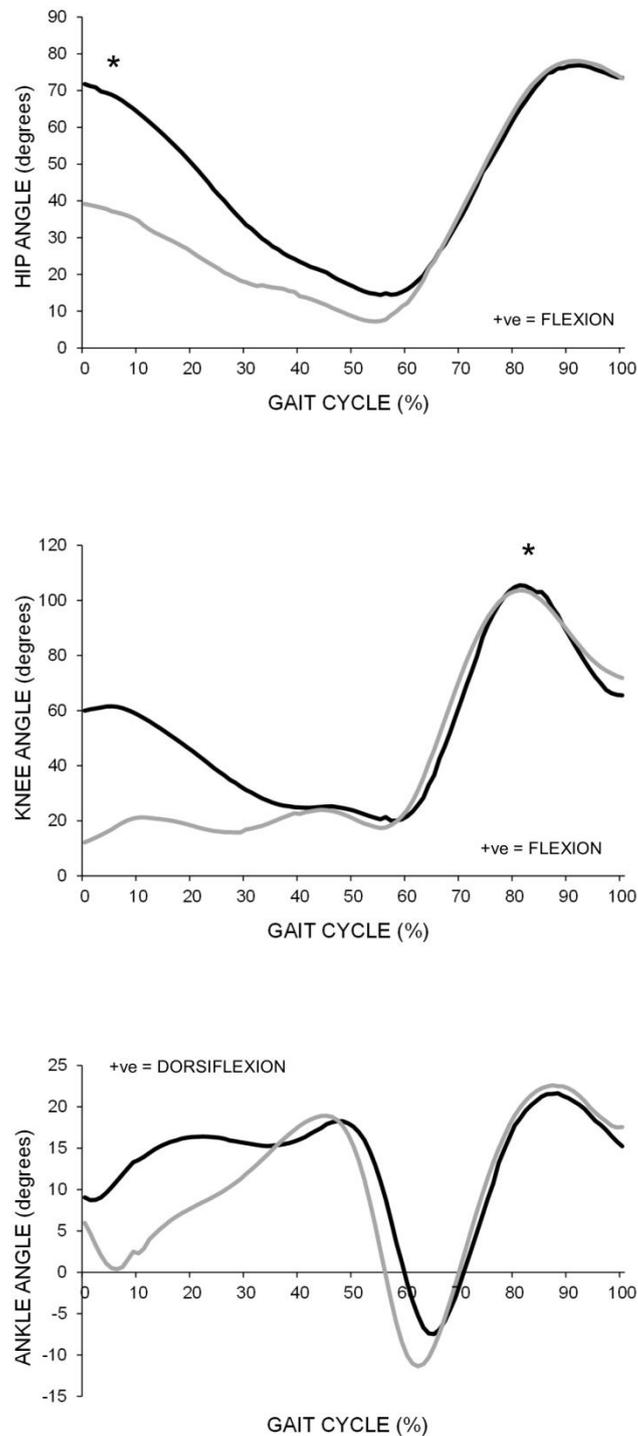


FIGURE 2 Group mean sagittal plane joint angle profiles for a 2-step transitioning STA gait (grey line) and 2-step continuous (black line) cycle

* indicates significance post-corrective procedures ($P < .002$)

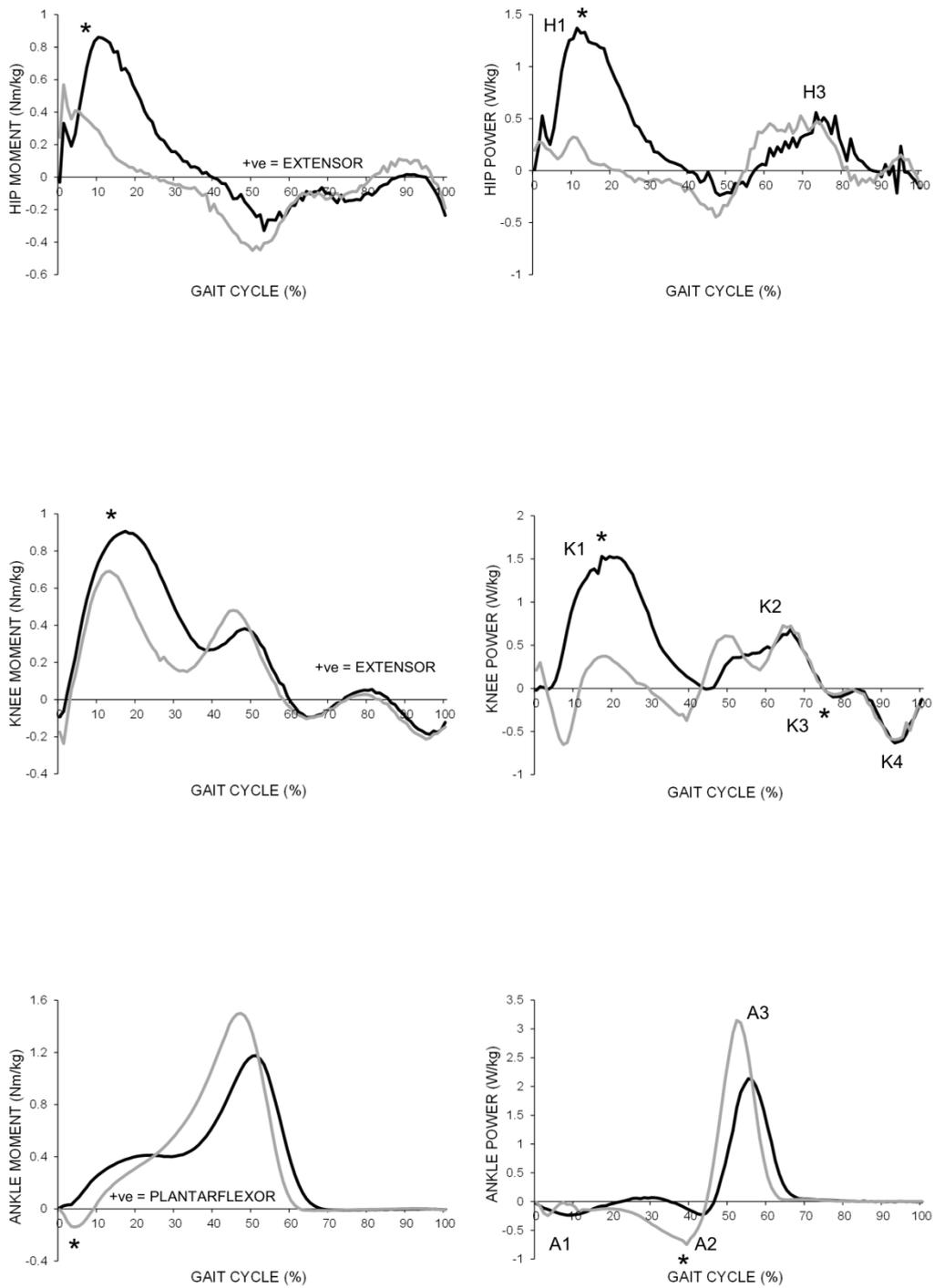


FIGURE 3 Group mean sagittal plane joint moment and power profiles for a 2-step transitioning STA gait (grey line) and 2-step continuous (black line) cycle

* indicates significance post-corrective procedures ($P < .002$)

LIMB PREFERENCE DURING THE GAIT-TO-STAIR TRANSITION

The *bilateral* group (no limb preference) was larger (n=20) and significantly older than the *combined unilateral* group (n=16; Figure 4 and Table 3). The relative strength differences between the strongest and weakest limbs were small in the *bilateral* group (flexors d=.40; extensors d=.25), but much larger in the *combined unilateral* group, especially for the knee extensors (flexors d=.49; extensors d=.51) (see stronger vs. weaker in Figure 4 and Table 3). The only significant between-group (bilateral vs. combined unilateral) difference highlighted that peak knee flexor moments of the strongest leg were significantly larger for the *combined unilateral* group compared with the *bilateral* group (d=.85, $P=.032$). Most of the participants in the *unilateral* limb preference group (>71%) used the stronger limb to complete the 2-step transition in every trial, the remaining participants used the weakest limb for the 2-step transition.

1 **TABLE 1** Mean (SD) temporal-spatial and peak joint kinematic (degrees) parameters of a 2-step
 2 continuous and a 2-step transitioning STA cycle

3

| GAIT PARAMETERS | CONTINUOUS STA | TRANSITIONAL STA | t | CORRECTED p VALUE | COHEN'S d |
|---|----------------|------------------|---------|-------------------|-----------|
| <i>TEMPORAL-SPATIAL PARAMETERS</i> | | | | | |
| GAIT SPEED (m/s) | 0.66 (0.1) | 0.81 (0.1) | -12.407 | 0.002 | 1.01 |
| CYCLE TIME (s) | 1.4 (0.2) | 1.3 (0.2) | 10.842 | 0.002 | 0.66 |
| STANCE (%) | 62.0 (3.1) | 60.0 (2.3) | 3.128 | 0.004 | 0.68 |
| <i>JOINT KINEMATICS (degrees)</i> | | | | | |
| HIP FLEXION (weight acceptance) | 71.6 (8.3) | 39.3 (8.1) | 27.816 | 0.013 | 3.99 |
| HIP FLEXION (foot placement) | 77.5 (9.0) | 78.3 (8.7) | -0.946 | 0.993 | |
| HIP ROM | 64.8 (6.4) | 72.6 (7.1) | -6.899 | 0.013 | 1.16 |
| KNEE FLEXION (foot clearance) | 107.6 (8.1) | 104.3 (9.4) | 3.069 | 0.026 | 0.37 |
| KNEE ROM | 92.0 (6.8) | 93.7 (7.5) | -1.511 | 0.509 | |
| ANKLE DORSIFLEXION (pull up) | 21.2 (5.2) | 19.9 (5.3) | 1.520 | 0.509 | |
| ANKLE PLANTARFLEXION (forward continuance) | -10.0 (6.7) | -12.7 (6.6) | 2.590 | 0.071 | |
| ANKLE DORSIFLEXION (foot clearance) | 22.6 (7.2) | 23.4 (7.1) | -1.012 | 0.993 | |
| ANKLE ROM | 34.0 (7.4) | 37.6 (6.2) | -3.069 | 0.026 | 0.53 |

4
 5
 6 Limb data are presented as mean (SD). ROM denotes range of motion. Shaded areas indicate the gait cycle (continuous vs. transitioning)
 7 that displayed the parameter of largest magnitude ($P < .05$). Hip and knee flexion, and ankle dorsiflexion angles are positive.

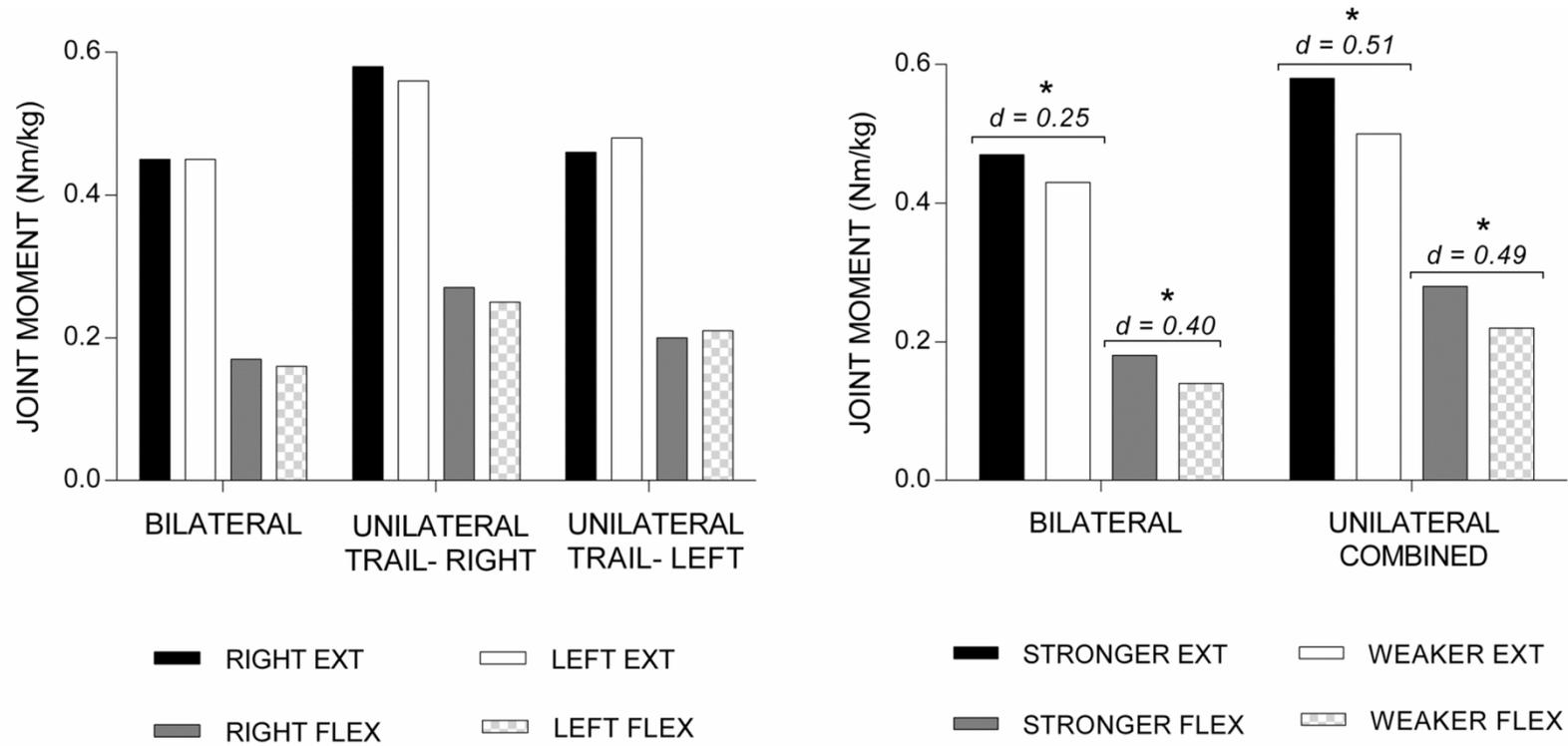
8

9

10 **TABLE 2** Mean (SD) GRF and peak joint kinetic parameters of a 2-step continuous and a 2-step
 11 transitioning STA cycle

| GAIT PARAMETERS | CONTINUOUS STA | TRANSITIONAL STA | t | CORRECTED p VALUE | COHEN'S d |
|---|----------------|------------------|---------|-------------------|-----------|
| <i>GROUND REACTION FORCES (N/kg) and GRF RATES (N/kg/sec)</i> | | | | | |
| MEDIAL Fx1 GRF | 0.03 (0.01) | 0.03 (0.02) | -0.445 | 1.000 | |
| LATERAL Fx2 GRF | -0.06 (0.02) | -0.06 (0.02) | 2.095 | 0.279 | |
| POSTERIOR Fy2 GRF | -0.12 (0.03) | -0.17 (0.06) | 5.055 | 0.010 | 1.07 |
| ANTERIOR Fy2 GRF | 0.07 (0.02) | 0.12 (0.03) | -10.116 | 0.010 | 1.99 |
| VERTICAL Fz1 GRF | 1.02 (0.06) | 1.17 (0.09) | -7.372 | 0.010 | 2.00 |
| VERTICAL Fz2 GRF | 0.73 (0.07) | 0.75 (0.08) | -1.092 | 1.000 | |
| VERTICAL Fz3 GRF | 1.24 (0.09) | 1.31 (0.13) | -2.998 | 0.045 | 0.67 |
| LOAD RATE | 3.79 (1.19) | 6.37 (1.72) | -10.260 | 0.010 | 1.78 |
| DECAY RATE | 7.78 (1.41) | 8.22 (2.17) | -1.480 | 0.760 | |
| <i>JOINT MOMENTS (Nm/kg)</i> | | | | | |
| HIP EXTENSOR (weight acceptance) | 0.93 (0.35) | 0.66 (0.22) | -4.204 | 0.010 | 0.94 |
| HIP FLEXOR (forward continuance) | -0.49 (0.23) | -0.66 (0.27) | -2.801 | 0.065 | |
| KNEE EXTENSOR (weight acceptance) | 0.91 (0.32) | 0.77 (0.31) | 1.986 | 0.323 | |
| KNEE FLEXOR (forward continuance) | -0.23 (0.09) | -0.31 (0.12) | 3.005 | 0.045 | 0.77 |
| ANKLE DORSIFLEXOR (weight acceptance) | -0.04 (0.04) | -0.13 (0.11) | -6.705 | 0.010 | 1.11 |
| ANKLE PLANTARFLEXOR (forward continuance) | 1.29 (0.38) | 1.54 (0.26) | 2.766 | 0.065 | |
| <i>JOINT POWERS (W/kg)</i> | | | | | |
| H1 HIP POWER GENERATION (weight acceptance) | 1.83 (1.11) | 0.58 (0.33) | 5.107 | 0.010 | 1.56 |
| H3 HIP POWER GENERATION (foot clearance) | 0.80 (0.43) | 0.81 (0.34) | -0.028 | 1.000 | |
| K1 KNEE POWER GENERATION (weight acceptance) | 1.70 (0.64) | 0.58 (0.27) | 9.243 | 0.010 | 2.33 |
| K2 KNEE POWER GENERATION (foot clearance) | 1.25 (0.98) | 1.00 (0.36) | 1.159 | 1.000 | |
| K3 KNEE POWER ABSORPTION (foot clearance) | -0.29 (0.20) | -0.16 (0.12) | -3.626 | 0.018 | 0.81 |
| K4 KNEE POWER ABSORPTION (foot placement) | -0.85 (0.42) | -0.77 (0.39) | -1.347 | 0.916 | |
| A1 ANKLE POWER ABSORPTION (pull up) | -0.36 (0.36) | -0.37 (0.18) | 0.185 | 1.000 | |
| A2 ANKLE POWER ABSORPTION (pull up) | -0.56 (0.39) | -0.86 (0.34) | 4.696 | 0.010 | 0.84 |
| A3 ANKLE POWER GENERATION (forward continuance) | 3.40 (0.90) | 3.76 (1.12) | -1.859 | 0.395 | |

12 Shaded areas indicate the gait cycle (continuous vs. transitioning) that displayed the parameter of largest magnitude
 13 ($P < .05$). Positive [+] joint moments indicate extensor moments at the hip and knee, and plantarflexor muscle involvement at the ankle.
 14 Positive [+] joint powers indicate power generation and negative [-] powers indicate power absorption. Hip power peaks of absorption
 15 (terminal stance; H2) and generation (terminal swing; H4) were not included as these power bursts were considered minimal and varied
 16 greatly in comparison to H1 and H3 power generation (mid-stance and mid-swing, respectively)



17

18 FIGURE 4 Participant characteristics and normalised peak dynamometer-derived knee moments (Nm/kg) for each of the limb preference
 19 groups

20 * indicates significant differences detected between stronger and weaker limbs ($P \leq .002$). Cohen's d effect size (d) are presented where statistical comparisons were made

21

22 TABLE 3 Mean (SD) participant characteristics according to the limb preference groups
 23
 24

| | | BILATERAL | UNILATERAL COMBINED | UNILATERAL: L: - RIGHT | UNILATERAL: - LEFT |
|------------------------------|------------|---|---|------------------------------|-----------------------|
| n | | 20 | 16 | 10 | 6 |
| AGE (years) * | | 75.0 (5.7) | 66.6 (6.4) | 63.7 (2.8) | 71.5 (8.0) |
| HEIGHT (m) | | 1.62 (0.07) | 1.64 (0.06) | 1.64 (0.06) | 1.64 (0.07) |
| MASS (kg) | | 67.2 (12.4) | 74.5 (12.2) | 74.3 (14.1) | 74.8 (9.4) |
| KNEE EXTENSORS (Nm/kg) | RIGHT | 0.45 (0.18) | NR | 0.60 (0.16) | 0.43 (0.18) |
| | LEFT | 0.45 (0.15) | NR | 0.59 (0.16) | 0.45 (0.08) |
| KNEE FLEXORS (Nm/kg) | RIGHT | 0.17 (0.11) | NR | 0.30 (0.15) | 0.17 (0.13) |
| | LEFT | 0.16 (0.10) | NR | 0.28 (0.09) | 0.17 (0.11) |
| KNEE EXTENSORS (Nm/kg) | STRONGER * | 0.47 (CI _{95%} = 0.39:0.56) | 0.58 (CI _{95%} = 0.48:0.67) | | |
| | WEAKER * | 0.43 (CI _{95%} = 0.35:0.51) | 0.50 (CI _{95%} = 0.42:0.58) | | |
| KNEE FLEXORS (Nm/kg) | STRONGER * | 0.18 (CI _{95%} = 0.13:0.24) | 0.28 (CI _{95%} = 0.20:0.35) | | |
| | WEAKER * | 0.14 (CI _{95%} = 0.09:0.19) | 0.22 (CI _{95%} = 0.15:0.29) | | |

25
 26 * indicates significant differences at alpha p≤0.05 using the grouped (shaded) data, NR denotes not relevant comparison, CI_{95%} denotes the 95% confidence interval
 27

1 **DISCUSSION**

2 The present study investigated the biomechanical characteristics of the transitioning and
3 continuous STA cycles, both of which covered a vertical displacement of two steps.
4 Numerous biomechanical differences were observed between these steps, in a sample of
5 healthy older women, illustrating the contrast in mechanical demands according to functional
6 task. This study was the first to explore the influence of between-limb strength differences
7 on limb preference during the gait-to-STA transition. We found that when a large between-
8 limb knee extensor strength difference existed, most of the older women preferentially
9 selected the strongest limb to complete the 2-step transition between level and STA gait.

10

11 **TRANSITIONING vs. CONTINUOUS ASCENT**

12 To the best of the authors' knowledge, no previous study has provided a fully comprehensive
13 analysis of the differences between the 2-step STA cycles (transitioning vs. continuous) in
14 older women. Andriacchi *et al.* (1980) found that, for young men, continuous STA demanded
15 increased knee flexion and ankle plantarflexion, and larger external hip and knee flexor
16 moments compared to the 2-step transitioning cycle, but these differences were not
17 confirmed statistically. Our findings corroborate the increased knee flexion during
18 continuous STA but conversely we showed that the 2-step transitioning cycle exhibited
19 increased peak ankle plantarflexion compared to continuous STA, however this difference
20 was not significant post-corrective procedures ($P=.071$).

21

22 On the whole, peak GRF parameters (lateral (Fx2), posterior (Fy1), anterior (Fy2), vertical
23 forces (Fz1 and Fz3) and load rate), and ankle joint kinetics were larger during the 2-step

24 transitioning cycle. In combination, the greater speed and forces indicated greater propulsive
25 requirements of the limb completing the 2-step transitioning cycle compared to that required
26 during reciprocal, continuous STA. The increased speed observed during the 2-step
27 transitioning cycle are in agreement with previous work (Lee and Chou, 2007) and likely
28 reflect the need to transfer whole-body momentum from gait to STA. Older adults ascend
29 stairs at a slower speed compared to level gait (DeVita and Hortobagyi, 2000; Stacoff et al.,
30 2005). Therefore, upon approaching the stairs, the limb completing the second half of the
31 gait-to-STA transition generates greater propulsion through increased ankle ROM and
32 power generation (Table 1 and 2; labelled A3). Furthermore the significantly increased
33 eccentric activity at the ankle (Table 2 and Figure 3; labelled A2), during pull-up for the
34 transitioning cycle is also in contrast to the concentric power burst reported previously in the
35 literature (McFadyen and Winter, 1988; Vanicek et al., 2010). This difference likely acts to
36 control and slow the advancement of the shank over the foot and may be indicative of
37 concentric plantarflexor weakness as observed for older adults (DeVita and Hortobagyi,
38 2000; Judge et al., 1996; Lewis and Ferris, 2008). Considering the quicker velocity ($P<.002$)
39 observed in combination with the increased forces and reliance on concentric knee extensor
40 activity, construction of an extended handrail structure surrounding the area preceding the
41 stairs may be beneficial, providing an additional point of contact during this biomechanically
42 demanding transition.

43

44 Kinetic differences between the transitioning and continuous STA cycles represent the
45 contrast in functional demands. Joint powers during early stance suggested distinct
46 strategies were employed to manage weight-acceptance and pull-up during both of the STA
47 cycles analysed. Reduced internal flexor moments at the hip and knee during weight-
48 acceptance were shown during the 2-step transitioning cycle and a small peak dorsiflexor

49 moment, significantly larger than that of the continuous STA cycle ($P=.011$), was observed.
50 At initial contact, the kinematics and kinetics of the 2-step transitioning and continuous STA
51 cycles differed significantly such that the former exhibited a small dorsiflexor moment, similar
52 to that observed during gait, as in fact initial contact occurred on level ground before the
53 staircase. Conversely, for continuous STA, initial contact was made with the forefoot.
54 However, during the swing phase, both analysed cycles conformed to similar mechanics,
55 which were imposed by the staircase dimensions and structure.

56

57 **LIMB PREFERENCE DURING THE GAIT-TO-STAIR TRANSITION**

58 The results of this study have shown that both *unilateral* limb preference groups
59 demonstrated larger between-limb knee extensor strength differences (13.8%), and more
60 commonly relied upon the strongest limb (in terms of knee extensor strength) to complete
61 the 2-step transitioning cycle. In contrast, the *bilateral* preference group had smaller
62 between-limb knee extensor strength differences (8.5%) and showed no consistent limb
63 preference (Figure 4). These findings are in contrast to current rehabilitation practice that
64 advocates the use of the stronger limb to complete the initial 1-step transition in individuals
65 with considerably reduced (e.g., very frail, sarcopenic) and/or asymmetric musculoskeletal
66 capacities (e.g., lower-limb amputees). Such recommendations possibly exist because the
67 limb completing the initial 1-step transition experiences considerable loading (McFadyen
68 and Winter, 1988). Although the exact reason for this stronger-limb 2-step transitioning
69 strategy remains unclear, it may be speculated that it allows the stronger limb to generate
70 the necessary propulsion to ascend two steps (Figure 3; A3) and transfer the increased
71 momentum from gait into STA. While the stronger limb completing the 2-step transition
72 provides considerable propulsion (pre-swing), the weaker limb positioned on step 1 prepares

73 for single-limb support by providing adequate stability evidenced by peaks in hip and knee
74 power generation (Figure 3; H1 and K1).

75

76 During STA, the musculature at the knee is required to produce joint moments to
77 compensate for ankle joint weakness, and as such, knee strength is critical in successful
78 STA (Reeves et al., 2009). This study quantified between limb strength differences from
79 measurements at the knee. However, this may miss important differences at the hip and
80 ankle. Although it cannot be ruled out that the few *unilateral* participants who adopted a
81 weaker-limb 2-step transitioning strategy represent natural variation from the more common
82 stronger-limb 2-step transitioning strategy, it is possible that between limb strength
83 differences at other joints may account for this discrepancy. Further work is required to
84 determine the extent to which preferential stepping strategies are utilised and the
85 musculoskeletal characteristics that distinguish these individuals.

86

87 It is noteworthy that the *bilateral* limb preference group was significantly older than the
88 *combined unilateral* group and displayed reduced dynamometer-derived peak knee
89 moments. Interestingly, additional bivariate correlations revealed a non-significant trend
90 towards a reduction in between-limb strength differences with advancing age (extensor=.25,
91 $P=.163$; flexor=.32, $P=.071$). This suggests that, with advancing age, the between-limb knee
92 strength differences were smaller and neither limb was preferentially selected for either task.

93

94 In light of these findings, recommendations to improve stair performance should consider
95 between-limb differences and age-induced changes. Recent research has suggested that

96 strength differences may contribute to gait asymmetry (Dessery et al., 2011). However in
97 another study we conducted with the same sample of participants, gait was deemed
98 symmetrical (Alcock et al., 2013). Therefore, we do not believe locomotor asymmetry
99 influenced limb preference in the current study, and between-limb strength differences
100 remain the likely explanation for the preferential limb choice strategies observed. However,
101 our method for categorising participants into the two discrete preference groups may have
102 simplified the continuous nature of preferential limb use and its relationship to between-limb
103 strength differences.

104

105 The conclusions drawn from this study of healthy older women concerning the differences
106 between transitioning and continuous STA provide a baseline from which age-related
107 dysfunction may be identified. In the present study, the foot contact that terminated the
108 continuous STA step occurred on the top of the 3-step staircase and, thus, foot position may
109 have differed from continuous STA using a larger staircase. However, since data beyond
110 foot contact on this step were not considered and an additional step was required by the
111 contralateral limb to reach the top of the staircase, it is expected that this influence would be
112 minimal on the gait cycles studied here.

113

114 **CONCLUSIONS**

115 Key differences, particularly during stance, were identified with the transitioning cycle
116 requiring greater lower limb ROM and increased effort from the ankle plantarflexors.
117 Conversely, increased hip and knee extensor activity were observed during continuous STA.
118 The functional roles of contrasting muscle groups during the execution of the distinct STA
119 cycles should be recognised during exercise strengthening programmes, thus helping older

120 adults to maintain adequate locomotor function during STA. The gait mechanics of either
121 limb are commensurate with varying stability and propulsive roles while completing the high
122 risk transition between gait and STA. Moreover, this study has shown that most individuals
123 with large between-limb strength differences adopted a preferential stronger-limb 2-step
124 transitioning strategy, which contrasts STA patterns recommended to other clinical
125 populations. This allowed the stronger limb to generate the high levels of propulsion required
126 during the 2-step transitioning cycle transferring whole-body momentum from gait to STA.
127 Further work is required to fully understand the stepping strategies and preferential limb use
128 of older adults upon approaching stairs.

129

130 **REFERENCES**

- 131 Alcock, L., Vanicek, N., O'Brien, T.D., 2013. Alterations in gait speed and age do not fully
132 explain the changes in gait mechanics associated with healthy older women. *Gait & Posture*
133 37, 586-592.
- 134 Andriacchi, T.P., Andersson, G.B., Fermier, R.W., Stern, D., Galante, J.O., 1980. A study of
135 lower-limb mechanics during stair-climbing. *The Journal of Bone and Joint Surgery* 62, 749-
136 757.
- 137 Benedetti, M., Berti, L., Maselli, S., Mariani, G., Giannini, S., 2007. How do the elderly
138 negotiate a step? A biomechanical assessment. *Clinical Biomechanics* 22, 567-573.
- 139 Bertucco, M., Cesari, P., 2009. Dimensional analysis and ground reaction forces for stair
140 climbing: effects of age and task difficulty. *Gait & Posture* 29, 326-331.
- 141 Blake, A.J., Morgan, K., Bendall, M.J., Dallosso, H., Ebrahim, S.B.J., Arie, T.H.D., Fentem,
142 P.H., Basse, E.J., 1988. Falls by Elderly People at Home - Prevalence and Associated
143 Factors. *Age and Ageing* 17, 365-372.
- 144 Campbell, A.J., Borrie, M.J., Spears, G.F., 1989. Risk factors for falls in a community-based
145 prospective study of people 70 years and older. *Journal of Gerontology* 44, M112-117.
- 146 Cappozzo, A., Catani, F., Della Croce, U., Leardini, A., 1995. Position and orientation in space
147 of bones during movement: anatomical frame definition and determination. *Clinical*
148 *Biomechanics* 10, 171-178.
- 149 Chesters, T., Alcock, L., O'Brien, T.D., Vanicek, N., Dobson, C.A., 2013. Frequency domain
150 characteristics of kinetic data from force platforms mounted in instrumented stairways, 22nd
151 Annual Meeting of the European Society for Movement Analysis in Adults and Children,
152 University of Strathclyde, Glasgow, Scotland.
- 153 Dessery, Y., Barbier, F., Gillet, C., Corbeil, P., 2011. Does lower limb preference influence gait
154 initiation? *Gait & Posture* 33, 550-555.
- 155 DeVita, P., Hortobagyi, T., 2000. Age causes a redistribution of joint torques and powers
156 during gait. *Journal of Applied Physiology* 88, 1804-1811.
- 157 Faulkner, J.A., Larkin, L.M., Claflin, D.R., Brooks, S.V., 2007. Age-related changes in the
158 structure and function of skeletal muscles. *Clinical and Experimental Pharmacology and*
159 *Physiology* 34, 1091-1096.

160 Gine-Garriga, M., Guerra, M., Mari-Dell'Olmo, M., Martin, C., Unnithan, V.B., 2009. Sensitivity
161 of a modified version of the 'timed get up and go' test to predict fall risk in the elderly: a pilot
162 study. *Archives of Gerontology and Geriatrics* 49, e60-e66.

163 Hamel, K.A., Cavanagh, P.R., 2004. Stair performance in people aged 75 and older. *Journal*
164 *of the American Geriatrics Society* 52, 563-567.

165 Hamel, K.A., Okita, N., Bus, S.A., Cavanagh, P.R., 2005. A comparison of foot/ground
166 interaction during stair negotiation and level walking in young and older women. *Ergonomics*
167 48, 1047-1056.

168 Hommel, G., 1988. A stagewise rejective multiple test procedure based on a modified
169 Bonferroni test. *Biometrika* 75, 383-386.

170 Hughes, V.A., Frontera, W.R., Wood, M., Evans, W.J., Dallal, G.E., Roubenoff, R., Singh,
171 M.A.F., 2001. Longitudinal muscle strength changes in older adults. *Journals of Gerontology*
172 *Series A: Biological Sciences and Medical Sciences* 56, B209-B217.

173 Jackson, P.L., Cohen, H.H., 1995. An in-depth investigation of 40 stairway accidents and the
174 stair safety literature. *Journal of Safety Research* 26, 151-159.

175 Judge, J.O., Davis, R.B., 3rd, Ounpuu, S., 1996. Step length reductions in advanced age: the
176 role of ankle and hip kinetics. *Journals of Gerontology Series A: Biological Sciences and*
177 *Medical Sciences* 51, M303-M312.

178 Kang, H.G., Dingwell, J.B., 2008. Effects of walking speed, strength and range of motion on
179 gait stability in healthy older adults. *Journal of Biomechanics* 41, 2899-2905.

180 Lee, H.J., Chou, L.S., 2007. Balance control during stair negotiation in older adults. *Journal of*
181 *Biomechanics* 40, 2530-2536.

182 Lewis, C.L., Ferris, D.P., 2008. Walking with increased ankle pushoff decreases hip muscle
183 moments. *Journal of Biomechanics* 41, 2082-2089.

184 Lindemann, U., Najafi, B., Zijlstra, W., Hauer, K., Muche, R., Becker, C., Aminian, K., 2008.
185 Distance to achieve steady state walking speed in frail elderly persons. *Gait & posture* 27, 91-
186 96.

187 McFadyen, B.J., Winter, D.A., 1988. An integrated biomechanical analysis of normal stair
188 ascent and descent. *Journal of Biomechanics* 21, 733-744.

189 Nadeau, S., McFadyen, B.J., Malouin, F., 2003. Frontal and sagittal plane analyses of the stair
190 climbing task in healthy adults aged over 40 years: what are the challenges compared to level
191 walking? *Clinical Biomechanics* 18, 950-959.

192 Novak, A.C., Brouwer, B., 2011. Sagittal and frontal lower limb joint moments during stair
193 ascent and descent in young and older adults. *Gait & Posture* 33, 54-60.

194 Reeves, N.D., Spanjaard, M., Mohagheghi, A.A., Baltzopoulos, V., Maganaris, C.N., 2009.
195 Older adults employ alternative strategies to operate within their maximum capabilities when
196 ascending stairs. *Journal of Electromyography and Kinesiology* 19, e57-e68.

197 Sheehan, R.C., Gottschall, J.S., 2012. At similar angles, slope walking has a greater fall risk
198 than stair walking. *Applied Ergonomics* 43, 473-478.

199 Siegel, K.L., Kepple, T.M., Caldwell, G.E., 1996. Improved agreement of foot segmental power
200 and rate of energy change during gait: inclusion of distal power terms and use of three-
201 dimensional models. *Journal of Biomechanics* 29, 823-827.

202 Stacoff, A., Diezi, C., Luder, G., Stussi, E., Kramers-de Quervain, I.A., 2005. Ground reaction
203 forces on stairs: effects of stair inclination and age. *Gait & Posture* 21, 24-38.

204 Templer, J., 1978. An analysis of the behavior of stair users.

205 Templer, J., 1992. *The staircase*. MIT Press, Cambridge, MA.

206 Vallabhajosula, S., Yentes, J.M., Momcilovic, M., Blanke, D.J., Stergiou, N., 2011. Do lower-
207 extremity joint dynamics change when stair negotiation is initiated with a self-selected
208 comfortable gait speed? *Gait & Posture* 35, 203-208.

209 Vanicek, N., Strike, S.C., McNaughton, L., Polman, R., 2010. Lower limb kinematic and kinetic
210 differences between transtibial amputee fallers and non-fallers. *Prosthetics and Orthotics*
211 *International* 34, 399-410.

212 Wearing, S., Smeathers, J., Urry, S., 2003. Frequency-domain analysis detects previously
213 unidentified changes in ground reaction force with visually guided foot placement. *Journal of*
214 *Applied Biomechanics* 19, 71-78.

215 Wilken, J.M., Sinitski, E.H., Bagg, E.A., 2011. The role of lower extremity joint powers in
216 successful stair ambulation. *Gait & Posture* 34, 142-144.

217 Wyatt, J.P., Beard, D., Busuttill, A., 1999. Fatal falls down stairs. *Injury* 30, 31-34.

218

219