	Immobilized Photosensitisers for antimicrobial applications
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Ab	stract
Pho	otodynamic antimicrobial chemotherapy (PACT) is a very promising alternative to conventional
ant	biotics for the efficient inactivation of pathogenic microorganisms; this is due to the fact that it
is v	virtually impossible for resistant strains to develop due to the mode of action employed. PACT
em	ploys a photosensitizer, which preferentially associates with the microorganism, and is then
acti	vated with non-thermal visible light of appropriate wavelength(s) to generate high localized
con	centrations of reactive oxygen species (ROS), inactivating the microorganism.
The	e concept of using photosensitizers immobilized on a surface for this purpose is intended to
add	ress a range of economic, ecological and public health issues.
Pho	otosensitising molecules that have been immobilized on solid support for PACT applications are
des	cribed herein. Different supports have been analyzed as well as the target microorganism and the
effe	ectiveness of particular combinations of support and photosensitiser.
Ke	words: Photodynamic antimicrobial chemotherapy, Pathogen inactivation, Photosensitizer
imr	nobilized, disinfection, Reactive Oxygen Species
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## 34 **1. Introduction**

Nosocomial infections or "Healthcare Associated Infections" (HAI) can cause disability and 35 emotional stress for the patient and may, in some cases, lead to disabling conditions or even death. 36 37 In addition, since infected patients remain in hospital on average 2.5 times longer than uninfected patients the economic cost derived by the increased length of stay for infected patients is 38 39 considerable [1,2]. In Europe every year healthcare associated infections cause 25 million extra-40 days of hospital stay, 37,000 attributable deaths, and contribute to an additional 135,000 deaths every year with a corresponding economic burden of €13–24 billion [3], while in the United States 41 it is estimated that about two million patients develop HAI with a total number of deaths of 99,000, 42 and cost of \$33 billion each year [1]. 43

The Centre for Disease Control and Prevention has recognized that contaminated environmental surfaces provide an important potential source for indirect transmission of many healthcareassociated pathogens and contribute to the spreading of infections, thus indicating the need for new and sustainable strategies [4,5,6,7].

Another major challenge is associated with the large number of water-borne diseases which arisefrom contaminated water [8].

Worldwide 884 million people lack access to clean potable water: developing countries lack access to clean water (1.8 million children die every year from diarrhea) [9], while developed countries face an urgent need to provide efficient waste water treatment, as populations grow. The increasing prevalence of bacterial resistance is another problem for which an urgent solution is needed.

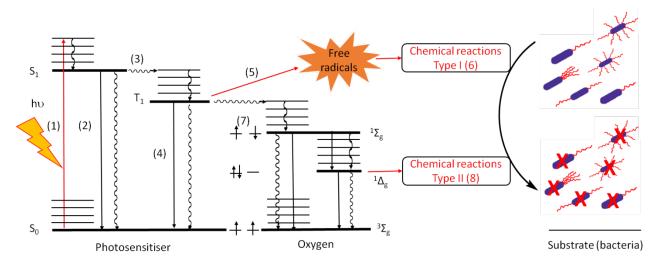
- In fact, the traditional methods for water disinfection currently used are effective against bacteria and viruses but have their drawbacks: chlorine disinfection can produce carcinogenic by-products when organic compounds are present in the water [10], whilst use of ozone is expensive and requires *in situ* generation due to its unstable nature [11,12,13]. Thermal [14] and UV-based [15] disinfections require excessive amounts of energy, and thus are expensive and non eco-friendly.
- Photodynamic antimicrobial chemotherapy (PACT) offers an alternative, and radically different,
  strategy for the inactivation of pathogenic micro-organisms [16,17,18,19]
- PACT is based on the "photodynamic effect" where a photosensitizer, preferentially associated with a microorganism, is activated with non-thermal visible light of appropriate wavelength(s) to generate toxic species that inactivate the microorganism.
- 64 Upon absorption of a photon, the photosensitizer (Ps) is promoted from a lower-energy 'ground 65 state' to a higher-energy singlet state (S) and then, by intersystem crossing, it can convert to an

excited triplet state (T). From the, relatively, long lived triplet state it can then follow twophotochemical pathways, named Type I and Type II reactions (Fig. 1).

In the Type I mechanism, Ps molecules react with bio-organic molecules such as the cell membrane constituents and transfer a proton or an electron to form free radicals and radical ions. In a Type II reaction, the excited Ps can transfer its energy directly to molecular oxygen resulting in production

of reactive oxygen species (ROS) that are able to kill microbial cells and viruses [20,21].

Finally, the ability to inactivate microorganisms without inducing resistance makes PACT an
appealing and useful alternative in treating infections [22,23].



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**Fig. 1:** Jablonski diagram showing the various modes of excitation and relaxation in a chromophore. Light of an appropriate wavelength is absorbed by the photosensitizer molecule. Thereby the photosensitizer changes from its initial ground state ( $S_0$ ) into an energetically exited state ( $S_1$ ). From this state the molecule can return to its ground state through (2) = fluorescence emission (3) = intersystem crossing.

Provided that the triplet  $T_1$  state is long-lived in comparison to  $S_1$ , it can return to its ground state by (4) = phosphorescence emission or it can (5) = react with surrounding molecules to produce (6) = Type I reactions with free radicals. Otherwise it can react with oxygen to produce (7) = spin exchange and (8) = Type II reactions ( $^1O_2$ ). Singlet oxygen is highly reactive and plays a major role in photodynamic inactivation of pathogens. Curved arrows describe internal conversion and in general loss of energy.

In most cases, Gram (+) and Gram (-) bacteria are susceptible to the photosensitizing action of a
 variety of sensitizers under appropriate conditions. Examples of photodynamic inactivation of

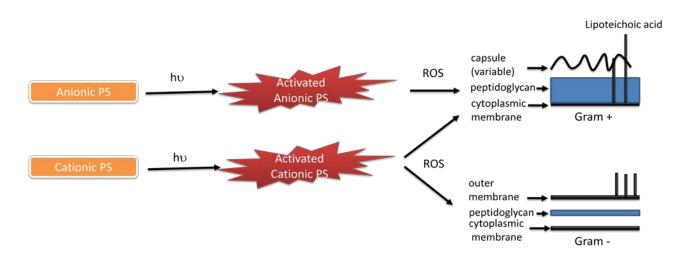
various Gram (+) and Gram (-) bacteria [24], such as *E. coli* [25,26], *S. aureus* [26,27], *S. mutans* 

[28], *P. gingivalis* [29], and *P. aeruginosa* [27,30] have been documented in the literature.

90 Various studies have shown that there is a fundamental difference in susceptibility to PACT

91 between Gram (+) and Gram (-) bacteria.

- Gram (+) species are more susceptible towards PACT inactivation because their outer wall, located
  outside the cytoplasmic membrane, is a relatively porous structure that is permeable to nutrients,
  glycopeptides and polysaccharides with a molecular weight in the 30,000–60,000 Da range and in
  the same way it allows photosensitisers to cross [31].
- Gram (-) bacteria are characterized by the presence of an additional 10-15 nm thick and highly
  organized outer membrane, which inhibits the penetration of some photosensitisers and
  photogenerated reactive species [32].
- 99 Only relatively hydrophilic compounds with a molecular weight lower than 600–700Da can diffuse100 through the porin channels that are located in the outer membrane [33].
- 101 Since the Gram (-) outer membrane is more negatively charged [34], cationic hydrophilic
- 102 photosensitizers are attracted to it, while anionic photosensitizers are repelled, and thus are
- 103 generally only active against Gram (+) bacteria (Fig. 2).
- 104 Cationic photosensitizers or anionic photosensitizers co-administrated with an outer membrane
- 105 disrupting agent can, however, inactivate both Gram (+) and Gram (-) microorganisms.



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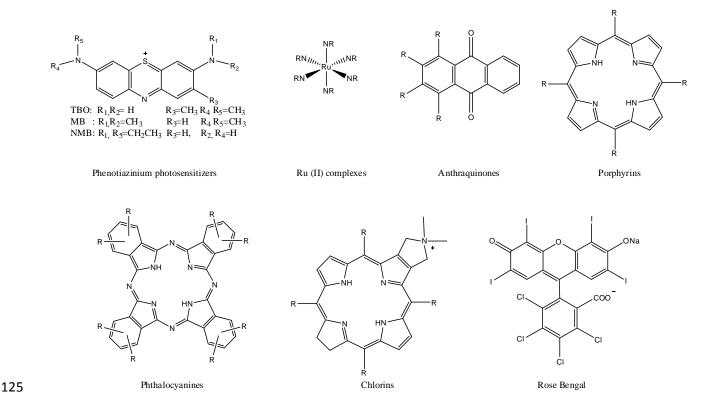
**Fig. 2**. Schematic representation of antimicrobial PDT. The photosensitizer (Ps) in the presence of light becomes excited and produces toxic oxygen species which damage DNA and/or membrane sites. Anionic photosensitizers are generally active only against Gram (+) bacteria because they cannot permeate the more negatively charged Gram (-) outer membrane [35].

A wide variety of cationic and anionic photosensitizers, such as Rose Bengal (RB), porphyrins,
phthalocyanines (Pc), methylene blue (MB), toluidine blue O (TBO), anthraquinones and ruthenium
complexes have been utilised for PACT in solution/suspension [36,37,38,39,40].

114 Ideally, since the Ps do not have to penetrate the bacterium or even come into a contact with the 115 cell in order to be effective [41], immobilization of the photosensitiser aims to allow both the efficient elimination of microorganisms, possibly during several cycles of use, and also the complete photosensitiser removal from the treated medium.

118 Other possible benefits include, reuse of the Ps and the possibility of water recycling after 119 disinfection, and the gradual photobleaching of the dyes by solar light, which prevents their 120 accumulation in the environment.

Many patents [42] and publications describe the immobilization of photosensitisers to combat bacterial infections. The aim of this review is to present the photosensitising molecules that have been immobilized on a support, the different supports utilized, and the bacteria that can be inactivated using particular combinations of support and photosensitiser (Table 1) (Fig 3).



126 Fig 3. Natural and synthetic photosensitizing unit described in this review used in PACT.

## 127 **2.** Phenothiazinium based photobactericidal materials

Methylene Blue (MB) and Toluidine Blue O (TBO) have great potential applications in PACT due to their low toxicity, the presence of a positive charge that makes them active against both Gram (+) and Gram (-) bacteria, and their favorable photochemical and photophysical characteristics such as light absorption at 650 nm. Both of these photosensitising molecules can be used as PACT agents [43,44,45] for inactivation of viruses and bacteria in blood fractions, and for plasma sterilization [46].

MB and TBO have been incorporated into silicone [47,48,49,50], polyurethane [51,52], polyethylene [53], cellulose acetate [54,55,56], plastics commonly used to fabricate devices used in hospitals such as catheters, and the photoantimicrobial ability of the resulting materials evaluated.

Cahan et al. [53] developed an inexpensive and simple method for preparing antibacterial surfaces 137 by spreading a mixed powder of poly (vinylidene fluoride) nanobeads and three photosensitizers 138 (RB, MB or TBO, 1:10 wt/wt each and previously immobilized on the same type of nanobeads) on 139 the surface of a thermoplastic, low-density polyethylene film (thickness 100 µm). The sandwich 140 layers were covered with a crimpled stamp and exposed to a hot pressing device for 1 h at 95° C. 141 142 The polyethylene layer was softened under the heat pressing and it trapped the nanobeads with and 143 without the Ps, which remained solid under the pressing temperature. Goniometrical measurements confirmed the hydrophobicity of all the surfaces and energy dispersive X-ray spectroscopy (EDS) 144 145 analysis was used to determine the concentration of the photosensitisers on the surface, that were 4.59 % and 1.68 % wt/wt, respectively for the MB and TBO, while the concentration of RB on the 146 147 surface was undetectable, probably because it was below the 1 %, detection limit for this mode of analysis. Significant reactive oxygen species were generated after illumination of the immobilized 148 photosensitizers with a light fluence rate of 1.46 mW cm<sup>-2</sup> for 30 minutes. Photodynamic 149 inactivation assays performed in nutrient broths under similar conditions for 24 h demonstrated an 150 increase in the antibacterial activity of the photoactive materials as a function of the initial bacterial 151 cell concentration ( $10^3$ ,  $10^5$  and  $10^7$  CFU mL<sup>-1</sup> for *E. coli*) increasing to more than 4 log<sub>10</sub> reduction 152 of the attached *E. coli* after illumination (1.46 mW cm<sup>-2</sup>) for 24 h when the inoculum was  $10^3$  CFU 153 mL<sup>-1</sup>. However, with the same inoculum, more than 4 log reduction of *S. aureus* was observed when 154 the cultures were illuminated for 6 h, showing that Gram(+) cells are significantly more sensitive to 155 156 the antibacterial effect of the surfaces than Gram(-).

Dyes were also incorporated together with nanogold into medical grade polymers commonly used in urinary catheter devices i.e. silicone and polyurethane using the "swell-encapsulation-shrink" method. An appropriate mixed solvent system allowed the polymer to swell thus enabling both dye and the nanoparticles (if used) to enter into the polymer matrix. After drying in air to allow

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evaporation of the solvent mixture, the polymer contracted to its original size, resulting in strongly colored dye-encapsulated polymer [48,49,50,51,52,57]. These antimicrobial polymers show significant antimicrobial activity against *S. aureus* and *S. aureus* (MRSA) when exposed to white light for 24 hours [50,51] or for 1 to 10 min against *S. aureus* (MRSA), *E. coli*, *S. epidermidis* when exposed to light from a low power 660 nm laser [48,49,52,57].

Interestingly, the material properties, with regard to both the surface roughness and elasticity were investigated before and after the exposure to radical species [47]. It is known that the radical species produced during gas plasma sterilization result in a decrease of elasticity of the polyurethane and an increase in brittleness, both undesired effects as they would cause problems during catheter removal [58,59].

The result demonstrated that exposure to laser light did not modify the elasticity (Young's modulus), the friction coefficient [52] or breaking point of the silicone containing photosensitizer. The surface roughness of the material and other surface topography parameters, such as the asperity density and the asperity height showed instead a continuous decrease with energy dose, thus making the material less prone to microbial adhesion [47]. The authors also demonstrated that a laser irradiation performed for 10 mins every 60 mins for 6 hours can inhibit biofilm formation and can reduce the extent of surface colonization.

- Furthermore, since the irradiated material didn't become more brittle, this makes the light-activated
  material still suitable for catheter production since a reduction in elasticity would make the material
  more brittle causing problems during catheter insertion/removal [47].
- TBO was incorporated into cellulose acetate polymer, which could be applied as a coating (either permanently or on a renewable basis) to hospital surfaces for surface disinfection [54,55,56]. The ability of TBO to kill a range of microbes under lighting conditions similar to those present in hospitals was evaluated.
- The incorporation of TBO into cellulose acetate resulted in an antimicrobial material that can kill effectively both a methicillin-resistant strain of *S. aureus* (EMRSA) and *P. aeruginosa* in 24 hours eradicating in the order of  $10^5$  CFU/cm<sup>2</sup> of both bacteria over a 24 hour period using white light illumination (60 W domestic lamp bulb), a level adequate to potentially reduce the bacterial population found on common surfaces in hospitals [54].
- The antimicrobial TBO cellulose acetate polymer demonstrated a potent photoinactivation of a range of microorganisms such as *S. aureus*, *E. coli*, *C. albicans*, *C. difficile*, and *bacteriophage X174* (host organism, E. coli ATCC 13706) upon illumination with a white light source (28 W fluorescent lamp) for periods ranging from 2 h to 16 h [55]. *C. albicans* was found to be the least

- sensitive to photosensitization using this system, with an 88% reduction in the viable count of C.
- albicans after 16 h irradiation. [55]
- Furthermore, Decraene evaluated the effectiveness of the coatings against microbes deposited ontosurface from aerosols, as this is closer to the true situation found in hospitals [56].
- 198 Interesting, for *E. coli* the efficacy of bacterial photoinactivation was found to be dependent on the
- 199 fluid the bacteria was suspended in with greater values for PBS than for human saliva, or horse
- serum (99.8 %, 97.6 % and 78.9 % respectively).
- 201 TBO was also conjugated to chitosan and this resulted in an improved efficacy against biofilm cells
- of S. aureus (MRSA) and planktonic cells of P. aeruginosa, and A. baumannii. Chitosan alone and
- without illumination had no antimicrobial activity, suggesting that the potentiated effect of chitosanworked after the bacterial damage induced by PACT [60].
- TBO was also incorporated into a mucoadhesive patch as a potential delivery system for use inPACT of oropharyngeal candidiasis [61].
- The authors also investigated the effect on *C. albicans* biofilms using TBO and illumination at 635 nm. With biofilms, higher concentrations of TBO and longer incubation times were required to achieve a total inactivation of biofilms than for planktonic cells. Therefore, the authors suggested that short application times of TBO-containing mucoadhesive patches should allow treatment of recently acquired oropharyngeal candidiasis, whereas longer times are required for persistent disease where biofilms are already formed [61].
- Wainwright et al. [62] dispersed new methylene blue, a methylene blue analogue, in urethaneacrylate and styrene-butadiene copolymers (40% w/w) and the antimicrobial activity of the resultant copolymer films was tested against both *S. epidermis* and *E. coli* bacteria.
- 216 When compared to polyacrylic ester films, the MB-containing styrene-butadiene films exhibited a
- greater antibacterial activity. This might be related to the different hydrophobicities of the two
  polymer types. Overall, the antimicrobial activity was more evident against the Gram (+) bacteria *S*.
- *epidermidis*, than the Gram (-) bacteria *E. coli*. Furthermore, for both bacterial strains,
  photodynamic inactivation assays gave the best results at both highest photosensitizer concentration
- 221 (1000  $\mu$ M) and highest light dose (11.5 J cm<sup>-2</sup>).
- Piccirillo et al. [49] reported the first example of TBO covalently bound at the surface of an activated silicone polymer. The antibacterial efficiency was tested against *E. coli* and *S. epidermidis* by exposure to 634 nm laser light. The polymer possessed significant activity even when the dye was present at a relatively low concentration, probably because the dye was held at the surface and the generated ROS were in the best position to interact with bacteria, owing to their short diffusion distances.

It was found that the presence of 2 nm in diameter gold nanoparticles synergistically enhanced the killing of *E. coli* and *S. epidermidis* when encapsulated in silicone with MB even though the mechanism of action is still poorly understood [48].

- In another study, a polysiloxane polymer embedded with MB and 2 nm nanogold particles showed
- up to a 3.5 log<sub>10</sub> reduction of *S. aureus* (MRSA) and *E. coli* when exposed for 5 min to a low power
- 233 600 nm laser. [57]
- Naik et al. [51] incorporated MB and TBO with gold nanoparticles into polyurethane. When irradiated with white light for 24 hours, MB and TBO impregnated polyurethane polymers showed a 2.8 log and 4.3 log reduction in *S. aureus* respectively. An additional 1 log<sub>10</sub> reduction in bacteria in the case of MB and 0.5 log in the case of TBO was observed when the gold nanoparticles were
- 238 incorporated with the two photosensitizers.
- Interestingly, in both cases the incorporation of 2 nm nanogold particles significantly enhanced the ability of MB to kill bacteria even though the mechanism of action is still poorly understood. It has been hypothesized that the gold nanoparticles might enhance the hydrophobic properties of the polymer or they might increase the kinetics of the reactions between the ROS generated by the photosensitizers and the microorganisms.
- Since it is known that optical and electronic properties of gold nanoparticles are affected by their size, Perni et al [48] studied the effect of the size of the gold nanoparticles on the antimicrobial properties of MB silicone polymer demonstrating an enhanced light-activated antimicrobial activity against Gram (+) and Gram (–) bacteria for nanoparticles of 2 nm.
- Another study by Perni [52] however, indicated that the presence of nanogold did not improve the antimicrobial activity of TBO embedded in polyurethane, even if the uptake of TBO in polyurethane was higher than that reported for silicone. This might be due to the inaccessibility of the dye entrapped in the polyurethane. In fact, a study of suspended TBO-tiopronin-gold nanoparticle in aqueous solution demonstrated a four-fold decrease in minimum bactericidal concentration under white light or 632 nm laser illumination when compared with the free TBO. [63].
- In an earlier paper, Savino [64] reported a MB conjugate, where the photosensitizer was covalently immobilized on 2% poly(styrene) copolymer by nitration, reduction and diazotization. That conjugate was found able to disinfect contaminated tap water with *E. coli* to levels acceptable for drinking.
- MB and TBO are active against a wide range of bacteria and viruses and they have been successfully immobilized in a wide range of polymers, showing the ability to inactivate bacteria and viruses even under light conditions similar to those commonly used in hospitals. Those materials in

the future may play an important role in decreasing the incidence and the spreading of nosocomial infection. They can find other key applications, such as the development of devices to disinfect water.

The field still faces with the key challenge of having a photobactericidal material with significant activity and with the dye present at as the lowest concentration as possible, avoiding the leaching of the dye from the material, a problem that has been observed sometimes. A covalent attachment of the phenothiazinum dyes to the surface of the inert support may minimize the leaching of the

269 biocidal agent into the surrounding environment and prevent aggregation.

#### **3. Ruthenium complexes**

Ru(II) metal complexes with ligands, such as 2, 2'-bipyridine (bpy) [65,66] and 1,10phenanthroline-5,6-dione (phendione) [67] recently showed remarkable photo-killing ability and therefore potential PACT applications. In fact those compounds appear particularly appealing due to the intrinsic positive charges and the consequent potent binding capacity to the negatively charged outer membrane of Gram (–) bacteria i.e. *E. coli* [68], the production of ROS [69,70], and the possibility of assembling peripheral ligands around the central metal to design a transition-metal complex with favorable functions such as, water solubility and biological compatibility.

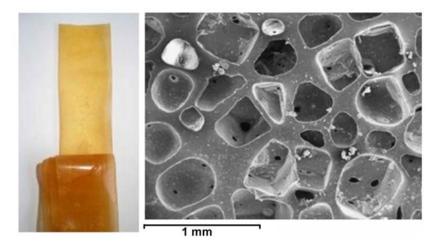
Bourdelande et al. [71] demonstrated that aqueous suspensions of a Ru(II) complex, [Ru (bpac)<sub>3</sub>]<sup>2+</sup> where bpac = 4,4'-dicarboxy-2,2'-bipyridine, both free in solution and covalently immobilized on Sephadex G-25 (a hydrophilic resin formed by copolymerization of dextran and epichlorohydrine) forming an insoluble hydrophilic polymer, are able to effectively generate singlet oxygen.

With the aim of carrying out a laboratory-to-pilot-installation study on water disinfection by polymer-supported Ru(II) complexes, porous silicone hollow cylinders, cationic derivatives of nylon, poly(vinylidene difluoride) (PVDF) membranes and cellulose membranes were selected to immobilized different Ru(II) complexes, such as [tris(4,7-diphenyl-1,10-phenanthroline)ruthenium(II)] dichloride, tris(1,10-phenanthrolinyl-4,7-bis(benzenesulfonate) ruthenate(II)) and tris(4,4'-dinonyl-1,10 phenanthroline)ruthenium(II) from concentrated hydroalcoholic or aqueous solutions (typically in the mM range) until saturation of the support was achieved [72].

Among all the different couples investigated, [tris(4,7-diphenyl-1,10-phenanthroline)ruthenium(II)] dichloride (abbreviated RDP<sup>2+</sup>) embedded in porous silicone hollow cylinders yielded the best combination of O<sub>2</sub> quenching efficiency and singlet oxygen lifetime, efficient singlet oxygen generation and bactericidal action against *E. coli* and *E. faecalis* under sunlight with no photosensitizer leaching into the water.

On the contrary, significant leaching was observed with tris(1,10-phenanthrolinyl-4,7bis(benzenesulfonate) ruthenate(II)) embedded in cationic nylon and cellulose membrane.

Manjon [73,74,75] and Villien [76] (Fig. 4.) recently evaluated the efficiency of different  ${}^{1}O_{2}$ photosensitizing Ru(II) tris-chelate complexes immobilized on anionic and cationic porous silicone in solar reactor prototypes for the disinfection of water contaminated with *E. coli* or *E. faecalis*. Anionic and cationic porous silicone were selected as support due to their optical transparency in the visible region, excellent oxygen permeability, the durability and the porosity, that increases the accessibility of the lethal ROS to the target microorganisms (Fig. 4).



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Fig. 4. Photograph of a 35 mm wide porous silicone (pSil) stripe with RDP<sup>2+</sup> photosensitizer dye
(left) and a scanning electron micrograph of an undyed porous silicone strip (right) [76].

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All provided significant inactivation using both artificial light or exposing the silicone strips to sunlight. Furthermore, the solid support proved to be reusable after reloading the sunlight-bleached substrate with new photosensitising material [75].

309 The recovery and reuse of immobilized photosensitizer opens the possibility to apply the 310 photodynamic process in a real waste treatment system, avoiding the photosensitizer release and 311 consequent contamination of water effluents.

Manjon et al. [73] synthesized a new photosentising material where C 60-fullerene and tris(4,7-

diphenyl-1,10-phenanthroline)ruthenium(II) dichloride were embedded into porous silicone using
the swell-encapsulation-shrink method. That material had favorable photophysical properties, but
exhibited poor inactivation of waterborne bacteria due to aggregation.

Ru(II) based photokilling materials only recently have showed photobactericidal properties, thus offering the possibility of new developments for PACT applications. The possibility to turn the properties of the desired complex by changing the peripheral ligands is a key property that can be used to develop highly efficient photosensitizers to combat antibiotic resistant pathogenic bacteria and to create new photobactericidal materials that may have potential key applications in domestic and healthcare settings.

### 322 **4. Rose Bengal**

- Rose Bengal (RB) is a commercially available, highly water soluble anionic photosensitizer with high singlet oxygen quantum yield, low rate of photodegradation and with a remarkable antibacterial activity against Gram (+) bacteria [77] when irradiated with simulated sunlight [78]. The cellular envelope has been identified as a probable target [79].
- To increase the killing efficiency against both Gram (+) and Gram (-) bacteria at lower concentrations, RB has been incorporated into natural polymers, such as cellulose acetate [55,56] and chitosan [80,81,82,83,84,85].
- Chitosan (CS), the N-deacetylated derivative of chitin, is a natural linear biopolyaminosaccharide
  consisting of 1,4-linked N-acetyl-D-glucosamine (GlcNAc) and D-glucosamine (GlcN).
- It is inexpensive, biodegradable, and nontoxic for mammals. It has an antimicrobial activity itself [86,87] and it possess free amino groups, which makes it attractive for the development of new chemical bonds. Due to these favorable characteristics, it has received significant interest in a broad range of scientific areas such as the food industry [88], cosmetics [89], pharmaceutical and biomedical sciences [90] such as dentistry [91].
- Moczek et al. proved that RB attached to the chitosan did not decrease the photosensitizing activity of the chromophore when attached through dehydration or covalent linkage to form two conjugates with different degrees of substitution with RB [80].
- The content of RB attached to the polymer was found to be 0.013 mol % for the conjugate obtained through dehydration and 0.35 mol % for the conjugate obtained through covalent linkage with respect to the glucosamine unit of the chitosan, respectively.
- Results indicated that the shape of the absorption spectrum and the ratio of the absorbance at the maxima were not dependent on polymer concentration in the range studied (1–0.1 g/L), and the quantum yield of singlet oxygen formed by RB after conjugation with chitosan was very similar to free RB in water.
- Since chitosan has mucoadhesive properties, the possibility to use CS nanoparticles functionalized
  with photosensitizer RB (CSRBnp) was explored in dentistry to improve root canal disinfection
  [85] even in the presence of tissue inhibitors within root canals [82].
- *E. faecalis*, a Gram (+) facultative microbe, was selected as a model since it plays an important role
- in the biofilm formation on biomedical devices and it is frequently the only surviving bacterium inrecurrent root canal infection.
- 353 Chitosan nanoparticles were functionalized with RB to provide a single-step treatment in a 354 synergistic approach combining the antibacterial properties of the conjugate and the chitosan 355 reinforcing ability on dentin-matrix [81].

RB was immobilized onto chitosan nanoparticles via amide bonds using N-ethyl-N ' -(3-dimethyl 356 357 aminopropyl) carbodiimide (EDC) and N-hydroxysuccinimide (NHS) as coupling agents.

- Based on the absorption spectra, the amount of RB bound in the conjugated CSRBnp was 358 calculated to be 14 µM per 0.1 mg/mL. Photocytotoxicity studies revealed higher fibroblast cell 359 survival, where compared to RB alone highlighting the biocompatibility of the conjugate [81]. 360 When tested on planktonic cultures and biofilms of *E. faecalis*, a similar CSRBnp conjugate, but 361 with a lower concentration of RB bound in the conjugate (3 µM in 0.3 mg/ml of CSRB), 362 363 demonstrated better PACT efficacy than RB alone [85].
- 364 When dentin collagen was crosslinked to CSRBnp, the CSRBnp-cross-linked dentin collagen 365 showed higher resistance to collagenase degradation and superior mechanical properties [81].
- 366 The antibacterial and antibiofilm efficacy of a polycationic chitosan-conjugated Rose Bengal photosensitizer (CSRB) were also tested on P. aeruginosa (Gram -) [84] since Pseudomonas 367 species are frequently associated with chronic infections and they have been detected in persistent 368 369 root canal infections. Concentration of CSRB uptaken by the bacterial biofilms was significantly higher than that of RB alone, especially in the biofilms. Photoactivation studies resulted in 370 significantly higher elimination of bacterial biofilms with CSRB than RB alone, highlighting the 371 372 advantage of using polycationic CSRB over anionic RB to achieve improved antibiofilm efficacy.
- 373 Rose Bengal and chitosan were covalently attached to the surface of polydimethylsiloxane (PDMS) through a two-step argon plasma treatment. First acrylic acid was grafted onto PDMS to form 374 375 PDMS-pAAc films that were further conjugated to CH.RB through chitosan amino groups, via EDC/NHS mediated coupling [83]. The amount of RB present in the CH.RB conjugate was found 376 377 to be 0.1 mol% by UV-vis spectroscopy at 575 nm and the grafted CH.RB was estimated to be 10.4  $\pm 0.1$  mg/cm<sup>2</sup> on PDMS-pAAc films. 378
- 379 Preliminary antibacterial testing against S. aureus and E. coli revealed that the system might be potentially applicable towards Gram (+) bacteria. 380
- 381 Decraene et al. [55] investigated the photokilling ability of RB immobilized together with TBO at the same concentrations on cellulose acetate by evaporation of acetone. They showed that the 382 383 leakage of photosensitizer was extremely small and produced a microbiocidal surface active under visible (white) light conditions. The coating was shown to be effective against S. aureus, S. aureus 384 (MRSA), C. difficile, E. coli, bacteriophage X174, and C. albicans, but exhibited a greater photo 385 killing ability for Gram (+) bacteria. 386
- 387 Rose Bengal has been linked to synthetic polymeric supports such as silica [92], polystyrene (PS) [64,93,94,95,96,97,98], Merrifield Resin [99] and polyethylene films with poly(vinylidene fluoride)
- 388
- 389 PVDF nanobeads [53].

- The concept of inclusion of RB into a solid phase was raised in the 1970s [99, 96].
- The first report of RB immobilized on a surface was by Shaap [99] in 1975 who linked RB by covalent bonds to Merrifield Resin, a co-polymer consisting of styrene and divinylbenzene.
- 393 Studies of singlet oxygen production found that the immobilized photosensitizer had a lower rate of 394 singlet oxygen generation, due most likely to diffusion problems.
- Bezman et al. in 1978 [96] first showed the photokilling ability of RB-PS nanoparticles towards *E*.
- *coli*, which was reported to be effective in killing 99.99% of *E. coli* in a contaminated water sample
  after 1-2 h exposure to white light.
- Since polystyrene is considered to be a commonly available and low-cost material, RB was immobilized on polystyrene porous films of cationically functionalized 2% DVB-crosslinked polystyrene beads [95].
- Nakonechny et al. [94] immobilized MB and RB on polystyrene by casting in chloroform and 401 402 subsequent air evaporation. The films were shown to have a porous structure with pores ranging from 1 to 3 µm. Bacterial cells grew well on the surface of polystyrene and some of them even 403 404 starting to develop biofilms for a stronger attachment to the polystyrene surface. After 3 h under illumination with white light in the presence of the immobilized RB the concentrations of S. aureus 405 406 and of E. coli dropped by 3 log and by 2.5 log respectively when using bacterial cells at a concentration of 10<sup>4</sup> cells mL<sup>-1</sup>. Under the same experimental conditions, immobilized MB 407 demonstrated lower efficiency than RB for both S. aureus and E. coli. 408
- Recently, RB was immobilized onto a honeycomb film [93] made of poly(styrene-4-vinylbenzyl chloride) (*ca*. 20 000 g mol<sup>-1</sup> molar mass, with a low 1.2 dispersity) formed by nitroxide-mediated radical polymerization. Rose Bengal was introduced subsequently by grafting through nucleophilic substitution.
- The porous polymer film, with a  $2 2.5 \mu m$  diameter and a well-organized hexagonal patterned surface, was more efficient for oxidation of organic molecules *via* singlet oxygen production at a liquid/solid interface when compared with the corresponding non-porous flat films, revealing promising PACT potential.
- 417 Nanoparticles surfaces modified with photosensitizer have also been proposed to enhance418 antimicrobial activity of free RB [92].
- Rose Bengal was used in silica nanoparticles to inactivate the Gram (+) bacteria, *S. epidermis* and *S. aureus* (MRSA) [92].
- 421 The transparent silica nanoparticles functionalized with amine groups (SiO<sub>2</sub>-NH<sub>2</sub>), were prepared
- 422 by hydrolysis of TEOS in a reverse micro-emulsion method, functionalized with amino groups then
- 423 covalently attached to RB using EDC in MES buffer (pH = 6).

- 424 SiO<sub>2</sub> –NH<sub>2</sub> –RB were shown to be more potent than free RB at inactivating Gram (+) bacteria.
- 425 The same conjugate was reported to have a singlet oxygen quantum yield lower than free RB (0.60
- 426 vs 0.75). Nevertheless, this value is higher than 0.43 previously obtained for RB bound to micron-
- 427 size polymer beads [99]. This suggest that nanoparticles increase the surface area making easier the
- 428 access of RB to the molecular oxygen present in the solution, thus increasing the damage to the
- 429 bacterial cells.
- Overall, it appears that RB is a good candidate for PACT applications because it's commercially 430 available at high purity. Furthermore its carboxylate function, through a nucleophilic substitution, 431 432 allows the formations of covalent bonds between the inert support and the dye, resulting in antimicrobial materials that may show more stability. On the other hand, the anionic character 433 434 might decrease the antibacterial activity spectrum. The conjugation of the anionic dye to polycationic polymers such as chitosan seems to be an interesting approach to improve antibacterial 435 436 efficacy. Also the use of porous materials might improve increases the accessibility of the lethal ROS to the target microorganisms. 437

#### 438 **5.** Phthalocyanines

439 Phthalocyanines (Pc) are extended macrocyclic systems that have provoked significant interest in PACT. Cationic water soluble phthalocyanines were shown to be active against Gram (-) E. coli 440 [100], P. aeruginosa [100,101,102] Gram (+) S. aureus (MRSA) [101,102], E. faecalis [102] and 441 the fungi C. albicans [101,102,103]. Chen et al. [104] demonstrated that poly-cationic lysine 442 443 moieties used as support for zinc phthalocyanine were active against Gram (+) and Gram (-) bacteria, both *in vitro* and *in vivo*. The presence of a positive charge appears to promote a tight 444 445 electrostatic interaction with negative charges on lipopolysaccharides at the outer surface of Gram 446 (–) bacteria.

Recently, polymeric fibers doped with phthalocyanines were applied for the fabrication of
photoantimicrobial surfaces using the electrospinning technique [105,106,107,108,109].

Electrospinning has proven to be a relatively simple and versatile method for forming non-woven fibrous mats with a defined porosity and water permeability with a very high fraction of surface available to interact with cells. The possibility to host a variety of molecules to fine-tune their properties for specific applications, together with the possibility to modify the structure, the chemical and mechanical stability, and the functionality, makes this method appealing for antimicrobial applications [110]

Following the photodegradation of Orange-G, Modisha et al. [111] confirmed that electrospun 455 (2,3,9,10,16,17,23,24-octacarboxyphthalocyaninato)zinc(II) 456 conjugates of with magnetic nanoparticles in polyamide-6 (PA-6) fibers were able to generate singlet oxygen after the 457 electrospinning process and Tombe [107] reported singlet oxygen quantum yields of 0.28 and 0.13 458 for a (4,11,18,25-tetrabenzylphthalocyaninato)zinc(II)-gold nanoparticle conjugate immobilized on 459 460 electrospun polystyrene fibers with and without gold atoms, respectively. The immobilized conjugate was active as a photocatalyst for oxidizing organic pollutants, such as 4-chlorophenol and 461 Orange G using oxygen as an oxidant. Interestingly, Goethals et al. [112] reported that PA-6 462 membranes functionalized with [2,9,16,23-tetra(2-thioquinoline)phthalocyaninato]zinc(II)) after the 463 electrospinning deposition were capable of photobleaching significantly more DPBF than 464 membranes that were non functionalized. 465

466 Polystyrene electrospun fibers were also employed as they have extensive  $\pi$ - $\pi$  electronic 467 interactions between the aromatic systems of the phthalocyanine and the polymer 468 [105,106,107,108].

Masilela et al. [106] first reported the antimicrobial photo-inhibitory activity of a series of Zn(II)
phthalocyanines incorporated into electro-spun polystyrene fibers. The biocidal effect of
asymmetrical versus symmetrical substitution on the phthalocyanines was investigated using S.

*aureus.* All the unsymmetrically substituted complexes showed antimicrobial activity towards *S. aureus* under illumination with visible light. The symmetrical (phthalocyaninato)zinc(II) (ZnPc)
and its symmetrical tetracarboxy derivative [2,9,16,23-tetra(4carboxyphenoxy)phthalocyaninato]zinc(II) showed no activity under illumination with light in the
fiber matrix due to low levels of singlet oxygen production.

Since heavy metals are expected to increase singlet oxygen quantum yield through enhanced
intersystem crossing, as a result of the heavy atom effect, Osifeko [105] incorporated into
polystyrene electrospun fibers low symmetry Pcs (i.e. mono substituted), including [2,9,16,23tetra(4-pyridyloxy)phthalocyaninato]lead(II) (PbTpyPc) and its tetracationic derivative [2,9,16,23tetra(4-*N*-methylpyridyloxy)phthalocyaninato]lead(II).

The tetracationic electrospun photosensitizer exhibited better singlet oxygen quantum yield and improved inactivation response against *E. coli*, compared to the neutral precursor. Similarly, when the tetracationic conjugate was tested, it was found to be more active than the non-ionic precursor as no colony was observed on the agar plates after 30 minutes of irradiation with white light. Since leaching studies revealed that the phthalocyanines are not released from the fibers, the authors concluded that Pb doesn't result in additional toxicity.

Alternatively, Mosinger used polyurethane (PUR) electrospun nanofibers as the polymeric support
for incorporation of unsubstituted ZnPc [108].

Zinc phthalocyanine was revealed to be an efficient photooxidizing substrate. When exposed to
white light for 30 minutes, electrospun PUR-ZnPc doped nanofibers where able to kill *E. coli*,
however better results were obtained with polyurethane nanofibers doped with 5,10,15,20tetraphenylphorphyrin (TPP).

- Artarsky et al. also investigated the use of zinc phthalocyanines for PACT [113]. In this particular
  example two different phthalocyanine compounds, [2,9,16,23-tetra(4-
- 496 terbutyl)phthalocyaninato]zinc(II) (TBZnPc) and (2,9,16,23-tetrasuphoxyphthalocyaninato)zinc(II)
- 497 (ZnPcTS) were entrapped into a silicate matrix prepared from tetraethylorthosilicate (TEOS) by the498 sol-gel method.
- The tetracationic ZnPcTS conjugate demonstrated more effective singlet oxygen production thanthe neutral TBZnPc conjugate.
- 501 The photobactericidal results confirmed that the tetracationic ZnPcTS was more effective than the
- neutral TBZnPc in killing E. coli in microbially polluted waters (E. coli reductions of about 1 log

503 after 120 minutes of exposure)

504 The authors hypothesized that ZnPcTS, being the dye with the more pronounced hydrophilic 505 character is likely to be preferentially deposited near the sol–gel surface, where the hydrophilic

- character is prevailing and thus not evenly distributed throughout the whole bulk, while the tertiary
  butyl derivative (TBZnPc) is mainly present in the internal parts of the matrix as a result of which it
  is less accessible and therefore less active.
- 509 Phthalocyanines have been immobilized on a polymeric cellulose diacetate film [114] by co-510 dissolution and casting or covalent attachment to a membrane [115] of chitosan, and used in a 511 circulating water photoreactor system as a model for a large-scale water-flow system [115].
- 512 For this purpose chitosan membranes were found to be very brittle, but their flexibility was 513 improved by casting the polymer into a nylon support, which offered flexibility without altering the
- 514 final transparency or translucency of the membranes.
- 515 The concentration of the (2,9,16,23-tetrasuphoxyphthalocyaninato)zinc(II) as tetrasodium salt
- 516 (ZnPcS) covalently attached to the membrane was roughly estimated as  $9 \,\mu g \, cm^{-2}$  based on solution
- 517 molar absorbance.

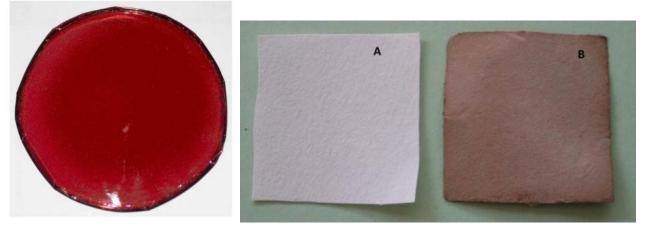
518 Photoantimicrobicidal activity was observed for the reinforced zinc phthalocyanine/chitosan 519 membrane after 35 mins with a  $0.90 \times 10^{-5}$  cfu ml<sup>-1</sup> cell count (initial cell count was  $1.99 \times 10^{-5}$  cfu ml<sup>-</sup>

- <sup>1</sup>) that dropped to  $8.3 \times 10^2$  cfu ml<sup>-1</sup>, showing a bacterial kill of >2 log in 160 min. Interestingly, the same membrane, kept in the dark, after 9 months still showed a detectable activity with a reduction of approximatively 1 log of bacteria after 160 min, reflecting the thermodynamic stability of the phthalocyanine system.
- Pcs have shown to be promising candidate for the development of new antibacterial materials. The possibility to make them positively charged with an appropriate choice of the substituent is an interesting feature that is making them suitable starting materials for the development of new photokilling surfaces. The efficient immobilization of Pc onto solid support and the stability that those materials seem to have help to reduce the cost through an efficient recycling.

### **6. Porphyrins immobilized on Natural Polymers**

Porphyrins have been linked to natural polymers for multiple purposes, for example they have been
bound to cellotriose moieties for optoelectronics purposes [116] and they have been conjugated to
chitosan to enhance gene transfection using PDT [117].

- 533 Commercially available protoporphyrin IX (PPIX) was successfully attached to nanoparticles 534 composed of an iron oxide core coated with dextran by an esterification reaction using 1,1'-535 carbonyldiimidazole (CDI: 2 eq./porphyrin) as the electrophilic activator. These particles were 536 incorporated into cultured cancer cell lines showing a potential application in PDT [118].
- 537 Organized, multilayer organic–inorganic films of sulfonated C60, 5,10,15,20-tetra(4-*N*-538 methylpyridyl)porphyrin (TMePyP<sup>4+</sup>) and chitosan were formed using electrostatic layer-by-layer 539 (LBL) assembly technology, which has proved to be a facile method for generating a wide range of 540 organized and stable thin films [119].
- Porphyrin-based photobactericidal materials have been developed by grafting porphyrin-based
  compounds onto natural polymers, such as chitosan [114,115] cellulose [120,121,122,
  123,124,125,126,127,128,129] and dextran [118].
- Porphyrins have been immobilized on polymeric cellulose diacetate films [114] or incorporated into 544 translucent reinforced nylon chitosan membranes by adsorption using 5,10,15,20-tetra(4-545 hydroxyphenyl)porphyrin, (p-THPP) or by dissolution and casting with 5,10,15,20-tetra(4-546 547 aminophenyl)porphyrin, (p-TAPP) and used in a circulating water photoreactor system as a model for a large-scale water-flow system [115]. The concentration of the adsorbed porphyrin was 548 estimated to be about 5.7  $\mu$ g cm<sup>-2</sup> while the concentration of the porphyrin immobilized by casting 549 was found to be 7.5  $\mu$ g cm<sup>-2</sup> based on solution molar absorbances or on the weight of porphyrin 550 551 added, respectively.
- 552 When tested on *E. coli*, both p-THPP/chitosan and p-TAPP/chitosan membranes displayed a 553 photokilling ability after 40 mins of white light irradiation.
- Neutral, anionic, and cationic porphyrins were covalently linked to cotton fabric [120,121,122] as
- well as to cellulose esters [123,124,125] (Fig. 5) and were able to confer photobactericidal activity on the cellulosic materials.



- 557
- **Fig. 5**. (Left) Porphyrinated cellulose laurate plastic film of 0.52% PPIX content [123].

(Right) Photographs of (A) filter paper and (B) filter paper after reaction with aminoporphyrin andcyanuric chloride [129].

Protoporphyrin IX (PPIX) [123], 5,10,15-tri(4-methylphenyl)-20-(4-methylpyrydyl)porphyrin [124] and porphyrins with a spacer arms comprising 4- or 11-carbons such as 5-[4-(3carboxypropyloxy)phenyl]-10,15,20-tri(4-methylphenyl) porphyrin) and 5-[4-(10carboxydecanoxy)phenyl]-10,15,20-tri(4-methylphenyl) porphyrin [125] were covalently attached to cellulose laurate esters films by a "one-pot, two-step" esterification reaction starting from cellulose and porphyrin.

567 PPIX [123] was covalently bound to the cellulose using Tosyl chloride and pyridine in 568 dimethylacetamide/lithium chloride (DMA/LiCl) as binary solvent followed by esterification of the 569 remaining carboxylic groups of cellulose by lauric acid in the same binary solvent system. This 570 synthetic procedure allowed the dissolution and chemical modification of cellulose, a natural 571 polymer having stiff, shape-stable structure into a plastic material allowing also the incorporation of 572 the PPIX through a covalent bond. Seven porphyrinated films with different PPIX contents from 573 0.19% to 1.1% were obtained by casting in a glass Petri dish (Fig. 5.)

- No surviving colonies, for both *S. aureus* and *E. coli*, were seen for films with a porphyrin content
- of 0.52 or higher for PPIX [123], 0.35 for the cationic porphyrin 5,10,15-tri(4-methylphenyl),20-
- 576 mono(4-*N*-methylpyrydyl)porphyrin [124] and 0.18 and 0.30 for the porphyrins with the 4- or 11-577 carbons spacer arms, respectively [125].
- Ringot et al. [120,122] used the Cu(I) catalyzed Huisgen 1, 3-dipolar cycloaddition or "click
  reaction" to covalently graft anionic, neutral, and cationic amino porphyrins on cotton fabric,
  without previous chemical modification of the cellulosic support.
- 581 Previously, the same group reported a direct cellulose azidation, followed by a "click" reaction in 582 THF and water with acetylenic porphyrins [122].

- 583 5-(4-aminophenyl)-10,15,20-triphenylporphyrin (TPP-NH<sub>2</sub>), anionic 5-(4-aminophenyl)-10,15,20-
- tri(4-sulphonatophenyl)porphyrin (TPPS-NH<sub>2</sub>) and cationic 5-(4-methylpyrydyl)-10,20-di(2,4,6-
- trimethylphenyl)-15-(4-aminophenyl)porphyrin (trans-MePy-NH<sub>2</sub>) were grafted to cotton fabric
- 586 (3.5 x 3.5 cm, 0.27 g) using cyanuric chloride [120,121].
- 587 The highly reactive porphyrin with triazine link was characterized after the complete substitution of 588 the chlorine atoms with the use of piperidine (for neutral and cationic products) and sodium 589 sulfanilate (for the anionic compound) [121].
- 590 The modified fabric was non-toxic towards either bacterial species in the dark. After 24 h exposure
- to white light irradiation, all modified surfaces caused a photobactericidal effect in Gram (+)
  bacteria *S. aureus*. Cationic cotton gave the best result in terms of bacterial growth inhibition,
- 593 followed by neutral cotton and anionic cotton, percentages of bacterial growth inhibition were 594 100% for cationic cotton, 93.7% for neutral cotton and 37% for anionic cotton, respectively [120].
- 595 Following a similar approach, Mbakidi et al. [129] developed a novel antimicrobial paper by 596 grafting the tricationic porphyrin 5-(4-aminophenyl)-10,15,20-tri(4-*N*-methylpyridyl)porphyrin 597 using the same 1,3,5– triazine derivative described before (Fig. 5).
- 598 The porphyrin grafted paper was characterized using diffuse reflectance UV-Vis.
- 8599 Results have showed a grafting yield of 55% (0.03  $\mu$ mol/mg of paper simple), which was similar 800 with grafting yields of different porphyrins on cotton fabrics [120].
- The photobiocidal activity of the photoantimicrobial filter paper was tested against *E. coli* and *S. aureus*. After 24 hours exposure to white light at a fluence of 9.5 J/cm<sup>2</sup>, no surviving bacteria were detected on the grafted filter paper.
- While there are several studies of porphyrins immobilized onto cellulosic materials (cotton fabrics, microcrystalline cellulose) or cellulose strands, there have been few studies that describe the covalent bonding of a porphyrin derivative onto a nanocrystalline cellulose (CNC) scaffold and its use as photokilling surface [126,127].
- 608 Carpenter [127] and Feese [126] both used nanocrystalline cellulose (CNC) as the support for a 609 photobactericidal material formed from the covalent attachment of a [5,10,15-tri(4-*N*-610 methylpyridyl)-20-(4-alkylphenyl)porphyrinato]zinc(II) to the surface of an azide-modified 611 cellulose nanocrystals through a "click" reaction.
- 612 Nanocrystalline cellulose (CNC) is obtained from cotton fiber through the acid hydrolytic 613 disruption of the amorphous domains of cellulose and the consequent conversion of native cellulose 614 fibers into a colloidal dispersion. Due to its good properties such as large surface area, good 615 mechanical strength and biodegradability, as well as availability and biodegradability, it is currently
- being investigated as a component of transparent flexible films.

The photobactericidal activity of porphyrin–cellulose nanocrystals films was investigated against a
wide variety of bacteria, such as *A. baumannii*, multidrug-resistant *A. baumannii* (MDRAB),
methicillin-resistant *S. aureus* (MRSA), *P. aeruginosa* [127], *E. coli, S. aureus* and *M. smegmatis*

620 (mycobacterium) [126].

621 Gram (+) positive S. aureus, S. aureus (MRSA), Gram (-) A. baumannii and A. baumannii

(MDRAB) gave a reduction in colony forming units (CFUs) even after 15 min illumination withwhite light.

624 *P. aeruginosa* appeared to be susceptible to photodynamic inactivation with no statistically 625 significant inactivation observed when incubated for less than 30 min. For all the bacterial strains 626 examined a 30 min light dose achieved a reduction in viable cells greater than a 15 min light dose, 627 attributable to the higher amount of cytotoxic reactive oxygen species formed, in particular  ${}^{1}O_{2}$ .

528 Since confocal laser scanning microscopy after incubation with *S. aureus* suggested a lack of 529 internalization of the Ps, this study also suggested that reactive oxygen species produced 530 extracellularly by photodynamic therapy can be effective without internalization of the 531 photosensitizer.

It has been shown that porphyrins keep their antimicrobial properties when grafted to natural polymers, such as chitosan or cellulose or dextran. These modified polymers have been casted into photobactericidal membranes or films or used as cotton textiles to form eco-friendly materials with potential industrial, medical, and household applications.

The field still faces with the key challenge of having a photobactericidal material with significant activity and with the dye present at the lowest concentration as possible, minimizing the leaching and with an improved durability of the material.

## 639 **7.** Porphyrins linked to synthetic polymers

640 Porphyrins, due to their versatile nature, have been linked to a great variety of synthetic polymers.

Water-soluble, [5,10,15,20-tetra(4-sulphophenyl)porphyrinato]iron(III) was effectively immobilized into anionic Dowex resin for catalytic purposes. By having a suspension of the Dowex resin in distilled water with 4 mg of the iron(III) porphyrin and stirred for 2-3 hours at room temperature, the attachment of the porphyrin was followed due to the surface changing color and becoming green [130]. This system was stable, in fact even after filtration and washing with distilled water, the solid was found to retain completely the adsorbed iron porphyrin, and it was easily recovered after the reaction and reused without loss of activity.

648 Similar results were obtained with Mn(II) porphyrins supported on commercially available resins649 [131].

Ribeiro et al. [132] studied the photocatalytic behavior of porphyrins covalently linked to a 650 Merrifield polymer previously modified with an excess of  $\alpha$ ,  $\omega$ -diamines to obtain the 651 aminoalkylated polymers, making them suitable for reaction with chlorosulfonated porphyrins. The 652 653 authors also reacted the chlorosulfonyl porphyrin with commercially available aminomethylated polystyrene divinylbenzene co-polymer to obtain a porphyrin covalently linked to the polymer but 654 close in proximity to the polymer backbone due to the absence of a spacer molecule. This conjugate 655 was found to have the highest value of porphyrin incorporated. All of the supported photosensitizers 656 were able to generate singlet oxygen with an efficiency dependent on the structure of the spacer 657 658 between porphyrin and polymer. The catalyst was filtered, washed and dried and could be recycled 659 with a new substrate batch, with one of the catalysts being reused for three catalytic cycles.

660 Water-soluble Pd(II), Pt(II) and Rh(III) complexes with 5,10,15,20-tetra(4-*N*-methylpyridyl) 661 porphyrin (TMPyP<sup>4+</sup>) and 5,10,15,20-tetra-(4-*N*,*N*,*N*-trimethylaminophenyl)porphyrin were 662 immobilized in per-fluorinated ion-exchange membranes (e.g. Nafion®) after boiling in 663 concentrated nitric acid for 30 min and in double distilled water for 30 min to clean them and to 664 make them optically transparent above 240 nm [133]. The membranes revealed a good 665 photostability and high oxygen permeability.

666 [5-(4-hydroxyphenyl)-10,15,20-tris(4-sulfonatophenyl)porphyrinato]zinc(II) and [5-(4-

667 hydroxyphenyl)-10,15,20-tris(4-*N*-methylpyrydyl)porphyrinato]zinc(II) were transesterified on

transparent poly (methylmethacrylate) polymer films in toluene in the presence of p-toluenesulfonic

acid [134]. Related to the number of methyl esters present in the PMMA, the concentration of the

porphyrins in the polymer was found to only be 1%.

Xing et al. [135] described the complex formed by electrostatic interactions of water-soluble 671 anionic with tetracationic 5,10,15,20-tetra[4-(6-*N*,*N*,*N*-672 polythiophene trimethylammoniumhexyloxy)phenyl]porphyrin bromide (TPPN). This electrostatic complex 673 adsorbed Gram (-) and Gram (+) bacteria and generated singlet oxygen effectively to kill the 674 675 bacteria under white light. In this case, the photokilling ability of the system was tested against E. coli and B. subtilis, for which ca. 70% and 90% of bacterial viability reduction, respectively, was 676 observed after only 5 min of irradiation with white light at a fluence rate of 90 mW cm<sup>-2</sup>. 677

Doped polysilsesquioxane films were synthesized adding the anionic 5-(4-carboxyphenyl)-10,15,20-tris(4-methylphenyl)porphyrin at different concentrations in THF/water using an appropriate amount of formic acid as catalyst [136]. The final concentrations were 2.6  $\times 10^{-4}$  w / w and 5.2  $\times 10^{-4}$  w / w respectively.

Bridged silsesquioxanes allowed the creation of a moldable, versatile and flexible material at roomtemperature, which could be used for the dispersion of dyes.

- *In vitro* investigations showed that they were able to kill *C. albicans* upon irradiation with visible light. The doped films produced a ~2.5 log decrease in *C. albicans* (99.7 % cellular inactivation) after 60 min irradiation, but 96% cellular inactivation was observed after 30 minutes irradiation.
- 687 When tested under conditions of microbial growth, yeast cells exposed to the film and illuminated,
- showed growth delay compared with controls. The free form of photosensitizer was evaluated aswell and it was found to have a small photoinactivation effect of 0.5 log decrease after 60 mins.
- 690 5,10,15,20-tetra(4-N,N-diphenylaminophenyl)porphyrin and its Pd(II) complex immobilized on
- optically transparent indium tin oxide (ITO) electrodes have been proposed to inactivate *C. albicans* cells for possible applications in the control and disinfection of the aqueous suspension of microorganisms [137].
- These films exhibited a photosensitizing activity causing a  $\sim 3$  log decrease (99.9 % cellular inactivation) of *E. coli* after 30 minutes and  $\sim 2.0$  log decrease (99.7%) of *C. albicans* survival after 60 minutes, suggesting that eukaryotic cells are more difficult to inactivate than bacteria.
- As before [136] yeast cells showed growth delay compared with controls when tested undercondition of microbial growth.
- Banerjee et al. [138] described the covalent functionalization of carbon nanotubes with porphyrins
  for antiviral purposes. PPIX was immobilized onto nanomaterial scaffolds such as multi-walled
  carbon nanotubes (MWNTs) to develop antimicrobial nanocomposite films by combining the
  biocidal ability of porphyrins with the mechanical strength of the nanotubes.
- A treatment with 1000  $\mu$ g mL<sup>-1</sup> of the porphyrin-nanotube conjugate caused more than a 250-fold reduction in the effective infectious Influenza A viral dose after a 30 min exposure to visible light. Both the conjugated and the unconjugated MWNTs were incubated in the dark and in both cases there was no observable photokilling effect.
- Since carbon nanotubes can be easily recovered by filtration making them appealing for possible reuse of the material, the authors showed that the conjugate can indeed be recovered and reused and it still effectively causes a 50-fold reduction in the infectious viral dose, even after five uses.
- In previous work [139], the same porphyrin-nanotube conjugate showed a high bactericidal activity against *S. aureus* cells upon irradiation with visible light. In fact the MWNT - PPIX conjugate, after coating on nitrocellulose filter membranes, was able to inactivate more than 80% of the bacterial
- colonies after 1 h exposure to visible light.
- Gao et al. investigated the use of cross-linked polystyrene (CPS) microspheres (0.32 0.45 mm in
- diameter), with a cross-linking degree of 4% for the direct synthesis of a porphyrin-polystyrene
- conjugate through modification of the polystyrene microspheres themselves [140].

717 CPS are readily available, cheap, mechanically robust and chemically inert and they can undergo718 facile functionalization.

- Pyridylporphyrin (PyP) was synthesized directly on the surface of chloromethylated crosslinked
  polystyrene microspheres (CMCPS microspheres).
- Pyridinecarboxaldehyde groups were introduced through a quaternization reaction to form the
   modified microspheres (PyAL-CPS). Finally, PyAL-CPS microspheres were condensed with
   pyrrole and free 4-pyridinecarboxaldehyde using the Alder reaction to form the porphyrin *in situ*.
- PyP-CPS microspheres were reacted with CH<sub>3</sub>I as the quaternization reagent, to obtain the cationic
  analogue. In other papers [141,142] 4-hydroxybenzaldehyde (HBA)-bound CPS microspheres,
  pyrrole, and benzaldehyde were condensed similarly, again using the Adler reaction.
- 727 Two different methods of analysis allowed confirmation of the attachment of the porphyrin to the 728 microsphere. Through IR spectroscopy it was possible to confirm attachment of the porphyrin to the 729 microsphere, while UV-visible spectroscopy confirmed the presence of the Soret and Q-bands of 730 the porphyrin molecules.
- Interestingly, in previous papers [141,142], the amount of porphyrin immobilized on the
  microsphere surface was determined through complexation of the immobilized porphyrin with zinc
  (ZnCl<sub>2</sub> solution) followed by analysis of Zn ion content in the final solution using EDTA through a
  complexometric reaction.
- Griesbeck et al. [143] more recently reported polymer-bound sensitizer systems using TPP or
- 5,10,15,20-tetra(4-methylphenyl)porphyrin (TTP). Commercially available polystyrene beads
- $(approx. 60 \,\mu m)$  cross-linked with divinylbenzene were utilized as the polymeric support.
- The PS beads were loaded with the sensitizing molecule by swelling with a solution of catalytic amounts of TPP and TTP in ethylacetate followed by evaporation of excess solvent from the solution. Following this photooxidation of  $\beta$ -pinene and ethyl tiglate was used to quantify photoactivity of the porphyrin loaded beads. The authors were able to show that singlet oxygen is produced in a solvent-free photooxygenation process.
- Recently Griesbeck et al. designed a solventless reaction which has been the subject of considerable
  interest as an eco friendly synthetic approach, reducing the amount of environmentally problematic
  and expensive solvents and retarding the production of side products as a result of the enhanced
  selectivity [144].
- 747 Commercially available PPIX and 5,10,15,20-tetra(4-vinylphenyl)phorphyrin (TSP) were attached
- to polystyrene beads cross-linked with divinylbenzene. The process was carried out using emulsifier
- free polymerization of styrene with divinyl benzene for the formation of nanosized polystyrene-
- divinylbenzene particles. The method was a one-pot synthetic method with the porphyrin embedded

in the backbone of the polymer. This technique allowed the syntheses of the translucent particles in

a simple and reproducible way.

In particular, the production of singlet oxygen under irradiation conditions was of interest from theviewpoint of PACT.

Inbaraj et al. [145] reported the functionalization of cationic N-alkylpyridinium polystyrene 755 supports with 5,10,15,20-tetra(4-sodiumsulphonatophenyl)porphyrin (TPPS) and its metallo 756 complexes [5,10,15,20-tetra(4-sodiumsulphonatophenyl)porphyrinato]cadmium(II) (CdTPPS) and 757 [5,10,15,20-tetra(4-sodiumsulphonatophenyl)porphyrinato]zinc(II) (ZnTPPS). Since the polymeric 758 759 support used was 2% cross-linked divinylbenzene with styrene, the porphyrin molecule was 760 attached by ionic interactions from a pyridine to the sulfonate group on the porphyrin. N, N-761 dimethyl-4-nitrosoaniline (RNO) was used as an indicator for photo-induced singlet oxygen with imidazole as a chemical trap for singlet oxygen. Quantum yields were reported as 0.29, 0.27, and 762 763 0.16 for PS-H<sub>2</sub>TPPS, PS-ZnTPPS, and PS-CdTPPS, respectively, whilst the unbound porphyrins had singlet oxygen quantum yields of 0.62 and 0.81 for  $H_2$ TPPS and ZnTPPS, respectively. The 764 765 binding of the porphyrin to the polymer was found to decrease the quantum yield. The authors attributed this to structural deformation of the appended porphyrins on the spherical shape of 766 767 polymer bead surface, and the resulting decrease in exposition to light.

TTPS and their metalloderivatives [MTPPS; M=Cu(II), Zn(II), Ag(II), and Cd(II)] immobilized on a support made of poly(4-vinylpyridine) (PVP), crosslinked and linear polystyrenes partially chloromethylated and quaternized, and polyethylene glycol (PEG) have demonstrated their ability to carry out enzyme mimetic reactions efficiently [146].

- 772 Recently, the commercial hydrophilic [5,10,15,20-tetra(4-
- sodiumsulphonatophenyl)porphyrinato]manganese(III) chloride (MnTPPS) was mixed with
- dimethyldioctadecyl-ammonium bromide (DODMABr) to form a hydrophobic complex that was
- used to construct microporous honeycomb films (MHFs) on glass substrates *via* casting an organic
- solution of MnTPPS-DODMA at relative humidities higher than 80% [147].
- PS was used to increase the strength of the film but also to modulate the pore sizes.

The porous polymer film, 800 nm in diameter and a well-organized hexagonal patterned surface, was more efficient for oxidation of organic molecules *via* singlet oxygen production when compared with the corresponding non-porous thin films. This is in agreement with results by Pessoni et al. [93].

These microporous honeycomb films of MnTPPS-DODMA were shown to have more efficient antibacterial activity when compared with MnTPPS-DODMA non-porous thin films (83% reduction versus 43% respectively) upon irradiation with visible light for 1 h. Bacterial reduction in

- 785 the dark was 7%, showing a direct correlation between irradiation with light and photokilling ability 786 of the substrate.
- Magaraggia et al. [148] encapsulated an hydrophilic porphyrin into silica microparticles prepared by 787
- 788 the Stöber method through the ammonia-catalyzed hydrolysis of TEOS to form a conjugate with a

mean particle diameter of ca. 0.9 µm. 789

Limited photobleaching of the encapsulated porphyrin was carried out when the porphyrin was 790 exposed to visible light. The microparticles exhibited a photosensitizing activity causing a decrease 791 in survival by a 4 log reduction after a 20 min irradiation of the Gram (+) bacterium S. aureus 792

- (MRSA), and a 30 min irradiation of the Gram (-) E. coli in the presence of 10 µM of the porphyrin 793 794 silica microparticles.
- Silica based nanomagneto-porphyrin hybrids were described by Alves [149] and Carvalho [150], 795
- these materials were particularly appealing due to the possibility to easily isolate and purify them 796 using a magnetic field. 797
- 798 Carvalho et al. investigated the use of magnetic nanoparticles ( $Fe_2O_3$  in this case) surrounded by a 799 silica shell for the attachment of porphyrins for use as antimicrobial agents. Characterization of the nanoparticles was carried out using pXRD and UV-visible spectroscopy. The attachment was 800 801 monitored by UV-visible spectroscopy and showed that the relative amount of porphyrin attached 802 was 4 - 5% (w / w).
- These new multicharged nanomagneto-porphyrin hybrids were very stable in water. The cationic 803
- 804 hybrids induced a total photoinactivation of E. faecalis, E. coli, and T4-like phage, even when used at 20  $\mu$ M, upon irradiation with white light of 21.6, 43.2, and 14.4 J cm<sup>-2</sup>, respectively.
- 805

806 5-(2,3,4,5,6-pentafluorophenyl)-10,15,20-tripyridylporphyrin and the corresponding cationic 807 5,10,15-tri(4-N-methylpyridyl)-20-(2,3,4,5,6-pentafluorophenyl)porphyrins as tri-iodide salt were grafted to cationized silica-coated magnetic nanoparticles of  $Fe_3O_4$  and  $CoFe_2O_4$  [149]. Their use 808 809 in PACT against the Gram (-) bacteria A. fischeri was investigated by monitoring the decrease in its natural bioluminescence during the photosensitization process using a luminometer and monitoring 810 811 the photo-inactivation kinetics in real-time.

- The cationic nanomagneto-porphyrin hybrids were found to be highly efficient at bacterial 812 inactivation and they also showed sustained photoinactivation over six cycles. It was also shown 813 that 2.5 h (150 min) was required to inactivate 7 log of bacteria (first cycle), but they could 814 cumulatively inactivate 42 log of bacteria in 21.5 h. 815
- Porphyrins have been covalently linked to aminoalkylated silica particles by initial activation of the 816
- porphyrin nucleus using chlorosulphonation [151]. 817

- 818 Rychtarikova et al. [152] entrapped TMePyP<sup>4+</sup> in microporous silica gels prepared by the sol–gel 819 method using tetrakis(2-hydroxyethoxy)silane (THES) and tetra methoxysilane (TMOS).
- All the composites containing THES showed good adhesion to glass, and the THES composite showed no shrinkage in three months, as well as being shape and volume stable in air for three months. The main disadvantage of the composite is low mechanical and chemical stability.
- All of the composities were particularly active against *E. coli* but, in general, THES composites with lower specific surface areas were more effective than TMOS analogs, probably because the PEG 600 improved the flexibility, and thus oxygen diffusion, in the gel.
- Recently, nanofibre materials were developed with encapsulated porphyrinoid photosensitizers that generate  ${}^{1}O_{2}$  in high quantum yields upon irradiation with visible light. The small diameter of the nanofibres allowed the efficient diffusion of  ${}^{1}O_{2}$  outside the nanofibres to kill *E. coli*, *S. aureus* and *P. aeruginosa*. bacteria [108,153,154,155].
- Mosinger et al. utilized Polyurethane (PUR), TPP and its Zn(II) derivative (5,10,15,20tetraphenylphorphyrinato)zinc(II) (ZnTPP) [108] to form nanofiburous layers 0.03 mm thick and with 0.12 % TPP and 0.10 % ZnTPP content, respectively [108,153]. When exposed to light the nanofabrics produce enough singlet oxygen to kill the bacteria cells. PUR, used as control without the incorporated porphyrin sensitizers, either exposed to light or kept in the dark had no effect on the bacterial growth.
- 836 The PUR nanofabrics have bactericidal effects at their surfaces, however free-base porphyrin TPP837 showed better efficiency and photostability.
- Electrospun nanofibres were prepared by doping polyurethane Larithane<sup>TM</sup> (PUR), polycaprolactone (PCL), polystyrene (PS) and polyamide 6 (PA6) with TPP with a final 1 wt % TPP each [156,157].
- The doped nanofibre textiles efficiently photo-generate  ${}^{1}O_{2}$ . When tested against *E. coli*, after 60 minutes irradiation with white light, the PUR, PS, and PCL nanofibre materials exhibited antibacterial activity and completely inhibited bacterial growth upon irradiation with visible light. The PA6 nanofiber showed lower antibacterial activity probably due to lower production of  ${}^{1}O_{2}$ .
- 845 Since metal nanoparticles have been reported to have antibacterial and antifungal properties,
  846 Managa et al. [154] tested the antibacterial properties of the conjugate formed between [5,10,15,20-
- 847 tetra-(4-carboxyphenyl) porphyrinato]gallium(III)chloride (ClGaTCPP) and platinum nanoparticles
- 848 PtNPs in solution, and after immobilization onto electrospun styrene nanofibers. Gallium was
- 849 chosen as the central metal in this case because it enhances the intersystem crossing to the triplet
- state thus improving singlet oxygen, this happens due to the size of gallium, in relation to the heavy
- atom effect.

- When tested in solution, the conjugate (ClGaTCPP)-PtNPs had an improved antibacterial activity when compared to the nanoparticles alone, due to synergistic effects.
- The doped nanofabrics, when irradiated with light, showed positive growth inhibition against *S. aureus* when compared to those that were kept in the dark; there was also an enhanced effect for ClGaTCPP–PtNPs, compared with ClGaTCPP.
- Recently, Henke et al. [155] studied the influence of the wettability of the surfaces of TPP-PS electrospun nanofibres on the antibacterial activity of *E. coli* on the surface of the electrospun
- 859 fibres.
- 860 Sulfonation, oxygen plasma treatment, and even the application of a thin polydopamine coating on 861 the surface of the polystyrene electrospun nanofibres strongly increased the 862 wettability/hydrophilicity of the hydrophobic polystyrene nanofibers, without causing damage to the nanofibers, leakage of the photosensitizer, or any change in the spectral characteristics of TPP. 863 864 The increase in surface wettability resulted in acceleration of the photo oxidation of external substrates, and an increase in the antibacterial activity of the nanofibres. 865
- Sherrill et al. investigated the use of nylon films as supports for immobilization of PPIX and zinc
  PPIX to create an antimicrobial material [158]. Nylon 6,6 was grafted *via* poly (acrylic acid) (PAA)
  resulting in a surface coverage of 57%.
- Grafting the two different porphyrin derivatives (PPIX and Zn-PPIX) resulted in nearly identical values of surface coverage, approximately 36%, for both sample types, however, no biological studies have been carried out on these surfaces to date.
- Bozja et al. also investigated the use of nylon fibers as a support for porphyrin molecules [159]. The
  samples were prepared in a similar way to that reported by Sherrill et al.
- The PPIX-nylon conjugate efficiently killed more than 95% of *S. aureus* bacteria after a 30 minutes exposure at a fluence of 60,000 lux, while no effect was observed with Gram (–) *E. coli*. The ZnPPIX-nylon conjugate was revealed to be slightly more efficient against *E. coli*, with a 30% cell killing using 60,000 lux after 30 min exposure. For the Gram (+) bacteria *S. aureus* 94% of bacteria was killed using 40,000 lux. Overall the ZnPPIX was found to be more effective against both Gram
- 879 (+) *S. aureus* and Gram (-) *E. coli* bacteria.
- The attachment of porphyrins to synthetic polymers have been extensively investigated. Since a lot of polymers precursors are cheap and commercially available, this approach presents the advantage of being cheaper than others previously analyzed. Some new photobactericidal materials offered the possibility to be recovered and reused, making the materials very interesting for an eco friendly
- approach.

#### 885 8. Others Photosensitiers

Benabbou et al. [160] grafted or incorporated into inert solid supports an anthraquinone derivative,
9,10-anthraquinone 2-carboxylic acid (ANT) and a benzo-[b]triphenylene-9,14-dicarbonitrile
(DBTP), as they are known to be good singlet oxygen generators.

- The ANT was converted to its triethoxysilyl derivative by condensation with APTES and grafted to 889 890 commercial silica beads (3–5 mm diameter, pore diameter ca. 9 nm), by reflux in toluene and was shown to be more effective than the DBTP derivatives grafted on a commercial amino 891 functionalized silica powder (Si-NH<sub>2</sub> 40-63 µm particles) when tested against E. coli. This may 892 have been due to the higher photo-oxidation efficiency of ANT [161]. Both derivatives displayed a 893 good stability in aqueous suspension, with no leakage of the sensitizing molecule into the water. 894 Commercially available silica powders or beads were chosen because 9, 10-anthraquinone 895 immobilized on silica gel was found to be transparent [162]. 896
- 897 Chen et al. studied the ability of chitosan to potentiate the activity of erythrosine (ER) against898 bacteria and yeast through the preparation of nanoparticles by the ionic gelation method.
- 899 Comparing the PACT effect against erythrosine alone and chitosan alone, the combination of ER/
- 900 CS nanoparticles showed an enhanced antimicrobial effect against *S. mutans*, *P. aeruginosa* and *C. albicans* [163].
- 902 Neutral and cationic pyrrolidine fused chlorins have also been investigated recently as potential
- 903 PACT agents when immobilized on 3-bromopropyl-functionalized silica and Merrifield resin [164].
- 904 Since it had been observed from the same research group that the efficiency in the photoinactivation
- of *E. coli* was influenced by the number of charges on the final immobilized conjugate [165] further
- treatments with 1-methylimidazole or pyridine were performed on silica gel and on Merrifield resinto increase the number of positive charges on the surface of the material.
- 908 Overall, this study showed that the increased number of positive charges and their dispersion on the 909 surface of the materials strongly influences the photodynamic efficiency of the conjugate.
- 910 Silica with chlorin and Merrifield resin/chlorin in combination with pyridine showed the best 911 activity against *E. coli*.
- 912

## 913 9. Conclusions

PACT is a field of ongoing and active research to meet the urgent need to find alternative optionsfor microbial killing.

This technique is particularly appealing due to the possibility of using visible light (and possibly sunlight) to inactivate microorganisms, the possibility to recycle and reuse the photosensitizers in an eco friendly approach, and the lack of bacterial resistance induced in microorganisms.

Since the initial investigations in the 1970s many photosensitizers, including Methylene Blue,
Toluidine Bue, Rose Bengal, Ruthenium Complexes, Phthalocyanines and Porphyrins have been
immobilized onto a huge variety of supports, mostly natural or synthetic polymers, such as chitosan,
cellulose, cotton, polystyrene, polyurethane, nylon, but also silica beads, nanotubes.

Since currently available materials suffer of loss of antimicrobial activity by leaching of the biocide with the potential risk of releasing hazardous agents in the media, PACT community should invest in the development of new supports, and, most important, in the development of new ways to immobilize photosensitizes on solid support to create new photokilling materials with the potential capability of rapid efficient, and low-cost sterilization of a range of bacteria.

A stable and uniform surface coating would allow an high availability of the dye at the surface and the most favorable conditions for the interaction with bacteria and with the oxygen naturally present in the environment. Nevertheless this approach might be difficult due to the fact that sometimes is difficult to have an uniform coating of the surface, leading with reproducibility problems. Embed the dye in a porous support might be a promising alternative, proving that the oxygen must be able to and interact with sensitizer and bacteria.

The leaching of the photosensitizer is a general problem that emerged frequently. Thus the development of new ways to immobilize photosensitizes on solid support seems to be a key challenge towards the practical application of solid-supported PACT devices.

937 The choice of the sensitizer (cationic/anionic) beside being a key factor going to influence the 938 bacterial strains activity of the solid-supported PACT device, need to be done taking into 939 considerations also other desiderables characteristics, such as an economic and easily scale up 940 synthesis.

941 The progress and the possible applications of those photosensitizing surfaces demonstrate that this942 is a promising approach for the killing of bacteria, viruses and fungi.

- 943
- 944

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# **10. Appendix: Table and Abbreviations**

Ref	Ps	Support	Light type	Target organism	Fluence rate or Light Dose or lux	Period of illumination
[47]	MB	silicone	laser light (660 nm)	S. epidermidis	58 J cm <sup>-2</sup> 117 J cm <sup>-2</sup>	5 min every 30 min, 10 min every 60 min or 20 min every 120 min (6 h total)
[48]	MB	silicone elastomers	laser light (660 nm)	E. coli S. epidermis	19.5 J cm <sup>-2</sup>	10 min
[49]	MB TBO	silicone polymer	laser light (634 nm)	S. epidermis E. coli	0.19 W cm <sup>-2</sup> (TBO) 0.0325 W cm <sup>-2</sup> (MB)	4 min (TBO) 21 min (MB)
[50]	MB	Silicone polymers	28-W BIAX 2D T5 compact fluorescent lamp, white light	S. aureus (MRSA)	2,305 lux	24 h
[51]	MB TBO	PUR	white light	S. aureus	2000 lux	24 h
[52]	ТВО	PUR silicone polymers	laser light (634 nm)	E. coli S. aureus (MRSA)		2 min 3 min
[53]	RB, MB TBO	PVDF nanobeads on polyethylene film	luminescent lamp, white light	S. aureus E. coli	1.46 mW cm <sup>-1</sup>	3 h 24 h
[54]	ТВО	cellulose acetate layer	white light	S. aureeus P. aeruginosa	778 ± 12 lux	8 h 16 h 24 h
[55]	TBO RB	cellulose acetate	General Electric 28W Biax 2D compact fluorescent lamp, white light	S. aureus (MRSA) E. coli C. albicans C. difficile bacteriophage X174	3700 ± 20 lux	2 h 4 h 6 h 16 h
[56]	TBO RB	cellulose acetate	General Electric 28W Biax 2D compact fluorescent lamp white light	S. aureus	3700 ± 20 lux	6 h
[57]	MB	Silicone elastomers	laser light (660 nm)	S. aureus E. coli		5 min
[60]	ТВО	CS	high Power LED 635±5 nm	S aureus P. aeruginosa A. baumannii	60 mW cm <sup>-2</sup>	30 min
[61]	ТВО	PMVE/MA copolymer	635 nm Paterson Lamp	C. albicans	100 mW cm <sup>-2</sup> 100 J cm <sup>-2</sup> 200 J cm <sup>-2</sup>	
[62]	NMB	Urethane-acrylate Styrene-butadiene copolymers	Paterson lamp with filter at 615- 645 nm	S. epidermis E. coli	11.5 J cm <sup>-2</sup> 5.8 J cm <sup>-2</sup> 2.9 J cm <sup>-2</sup> 1.5 J cm <sup>-2</sup>	0.5 min 1 min 2 min 4 min
[64]	MB RB Eosin	PS resin Silicagel Activated carbon	four cold white TLE 22 W23 Philips lamps	E. coli		10 min 20 min 30 min 40 min 60 min
[72]	Ru(II) phenantroline complexes Ru(II) bipyridyl complex	pSil	150 W Xe lamp or sunlight	E. coli E. faecalis	0.6 M J m <sup>-2</sup> 0.8 M J m <sup>-2</sup>	9 h
[73]	Ru(II) phenantroline complex-C60 fullerene	pSil	laboratorary solar simulator-white light	E. faecalis	20 W m <sup>-2</sup>	9 h
[74]	Ru(II) phenantroline complex	pSil	150 W Xe lamp and sunlight	E. faecalis	20 W m <sup>-2</sup> 400 W m <sup>-2</sup>	4h (Xe lamp) 60 min (sunlight)
[75]	Ru(II) complexes	pSil	150 W Xe lamp and sunlight	E. faecalis	400 W m <sup>-2</sup>	9 h
[76]	Ru(II) phenantroline complex	pSil	sunlight	E. coli	0.6 M J m <sup>-2</sup>	5 h

## **Table 1.** Reports of photoinactivation of Gram (+) and Gram (-) bacteria and *in vitro*.

				E. faecalis	0.8 M J m <sup>-2</sup>	
[81]	RB	CS membrane	broad-spectrum Lumacare lamp with a $540 \pm 15$	E. faecalis	5 J cm <sup>-2</sup> 20 J cm <sup>-2</sup> 40 J cm <sup>-2</sup>	
			nm filter (grren light)		60 J cm <sup>-2</sup>	
[82]	RB	chitosan	broad-spectrum Lumacare lamp with a 540 ± 15 nm filter (grren light)	E. faecalis	5 J cm <sup>-2</sup> 10 J cm <sup>-2</sup>	1.66 min 3.33 min
[83]	RB	PDMS with chitosan on the surface	120 W incandescent lamp	E. coli S. aureus		60 min
[84]	RB	CS	broad-spectrum Lumacare lamp with a $540 \pm 15$ nm filter (grren light)	E. faecalis P. aeurigosa	20 J cm <sup>-2</sup> 40 J cm <sup>-2</sup> 60 J cm <sup>-2</sup>	15 min 30 min 60 min
[85]	RB	CS	broad-spectrum Lumacare lamp with a $540 \pm 15$ nm filter (green light)	E. faecalis	20 J cm <sup>-2</sup> 40 J cm <sup>-2</sup> 60 J cm <sup>-2</sup>	
[92]	RB	silica nanoparticles	Lumacare lamp with a 525 nm bandpass filter	S. aureus (MRSA) S. epidermis	33 J cm <sup>-2</sup>	40 min
[94]	RB MB	PS films	white luminescent lamp emitting in the range of 400 – 700 nm (visible light)	S. aureus E. coli	1-3 mW cm <sup>-2</sup>	30 min 3 h
[96]	RB	PS beads	four 15 W Silvana Fluorescent Bulb	E. coli		
[105]	PbTpyPc and its tetracationic derivative [2,9,16,23-tetra(4- <i>N</i> - methylpyridyloxy)phthalocya ninato]lead(II)	Electrospun PS fibers	300 W lamp with 600 nm glass and water filters	E. coli		30 min
[106]	ZnPc	Electrospun PS fibers	300 W lamp with 600 nm glass and water filters visible light	S. aureus		90 min
[108]	ZnPc	Electrospun PUR fibers	150 W cold white light	E. coli		30 min
[113]	TBZnPc ZnPcTS	silicate matrix	Bonnett-Pell lamp with a maximum emission at 660 nm	E. coli	0.60 mW cm <sup>-2</sup>	120 min
[115]	ZnPcS p-THPP p-TAPP	CS membrane	500 W halogen lamp	E. coli		90 min
[120]	TPP-NH <sub>2</sub> TPPS-NH <sub>2</sub> Trans(Me-Py+) NH <sub>2</sub>	cotton fabric	LED model Luxeon Star white Lambertian LXHL-MW1D 5500K) 400-800 nm (white light)	S. aureus E. coli	9.5 J cm <sup>-2</sup>	24 h
[121]	TPP-NH <sub>2</sub> TPPS-NH <sub>2</sub> Trans(Me-Py+) NH <sub>2</sub>	cotton fabric	white light	S. aureus	9.5 J cm <sup>-2</sup>	24 h
[122]	[5,10,15-tri(4-N- methylpyridyl)-20-(4- alkylphenyl)porphyrinato]zin c(II)	cotton fabric	white light	S. aureus E. coli	1000 lux	24 h
[123]	PPIX	cellulose	four 150 W tungsten bulbs (visible light)	S. aureus E. coli	1.7 mW cm <sup>-2</sup>	24 h
[124]	5,10,15-tri(4-methylphenyl)- 20-(4- <i>N</i> - methylpyrydyl)porphyrin	cellulose	four 150 W tungsten bulbs (visible light)	S. aureus E. coli	1.7 mW cm <sup>-2</sup>	24 h
[125]	5-[4-(3- carboxypropyloxy)phenyl]-	cellulose	four 150 W tungsten bulbs	S. aureus E. coli	1.7 mW cm <sup>-2</sup>	24 h

[135]	tri(4- <i>N</i> - methylpyridyl)porphyrin TPPN	polythiophene	Lambertian LXHL-MW1D 5500 K (white light) white light	E. coli E. coli B. subtilis C. albicano	$90 \text{ mW cm}^{-2}$	5 min
[136]	5-(4-carboxyphenyl)- 10,15,20-tris(4- methylphenyl)porphyrin	PDMS film	slide projector equipped with a 150 W lamp (350–800 nm)	C. albicans	90 mW cm <sup>-2</sup>	60 min
[137]	5,10,15,20-tetra(4- <i>N</i> , <i>N</i> - diphenylaminophenyl)porphy rin and its Pd(II) complex	ITO films	Novamat 130 AF slide projector with a 150 W lamp (350–800 nm)	E. coli C. albicans	90 mW cm <sup>-2</sup>	60 min
[138]	PPIX	MWNTs	compact fluorescence lamp 350 W, Sunlite (visible light)	Influenza A virus		5 min 10 min 15 min 30 min 45 min 60 min 90 min
[139]	PPIX	MWNTs	compact fluorescence lamp 350 W, Sunlite (visible light)	S. aureus		1 h
[147]	MnTPPS	porous honeycomb films immobilizer onto glass surface	100 W halogen bulb	E. coli		1 h
[148]	5,10,15-tri( <i>N</i> -methylpyridyl)- 20-( <i>N</i> -tetradecylpyridyl) porphyrin	Silica microparticles	400 – 800 nm light	E. coli S. aureus (MRSA)	100 mW cm <sup>-2</sup>	30 min
[149]	5-(2,3,4,5,6- pentafluorophenyl)-10,15,20- tripyridylporphyrin and the corresponding cationic 5,10,15-tri(4- <i>N</i> - methylpyridyl)-20-(2,3,4,5,6- pentafluorophenyl)porphyrin s as tri-iodide salt	silica magnetic nanoparticles	13 parallel placed OSRAM lamps of 18 W each emitting in the 380e700 nm range	A. fischeri	40 W m <sup>-2</sup>	24 h
[150]	5-(2,3,4,5,6- pentafluorophenyl)-10,15,20- tris(4-pyridyl)porphyrin, 5-(2,3,4,5,6- pentafluorophenyl)-10,15,20- tris(4- <i>N</i> -methylpyridyl) porphyrin tri iodide,	silica magnetic nanoparticles	13 parallel placed OSRAM lamps of 18 W each emitting in the 380e700 nm range	E. coli E. faecalis	40 W m <sup>-2</sup>	90 min 180 min 270 min

	pentafluorophenyl)-10,15,20- triphenyl porphyrin					
[152]	TMePyP <sup>4+</sup>	THES TMOS	white visible light	E. coli	7.9 J cm <sup>-2</sup> 15.8 J cm <sup>-2</sup>	1.5 h 3h
[153]	TPP ZnTPP	Electrospun PUR fibers	cold white light of a 150 W halogen bulb	E. coli		60 min
[154]	CIGaTCPP CIGaTCPP–PtNPs	PS	General electric Quartz line lamp (300 W) with a water filter	S. aureus	0.05 W cm <sup>-2</sup>	30 min 60 min 90 min
[155]	ТРР	Electrospun polystyrene nanofoibers	400W solar simulator equipped with water filter	E. coli		5 min 10 min 15 min 20 min
[157]	TPP	PUR PS PCL PA-6	150 W halogen bulb (white light)	E. coli		5 min 10 min 15 min 20 min 25 min 30 min
[159]	PPIX ZnPP IX	Nylon fibers	Incandescent light	E. coli S. aureus	10000 lux 40000 lux 60000 lux	30 min
[160]	ANT DBTP	silica powder or beads	125 W lamp, emitting in the 200–600 nm range with UVA filter	E. coli	3.9 mW cm <sup>-2</sup>	6 h
[163]	ER	CS	led source 540±5 nm	S. mutans P. aeruginosa C. albicans	25 J cm <sup>-2</sup> 50 J cm <sup>-2</sup>	
[164]	Cationic chlorin	3-bromopropyl- functionalized silica Merrifield resin	13 OSRAM lamps 18 W white light	E. coli	40 W m <sup>2</sup>	3h

950

## 951 Abbreviations

ANT	9,10-anthraquinone 2-carboxylic acid
APTES	(3-aminopropyl) triethoxysilane
Bpac	4,4'-dicarboxy-2,2'-bipyridine
CDI	1,1'-carbonyldiimidazole
ClGaTCPP	[5,10,15,20-tetra-(4-carboxyphenyl)
	porphyrinato]gallium(III)chloride
ClGaTCPP-PtNPs	[5,10,15,20-tetra-(4-carboxyphenyl)
	porphyrinato]gallium(III)chloride conjugated with platinum
	nanoparticles
CNC	Nanocrystalline cellulose
CS	Chitosan
CSRB	Chitosan Rose Bengal conjugate
CMCPS	Chloromethylated crosslinked polystyrene microspheres
CSRBnp	Chtosan nanoparticles functionalized with Rose Bengal
CPS	Cross-linked polystyrene
DBTP	Benzo-[b]triphenylene-9,14-dicarbonitrile
DODMAB	Dimethyldioctadecyl-ammonium bromide
DMA	Dimethylacetamide
DPBF	1,2-diphenylisobenzofuran
DVB	Divinylbenzene
EDC	N-ethyl-N ' -(3-dimethyl aminopropyl) carbodiimide

EMRSA	Epidemic strain of Methycillin Resistant Staphylococcus aureus		
ER	Erythrosine		
GlcNAc	1,4-linked N-acetyl-D-glucosamine		
GlcN	D-glucosamine		
Gram (+)	Gram positive		
Gram (–)	Gram negative		
HAI	Healthcare Associated Infections		
HBA	Hydroxybenzaldehyde		
ITO	Indium tin oxide		
LBL	Layer by layer		
LiCl	Lithium chloride		
NHS	N-Hydroxysuccinimide		
NMP	Nitroxide-mediated radical polymerization		
MA	Maleic anhydride		
MB	Maleic annydride Methylene Blue		
MES	2-(N-morpholino)ethanesulfonic acid		
MDRAB	Multidrug-resistant A. <i>baumannii</i>		
Min	Minutes		
MnTPPS	[5,10,15,20-tetra(4-		
MITTPPS			
	sodiumsulphonatophenyl)porphyrinato]manganese(III) chloride		
MRSA	Methycillin Resistant <i>Staphylococcus aureus</i>		
MWNTs	Multi-walled carbon nanotubes		
<sup>1</sup> O <sub>2</sub>	Singlet oxygen		
RB	Rose Bengal		
RDP <sup>2+</sup>	[tris(4,7-diphenyl-1,10-phenanthroline)-ruthenium(II)] dichloride		
ROS	Reactive oxygen species		
PACT	Photodynamic antimicrobial chemotherapy		
PA-6	Polyamide 6		
Pc	Phtalocyanine		
PCL	Polycaprolactone		
PDMS			
	Polydimethylsiloxane           Polydimethylsiloxane grafted acrylic acid		
PDMS-pAAc PMVE			
	Perfluorinated methyl vinyl ether		
PbTpyPc	[2,9,16,23-tetra(4-pyridyloxy)phthalocyaninato]lead(II)		
Pc	Phthalocyanine Deladius the bill serves		
PDMS	Polydimethylsiloxane		
PDT	Photodynamic therapy		
PEG	Polyethylene glycol		
PMMA	Poly(methylmethacrylate)		
PPIX	Protoporphyrin IX		
Ps Di	Photosensitizer		
PS	Polystyrene		
PSil	Porous silicone		
pXRD	Powder x-ray diffraction		
PUR	Polyurethane		
PVP	Poly(4-vinylpyridine)		
p-THPP	5,10,15,20-tetra(4-hydroxyphenyl)porphyrin		
p-TAPP	5,10,15,20-tetra(4-aminophenyl)porphyrin		

PtNPs	Platinum nanoparticles
RNO	N,N-dimethyl-4-nitrosoaniline
ТВО	Toluidine Blue O
TEOS	Tetraethylorthosilicate
TBZnPc	[2,9,16,23-tetra(4-terbutyl)phthalocyaninato]zinc(II)
THES	Tetrakis(2-hydroxyethoxy)silane
TMePyP <sup>4+</sup>	5,10,15,20-tetra(4-N-methylpyridyl)porphyrin
TMOS	Tetramethoxysilane
TBZnPc	[2,9,16,23-tetra(4-terbutyl)phthalocyaninato]zinc(II)
TPP	5,10,15,20-tetraphenylphorphyrin
TPP-NH <sub>2</sub>	5-(4-aminophenyl)-10,15,20-triphenylporphyrin
TPPN	5,10,15,20-tetra[4-(6- <i>N</i> , <i>N</i> , <i>N</i> -
	trimethylammoniumhexyloxy)phenyl]porphyrin bromide
TPPS-NH <sub>2</sub>	5-(4-aminophenyl)-10,15,20-tri(4-sulphonatophenyl)porphyrin
Trans(Me-Py <sup>+</sup> ) NH <sub>2</sub>	5-(4-methylpyrydyl)-10,20-di(2,4,6-trimethylphenyl)-15-(4-
	aminophenyl)porphyrin
TSP	5,10,15,20-tetra(4-vinylphenyl)phorphyrin
TTP	5,10,15,20-tetra(4-methylphenyl)porphyrin
ZnPcTs	(2,9,16,23-tetrasuphoxyphthalocyaninato)zinc(II)
ZnPc	(phthalocyaninato)zinc(II)
ZnPcS	(2,9,16,23-tetrasuphoxyphthalocyaninato)zinc(II) as tetrasodium
	salt
ZnTPP	(5,10,15,20-tetraphenylphorphyrinato)zinc(II)

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