1	Controlled Intracellular Generation of Reactive Oxygen Species in Human Mesenchymal
2	Stem Cells Using Porphyrin Conjugated Nanoparticles
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15	Abstract
16	Nanoparticles capable of generating controlled amounts of intracellular reactive oxygen
17	species (ROS) were synthesized by functionalizing polyacrylamide nanoparticles with Zinc (II)
18	porphyrin photosensitisers. Controlled ROS production was demonstrated in human
19	mesenchymal stem cells (hMSCs) through 1) production of nanoparticles functionalized with
20	varying percentages of Zinc (II) porphyrin and 2) modulating the number of doses of
21	excitation light to internalized nanoparticles. hMSCs treated with nanoparticles
22	functionalized with increasing percentages of Zn(II) porphyrin and high number of
23	irradiations of excitation light were found to generate greater amounts of ROS. A novel dye,
24	which is transformed into a fluorescent entity in the presence of hydrogen peroxide,
25	provided an indirect indicator for cumulative ROS production. The mitochondrial membrane
26	potential was monitored to investigate the destructive effect of increased intracellular ROS
27	production. Flow cytometric analysis of nanoparticle treated hMSCs suggested irradiation
28	with excitation light signalled controlled apoptotic cell death, rather than uncontrolled
29	necrotic cell death. Increased intracellular ROS production did not induce phenotypic
30	changes in hMSC subcultures. Zn (II) porphyrin functionalised nanoparticles could find broad
31	utility in stimulation of intracellular oxidative stress and communication.

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33 Introduction

34 Reactive oxygen species (ROS) form through conversion of molecular oxygen, by either (Type 35 I) electron transfer, to produce superoxide, hydrogen peroxide (H_2O_2) and hydroxyl radicals

- or, (Type II) energy transfer, to produce singlet oxygen.¹ At a cellular level ROS production is 36
- highly regulated, typically through confinement of their production to specific organelles, 37
- 38 such as the mitochondria,² and management of overproduction with antioxidants.³
- 39 Controlled ROS production is known to regulate processes, such as programmed cell death,⁴
- initiation of host defences to pathogens⁵ and production of energy *via* the mitochondrial 40
- electron transport chain.⁶ Uncontrolled ROS production can propagate chain reactions that 41
- 42 can cause irreversible damage to intracellular nucleic acids,⁷ proteins⁸ and lipids⁹ that can
- result in cellular necrosis,¹⁰ neoplastic mutations¹¹ and neurodegenerative disorders.¹² 43
- The effects of ROS on intracellular processes have been investigated through exogenous 44 addition of H_2O_2 .^{13, 14} However, diffusion of H_2O_2 through cell membranes is thought to be 45 restricted,¹⁵ due to tightly regulated membrane channels, such that the effect of exogenous 46
- ROS on intracellular effects can be misinterpreted.¹⁶ Therefore, artificially stimulating 47
- intracellular ROS, independent of innate and exogenous ROS, in a controlled manner would 48
- 49 enhance the understanding of how oxidative stress contributes to healthy or diseased
- 50 states.

- 51 Polyacrylamide nanoparticles are at the forefront of investigating cellular
- microenvironments of interest.¹⁷ Due to their 1) small size, 2) optical transparency, 3) large 52
- 53 surface to volume ratio, and 4) highly versatile matrix, which can be readily engineered to
- 54 control physicochemical parameters, they can be delivered to biological systems with
- 55 minimal perturbation.¹⁸ We and others have shown previously how polyacrylamide
- nanoparticles can be utilized as an analytical tool¹⁹⁻²² to target subcellular spaces²³⁻²⁵ and 56
- provide a real-time measurements of the role of key biological parameters in situ.²⁶ 57

58 More recently, polyacrylamide nanoparticles have been used as a drug delivery tool for 59 photodynamic therapy²⁷ that utilise photoexcitable compounds that cause extensive tissue

- 60 damage when irradiated with excitation light. The damage is inflicted through the
- 61 generation of ROS, when excited photosensitizers come into contact with biological systems. 62 Porphyrins are examples of photosensitisers that have been extensively used to treat
- diseased tissue.²⁸ They are composed of a sixteen membered aromatic ring, synthesised 63
- through condensation of substituted pyrroles, to produce a planar structure. The planar 64
- 65 structure can be used to stabilise metal complexes, usually with a 2+ valency, which dictate
- 66 the photo excitable properties of the porphyrin.²⁹

67 This article describes the synthesis and characterization of polyacrylamide nanoparticles 68 conjugated to Zinc (II) or Copper (II) complexed porphyrins. Nanoparticles were doped with cationic chemical groups, to improve sub-cellular localization³⁰ and delivered to human 69 70 mesenchymal stem cells (hMSCs). Control over ROS generation was demonstrated by: (1) 71 attenuating the percentage of porphyrins on the nanoparticle surface and (2) modulating 72 the number of light doses to the internalized nanoparticles. The degree of ROS production 73 was visualized through use of a newly synthesized dye, which is chemically transformed into 74 a fluorescent entity in the presence of ROS. The cytotoxic effects and possible phenotypic 75 changes, induced by intracellular ROS generation, on hMSCs were investigated using flow 76 cytometry.

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Results and Discussion

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79 Synthesis and Characterisation of Porphyrin Functionalised Nanoparticles

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82 Two porphyrins with different metal complexes, Zn (II) and Cu (II), were utilised as part of this study, Scheme 1.³¹ Porphyrins with Zn (II) have been shown to generate ROS, whereas, 83 84 porphyrins with Cu (II) do not demonstrate ROS production.³² The difference in activity is 85 due to the electronic properties of the metal ions. When excited, porphyrins with Zn (II) 86 permit intersystem crossing of electrons to the triplet state and demonstrate 87 photosensitising activity. Porphyrins with Cu (II) do not permit intersystem crossing of 88 excited electrons and as a result do not possess enough energy to produce photosensitising 89 activity. Due to the differences in activity the Cu (II) porphyrin functionalised nanoparticles 90 were utilised as a control, so that the photosensitising activity could be attributed to the Zn 91 (II) porphyrin functionalised nanoparticles alone.

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- 93 Porphyrins were covalently linked to nanoparticles via a Cu (I)-catalysed alkyne-azide cycloaddition reaction.³¹ The percentage of nanoparticle functionalization was determined 94
- 95 by titrating alkyne functionalised nanoparticles with porphyrin azides. Nanoparticles
- 96 saturated with porphyrin were considered to be 100 % functionalised. Nanoparticles
- 97 functionalised with 5 %, 10 % and 20 % Zn(II) or Cu (II) porphyrins were prepared with
- 98 nanoparticle diameters centred at 80 nm (ranging between 10 and 100 nm) and positive
- 99 surface charge (zeta potential > +15 mV) (see supporting information Figure S2 and S3).

101 **Delivery of Porphyrin Functionalised Nanoparticles**

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103 Mitochondria are known as the major source of ROS within cells. Therefore, it is highly 104 desirable for tools engineered to trigger the production of ROS, like the porphyrin 105 functionalised nanoparticles presented here, to preferentially target the mitochondria. To 106 determine the subcellular localisation of the nanoparticles, co-localisation analyses were

107 performed on hMSCs treated with Zn (II) porphyrin (5%) functionalised nanoparticles, and 108 stained with LysoTracker[®] blue and MitoTracker[®] Green.

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110 Figure 1A shows a representative merged channel image of Zn (II) porphyrin functionalised 111 nanoparticles delivered to hMSCs. The insets show the individual channels; (Ai) brightfield, 112 (Aii) blue (LysoTracker®), (Aiii) green (MitoTracker®) and (Aiv) red fluorescent channels (Zn 113 (II) porphyrin conjugated nanoparticles). Separation of the fluorescence channels to observe 114 co-localisation of Zn (II) porphyrin functionalised nanoparticles with the mitochondria, 115 Figure 1B, and lysozymes, Figure 1C, facilitates characterisation of the subcellular location.

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117 The lysozymes, as highlighted by LysoTracker[®] blue, appear in punctate vesicles that are co-118 localised in regions with Zn (II) porphyrin functionalised particles (Figure 1B, purple). The 119 nanoparticles are internalized efficiently as highlighted by the strong signal observed in the 120 red fluorescence channel (Figure 1Aiv). The mitochondria, identified by MitoTracker[®] green, 121 are distributed throughout the cytoplasm and are also co-localised with Zn (II) porphyrin 122 conjugated nanoparticles (Figure 1C). The images comprising Figure 1 indicate porphyrin 123 functionalised nanoparticles are internalised and preferentially associate with mitochondria, 124 although not exclusively, over lysozymes.

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126 **Fluorescence Imaging ROS Production**

128 A novel dye, 7-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)-4-(trifluoromethyl)-2H-129 chromen-2-one (BPTFMC), was used to deterimine the ability of the Zn(II) porphyrin 130 functionalised nanoparticles to generate ROS. BPTFMC is transformed in the presence of 131 hydrogen peroxide (H_2O_2) to the fluorescent entity 7-hydroxy-4-(trifluoromethyl)-2H-132 chromen-2-one (HTFMC), Scheme 2. Visulisation of HTFMC fluorescence in subcellular 133 spaces can therefore be utilised as an indirect indicator for cumulative ROS production (see 134 supporting information Figures S6-S8).

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136 **Controlled ROS generation in hMSCs**

137 138 Controlled ROS production in hMSCs was demonstrated through: 1) irradiation of 139 internalised nanoparticles with increasing percentages of Zn (II) porphyrin (5 %, 10 % and 20 140 %), with a single dose of excitation light and 2) irradiation of internalised nanoparticles,

141 functionalised with 5% Zn(II) porphyrin, with repeated doses of excitation light.

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- 144 Effect of increases in the percentage of Zn (II) porphyrin functionalisation
- 145 146 The effect of a single dose of excitation light on internalised nanoparticles bearing 5 %, 10 %
- 147 and 20 % of Zn(II) porphyrin was investigated. hMSCs were treated with nanoparticle
- 148 suspensions after which they were thoroughly washed to remove non-internalised
- 149 nanoparticles then stained with BPTFMC and MitoTracker® red; to observe ROS production
- 150 events and location of viable mitochondria in sub-cellular spaces, respectively.

151 152 After irradiation with a single dose of light (512 μ W, 8mm², 2 seconds), an increase in the 153 fluorescence intensity from HTMFC (blue) was observed, which correlates with percentage 154 increases in Zn (II) porphyrin conjugated to the nanoparticle, Figure 2. The extent of 155 cytotoxicity caused by the ROS production was demonstrated by the fluorescence response 156 from MitoTracker® red, which emits a fluorescence response when bound to viable mitochondrial membranes with active membrane potentials.³³ Figure 2 highlights the 157 158 presence of a 'blast zone' within which substantial amounts ROS has been produced, whilst 159 the number of cells with active mitochondrial membrane potentials has been considerably 160 reduced. The extent of the lethality of high percentages of Zn (II) porphyrin is demonstrated 161 by hMSCs treated with 20 % Zn(II) porphyrin, which show a clear perimeter between viable 162 and non-viable cells. Therefore, the extent of ROS production and consequential 163 cytotoxicity, as indicated by enhanced HTFMC and diminished MitoTraker® red fluorescence, 164 respectively, is profoundly influenced by increases in the percentage of porphyrin 165 functionalization.

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7 Effect of repeat doses of excitation light to produce ROS

A single dose of excitation light produced subtle increases in ROS for hMSCs treated with
nanoparticles functionalised with 5% Zn (II) porphyrin, Figure 2. To investigate if ROS
production can be augmented in a controlled manner, internalised nanoparticles
functionalised with 5% Zn (II) porphyrin were subjected to repeat doses of excitation light.
hMSCs were irradiated with a dose of excitation light every 5 minutes for 100 minutes. The
progress of cellular events was captured at 5, 30, 60 and 100 minutes, corresponding to 1, 6,
12 and 20 irradiations, respectively, Figure 3.

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hMSCs treated with 5% Cu(II) functionalised porphyrins show no observable differences in
cellular morphology, increases in ROS production or cytotoxicity after 20 irradiations, as
indicated by brightfield images, absence of HTFMC fluorescence and similarity of
fluorescence emission from mitrotracker red across all time points, respectively. This
observation is also true for hMSCs treated with nanoparticles functionalised with 5% Zn(II)
porphyrin after a single dose of excitation light.

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184 The first signs of ROS generation appear after 6 irradiations for hMSCs treated with 5% Zn(II) 185 functionalised nanoparticles, Figure 3 (*blue*). At these low levels of ROS, there are no 186 apparent changes in cellular toxicity, as there are no noticeable changes in cellular 187 morphology or fluorescence intensity from MitoTracker® red. After 12 irradiations, ROS 188 production and its cytotoxic effects are evident, as the fluorescence intensity of HTFMC is 189 pronounced; the signal from the MitoTracker[®] red begins to decrease and the first signs of 190 apoptotic blebbling can also be observed on cell surfaces (Figure 3). For hMSCs dosed with 191 20 irradiations of excitation light the signal from HTMFC was intensified further, suggesting 192 sub-cellular spaces were being enriched with ROS. In addition, the MitoTracker® red 193 emission was greatly diminished, implying that the number of active mitochondrial 194 membrane potentials was reduced; and there are more signs of a decline in cellular viability 195 as bright field images showed signs of increases in apoptotic blebbing (Figure 3). 196 197 198

199 Quantification of the effects of controlled intracellular ROS generation on cellular viability200 and hMSCs characterisation

- 202 Flow cytometry was employed to quantify the viability and any phenotypic variation in hMSC
- 203 populations treated with Zn (II) porphyrin functionalised nanoparticles and after
- 204 illumination with repeated doses of light (produced using a custom designed irradiator that
- 205 replicated the power and wavelength of excitation light used during fluorescence
- 206 microscopy, see supporting information). Cell viability, apoptosis (controlled cell death),
- 207 necrosis (uncontrolled cell death), and cellular differentiation were investigated through the
- use of fluorescent probes. Apoptosis was investigated using Annexin V Alexa Flour® 488,
- which binds to cell surface markers that are translocated during apoptosis.³⁵ Whereas,
 necrosis was determined using the DNA intercalator propidium iodide, which stains
- deteriorating cells with permeable membranes.³⁶ The effect of intracellular ROS on hMSCs
- 212 phenotype was studied using fluorescent antibodies for specific cell surface markers.^{37, 38}
- 213
- 214 Controlled apoptotic and uncontrolled necrotic cell death
- 215

216 Figure 4 shows there are no significant differences in the percentage of apoptotic and 217 necrotic cells for 1) untreated and 2) Cu (II) porphyrin functionalised nanoparticle treated 218 hMSC populations, after irradiation with 5 to 20 doses of excitation light (approximately 20% 219 of the population express markers for apoptotic events that can be attributed to cell death 220 during storage and thawing). However, hMSCs treated with Zn (II) porphyrin functionalised 221 particles demonstrate statistically significant increases in the population of apoptotic cells 222 when compared to 1) untreated and Cu(II) functionalised nanoparticle treated hMSCs 223 (p<0.05) and 2) after repeated irradiation with excitation light (p<0.001). Dosing hMSCs with 224 5, 10, 15 and 20 irradiations of excitation light increases the percentage of apoptotic cells to 225 29 %, 30 %, 37 % and 44 %, respectively (n=6). We postulate that the percentage of 226 apoptotic cells does not increase at low irradiation levels due to the natural antioxidant 227 levels present in the cell.³⁴ However, once this antioxidant reservoir is exhausted it is 228 noticeable the percentage of apoptotic cells increases linearly with light irradiations.

229

230 Populations of untreated hMSCs and Cu (II) or Zn (II) porphyrin functionalised nanoparticles 231 treated hMSCs contained low levels of propidium iodine positive cells; less than 5% of total 232 population, Figure 4. There were no significant differences between the hMSC populations 233 after repeated doses of excitation light, from 5 to 20 irradiations. These findings suggest 234 repeated irradiation of internalised Zn (II) porphyrin functionalised nanoparticles generate 235 doses of ROS that signal hMSCs to undergo controlled apoptotic cell death rather than 236 uncontrolled necrotic cell death. These observations also mirror findings observed in Figure 237 3, which show signs of apoptotic blebbing, rather than necrotic cell lysis.

- 239 <u>hMSC differentiation</u>
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Phenotypic characterisation of hMSCs was explored, by fluorescently labelling cell surface
markers with antibodies, after 20 irradiations of excitation light and two cellular passages.
Phenotypic characterisation of hMSCs showed untreated hMSCs and, Cu (II) or Zn (II)
porphyrin functionalised nanoparticle treated hMSCs presented virtually identical
phenotypic profiles (see supporting information Figure S9 & S10). These results suggest that
irradiation of Zn (II) porphyrin functionalised nanoparticles and subsequent ROS generation
does not change the phenotype or induce senescence patterns in hMSCs.

- 248
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- 250 Conclusion
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- 252 We have demonstrated nanoparticles functionalised with Zn (II) porphyrin trigger
- 253 intracellular ROS production. The intracellular effects of enhanced ROS production were
- evidenced through visualisation using BPTFMC, which is transformed into fluorescent
- 255 HTMFC in the presence of H_2O_2 , and observation of diminishing mitochondrial membrane
- 256 potentials, using MitoTracker[®] red. Increases in: 1) the percentage of Zn (II) porphyrin
- conjugated to nanoparticles, from 5 % to 20 %, and 2) the number of irradiations of
- 258 excitation light, enhanced ROS production and subsequent cytotoxicity. Controlled
- irradiation of Zn (II) porphyrin functionalised nanoparticles was found to signal apoptosis in
 hMSCs, but did not induce necrosis or phenotypic changes in hMSC subcultures. We
 anticipate porphyrin functionalised nanoparticles will prove to be a valuable tool to generate
- 262 controlled amounts of intracellular ROS to advance the study of cellular processes and263 disease progression.
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Scheme 1. Chemical structure of azide functionalised cationic porphyrin with zinc (II) and
 copper (II) metal centres.



Figure 1. (A) Merged fluorescence image of (Ai) bright-field image of hMSCs treated with
(Aii) LysoTracker[®] blue, (Aiii) MitoTracker[®]green and (Aiv) Zn(II) porphyrin conjugated
nanoparticles (*red*). Co-localisation analysis between (B) Zn(II) porphyrin conjugated
nanoparticles and LysoTracker[®] blue, and (C) Zn(II) porphyrin conjugated nanoparticles and
MitoTracker[®]green. Scale bar = 10 µm.



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463 Scheme 1. Reaction between non-fluorescent 7-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2463 yl)-4-(trifluoromethyl)-2H-chromen-2-one (BPTFMC) and ROS producing fluorescent 464 hydroxy-4-(trifluoromethyl)-2H-chromen-2-one (HTFMC). HTFMC peak excitation and

465 emmsion wavelengths are 395 nm and 435 nm, respectively.



Figure 2. Bright-field and fluorescence images of untreated hMSCs and hMSCs treated with 5, 10 and 20 % Zn(II) functionalised nanoparticles, stained with BPTFMC and MitoTracker Red, irradiated with a single dose of light. BPTFMC, in the presence of H_2O_2 , is converted to fluorescent HTMFC. Scale bar = 50 µm.



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Figure 3. (1) Merged bright-field and fluorescence images of hMSCs with internalised Cu(II) (control) and Zn(II) functionalised nanoparticles (photosensitiser) and (2) merged fluorescence images of hMSCs stained with BPTFMC and MitoTracker® red. Green, blue and red fluorescence are indicative of (1) nanoparticle location, (2) HTFMC production and subsequent ROS production and (3) active mitochondrial membrane potentials and cell viability. Scale bar = $20 \mu m$.







Figure 4. Comparison of untreated (*blue*) and 5% Cu (II) (*green*) or 5% Zn (II) (*red*) 526

527 functionalised nanoparticle treated hMSC populations undergoing apoptosis (Ap, solid) and

528 necrosis (Nec, diagonal lines) after 5, 10, 15 and 20 irradiations of excitation light.

529 Percentage of apoptosis and necrosis was determined using flow cytometry by staining

530 apoptotic hMSCs with Annexin V 488 and propidium iodide, respectively. Error bars

531	represent standard	deviation fro	m the mean (n	i=6). One Wa	ay ANOVA ***	ʻ = p < 0.001; Or	ie

- 532 way ANOVA * = p < 0.05. *P* values less than 0.05 were considered statistically different.
- 533