CARBON-RICH PRESOLAR GRAINS FROM MASSIVE STARS: SUBSOLAR ¹²C/¹³C AND ¹⁴N/¹⁵N RATIOS AND THE MYSTERY OF ¹⁵N

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ABSTRACT

Carbon-rich grains with isotopic anomalies compared to the Sun are found in primitive meteorites. They were made by stars, and carry the original stellar nucleosynthesis signature. Silicon carbide grains of Type X and C and low-density (LD) graphites condensed in the ejecta of core-collapse supernovae. We present a new set of models for the explosive He shell and compare them with the grains showing ${}^{12}C/{}^{13}C$ and ${}^{14}N/{}^{15}N$ ratios lower than solar. In the stellar progenitor H was ingested into the He shell and not fully destroyed before the explosion. Different explosion energies and H concentrations are considered. If the supernova shock hits the He-shell region with some H still present, the models can reproduce the C and N isotopic signatures in C-rich grains. Hot-CNO cycle isotopic signatures are obtained, including a large production of ¹³C and ¹⁵N. The short-lived radionuclides ²²Na and ²⁶Al are increased by orders of magnitude. The production of radiogenic ²²Ne from the decay of ²²Na in the He shell might solve the puzzle of the Ne-E(L) component in LD graphite grains. This scenario is attractive for the SiC grains of type AB with ¹⁴N/¹⁵N ratios lower than solar, and provides an alternative solution for SiC grains originally classified as nova grains. Finally, this process may contribute to the production of ¹⁴N and ¹⁵N in the Galaxy, helping to produce the ${}^{14}N/{}^{15}N$ ratio in the solar system.

Key words: nuclear reactions, nucleosynthesis, abundances – stars: abundances – stars: evolution – stars: interiors – supernovae: general

1. INTRODUCTION

Primitive meteorites are carriers of several types of dust of presolar origin, coming from different stellar sources. These sources are identified through measurements of the isotopic abundances in single grains (Zinner 2014). Presolar C-rich grains that condensed in core-collapse supernovae (CCSNe), are low-density (LD) graphite grains (carrier of the Ne-E(L) component, Amari et al. 1990), nano-diamonds (carrier of the Xe-HL component; Lewis et al. 1987), and silicon carbides (SiC) of Type X (about 1% of all presolar SiC grains; e.g., Besmehn & Hoppe 2003) and of Type C (0.1%-0.2%) of all presolar SiC grains; e.g., Pignatari et al. 2013b). Evidence for initial ⁴⁴Ti (a prominent signature of supernova or SN grains) led to the conclusion that at least one of the few SiC grains originally classified as nova grains is made in CCSNe (Nittler & Hoppe 2005). The SiC grains of Type AB (about 4%–5% of all presolar SiC grains; Amari et al. 2001) possibly have multiple astrophysical sources, including CCSNe (e.g., Zinner 2014).

⁵N-excesses are a signature of SiC grains from CCSNe. They are also found together with ¹⁸O excesses as hotspots,

probably linked to TiC subgrains, in LD graphite grains (Groopman et al. 2012). The combination of both $^{14}N/^{15}N$ and ¹²C/¹³C ratios lower than solar observed in a fraction of C-rich grains is a challenge to theoretical CCSNe models, assuming mixing between different CCSNe layers and maintaining C/O > 1 (e.g., Travaglio et al. 1999). ¹⁵N production in the explosive He-burning shell does not provide a satisfactory solution (e.g., Bojazi & Meyer 2014). Presolar SiC grains originally classified as nova grains have ¹⁵N-excesses higher than those of SN grains, in accordance with predictions from nova models. In contrast, a satisfactory explanation for the origin of ¹⁵N-excesses in some SiC-AB grains has not been found yet (Huss et al. 1997; Zinner 2014).

The requirement of a C-rich environment to condense C-rich grains poses a serious problem for finding scenarios that explain the observed isotopic abundances in SN grains. Yoshida (2007) reproduced the C and N isotopic ratios of a large number of SiC-X grains by mixing matter from different SN layers. Although these mixtures have C/O > 1 in many cases, the C > O constraint and the required heterogeneous and deep mixing in SN ejecta is problematic. To overcome this issue, Clayton et al. (1999) proposed formation of C-rich grains from O-rich material. However, Ebel & Grossman (2001) concluded that the O > C scenario is unrealistic for SiC

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List of CCSN models						
X _H in the He-shell before the SN Shock	1.2%	0.24%	0.12%	0.06%	0.024%	0.0024%
CCSN models set d He-shell ejecta (M_{\odot})	25d (P13) 25d-H5 25d-H10 25d-H20 25d-H50 25d-H50 25d-H50					
CCSN models set T	25Т-Н	25T-H5	25T-H10	25T-H20	25T-H50	25T-H500
He-shell ejecta (M_{\odot})	6.81–9.23					
O-rich O/nova (M_{\odot})	6.83-7.04	6.84-7.0	6.86–7.0	6.87-7.0		

Table 1 List of CCSN Models

Note. The He-shell SN ejecta are C-rich, except for the O/Nova zone in the 25T Model set. Details are given in the text.

formation and Lin et al. (2010) showed that the isotope ratios of SiC SN grains are not consistent with predictions for O-rich matter.

In this work we show that the entrainment of H-rich material into the He shell before the SN explosion allows the coproduction of ¹³C, ¹⁵N and ²⁶Al, accounting for isotopic signatures of presolar SiC grains with low ¹²C/¹³C ratios. This also provides a new production scenario for SiC grains classified as nova and AB grains.

The paper is organized as follows. In Section 2 we describe stellar models and nucleosynthesis calculations; in Section 3 theoretical results are compared with isotope data for different types of presolar grains. In Section 4 we discuss the implications of these calculations for the nitrogen inventory in the Galaxy. In Section 5 results are summarized.

2. STELLAR MODEL CALCULATIONS AND NUCLEOSYNTHESIS

A set of CCSN nucleosynthesis models is calculated from a progenitor $25M_{\odot}$, Z = 0.02 star (Pignatari et al. 2013c, P13 hereafter). The simulation of the CCSN explosion includes the fallback prescription by Fryer et al. (2012; model 25d). The initial shock velocity beyond fallback is $v_s = 2 \times 10^9 \text{ cm s}^{-1}$, which corresponds to a total explosion energy of $E_{\text{exp}} = 4-7 \times 10^{51}$ erg. After the end of the central C burning, the convective He shell becomes unstable and eventually H from above the shell is ingested into the He-rich region. The onset of core O burning completely deactivates the convective shell until the SN explosion, leaving He-rich shell material with about 1.2% of H. The occurrence of mergers between different stellar zones in massive stars is known from the literature (e.g., Woosley & Weaver 1995, for H mixed into the He shell), but its effect on pre- and post-explosive nucleosynthesis has never been investigated before. The ingestion of H into hotter layers needs to be simulated with multi-dimensional hydrodynamics because one-dimensional hydrostatic models lose their predictive power (Herwig et al. 2014). In the absence of realistic 3D-hydrodynamics simulations, a qualitative analysis of the effect of residual H during explosive He burning is required. Together with model 25d from P13 we consider five new models: 25d-H5, 25d-H10, 25d-H20, 25d-H50 and 25d-H500 (models set d). These models share the structure and CCSN explosion of 25d, but the amount of H in the He shell is reduced by a factor of 5, 10, 20 50 and 500, respectively, compared to model 25d. In P13, the CCSN model with the most extreme conditions is the $15M_{\odot}$, Z = 0.02 model, with rapid SN explosion. The bottom of the C-rich C/Si zone reaches a peak temperature 3.3 times higher than that in the 25d model $(2.3 \times 10^9 \text{ K} \text{ compared to } 0.7 \times 10^9 \text{ K})$. The peak



Figure 1. Upper panel: isotopic abundances in the He-shell ejecta of the $25M_{\odot}$ SN models 25T-H and 25T-H50 (thick and thin lines, respectively). Shown are profiles for ¹H, ⁴He, ^{12,13}C, ^{14,15}N, ¹⁶O and the Si isotopes ^{28,30}Si. The O-rich O/nova zone that formed in the 25T-H model is highlighted in gray (see the text). Markers are used to identify different lines. Lower panel: we show the short-lived isotopes ¹⁴C, ²²Na, ²⁶Al, ⁴⁴Ti and ⁶⁰Fe in the CCSN He-shell ejecta.

density is 100 times larger. We produced a new set of $25M_{\odot}$ models, artificially increasing temperature and density to mimic the He-shell conditions of the $15M_{\odot}$ model, and exploring the same range of pre-supernova H concentrations in He-rich material: 25T-H, 25T-H5, 25T-H10, 25T-H20, 25T-H50 and 25T-H500 (models set T; see Table 1).

The post-SN abundances of H, He, C and N isotopes, ¹⁶O, ^{28,30}Si, and of the short-lived species ¹⁴C, ²²Na, ²⁶Al, ⁴⁴Ti and ⁶⁰Fe are reported in Figure 1 for the models 25T-H and 25T-

H50. Model 25T-H has the largest H concentration and the highest explosion temperature and density. At the bottom of the He shell at ~6.8 M_{\odot} , ²⁸Si is made by α -capture on ¹⁶O, forming a C/Si zone (Pignatari et al. 2013a). Between 6.83 and 7.04 M_{\odot} the abundance of ¹²C drops, forming an O-rich zone. We call this the *O/nova zone*. It has C/O < 1, and the abundances carry an explosive H-burning signature. Qualitatively there are features similar to those of nova nucleosynthesis (e.g., José & Hernanz 2007) except in the deepest region of the O/nova zone, which shows unique nucleosynthesis features. In particular, ¹²C(p, γ)¹³N depletes ¹²C, while ¹³N feeds ¹⁴O and ¹⁶O via the ¹³N(p, γ)¹⁴O and the ¹³N(α , p)¹⁶O reactions.

Similar nucleosynthesis of explosive H burning is obtained in the 25d models but within a much smaller region ($<0.1M_{\odot}$), and most of the He-shell material is not affected by the explosion. These layers are mostly carrying the pre-SN signature of H burning under He-shell conditions. The ¹³C and ¹⁴N abundances are 0.5% and 0.3%, respectively. A mass fraction of a few 10⁻⁶ and 10⁻⁷ is obtained for ²⁶Al and ²²Na.

In the model 25T-H protons suppress the SN neutron burst in the He shell (e.g., Meyer et al. 2000). Protons power the $^{22}_{22}$ Ne $(p, \gamma)^{23}$ Na reaction, in competition with the 22 Ne $(\alpha, n)^{25}$ Mg reaction. The presence of pre-explosive ¹³C provides the ¹³C $(\alpha, n)^{16}$ O alternative neutron source, mitigated by the presence of the neutron poison ${}^{14}N(n, p){}^{14}C$, and by the competition of the ${}^{13}C(p, \gamma){}^{14}C$ reaction. The H-burning products ${}^{22}Na$ and ²⁶Al are boosted (and X(⁴⁴Ti) $\leq 10^{-6}$ is made from proton captures on Ca isotopes), while neutron-burst products such as ⁶⁰Fe are reduced (Figure 1, bottom panel). This effect depends on the explosion energy and on the H abundance. In model 25T-H the ²⁶Al peak is three orders of magnitude larger than in model 25T-H50. The 22 Na peak is about five orders of magnitude larger. On the other hand, ⁶⁰Fe is efficiently produced only in model 25T-H50. These results might have implications for the observation of the γ -emission from the decay of ²⁶Al and ⁶⁰Fe in the Galaxy and for their relative abundances (Diehl 2006).

In our simulations ²²Na is made in the O/nova zone by proton captures, with ²⁰Ne being made by α -capture on ¹⁶O as the main seed. In model 25T-H the abundance peak for ²²Na is almost 10%. This scenario may explain the Ne-E(L) component in LD graphite grains (Amari et al. 1990). This component consists mostly of ²²Ne, suggesting that it is of radiogenic origin from ²²Na originally condensed into the grains. In baseline massive star models, ²²Na is made by C burning in the deeper O-rich O/Ne zone, however it was unclear how to mix Na into the C-rich zones without admixing large quantities of O. For the first time, we identified a potential pathway to produce ²²Na in the He-shell layers.

3. COMPARISON WITH PRESOLAR GRAINS

In this section, the nucleosynthesis models described in Section 2 are compared with measurements of different types of C-rich grains with ${}^{12}C/{}^{13}C$ ratios lower than solar from the Washington University Presolar Grains Database (Hynes & Gyngard 2009 and original references in the database). We focus on the abundances in the C-rich regions in the He shell (the C/Si zone and the C-rich He/C zone above) and in the O/ nova zone, which lies in between.

In Figure 2, C and N isotopic ratios of presolar SiC grains are shown together with the predictions from our models in the He shell (He/C zone). For ${}^{12}C/{}^{13}C$ lower than solar, the



Figure 2. Isotopic ratios ¹⁴N/¹⁵N and ¹²C/¹³C across the He/C zone predicted by the sets of models 25T (upper panel) and 25d (lower panel) are compared with measured data for single SiC grains (type AB, mainstream, nova, X and C) and LD graphites. Errors of grain data are shown (1 σ). The solid vertical and horizontal lines indicate the solar isotopic ratios for C and N. For N we used Marty et al. (2011). The terrestrial N ratio (¹⁴N/¹⁵N = 272) is also shown (dashed horizontal line).

theoretical curves for the models 25T-H5, 25T-H10, 25T-H20, 25d-H5, 25d-H10, and 25d-H20 reproduce the ratios observed in nova grains, and are compatible with X grains with low N isotopic ratios assuming some degree of dilution with material of close-to-normal composition. The 25T-H model produces the lowest ¹²C/¹³C ratios, due to large contributions from radiogenic ¹³C from ¹³N (Figure 1). The 25T-H5, 25T-H10, 25T-H20, 25d-H5, 25d-H10, and 25d-H20 models show similar ¹²C/¹³C ratios. The lower limit of the ¹⁴N/¹⁵N ratio depends on both explosion energy and H concentration. ¹⁵N requires high temperatures to be made as a radiogenic product of ¹⁵O. The 25d-H10, 25d-H20, 25d-H50 and 25d-H500 models directly reproduce for the first time the isotopic compositions of SiC-AB grains with N isotopic ratios lower than solar. The 25d and 25d-H5 models show ratios lower than observed, and dilution with normal material is needed. Most of graphites show the well-known signature of N isotopic equilibration or contamination (Zinner 2014). Our predictions for C and N ratios might also provide a solution for mainstream SiC grains with ${}^{14}N/{}^{15}N \lesssim 100$, not reproduced from low-mass



Figure 3. Upper left: isotopic ratios ${}^{26}\text{Al}/{}^{27}\text{Al}$ and ${}^{12}\text{C}/{}^{13}\text{C}$ from the 25T models are compared with those of C-rich grains (see Figure 2). Continuous lines represent the C-rich He/C and C/Si zones. The dashed-line part of the curves in between indicates the O/nova zone. Upper right: isotopic ratios ${}^{18}\text{O}/{}^{16}\text{O}$ and ${}^{12}\text{C}/{}^{13}\text{C}$ from the 25T models are compared with LD graphites. The $15M_{\odot}$ model by Pignatari et al. (2013a) is also reported (cyan left-pointing triangles). Lower panels: isotopic ratios ${}^{26}\text{Al}/{}^{27}\text{Al}$ and ${}^{14}\text{N}/{}^{15}\text{N}$ (left panel) and ${}^{29}\text{Si}/{}^{28}\text{Si}$ and ${}^{12}\text{C}/{}^{13}\text{C}$ (right panel) from the He/C zone of the 25d models are compared with AB and nova SiC grains. The Si isotopic ratios are in δ notation (δ (ratio) = (stellar ratio/solar ratio -1) × 1000). For color/symbols of models and grains see Figure 2.

Asymptotic Giant Branch star models (Palmerini et al. 2011). Whether these grains could have condensed from SN ejecta needs to be explored.

In Figure 3, upper left panel, ¹²C/¹³C and ²⁶Al/²⁷Al ratios of C-rich grains are compared with the ratios from the 25T models. For our models the curves range from high ${}^{12}C/{}^{13}C$ ratios in the C-rich C/Si zone to low ${}^{12}C/{}^{13}C$ ratios in the C-rich He/C zones, with the O/nova zone having ${}^{12}C/{}^{13}C$ ratios in between. Therefore, C-rich mixtures from the C/Si, O/nova, and He/C zones of the models 25T-H, 25T-H5, and 25T-H10 can potentially reproduce for the fist time the highest ²⁶Al/²⁷Al ratios observed in SiC-X and LD-graphite grains, consistently with the observed $^{14}N/^{15}N$ ratio. In the upper right panel we compare model predictions with LD graphites for ${}^{18}O/{}^{16}O$ and $^{12}\text{C/}^{13}\text{C}$. We also report the results for the $15M_{\odot}$ model (Figure 3: Pignatari et al. 2013a), which does not include H-ingestion and has CCSN conditions similar to those of the 25T models (Section 2). As discussed in Pignatari et al. (2013a), in the most ¹²C-rich layers there is negligible amount of oxygen left and minor mixing with normal material can yield a close-tonormal O isotopic composition. The effect of the H ingestion is to destroy ¹⁸O and increase ¹³C, moving the theoretical curves

for the He/C zone from the lower-right quadrant to the upperright. Unfortunately, a large fraction of graphites show O isotopic equilibration or contamination, in particular for graphites with the lowest ${}^{12}C/{}^{13}C$ (e.g., Zinner 2014), making a meaningful comparison between grain data and models difficult.

The 25d models seem to be more compatible with SiC-AB grains (Figure 2). In Figure 3, lower panels, we compare isotopic ratios of AB and nova SiC grains with theoretical curves for 25d models. AB grains with ¹⁴N/¹⁵N lower than solar are on average more ²⁶Al-rich. A nova grain and the AB grains with the highest ²⁶Al enrichment are compatible with our models, while most of them require some dilution with material of close-to-normal composition. This is consistent with an observed trend of ²⁶Al/²⁷Al inversely proportional to the Al abundance. Theoretical predictions for ²⁹Si/²⁸Si and ³⁰Si/²⁸Si (not shown in the figure) and C isotopic ratios seem to be consistent with AB and nova grains.

Previously applied SN mixing schemes considered matter from the He/N zone to account for low $^{12}C/^{13}C$ together with high $^{26}Al/^{27}Al$ in SN grains (e.g., Zinner 2014). However, in contrast to our 25T and 25d models, those calculations do not provide ¹⁵N in sufficient quantities. The possibility of having large concentrations of ¹³C, ¹⁵N and ²⁶Al in the He/C zone makes it easier to fit the observed ratios within the constraint of a C-rich mixture in mixing models.

4. PRODUCTION OF NITROGEN IN THE GALAXY

The peculiar isotopic abundances discussed in the previous sections are relevant for galactic chemical evolution (GCE). While presolar SN grains found in meteorites only condensed from CCSNe that exploded at most several hundred million years before the formation of the Sun (Jones et al. 1994), H-ingestion events in the He shell are expected to happen more frequently in low-metallicity stars. About 15% of SiC-X grains and 53% of LD graphite grains show lower than solar C isotopic ratios (see Presolar grain database). Therefore, we could argue that at least 15%–50% of the He-shell material ejected from CCSNe was exposed to H ingestion.

We explored the impact of H ingestion on the nitrogen inventory in the Galaxy. Intermediate-mass stars can largely account for the nitrogen abundance in the solar system (e.g., Kobayashi et al. 2011). Spectroscopic observations, on the other hand, have shown that massive stars are the most important suppliers of primary nitrogen in the early Galaxy (Israelian et al. 2004; Spite et al. 2005). Recent GCE models underestimate the abundance of ¹⁵N in the Sun by more than a factor of four (Kobayashi et al. 2011). Models of fast rotating massive stars can explain the observed production of primary ¹⁴N (Chiappini et al. 2006), but not the missing ¹⁵N (Meynet et al. 2006). This contrasts with observations of low $^{14}N/^{15}N$ ratios at high redshift, which suggests a massive star origin for ¹⁵N (¹⁴N/¹⁵N = 130 ± 20 at z = 0.89, Muller et al. 2006), while novae are a controversial source of ¹⁵N at much later timescales (Romano & Matteucci 2003).

We tested this scenario using the GCE model presented in Hughes et al. (2008), constructed with the GCE code GEtool (Fenner & Gibson 2003). We assume that the yields of all CCSNe with initial mass $M < 20M_{\odot}$ carry the N isotopic ratio of model 25T-H ($^{14}N/^{15}N = 20$), and apply the prediction of model 25d for stars with initial mass $M > 20M_{\odot}$ ($^{14}N/^{15}N = 434$). Since N is a primary product of H ingestion and is not affected by the initial metallicity of the star, we used the same solar ^{14}N yields for CCSNe of all metallicities. The GCE of the N isotopic ratio is shown in Figure 4, in comparison with the baseline case. With the extreme assumptions mentioned above, we obtain an N isotopic ratio more than four times *lower* than in the Sun. Furthermore, our large production of ^{14}N and ^{15}N in the He shell of CCSNe changes the GCE results at low metallicities.

We cannot rigorously quantify the impact of stellar yield uncertainties and GCE uncertainties in Figure 4 due, in part, to the limitations of current stellar models. Therefore, these results are meant to be illustrative rather than definitive. Hydrodynamic simulations of H-ingestion in massive stars are needed to produce more robust stellar yields to quantify the role that these events play in providing a source of nitrogen, and in particular of ¹⁵N, throughout the Galaxy. Major effects can also be expected for other isotopes, above all ¹³C.

5. CONCLUSIONS AND FINAL REMARKS

We presented new explosive nucleosynthesis calculations for the He shell in CCSNe of massive stars. The main goal of this



Figure 4. GCE simulations of the N isotopic ratio using the CCSNe yields by Woosley & Weaver (1995; dashed line), and using their solar N yields modified according to the models 25d and 25T-H for all metallicities (continuous line). For intermediate-mass stars we used the yields by Karakas et al. (2010).

work is to study the nucleosythesis impact of the possible presence of H in the He shell, due to H ingestion before the SN shock reaches these layers. We explore a large range of explosion conditions (a factor of 3.3 change in the peak temperature of the SN shock, and a factor of 100 in the peak density), and of the H abundance $(0.002\% \leq X_{\rm H} \leq 1.2\%)$ with simplistic 1D SN simulations.

Our calculations have identified the CCSN conditions to explain puzzling signatures in C-rich presolar grains. Although comparisons of these conditions to the observations can be used to constrain the nature of the supernova explosion, quantitative results require more detailed calculations. For example, the multi-dimensional structure generated by the H-ingestion cannot be properly represented by 1D-hydrostatic models. The yield results are sensitive to the peak density and temperature and 3D-hydrodynamics simulations of the H ingestion are needed to quantitatively predict this pre-shock structure. With such detailed models, the features in the C-rich grains can be used to constrain the nature of the CCSN explosion and of SN-shock propagation producing these yields (e.g. Wongwathanarat et al. 2015).

We explored the impact of our models on the GCE of the N isotopic ratio, showing that H ingestion in massive stars can be an important source of ¹⁴N and in particular of ¹⁵N, affecting the solar N isotopic ratio. To investigate this idea in more detail, massive star simulations with mixing assumptions that allow H-ingestion events are needed. Such 1D simulations need to be informed by 3D-hydrodynamic simulations. For realistic GCE simulations, the yields of 10–12 models of high mass stars at 4 different metallicities (at least) are required. Our results indicate that a similar set of stellar yields from stellar models including H-ingestion events at different metallicities is needed.

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