- 1 Sensitivity of Pagurus bernhardus (L.) to substrate-borne vibration and
- 2 anthropogenic noise
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11 Abstract

12 Despite the prevalence of vibration produced by anthropogenic activities impacting the 13 seabed there are few data and little information as to whether these are detected by 14 crustaceans and whether they interfere with their behaviour. Here the sensitivity of 15 unconditioned *Pagurus bernhardus* to substrate-borne vibration was quantified by exposure to sinusoidal vibrations of 5 - 410 Hz of varied amplitudes using the staircase 16 17 method of threshold determination, with threshold representing the detection of the 18 response and two behavioural responses used as reception indicators: movement of the 19 second antenna and onset or cessation of locomotion. Thresholds were compared to 20 measured vibrations close to anthropogenic operations and to the time in captivity prior to 21 tests. Behaviour varied according to the strength of the stimulus with a significant 22 difference in average threshold values between the two behavioural indicators, although there was overlap between the two, with overall sensitivity ranging from 0.09 - 0.44 m s⁻² 23 24 (root mean squared, RMS). Crabs of shortest duration in captivity prior to tests had significantly greater sensitivity to vibration, down to 0.02 m s⁻² (RMS). The sensitivity of P. 25 26 bernhardus fell well within the range of vibrations measured near anthropogenic 27 operations. The data indicate that anthropogenic substrate-borne vibrations have a clear 28 effect on the behaviour of a common marine crustacean. The study emphasises that 29 these vibrations are an important component of noise pollution that requires further 30 attention to understand the long term effects on marine crustaceans.

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33 **1. Introduction**

34 There is an increasing concern that man-made noise is having a marine ecological 35 impact, hence its inclusion in the OSPAR and HELCOM Regional Seas Conventions and 36 within the European Marine Strategy Framework Directive (2010), which includes noise as 37 a Descriptor to achieve Good Environmental Status (GES) (Borja et al., 2013). Although 38 there has been recent progress, there are still insufficient data on the levels of noise 39 causing injury or responses in fish and invertebrates (Hawkins et al., 2014a; Popper et al., 40 2014). Within this, the impact of seabed vibration upon marine organisms has been 41 largely neglected even though many activities involve direct contact with the seabed, for 42 example pile driving and drilling. These produce substrate-borne vibrations which can 43 travel as compressional (longitudinal), transverse (shear) or surface (Rayleigh or 'ground 44 roll') waves (Aicher and Tautz, 1990; Hazelwood and Macey, 2015; Markl, 1983), with 45 energy being transmitted in one or multiple waveforms depending on the substrate type, 46 boundary layers, and connection to the substrate (Aicher and Tautz, 1990). The energy of 47 low frequency Rayleigh waves in particular, may travel large distances from the source 48 (Brownell, 1977), trapped within the surface seabed with minimal attenuation (Hazelwood 49 and Macey, 2015). Thus animals may detect, and be affected by vibration at large 50 distances from anthropogenic sources. However there are few data on levels of detection 51 and the levels produced by such sources (reviewed in Roberts, 2015), this makes the 52 impacts of such vibrations on marine organisms difficult to ascertain. 53 Whilst sound comprises both pressure waves and particle motion (water and substrate-54 borne), crustaceans appear to respond to particle motion only (Breithaupt and Tautz, 55 1988; 1990; Goodall et al., 1990; Monteclaro et al., 2010; Plummer et al., 1986; Roberts 56 and Breithaupt, 2015; Tautz and Sandeman, 1980). Such detection is likely since sound 57 production is widespread in crustaceans, from snapping shrimp (Johnson et al., 1947;

58 Knowlton and Moulton, 1963; Schmitz and Herberholz, 1998; Versluis et al., 2000) to

59 lobster and crab stridulation (Aicher et al., 1983; Field et al., 1987; Henninger and

60 Watson, 2005; Horch, 1971; 1975; Moulton, 1957; Patek, 2001; Patek et al., 2009),

61 rumbling of mantis shrimps (Order Stomatopoda) (Patek and Caldwell, 2006; Staaterman

62 et al., 2011) and shell rapping in hermit crabs (Briffa and Elwood, 2000).

63 Substrate-borne vibration detection studies have been predominantly directed towards 64 semi-terrestrial fiddler crabs, which use vibration for communication and courtship (Aicher 65 and Tautz, 1990). Thresholds of sensitivity have been determined using electrophysiological techniques (Aicher and Tautz, 1984; Salmon and Horch, 1973; 66 67 Salmon et al., 1977) and behavioural observations (Salmon and Atsaides, 1969) or a 68 combination of both (Salmon, 1971; Salmon et al., 1977). These studies have demonstrated greatest sensitivity between 0.02 - 0.07 m s⁻² (30 - 400 Hz, RMS) and 0.01 69 70 - 0.02 m s⁻² (50 - 90 Hz, RMS) (Salmon, 1971; Salmon and Atsaides, 1969; Salmon and 71 Horch, 1973) for behavioural and electrophysiology work respectively. Of the few data 72 available for aquatic decapod crustaceans exposed to vibration, behavioural work with Crangon crangon has indicated thresholds of $0.4 - 0.81 \text{ m s}^{-2}(20 - 200 \text{ Hz}, \text{ peak})$ 73 74 (Berghahn et al., 1995; Heinisch and Wiese, 1987). Thresholds for water-borne particle motion have been found in the range of $0.0002 - 1.4 \text{ m s}^{-2}$ (3 - 400 Hz) but work has 75 76 mostly focussed upon freshwater crayfish such as Orconectes limosus and Procambarus 77 clarkia (Breithaupt, 2002; Breithaupt and Tautz, 1990; Goodall et al., 1990; Horch, 1971; 78 Offutt, 1970; Tautz and Sandeman, 1980; Wiese, 1976). Most recently, Hughes et al. 79 (2014) demonstrated sensitivity of the mud crab Panopeus spp. to water-borne stimuli in 80 the range of $0.025 - 0.2 \text{ m s}^{-2}$ (75 – 1600 Hz, RMS). 81 Establishing the sensitivity of an organism to an acoustic or vibratory stimulus typically

82 involves producing a threshold curve spanning a range of frequencies (Fay and Popper

83 (1974), measuring electrophysiological responses from individual sensory detectors

84 (Breithaupt and Tautz, 1988; Mellon, 1963; Monteclaro et al., 2010; Tautz and

85 Sandeman, 1980) or measuring the auditory evoked potential (AEP). For cephalopods,

86 and some crustaceans, AEP has been successfully applied (Lovell et al., 2005; Mooney et

al., 2010), but thresholds determined in this manner are less accurate than those

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determined by behavioural methodologies (Ladich and Fay, 2013; Sisneros et al., 2015).
Response may also be affected by handling time and the possibility of acclimation to
background noise levels and disturbance stimuli. This has been demonstrated in fishes
(Chapman and Hawkins, 1969; Knudsen et al., 1992; Peña et al., 2013) but needs to be
considered for other organisms when investigating behavioural sensory thresholds.

The present study aimed to determine to what extent the common marine intertidal hermit crab, *Pagurus bernhardus L*. (Family Paguridae) is sensitive to substrate-borne vibration, and to fully define the sensitivity range and behavioural responses in relation to levels produced by anthropogenic activities. The data were also related to the sensitivity of other species to vibration. Variation in threshold was investigated in relation to time spent in the laboratory prior to tests.

99 It is hypothesised that the sensitivities of *P. berhardus* to vibration would fall within the 100 high levels produced by anthropogenic activities and within the range documented for 101 other species. However the precise sensitivity of *P. bernhardus* to vibrations (natural or 102 anthropogenic) is undocumented, although it may be similar to that of semi-terrestrial 103 crabs (Aicher and Tautz, 1990; Salmon and Atsaides, 1969), of marine species such as 104 *Nephrops norvegicus* and *C. crangon* (Goodall et al., 1990; Heinisch and Wiese, 1987) 105 due to similar receptive mechanisms.

Hermit crabs were chosen due to the clear anti-predator mechanism (withdrawal into the
shell) they undertake in stressful conditions (Chan et al., 2010a; Chan et al., 2010b;
Elwood and Briffa, 2001), and their coastal distribution which means they are likely to
encounter anthropogenic activities. Small behavioural changes (antenna movement, and
changes in locomotion) were used to indicate vibration reception as in studies with other
crustaceans (Berghahn et al., 1995; Breithaupt, 2002; Goodall et al., 1990; Heinisch and
Wiese, 1987; Tautz, 1987), rather than a conditioning approach.

114 **2. Materials and Methodology**

115 Hermit crabs, P. bernhardus occupying Littorina sp. shells (shell height 15.9 - 23.3 mm, 116 the total distance between the apical and basal extremities of the shell), were collected 117 from Scarborough shore (54° 16' 15.3"N 0° 23' 17.1"W) and kept in a temperature 118 controlled room with minimal disturbance and a 12 hour light 12 hour darkness regime, 119 with an average water temperature of 11 - 12°C. The crabs were fed every 48 hrs on a 120 diet of mixed shellfish and kept in small groups, and starved for 24 - 48 hours before tests. 121 Partial water changes (25%) were undertaken every 2 - 3 days and water quality was 122 monitored throughout. Within the holding tanks, crabs were free to move and interact. To 123 reduce conflicts, the tanks contained shelters and spare shells. Post-moult individuals and 124 those with missing appendages were not used. A minimum acclimation period of 24 - 48 125 hours was allowed between collection and testing.

126 **2.1 Experimental setup and threshold determination**

127 The experimental setup consisted of a tank (with external vibration dampening) with a 128 stinger rod descending vertically to the sandy substrate, which transmitted vibrations from 129 an electromagnetic shaker (LDS v101, 8.9 N, 5 - 12,000 Hz) (Fig. 1). Full details of the 130 experimental setup are provided in Roberts et al. (*In press*), Roberts (2015); Roberts and 131 Breithaupt (2015). At the opposite end of the tank, a circular plastic arena (100 diameter, 132 50 mm height, opaque) was situated, within which the subject moved freely. A camera 133 (Microsoft Lifecam) above the arena allowed behaviour of the subject to be monitored 134 remotely by the experimenter without disturbance. Sine waves of 8 s duration (1 s rise and 135 decay time to prevent signal distortion) were presented at 11 amplitudes (in increments of 136 6 dB below the maximum level) and seven frequencies (5 - 410 Hz). Signals were 137 generated in AUDACITY (version 2.0.5), exported on an SD card and played back through a 138 Roland R-09HR MP3 recorder connected to an amplifier (JL Audio XD 200/2 200 W, 12 -139 22 kHz) and the shaker. The staircase method of threshold determination was used to 140 determine the threshold (Cornsweet, 1962). The procedure consisted of exposing the 141 subject to the signal, observing the response and then selecting the next signal

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accordingly. A positive response to the signal initiated a reduction of the signal amplitude,

143 and vice versa. This procedure continued until two amplitudes were repeatedly presented,

144 with positive and negative responses consistently i.e. that the staircase reached a plateau

(Fig. 2). The average of these two amplitudes, after being presented 10 times, was takenas the threshold value.

[Figure 1]

[Figure 2]

147 One crab was tested per day with the presentation of frequencies fully randomised, with 148 10 – 20 minutes between each frequency. An acclimation period of 12 - 14 hours inside 149 the tank was used prior to threshold determination. Each crab was used only once, apart 150 from in the re-test experiments. Amplitudes were presented two minutes apart. 151 Preliminary testing indicated that responses lasted up to 1 - 2 seconds after each stimulus 152 ended. There were no signs of response habituation to repeated stimulation. Control 153 observations were made during each day of experiments, at a random time throughout the 154 day, where behaviour was observed when exposed to five 'blank' signatures (i.e.- an 8 s 155 period of no vibration). Results were also compared to known thresholds from the 156 literature (water and substrate particle motion). To enable comparison with anthropogenic 157 values, acceleration threshold values were converted to velocity (see supplemental 158 equation 1).

159 **2.2 Data analysis**

Extensive preliminary tests indicated a suite of responses after exposure to vibration, ranging from partial retraction into the shell to smaller antennae responses. As such, two different behavioural indicators were used to calculate threshold values. These were a clear movement of the second antenna, occurring at the onset of the signal and during the signal (indicator 1), and the onset or cessation of locomotion (indicator 2). Only one indicator was used per set of crabs. Threshold values were calculated and plotted against 166 frequency. Comparisons between indicators were undertaken using a Mann Whitney U-

167 test. Data were compared as a whole and subdivided by frequency.

The effect of time in the laboratory prior to tests was investigated by using all data sets which used the same indicator as a response but subdivided into two groups according to duration in the laboratory being 60⁺ days and < 10 days. An independent t-test was used to compare values between the two groups both with the data grouped altogether and subdivided by frequency.

173 The consistency of response was tested in a separate experiment by re-testing a set of

174 crabs. Crabs were tested for the threshold (indicator 2) and then re-tested the following

175 week, to investigate whether sensitivity was consistent within each individual. A paired t-

test was used to compare the mean threshold between the first and the second test per

177 crab. Data were analysed as a whole, and subdivided by frequency.

178 All data sets were tested for normality and equal variance (using Shapiro-Wilks and

179 Levene's) and log transformed as appropriate to fulfil the assumption of parametric tests.

180 Where this was not possible non-parametric tests were used.

181 2.3 Stimulus analysis

182 Full details of stimulus measurement and analysis are provided in Roberts et al. (*in press*)

and Roberts (2015). A piezo-electric accelerometer (Brüel & Kjær, type 4333, 20.6 mV/g,

184 with type 2635 charge amplifier) and a 3D geophone system (Sensor Nederland, SM-7

185 375 ohm, IO, 28.8 V/m/s) were used to measure vibration within the tank continuously and

186 simultaneously throughout experiments. Both sensors were connected to an

187 ADInstrument Powerlab data acquisition system and a laptop computer with CHART 5

188 software (version 5.5) installed, and were placed adjacent to the arena to avoid contact

189 with the subject. Sensors were calibrated against a Brüel & Kjær accelerometer (type

190 4370, 80 mV/g).

191 The stimulus was shown to be of greatest amplitude in the vertical axis, and to have a

192 peak at the desired frequency for each signal with minor variation per day (see

193 supplemental Fig. A1). A sample of background measurements within the tank (RMS)

194 indicated that there was no significant difference in ambient levels during the experiments.

195 For this reason the average background level across the experimental run was compared 196 to threshold values (Roberts et al in press).

197 2.4 Anthropogenic vibration data

199

198 Crab sensitivity thresholds were compared to measurements of vibration taken within the

vicinity of anthropogenic operations involving contact with the sea or riverbed. 200 Measurements of piling, drilling, dredging, tunnel boring and shell and auger piling were

201 taken on separate occasions using a geophone (Vibrock v901, bolted to a metal plate),

202 which had been calibrated by Vibrock Ltd. to a sensitivity of 0.023 V (mm s⁻¹⁾⁻¹. The

203 geophone was lowered to the sea or riverbed by hand from a small vessel nearby to the

204 construction operation being monitored. The cable was weighted close to the geophone in

205 order not to add any additional vibration to the measurements. A custom-made variable

206 gain amplifier (Subacoustech Ltd., 20 – 40 dB) was used to amplify the geophone signal.

207 A sampling rate of 10 kHz or 44.1 kHz was used, well above the frequency bands with the

208 largest amount of energy, with a national instruments ADC of type USB-6216 and storage

209 on a laptop computer. Prior to each set of measurements, the distance from the

210 construction activity being monitored was measured, either by use of a hand held GPS

211 device or a laser range finder. RMS and peak amplitude values were calculated from clips

212 of 10 s, over a window size of 1 s. Where possible the data included here are available as

213 Subacoustech Ltd. reports (East and Collett, 2014; Edwards and Kynoch, 2008; Parvin

214 and Brooker, 2008; Parvin et al., 2007) or as Subacoustech (unpubl.).

215 3. Results

216 3.1 Behavioural responses to vibration

217 At onset of the stimuli, or within a second of onset, clear behavioural changes were

218 observed with the type of response varying according to the amplitude of the stimulus. At

219 the lowest levels of exposure, a clear movement of the second antenna occurred at the onset of the signal (indicator 1). The movement consisted of a 'sweeping' backwards of
both antennae towards the shell, accompanied by 'flicks' of the antennules (Schmitt and
Ache, 1979) and rapid movement of the maxilliped exopodites "fan organs", (Breithaupt,
2001). The movement of the second antenna typically occurred once or twice at the onset
of the vibration, but the movement of the antennules and fan organs lasted for the
duration of the exposure. The movement of these body parts was not accompanied by
any other sort of motion.

227 In some cases a burst of movement was seen (indicator 2), most often at higher 228 amplitudes of vibration. This behaviour occurred at the onset of the vibration (or within 1 – 229 2 seconds), and consisted of forward movement until the end of the exposure. In animals 230 already moving at the onset of the signal, the vibration induced a cessation of movement 231 for the duration of the signal. As such, regardless of the activity level of the individual, this 232 behavioural indicator was clearly defined. It is of note that indicator 2 was often 233 accompanied by antenna and antennule movements as of indicator 1, however indicator 1 234 often occurred without indicator 2. Onset and cessation of movement were used as one 235 indicator, but further work could investigate whether the threshold for each was different 236 when considered separately.

Between the two indicators there was a suite of other behaviours which clearly began at the onset of the stimuli; these included a clear 'flinch' of all legs, and a sudden burst of digging in the sand. All these changes appeared to be indicative of a response, since nonexposed crabs did not exhibit such clear 'startle' type behaviour. In preliminary tests, a semi- or full retraction into the shell was elicited a number of times but was not common during the experiments.

Since the responses were clear, it was possible to find the threshold of sensitivity using
the two respective indicators (1 and 2) of behavioural change. Control observations
indicated that the experimental setup itself did not appear to affect the animals, that is,
there were changes in movement, or bursts of increased antenna flicking during the 8 s
control clips.

248 On a number of occasions crabs appeared to lift the shell from the substrate during the 249 stimulus, and in other cases to exit the shell, examine it thoroughly and return. No crab 250 permanently left the shell, although in preliminary tests involving a stronger stimulus 251 source this response was observed multiple times.

252 3.2 Threshold determination

253 A total of 45 hermit crabs were tested for sensitivity (5 – 410 Hz); 35 of those (cheliped

width 2.13 - 6.00 mm) were tested using indicator 1. Ten crabs (cheliped width 2.13 - 5.9

255 mm) were tested using indicator 2, with only 5 of the 7 frequencies tested (20 - 410 Hz)

since movement was not elicited at the 2 lowest frequencies. No mortality was observed

- 257 during the experiments, crabs were active throughout and fed normally afterwards.
- 258 An approximately flat response curve was obtained for indicator 1 with average

sensitivities between 0.11 - 0.29 m s⁻² (n = 35, RMS, vertical axis) and greatest sensitivity

260 at 90 Hz. A more irregular curve was seen for indicator 2 with average sensitivities 0.09 -

261 0.44 m s⁻² (n = 10, RMS, vertical axis) with greatest sensitivity at 40 Hz, and a larger peak

at 210 Hz (Fig. 3). Threshold values varied significantly between the two indicators when

all data were grouped (U = 3634, p < 0.001) and when subdivided by frequency (U = 66,

264 102, 129, 142; p < 0.05 for 40, 90, 210, 410 Hz respectively), apart from at 20 Hz (U =

265 216, p = 0.11).

266 There was no significant difference between the thresholds of re-tested crabs, indicating

that the values were representative of the individuals sensitivity in the experimental

conditions (t = -0.34, df = 28, p = 0.73, indicator 2, log transformed) and when subdivided

by frequency (Table 1). However, there were fewer responses on the re-test in general.

[Figure 3]

270 3.3 Time in the laboratory

271 Mean threshold varied significantly depending on duration in the laboratory prior to tests (t 272 = 6.73, df = 270, p < 0.05, indicator 1, log transformed, RMS), with crabs held less in the

- 273 laboratory being most sensitive to vibration (Fig. 4). The same trend was seen when
- 274 subdivided by frequency (10 Hz t = 3.84, p < 0.05; 20 Hz t = 2.13, p < 0.05; 40 Hz t =

275 2.13, p < 0.05; 90 Hz t = 4.75, p < 0.01; 210 t = 2.79, p < 0.05; 410 Hz t = 3.04, p < 0.05,

276 all df = 38, apart from at 5 Hz t = 1.33, df = 31, p < 0.05).

Since crabs of short duration in the laboratory may reflect the sensitivities of wild crabs
more closely (having not become used to laboratory conditions), these thresholds were
compared to anthropogenic vibration measurements.

[Figure 4]

280 **3.4 Comparison to anthropogenic values**

281 Each measurement and construction operation was carried out in different conditions,

such as water depth and sediment type. In some cases conditions were not fully

283 described and so could not be directly compared. Frequency composition data were not

available for all the sources, however for the data that were available indicate that, also

similar to the case of underwater noise, most construction operations produce very low

286 frequency vibrations, concentrated at frequencies below 100 Hz (Table 2).

287 After conversion to velocity, the lowest threshold of sensitivity (from crabs which had

spent least time in the laboratory) ranged from $0.00007 - 0.00022 \text{ m s}^{-1}$ (RMS).

289 Anthropogenic sources of vibration which typically produce high levels of underwater

290 noise such as blasting produce high levels of ground vibration, and therefore would be

291 detectable up to 296 m from the operation, for example. Operations such as piling and

shell auger were measured at a level of 0.0017 m s⁻¹ and 0.00009 m s⁻¹ (34 and 70 m s⁻¹) (34 and 70 m)

respectively), well above all the thresholds of detection for frequencies of up to 40 Hz.

294 This is of particular relevance as, with an intertidal distribution, *P. bernhardus* is likely to

295 be close to many anthropogenic activities.

296 Construction methods which typically produce comparably low levels of underwater noise

such as drilling and dredging also produce low levels of vibration, in the region of

298 0.000023 m s⁻¹ at 50 m (Subacoustech Ltd. *unpubl.*). This would put the vibrations below

the threshold of detection at all but the higher frequencies, except at small distances from

300 the source (Table 2).

301 [Table 2]

302 4. Discussion

303 4.1 Sensitivity of P. bernhardus to vibrations

P. bernhardus in this study were sensitive to vibrations in the region of 0.02 – 0.44 m s⁻²
(RMS). Much of the available threshold data is from semi-terrestrial crustaceans rather
than marine, making comparisons difficult, and data are often given in different units with
varied methodologies. Nevertheless, a comparison of the current results to particle motion
sensitivity curves (RMS data only, Fig. 5) indicates that the current values are within the
range expected.

- 310 In some studies a greater sensitivity to vibration than the current work was demonstrated,
- 311 which may be attributed to a variation in approach, since electrophysiological methods
- 312 typically yield greater sensitivities than behaviourally determined values (Ladich and Fay,
- 313 2013), as shown when comparing the curves of two Uca species (Aicher and Tautz,
- 314 1984; Salmon and Atsaides, 1969). For example whilst threshold values obtained from
- 315 the semi-terrestrial Uca sp. are similar to the present work in the 100 Hz region,
- 316 behavioural tests indicate slightly greater sensitivities for example 0.0175 m s⁻² at 50 Hz
- 317 (Salmon and Horch, 1973). However Uca sp. may have a greater sensitivity than P.
- 318 *bernhardus* since this species communicates by 'drumming' the substrate. Such
- 319 communication has not been observed in hermit crabs, although stridulation (rubbing
- 320 together of body parts) has been described (Field et al., 1987).

[Figure 5]

- 321 The current results indicate a fairly flat response across the frequency range for all data
- 322 apart from a prominent peak at 210 Hz, which agrees with data for Orconectes limosus
- 323 (Breithaupt and Tautz, 1988) and Uca sp. (Salmon and Horch, 1973; Salmon et al.,

324 1977). However if the 410 Hz data are excluded from the present results, the data trend reflects that of curves U. pugilator and O. Limosus with a gradual reduction of sensitivity 325 326 with increasing frequency especially above 100 Hz (Aicher and Tautz, 1984; Breithaupt, 327 2002; Salmon and Atsaides, 1969). A trend such as this has been demonstrated in water-328 borne particle motion thresholds of cephalopods and fish (Hughes et al., 2014; Packard et 329 al., 1990), and may indicate directionally sensitive cells within a receptor system 330 (Budelmann, 1979; Hughes et al., 2014). Spectral analysis revealed the signals at 210 331 and 410 Hz to be relatively 'pure' in terms of composition, therefore the two conflicting 332 trends above cannot be explained by problems with the stimulus (Roberts, 2015). A laser 333 Doppler vibrometer could be used in further tests to fully understand the signal on the 334 animal itself, as in Aicher et al. (1983).

Salmon (1971) reported greatest sensitivities of $0.04 - 0.06 \text{ m s}^{-2}(30 - 60 \text{ Hz}, \text{RMS})$ for *U.* pugilator and 0.02 m s^{-2} for *U. minax* (50 Hz, RMS), and Goodall (1988) demonstrated a

337 sensitivity of 0.01 m s⁻² (20 Hz) for *N. Norvegicus*; all of these values are within the range

found in the current work. Berghahn et al. (1995) and Heinisch and Wiese (1987)

demonstrated marginally reduced sensitivities for other marine crustaceans compared to

340 the current work, being 0.4 m s⁻² (20 – 200 Hz) and 0.81 m s⁻² (170 Hz) respectively

341 (peak). Benthic fishes, such as flatfish, which do not have a swimbladder, appear on the

342 whole to be more sensitive to vibration than *P. berhardus* (Chapman and Sand, 1974;

343 Fay and Simmons, 1998; Karlsen, 1992; Popper and Fay, 2011; Sand and Karlsen,

1986; Sigray and Andersson, 2011), or of similar sensitivity (Berghahn et al., 1995).

Similarly, cephalopods sensitivities may be found within the range of $0.0003 - 1.1 \text{ m s}^{-2}$ (1

346 – 280 Hz, peak) (Kaifu et al., 2008; Mooney et al., 2010; Packard et al., 1990).

347 The particle motion and not the pressure component of an acoustic wave is likely to be the

348 main stimulator in crustaceans since they lack air filled cavities to convert pressure to

349 mechanical displacement (Breithaupt and Tautz, 1990; Goodall, 1988; Hughes et al.,

350 2014; Tautz and Sandeman, 1980). Detection of such motion may involve

351 mechanoreceptors consisting of surface receptors, internal statocysts and the chordotonal

352 organs (Breithaupt and Tautz, 1988; Budelmann, 1992; Goodall, 1988; Wiese, 1976),

353 although the role of each type within detection abilities of vibration is relatively unknown. 354 Cuticular mechanoreceptors have been described, for example sensory hairs on the 355 carapace, chelipeds, antennual flagellae, and second antenna (Breithaupt and Tautz, 356 1988; Derby and Atema, 1982; Goodall, 1988; Sandeman and Wilkens, 1982; Tautz and 357 Sandeman, 1980; Wiese, 1976). The chordotonal organs located within the joints of 358 appendages may also detect vibration in addition to joint extension (Aicher and Tautz, 359 1984; Barth, 1980; Budelmann, 1992; Burke, 1954; Horch, 1971; Salmon et al., 1977). 360 Furthermore the statocyst, a fluid-filled chamber with a dense mass (statolith) inside 361 (Budelmann, 1988; Cohen, 1955; Cohen and Dijkgraaf, 1961; Cohen et al., 1953) may 362 enable the detection of particle motion in addition to its role as an equilibrium receptor 363 (Fraser, 1990). As such it may be involved in acoustic detection (Breithaupt and Tautz, 364 1988; Cohen, 1955; Nakagawa and Hisada, 1990), as in the cephalopods (Budelmann 365 and Williamson, 1994; Kaifu et al., 2008; Maturana and Sperling, 1963; Williamson and 366 Budelmann, 1985). The flat frequency response displayed by hermit crabs here, when 367 vibration thresholds are plotted in acceleration units suggest that it is mediated by an 368 inertial detector such as the statocyst, see Breithaupt and Tautz (1990); Kalmiin (1988). 369 Additionally it is likely that there are vibration receptors in the legs, such as in fiddler crabs 370 (Aicher et al., 1983; Aicher and Tautz, 1984).

371 4.2 Behavioural responses

372 Responses here were clear and occurred at onset of the stimulus appearing to take a 373 somewhat predictable pattern (i.e. motion being most likely with stronger signals) varying 374 with the amplitude of the stimulus, allowing use of two distinct behavioural indicators. In 375 crayfish, sweeping movement of the second antennae is common during exploration 376 behaviour (Krång and Rosengvist, 2006), due to sensory hairs located there to detect 377 tactile and chemo-mechanical cues. Antennae movement in response to vibration has 378 been demonstrated in a range of other crustaceans (Berghahn et al., 1995; Heinisch and 379 Wiese, 1987; Meyer-Rochow, 1982; Tautz, 1987). Postural changes and movement of 380 appendages have also been documented (Breithaupt, 2002; Goodall, 1988; Goodall et al., 1990) and a similar range of startle-type responses were seen in *Uca sp.* (Salmon and
Atsaides, 1969). Crabs were unresponsive during control trials indicating that the
experimental setup itself did not have an effect.

384 The average threshold was higher (i.e. – reduced sensitivity) for indicator 2 than for 385 indicator 1 at 90 and 210 Hz only, otherwise the curves were similar. A difference between 386 the two indicators was expected, since indicator 2 may be described as a more 'energetic' 387 response and as such may require a stronger vibration to be triggered. The use of the two 388 indicators in this way demonstrates how this method could be applied to provide threshold 389 values for a suite of behavioural responses. In several cases crabs were seen lifting their 390 shell from the substrate during vibration exposures, which may have been a method of 391 reducing exposure levels. In stridulating terrestrial hermit crabs, lifting of the shell from the 392 substrate has been shown to reduce vibrations between shell and sand (Field et al.,

393 1987).

The current work used unconditioned animals to determine thresholds. There has been

395 only one documented successful attempt of crustacean conditioning to sound (Offutt,

1970), possibly due to the heart rate being naturally erratic in laboratory conditions (Florey

and Kriebelm, 1974). The use of conditioned animals has an advantage in that it reduces

the chances of habituation, which has been demonstrated in fishes (Knudsen et al., 1992;

399 Schwarz and Greer, 1984). There are few data available on habituation in crustaceans,

400 however to minimise the chance of habituation in the current work, stimuli were widely

401 spaced and there were large gaps between frequencies (20 minutes); this method was

402 successful since crabs stayed responsive throughout experiments. Although habituation

403 within trials was not demonstrated, the data from the current work may indicate

404 adjustment to background vibration levels across a longer time period, i.e. crabs exhibited

405 reduced sensitivity to vibration after a long duration (weeks) in the laboratory prior to tests.

406 This is important when repeating the current work.

407 The precise stimulus strength and frequency composition received may have been

408 affected by, for example, the type of shell occupied, the size, volume, and shell wall

409 thickness. For this reason, crabs occupying damaged shells were not used in the 410 experiments. Similarly crabs that moulted within the holding conditions, or that had 411 missing appendages were discounted from tests- particularly since Offutt (1970) noted 412 variation in thresholds after moulting. Furthermore the 'fit' of the shell may have had an 413 effect on the resonance of the shells (i.e. whether the crab was in a shell approximately 414 matching its size). In an extension of the present work a significant positive correlation 415 was found between chela size and shell size (Roberts, 2015), which indicated that crabs 416 were in fact occupying shells appropriate to size. Shell resonance was not investigated 417 here but the shells of *Trizopagurus* sp. have been found to amplify certain frequencies, 418 and resonance may differ with shell type and contact area to the substrate (Field et al., 419 1987).

420 On a number of occasions individuals were seen exiting the shell, examining it thoroughly 421 before returning. It is possible that these individuals interpreted the 'tapping' as initiation of 422 agonistic behaviour by another crab (Briffa et al., 2013; Briffa et al., 2008). Shell rapping is 423 a common behaviour displayed during shell fights and can cause eviction of the defender 424 (Briffa and Elwood 2000). Behaviours such as this illustrate the importance of examining 425 sensitivity thresholds in conditions were the animal is unconstrained. The observation of 426 such behaviours would not have been observed had the crabs been fixed to a point or 427 held in a sling such as in Horch and Salmon (1972), indeed it could be argued that more 428 technical/complex setups would elicit more unnatural behavioural responses.

429

It is important to determine the consequences of the individual responses to the health
and stability of the population and hence the community, although the energetic
consequences of the responses detected here are unknown. Frequent bursts of
movement may interrupt natural behaviour and change the time energy budget of *P*. *bernhardus*, which was beyond the scope of this study. Similar time budget disruptions
have been seen in reef fishes in response to acoustic playbacks (Picciulin et al., 2010),
and pollutants have been shown to effect energy use in *Mytilus edulis* (Widdows et al.,

437 2002; Widdows et al., 1997), but there are few data for crustaceans. The responses seen 438 here may also be accompanied by internal changes- for example heart beat, production of 439 stress proteins and oxygen consumption changes (Celi et al., 2014; Florey and Kriebelm, 440 1974; Wale et al., 2013b). Movement, feeding, avoidance, agonistic behaviour and habitat 441 choice may also be affected as shown by acoustic studies with fishes (Hawkins et al., 442 2014b; Simpson et al., 2014; Voellmy et al., 2014a; Voellmy et al., 2014b). Whilst 443 responses of fish may not be directly relevant to crustaceans, there are few data available 444 to allow fair comparisons. As such, further studies are needed to investigate the long term 445 effects of these vibrations on stress levels, growth, and reproduction of crustaceans. 446 While in our study animals indicated reduced sensitivity to vibration after a longer duration 447 in the laboratory (and associated ambient vibration levels) it is unclear whether this 448 promotes or reduces survival and reproductive success. Long term studies are necessary 449 to address and understand the effects that anthropogenic vibrations have on marine 450 communities.

451 **4.3 Relation to anthropogenic vibration levels**

452 The current work demonstrates that the vibration sensitivity of crustaceans is well within 453 the range of substrate disturbances produced by anthropogenic activities. The core 454 acoustic energy of many anthropogenic sources is at low frequencies (Nedwell et al., 455 2003a; Nedwell et al., 2003b) and within the substrate is predominantly < 100 Hz 456 (Subacoustech Ltd., Unpubl.). The current work shows that hermit crabs are sensitive to 457 broad range of frequencies < 410 Hz. The low frequency range is accentuated in the 458 propagation of anthropogenic produced surface waves (Hazelwood, 2012; Hazelwood and 459 Macey, 2015). It is likely that the vibrations summarised in Table 2 are also detectable by 460 other crustacean species, which have similar sensitivities to P. bernhardus (Figure). 461 Hence crustaceans are likely to detect such anthropogenic vibrations, but more data are 462 required to investigate the long term repercussions of the responses observed here, at the 463 individual and population level.

There is a shortage of publicly available underwater vibration measurements (Hazelwood, 464 465 2012; Hazelwood and Macey, 2015; Miller, 2015), with those available often lacking the 466 details required for comparisons between sources. A modelling approach may be used to 467 estimate seabed vibrations such as from piling (Hazelwood and Macey, 2015; Miller, 468 2015), but validation must be undertaken in the field. Due to the complexities of 469 underwater sound measurement, a standard protocol involves predominantly pressure 470 data rather than substrate-borne or water-borne particle motion data. On the whole there 471 are no international standards for measuring particle motion, although ISO standards have 472 recently been proposed (ISO, 2014). The measurement of substrate vibration is, at least, 473 easier to measure with three dimensional seismic sensors and directional accelerometers, 474 whereas measurement of water-borne vibration is more complex, with sensors not yet 475 commercially available, although various measurement methods exist (Popper et al., 476 2005; Zeddies et al., 2010; Zeddies et al., 2012). The lack of data is of importance in the 477 light of the inclusion of underwater noise within the OSPAR (North-East Atlantic) and 478 HELCOM (Baltic) Regional Seas Conventions and within the EU Marine Strategy 479 Framework Directive (Borja et al., 2010; Tasker et al., 2010; Van der Graaf et al., 2012). 480 These require the setting of sound exposure criteria and indicators for marine species, 481 however the inclusion of seabed vibration within this is implicit rather than explicit. The 482 current work highlights the importance of substrate-borne vibration within the assessment 483 of noise sources, allowing it to be considered as of the same importance to water-borne 484 energy.

485 Levels of vibration from anthropogenic sources fluctuate according to a number of factors,

486 for example, type of source, parameters of the source (for example diameter of pile),

487 depth, propagation conditions, duration of operation (Athanasopoulos and Pelekis, 2000;

488 Kim and Lee, 2000; Thandavamoorthy, 2004). As such, measurements are scenario

489 specific and it is not possible to generalise between sources and conditions. The speed of

490 Rayleigh waves in particular varies with properties of the solid, frequency, the depth of the

491 sediment hard layer and the Poisson ratio (Hazelwood and Macey, 2015). These factors

492 all affect the level of the sound produced, and the frequency spectrum of the signal and

laboratory conditions cannot fully replicate the vibroacoustic conditions of the sea shore or
the seabed. In translating this information to the field it is necessary to consider the
difference in threshold between laboratory and field conditions, especially since thresholds
in fish have been shown to vary with background levels (Hawkins and Chapman, 1975),
and, as shown here, thresholds vary according to duration in the laboratory, for example.

498 4.4 Stimulus presentation

499 It is of note that whilst the energy was predominantly in the vertical axis here the other two 500 axes were of notable strength, which highlights the necessity to measure all three axes to 501 understand the whole signal. It is not possible to determine precisely to which of the three 502 planes the crabs here were sensitive to, however the signal could be described as 503 predominantly vertical. The particles within Rayleigh waves move in an elliptical pathway, 504 hence the waves have some energy in the vertical direction (Brownell, 1977; Lowrie, 505 2010), as in this study. Such waves have been shown to be detectable by fiddler crabs 506 (Aicher et al., 1983; Aicher and Tautz, 1984; 1990). To increase vertical signal strength, a 507 shaker table could be used to constrain the substrate motion entirely to one axis (Mooney 508 et al., 2010). This system may also help to increase the purity of the stimulus in terms of 509 frequency composition, although on the whole the sinusoidal waves used here had 510 predominant peaks in the region of the intended frequency. In audiometry studies of 511 fishes, waveforms must be as pure as possible (Chapman and Hawkins, 1973) since 512 threshold values may vary with frequency.

513 There are few studies exposing crustaceans to acoustic signals, such as anthropogenic 514 noise, and yet such stimuli are likely to have strong particle motion components (substrate 515 and water borne) and therefore to be detectable (Hazelwood and Macey, 2015; Popper et 516 al., 2001). Experiments with marine and semi-terrestrial crabs have indicated changes in 517 foraging and anti-predator behaviour after noise exposure (Chan et al., 2010a; Chan et 518 al., 2010b; Wale et al., 2013a; b). However other studies have not demonstrated such 519 adverse effects (Andriguetto-Filho et al., 2005; Parry and Gason, 2006). Variation 520 between laboratory and field results may be attributed to the unpredictable nature of the

521 acoustic field within small laboratory aquaria (Parvulescu, 1964a; b; Rogers, 2015), a 522 factor that must be considered here also. Whilst the stimulus here was predominantly 523 exciting the substrate, it is possible that the signal also created water-borne particle 524 motion, and perhaps even pressure within the tank. However by using a shaker directly 525 contacting the substrate, the pressure and interference phenomena found in small tanks 526 are likely to be minimal. As there is no evidence yet to suggest crustaceans can detect 527 pressure (Goodall, 1988; Popper et al., 2001), the latter may be of little consequence. 528 However further work to fully describe the acoustic and vibratory field within the current 529 setup would be most valuable. A specially designed tank could be used to extend testing 530 to pressure and water-borne particle motion within a controllable acoustic field (Bolle et 531 al., 2012; Breithaupt, 2002; Hawkins and MacLennan, 1975; Plummer et al., 1986). 532 Overall the current setup here was therefore a pragmatic compromise between purity of 533 signal and a tank setup that would allow animals to display natural behaviours.

534 **5. Conclusions**

535 Threshold values and collated measurements of actual anthropogenic vibrations indicate 536 that *P. bernhardus* is sensitive to substrate vibration and may be able to detect 537 anthropogenic vibrations up to 300 m from high vibration sources. This is of importance 538 since many anthropogenic activities involve direct contact with the seabed and other 539 activities may also induce particle motion indirectly. There are few previous data 540 investigating the sensitivity of invertebrates to vibration and acoustic sources, and even 541 fewer focussing upon anthropogenic signatures. As such, future studies must focus upon 542 a range of other species, for example bivalves, in addition to other benthic invertebrates 543 (for *M. edulis* see Roberts et al. *In press.*).

Further work with hermit crabs could determine the threshold required for the animals to
exhibit other behaviours, for example to abandon the shell, since such behaviour is likely
to induce a physiological stress response and increase the susceptibility to predation.
Most importantly, the consequences of the behaviours demonstrated here must be
assessed on an individual and population level. Background vibration levels here were

549 below average threshold values, however a valuable next step would be to vary

background levels using white noise and study the variation in threshold. Here, time in the

551 laboratory prior to testing was shown to significantly raise the threshold (i.e. reduce

sensitivity to vibration) although further investigation would be beneficial. Additionally, the
directionality of response could be measured since benthic organisms may be able to use

554 surface waves for directional orientation (Hazelwood and Macey, 2015).

555 When considering anthropogenic energy it is not sufficient to focus solely upon substrate 556 vibration since disturbance, for example pile driving, also has a pressure component and 557 a water-borne particle motion, both of which would reach the seabed indirectly. In order to 558 fully investigate the response to such sources and to separate natural and anthropogenic

559 pressure effects, exposures must be undertaken in the field with actual sources. Even

sophisticated playback systems, as used by Hawkins et al. (2014b) cannot, nor do they

aim to, replicate the strong ground borne component produced by many activities.

Laboratory work could also be extended to include a suite of different stimuli and a greaterfrequency range.

The recent large amount of research effort directed towards modelling and measuring the effects of underwater noise on fish and marine mammals now requires repetition to assess whether high levels of seabed vibration have a significant impact upon benthic organisms. The effects of substrate transmission should be included in assessing the effects of noise pollution on the marine environment.

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 - 580

577

581 **Glossary of abbreviated terms**

- 582 GES Good Environmental Status as defined in the European Marine Strategy Framework
- 583 Directive: RMS root mean squared- defined as the square root of the sum of the squared
- 584 amplitude of the points; AEP- Auditory Evoked Potential technique; ISO- International
- 585 Organisation for Standardisation.
- 586
- 587 References
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905 FIGURE CAPTIONS

906 Figure 1 Schematic of experimental setup (not to scale), consisting of electromagnetic shaker and 907 stinger rod (1), underwater camera (2), experimental arena (3), layered base made up of mixed 908 hard and soft insulation and concrete (4), wooden support structure (5), steel frame completely 909 separate from the base (6), experimental tank with needlepoint legs and 30 mm sandy substrate 910 (7), position of geophone system (8), position of accelerometer (9). [BLACK AND WHITE]

911 Figure 2 Example data for a typical sensitivity threshold by the staircase-method. Amplitude of the 912 signal is reduced with every positive response (black dot), and increased when a negative 913 response is observed (cross), this continues until there are consecutive iterations of positive-914 negative (shown by the last six points). An average of ten iterations is used to calculate the 915 threshold of response. [BLACK AND WHITE]

916 Figure 3 Average behavioural thresholds for *P. bernhardus* (n = 35, +/- SE, RMS) to substrate 917 vibration in terms of vertical acceleration (m s⁻²). Average background levels are denoted by a 918 dashed line. Two behavioural indicators were used, a 'flick' of the antenna (indicator 1), and a burst 919 or cessation of movement (indicator 2). Average background levels are denoted by a dashed line.920 [BLACK AND WHITE]

921 **Figure 4** Average behavioural thresholds for P. *bernhardus* (n = 10 per group, +/- SE, RMS, 922 indicator 1) to substrate vibration given in terms of vertical acceleration ($m \text{ s}^{-2}$), for two groups with

- 923 different amounts of time in the laboratory prior to tests. Average background levels are denoted by
- 924 a dashed line. [BLACK AND WHITE]

Figure 5 Behavioural thresholds to vibration (water and substrate-borne) for crustaceans (mixed species), values taken from the literature and compared to those of the present work (RMS, data presented for 5- 410 Hz only, crabs of short duration in the laboratory). Data from Aicher and Tautz (1984); Breithaupt (2002); Breithaupt and Tautz (1990); Horch (1971); Hughes et al. (2014); Salmon and Atsaides (1969); Salmon and Horch (1973) and the current work (dashed line, thresholds of crabs of shortest time in captivity prior to tests). [IN COLOR ONLINE]

925 **TABLES**

Table 1 Total number of responses between *P. bernhardus* (n = 10) tested for the threshold (using a
burst of movement as the response) with a ten day gap between re-tests, plus associated statistics.

Frequency (Hz)	Test 1	Test 2	t	df	р
20	8	9	0.70	6	0.51
40	10	3	-0.42	4	0.70
90	9	6	-0.87	4	0.43
210	10	10	-0.36	7	0.73
410	7	6	0.39	3	0.72
Sum	44	34			

Table 2 Summary of the vibration levels measured in the vicinity of anthropogenic sources, provided in terms of the maximum amplitude across all three axis (RMS or peak m s⁻¹). Dashes- unavailable parameters. Values that fall within/above the thresholds found for *P. bernhardus* in the current work are denoted in bold italics.

Activity	Distance (m)	Vibration levels (ms ^{.1}) (RMS)	Vibration levels (m s ⁻¹) (peak)	Backgrou nd (m s ^{-1,} RMS)	Background levels (m s ^{-1,} peak)	Frequency range (Hz)	Details	Water Depth (m)	Location	Sea/Riverbed type
Drilling	23	1.0E-04 – 7.0E-04	-	-	-	Primarily <100	Unknown	3-4	-	Loose, primarily mud
piling	70	3.7E-05 - 9.4E-05	-	-	-		Unknown			some sand
	109	1.20E-005	-	-	-					
piling and	23	2.7E-03 - 6.0E-03	-	-	-	-	Unknown	-	-	-
	64	7.7E-06 – 6.7E-05	-	-	-					
0	17	-	4.10E-003	-	-	Primarily 5 -	0.9 m diameter pile	1 – 2	Mersey River	Loose, primarily mud
	34	-	1.70E-003	-	-	50			(UK)	some sand
Auger piling 29 38 47	29	3.90E-005	1.38E-004	1.60E-005	7.00E-005	-	0.75 m diameter auger to 30 m deep.	-	River Usk (UK)	-
	38	1.60E-005	4.60E-005							
	47	1.40E-005	2.3E-005							
Drilling	22	2.20E-005	8.20E-005	3.00E-006	7.00E-005	-	Experimental kind of impact drilling	40	Vobster Quay (UK)	-
dredging 5	5	7.80E-005	3.80E-004	-	-	-	Vessel: Dinopotes. Length:	-	Mersey River	-
	50	2.30E-005	2.60E-004	-	-		37.8 m. Max power: 699 kW.		(UK)	
	175	1.30E-005	2.90E-004	-	-					
	220	3.00E-006	1.50E-004	-	-					
Tunnel boring machine (TBM)	5.5 - 12 m above machine	6.80E-005	3.90E-004	3.00E-006	2.20E-005	-	Internal diameter of tunnel: 3.5 m. Motors: two 140 kW motors. Length: 140 m.	0.6	Sruwaddacon bay (Ireland)	Sand
Blasting	24.25	-	6.00E-002	-	-	-	Charge weight of 6.25 kg	-	Ben Schoeman Dock (South Africa)	Stone dock
	296.75	-	< 1E-03	-	-				,	

Figure 1





Figure 2

Figure 3



Figure 4



Figure 5

