

1 Pollen signals of ground flora in managed woodlands

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11

12 **Abstract**

13 This paper explores the vegetation signals contained in the non-arboreal pollen and spore (NAPS)
14 components of pollen assemblages from Tauber traps placed in woodlands subject to rotational
15 cutting (coppicing) in lowland England. Sets of three Tauber traps were placed in compartments of
16 different ages at multiple locations within each woodland for one year, and pollen assemblages
17 recorded along with a vegetation survey using a modified pin-frame method in an area of 10m
18 radius around each trap array. Cluster analysis suggests that, as expected, the ground layer
19 vegetation broadly reflects the different environmental conditions in the three woods, with the main
20 subdivisions within woods apparently driven by changes in ground cover between the early and late
21 stages of the coppice cycle. Non-arboreal pollen and spores (NAPS) assemblages group according to
22 woodland of origin, with subdivisions which relate to pollen abundance but lack a simple
23 relationship with years since cutting. Indices of Association between NAPS records and plant
24 presence in the area around each array were calculated using presence-absence data for multiple
25 distances of vegetation survey. All values tend towards an asymptote, which is interpreted as
26 implying an effective source area for the single taxon presence-absence indicator values of ground
27 flora taxa in coppiced woodlands on the order of a 10m radius. Only four taxa, Poaceae, *Ranunculus*
28 *acris*-type, Cyperaceae and *Scilla*-type, have an Index of Association (A) greater than 0.5, implying
29 that the presence of pollen can be interpreted in terms of the local presence of the relevant plant
30 taxon with some confidence. Estimates of Pollen Productivity relative to Poaceae are presented for
31 five taxa, Apiaceae, Asteraceae (Cardueae), Cyperaceae, *Mercurialis perennis*, and *Scilla*-type.
32 Years since cutting does affect the ground vegetation and NAPS assemblage trapped in these woods,
33 but that the effect is more clearly seen at an assemblage level in the vegetation than in the pollen
34 assemblages. The interpretative significance of NAPS taxa does not seem to be in providing
35 information about the local conditions around the sampling point, but in reflecting the ground flora
36 of the wider woodland.

37

38 **Key words:** coppicing, ground flora, pollen-vegetation relationships, Tauber traps, woodland

39

40 **Highlights:**

- 41 • Non-arboreal pollen and spore (NAPS) influx in coppiced woodlands is studied
- 42 • NAPS/plant presence Association Indices calculated for several survey distances
- 43 • Indices imply effective source area for ground flora is approx. 10m radius
- 44 • Estimates of Pollen Productivity are presented for five taxa
- 45 • NAPS record reflects ground flora of wider woodland not of local compartment

46

47 **1. Introduction**

48 Pollen analysis is the main technique used to reconstruct past vegetation on Holocene timescales,
49 from the simplest broad brush identification of whether trees are present in a landscape through to
50 increasingly sophisticated reconstructions of vegetation composition (Trondman, et al. 2015), land-
51 use (e.g. Court-Picon, 2006) and the spatial patterning of vegetation (Hellman, et al. 2009a, 2009b).
52 At the landscape scale fluctuations in the ratio of pollen from non-tree plant sources (non-arboreal
53 pollen, NAP or non-arboreal pollen and spores, NAPS) to pollen from tree and shrub functional types
54 (arboreal pollen, AP) are usually interpreted as indicating changes in the proportion of openness in
55 vegetation communities (e.g. Berglund, et al. 1991, 1996). Given differences within and between
56 communities in the amount of pollen produced and its dispersibility, translation of the ratio into land
57 cover estimates is not trivial (e.g. Sugita, et al. 1999), but the use of taxon-specific pollen dispersal
58 and deposition models has offered an approach for improving reconstructions of landscape
59 openness (Gaillard, et al. 2008, Trondman, et al. 2015).

60

61 However, woodland communities also contain plants which produce NAP(S) types. The pollen signal
62 of these types is usually assumed to be minor compared with the AP component, but can fluctuate
63 depending on the canopy density and species and on manipulations of the canopy by disturbance
64 factors such as human management. Coppicing, the practice of cutting some trees to the base to
65 encourage resprouting, yielding even-aged new shoots which can be harvested repeatedly (and
66 sequentially in different compartments), is a widely used traditional woodland management method
67 in north-west Europe (Ellenberg, 1988; Rackham, 2003). When coppicing is undertaken in
68 conjunction with allowing some trees to grow to maturity (standards), a woodland can produce both
69 large timber and underwood for construction, fuel, fencing and other uses. Coppiced woodlands also
70 provide a range of non-timber resources such as fungi, berries, nuts, pannage and other livestock
71 forage, and habitat to support prey animals for hunting for food or sport. Archaeological evidence
72 for the practice of coppicing is extensive and goes back to the Mesolithic period (e.g. Rackham,
73 1979; Malmros, 1986; Rasmussen, 1993; Pedersen, et al., 1997; Favre and Jacomet, 1998), and its

74 importance for the production of high-quality charcoal meant it remained an important practice in
75 some areas into the industrial era. Like most traditional land management practices it began to fall
76 out of use in the nineteenth century, but the restoration or introduction of coppicing became a
77 valued conservation management tool in the later twentieth century (e.g. Rackham, 2003), and is
78 also practiced in an intensive form as a source of carbon-neutral biofuel for power production.
79 Ecologically, the variation in canopy cover and therefore light reaching the ground layer of the
80 woodland is a key characteristic of the coppiced woodland (Rackham, 1990), which will lead to
81 changes in the flowering and pollen production of the NAPS-producing species as well as the
82 coppiced tree species (Waller, et al. 2012). Over a whole landscape, this effect will average out, but
83 when pollen sites with relatively small source areas such as ponds, small mires or forest hollows (e.g.
84 Sugita, 1994) are considered, fluctuations in the pollen record of NAP(S) taxa may be the result of
85 coppicing rather than reflecting changes in the woodland: open land ratio.

86

87 There are multiple possible causes of variations in the proportion of NAP(S) in a woodland pollen
88 assemblage during a coppice cycle. These increases could result from increased pollen production by
89 ground cover plants, through an increase in area covered by those species or greater flowering of
90 existing plants in response to increased light levels reaching the ground. Alternatively, the woodland
91 NAP(S) influx could remain near-constant, but apparent fluctuations be caused by the effects of
92 coppicing on the AP components of the local pollen rain, along with the more open canopy allowing
93 greater input of pollen from a wider landscape, including open communities, during the early stages
94 of the cycle, which later on is intercepted by the increasingly dense and tall canopy of regrowths.
95 The situation is further complicated for pollen types which can originate from multiple plant species.
96 For example, a variation in Poaceae proportions could reflect differences in grass abundance, in the
97 species mixture present, in the long-distance pollen component from open land beyond the
98 woodland, or facultative switching between vegetative and sexual reproduction due to light
99 availability or other disturbance pressures such as grazing (Baker, 2012).

100

101 This paper uses data from a study of pollen influx into Tauber traps in coppiced woodlands (Waller,
102 et al. 2012) to investigate the pollen representation of the ground flora components of the
103 vegetation. We aim to determine the representation of changes in ground flora through the coppice
104 cycle in the pollen record of NAPS types, as a tool for extracting better information about past land
105 management practice from the pollen assemblages produced by complex cultural landscapes and to
106 improve the detection of coppice management in long-term pollen records from woodland hollows,
107 small ponds or mires, or in peat forming beneath wet woodlands.

108

109 **2. Methods**

110

111 *2.1. Field sites*

112 Three woodlands in East Anglia, UK (Figure 1) which are currently subject to coppice management
113 were selected for investigation (for more information, see Waller, et al. 2012). At Bradfield Woods
114 (52°9'N 0°6'W) in Suffolk (Fig 1b), the coppiced stools consist predominantly of *Corylus avellana* and
115 *Fraxinus excelsior*, with *Alnus glutinosa* co-dominant over an area of about 40 ha. *Primula elatior*,
116 *Filipendula ulmaria* and *Mercurialis perennis* are common in the ground flora where the wood
117 overlies boulder clay and *Rubus* spp., *Pteridium aquilinum* and *Hyacinthoides non-scripta* dominate
118 in areas overlying sand. The standards are largely *Quercus robur* and *Betula* spp., mostly less than 70
119 years old. The majority of Bradfield Woods is managed on a 20+ year rotation. At Chalkney Wood
120 (51°54'N 0°43'E; Fig 1c) in central Essex, *Tilia cordata* is the main coppiced species but in some parts
121 of the wood it is co-dominant with coppiced *Castanea sativa* and less commonly with coppiced
122 *Carpinus betulus*, *Fraxinus excelsior*, *Acer campestre* or *Corylus avellana*. *Quercus robur* is the main
123 standard species, though other species, including *Tilia cordata*, were recorded as 'maidens' in
124 2007/8. The ground vegetation is dominated by *Hyacinthoides non-scripta* with *Rubus* spp. and
125 *Pteridium aquilinum*. The site is cut on an approximately 25 year rotation. At Hayley Wood (52°10'N
126 0°49'E) in Cambridgeshire (Fig. 1d), *Corylus avellana* forms the bulk of the coppiced stools with some
127 *Fraxinus excelsior* (ash) and *Acer campestre* (sycamore) coppice beneath a thin canopy of *Quercus*

128 *robur* (oak) standards (Rackham, 1990). *Prunus spinosa* and *Crataegus monogyna* are common as
129 under-storey shrubs. The ground flora is dominated by *Primula elatior* and *Filipendula ulmaria* in
130 central and northern areas, while *Hyacinthoides non-scripta* and *Mercurialis perennis* dominate or
131 co-dominate towards the western, southern and eastern boundaries. Coppicing was reintroduced in
132 part of the wood for conservation purposes in 1963-4, with relatively small plots (0.41 ha) cut on a
133 14 year rotation.

134

135 [INSERT FIGURE 1 HERE]

136

137 In all three woods, contemporary (annual) pollen deposition at ground level was investigated using
138 'Tauber' traps, following the design of Hicks and Hyvärinen (1999). Three traps were placed in a
139 cluster (< 1 m apart) near to the centre of 14 compartments in each wood, a minimum of 20 m from
140 the compartment edge. The compartments sampled were those coppiced in the previous year and
141 compartments 'aged' up to 7 years (when available) and thereafter at age intervals of approximately
142 three years. Traps were set up in October 2006 and collected after a full year.

143

144 2.2. Vegetation data

145 Vegetation data were collected from the compartments in which the traps were set. The ground
146 flora was sampled in March-April 2007 using a modified pin-frame method in concentric rings
147 around the centre of the trap array. Four concentric rings (at 1, 2, 4 and 10 m from the traps) were
148 defined, and each ring was sampled in eight locations aligned 45° to the centre of the traps, with a
149 final sampling location in the centre of the ring by the traps, giving a total of 33 locations. Five points
150 were sampled at each location (the centre and four cardinal points at 30 cm from the centre) giving
151 a total of 165 sample points (see Figure 2). Species present at each point were recorded on a first hit
152 basis. Any species occurring within 10 m of the centre of the circle but not recorded at any of the
153 points were also noted as present in the relevant distance zone and included in the calculation of the
154 indices of association. The area of survey was chosen for efficiency and on the assumption that the

155 pollen source area for ground cover plants under a woodland canopy would be short, since minimal
156 opportunities for wind entrainment would occur.

157

158 [INSERT FIGURE 2 HERE]

159

160 Plants were grouped into palynological equivalent (pe) taxa (see Table 1), and percentage cover
161 within each ring calculated.

162

163 2.3. Pollen data

164 This study used pollen assemblages from Tauber traps which were deployed for a single year,
165 allowing precise relationships between the stage of the coppice compartment and the pollen
166 assemblage deposited within it to be studied. Most surface sample studies (see e.g. Broström, et al.
167 2008) use moss samples which average pollen deposition over an unspecified number of years (e.g.
168 Räsänen, et al. 2004), or averaged pollen influx from multiple years of Tauber trap deployment (e.g.
169 Sugita, et al. 2010). Whilst these methods do reduce the effects of climate-related inter-annual
170 variability in plant flowering (e.g. Huusko and Hicks, 2009; Jackson and Kearsley, 1998), they would
171 have blurred the signal of the coppice cycle which we seek to study due to variability in the ground
172 vegetation throughout a coppice cycle being greater than the climatic influence between
173 consecutive years (e.g. Waller, et al. 2012). Three closely grouped traps were deployed at each
174 location, which enabled us to both include some replication within our analyses and screen our data
175 for possible distortion from non-airborne modes of pollen deposition, e.g. from anthers or pollen-
176 bearing insects falling into the trap.

177

178 All pollen samples were processed following the methodology of Hicks et al. (1996) for Tauber traps,
179 including the addition of tablets of exotic pollen to allow the calculation of pollen influx. A minimum
180 of 1000 total land pollen (TLP) grains were counted from each trap and influx calculated in grains cm⁻²
181 yr⁻¹. For most analyses in this paper, influx from the traps in each array was summed to give a basic

182 count of 3000 grains which ensured a minimum non-arboreal pollen and spores (NAPS) count of 300,
183 and generally gave counts in excess of 500 grains for analysis. The only exception was the calculation
184 of Relative Pollen Productivity (RPP) using the raw influx data (see 2.4.2 below). We screened our
185 data for anomalous values in individual taxa by removing the data for any trap where the difference
186 in influx of a taxon was greater than an order of magnitude different from the values for the same
187 taxon in the other two traps, except at low influxes where the calculation of influx depended on
188 counts of 1-2 grains, such that counting errors (Maher, 1972, 1981) are larger than differences in the
189 estimated influx. 6% of traps were removed from analysis on this basis for *Filipendula*, fewer than
190 5% for Apiaceae, Asteraceae (Cardueae), Cyperaceae, *Lonicera periclymneum*, Poaceae and
191 *Ranunculus acris*-type, and no outliers were detected for *Mercurialis perennis*, *Rubus* and *Scilla*-type.

192

193 2.4. Data analysis

194 WINTwins, the windows version of TWINSPAN (Hills and Šmilauer, 2005), was used to investigate the
195 underlying structure within the two datasets (pollen and spore assemblages and vegetation survey
196 data) separately and provide an initial overview. Two approaches were then taken to exploring how
197 well the pollen assemblages represented the vegetation: Indices of Representation (after Davis,
198 1984) and estimation of Pollen Productivity relative to Poaceae (hereafter $RPP_{Poaceae}$).

199

200 2.4.1. Indices of representation

201 The index of association approach (e.g. Davis, 1984; Hjelle, 1997; Bunting, 2003; Fontana, 2005; Li, et
202 al. 2005; Schofield, et al. 2007) offers a simple method for investigating the vegetation signal
203 contained within the pollen signal of taxa present in small amounts in pollen records, especially in
204 contexts where the source area is believed to be small. For this study, all ground layer taxa which
205 were recorded as present at least once in both the vegetation and pollen assemblages across the
206 three study sites were investigated. Indices of association (A: reflects the co-occurrence of plant and
207 pollen in a sample), over-representation (O: type present in the pollen assemblage but not the local

208 vegetation) and under-representation (U: plant present without being recorded in the pollen
209 sample) were calculated as follows:

210

$$211 \quad A = B_0 (P_0 + P_1 + B_0)^{-1} \quad (\text{equation 1})$$

$$212 \quad O = P_0 (P_0 + B_0)^{-1} \quad (\text{equation 2})$$

$$213 \quad U = P_1 (P_1 + B_0)^{-1} \quad (\text{equation 3})$$

214

215 where B_0 = number of samples where pollen type is present and associated plant taxon is present
216 within a defined distance, P_0 = number of samples where the pollen type is present in the surface
217 sample but the plant taxon is not present in the vegetation within the defined area, P_1 = number of
218 samples where the pollen type is not present in the surface sample but the plant taxon is present in
219 the vegetation within the defined area.

220

221 Since the vegetation data had been collected in concentric rings, it was possible to calculate these
222 indices using vegetation data with four different spatial resolutions (presence within radii 1m, 2m,
223 4m and 10m from the centre of the trap array). We hypothesised that as the area increased and
224 more plants were sampled, the trends in the indices could be used like other indications of 'fit'
225 between pollen and vegetation data (e.g. correlation coefficient, likelihood function score) to
226 provide an indication of a pollen source area for the taxon as an indicator species. It is assumed that
227 the 'goodness of fit' between pollen and vegetation, as measured in any given model of the
228 relationship, increases with increasing area of vegetation surveyed until a pollen source area
229 distance is reached. It is also assumed that adding more vegetation information from beyond this
230 distance either has no effect on the measure of fit or causes it to worsen, giving an asymptote or
231 inflection in the plot of fitness measure against distance of vegetation survey used in its calculation,
232 therefore the position of the asymptote or inflection indicates a pollen source area for the particular
233 combination of trap and plant type.

234

235 2.4.2. Relative Pollen Productivity and background pollen influx

236 The pollen and spore data available in this study are influx data and therefore the values for each
237 taxon are independent. This allows estimates of the parameters of the relationship between pollen
238 and spore influx (hereafter 'influx') and distance-weighted plant abundance (dwpa) to be made
239 separately for each taxon via linear regression analysis. Relative Pollen Productivity can then be
240 calculated by taking the ratio of the slope term between the taxon of interest and the reference
241 taxon ($RPP_{reference}$). Each trap in a trap array was treated as a separate estimate of the influx,
242 therefore most sample points had multiple 'y' values. Data pairs with zero values for both pollen and
243 vegetation were removed from the dataset to avoid these samples having an undue influence on the
244 model fitting process, and taxa present in both pollen and plant datasets from at least four trap
245 arrays were selected for further analysis.

246

247 Vegetation data for the selected taxa were distance-weighted using the taxon-specific Sutton
248 distance-weighting term (Sutton, 1953; Sugita, 1993) for neutral atmospheric conditions (but see
249 Jackson and Lyford, 1999):

250

$$251 \quad g_i(z) = b_i \gamma z^{\gamma-1} e^{-b_i z^\gamma} \quad (\text{equation 4})$$

252

253 Where z = distance to mid-point of ring, $\gamma = 0.125$ (Prentice, 1985) and b_i is defined as:

254

$$255 \quad b_i = 75.2 \frac{v_{si}}{u} \quad (\text{equation 5})$$

256

257 Where v_{si} is the sedimentation velocity (fall speed) of taxon i and u is the windspeed (3 m s^{-1} was
258 used throughout this paper). Fall speed estimates for the taxa analysed in this paper are summarised
259 in Table 2. Where necessary, fall speeds were estimated using Stoke's Law along with measurements
260 of the a and b axes of the taxon (Gregory, 1973) taken from the images in Moore et al. (1991). The
261 sampling trap was treated as a single point with radius zero, since traps were not positioned within

262 canopy openings of any kind. Cumulative distance-weighted plant abundance from the trap was
263 then calculated for each ring.

264

265 A one tailed null hypothesis of no positive linear relationship between dwpa and influx was tested
266 using the Pearson correlation coefficient and, where the probability of the null hypothesis was <
267 0.05, regression analysis was carried out to estimate the slope term (taxon pollen productivity:
268 PP_{taxon}) and intercept (background pollen influx of the taxon). This analysis was carried out for the
269 cumulative dwpa at each ring.

270

271 Poaceae was chosen as the reference taxon for the ground flora taxa, since it occurs widely in the
272 dataset and showed statistically significant linear correlation between cumulative dwpa and influx at
273 all distances used in their respective analyses. Pollen Productivity relative to Poaceae – hereafter
274 RPP_{Poaceae} - was calculated by taking the ratio of the slope terms, and the standard errors on the
275 slopes combined using propagation of errors. As the area of vegetation included in the analysis
276 increases, it is expected that the background influx will decrease and the estimate of RPP stabilise.
277 The correlation coefficient should be highest (the correlation is expected to be strongest) at the
278 distance best approximating the taxon-specific source area. These analyses were carried out using
279 SPSS v.19.

280

281

282 **3. Results**

283

284 Thirty four palynological equivalent taxa were recorded in the vegetation across the three woodland
285 sites (Table 1), and their representation is considered in this paper. Figure 3 summarises the data
286 from both plant surveys and pollen traps in scatter plots. Figure 3a shows the variation in ground
287 cover for each compartment at the three sites plotted against sampled compartment cutting date.
288 Ground cover tends to be highest in the first few years after cutting, but does not show the pattern

289 of decline to a lower steady state over time that might be expected. Bradfield Woods show the
290 nearest to that pattern, with an abrupt drop between the 2000 and 1997 compartments, and a
291 single anomalously high value in the 1983 compartment. The ground cover at Chalkney does not
292 show a clear pattern over time, but is divided into two groups with values around 60% and values
293 below 40% respectively. Hayley Wood generally has the lowest proportion of ground cover, which
294 generally declines with years since cutting with the exception of the 2001 compartment. Figure 3b
295 shows the number of palynological equivalent taxa recorded in each compartment against years
296 since cutting. Values are generally higher in compartments cut since 2000 and lower in older
297 regrowth areas, as expected. The most recent site in each case has very high diversity in Hayley
298 Wood and low diversity in the other two sites, coupled with low ground cover at Bradfield Woods.
299 Hayley Wood has a shorter rotation cycle (c. 14 years) than the other two sites (>25 years) which
300 may support rapid recovery, and the waterlogged nature of the soil at Bradfield Woods may extend
301 the impact of coppicing on ground flora into the following year since damage from compaction,
302 trampling and coverage with discarded material may have a greater effect at this site.

303

304 [INSERT TABLE 1 HERE]

305 [INSERT FIGURE 3 HERE]

306

307 Figures 3c-e show summary data for the pollen and spore representation of the same set of taxa.
308 There tends to be a higher proportion of NAPS taxa (Figure 3c) overall in younger compartments,
309 especially in the most recently cut sites at Bradfield Woods, which might be attributable to reduced
310 tree pollen production in those compartments for taxa other than *Corylus* (Waller, et al. 2012), the
311 increase in local pollen-producing surface cover and/or effects of light availability on flowering or
312 increased influx of NAPS from the largely open, agricultural landscape beyond the woodland due to
313 the reduction of canopy cover. Figure 3d presents the total influx of NAPS types and shows a weaker
314 response to time since cutting in variation than seen in the proportion plot, suggesting that such
315 effect as there is largely due to a reduction of tree pollen influx rather than an increase in NAPS

316 influx. The TLP pollen sum was around 1000 for each trap, and results of each trap array were
317 summed for this analysis, giving NAPS counts ranging from around 300 grains to over 2000 grains.
318 Count size is not correlated with number of woodland pe taxa recorded in each assemblage
319 ($r=0.087$, d.f. = 36, $p>0.10$ (one-tailed)), suggesting that all counts were large enough to record the
320 majority of taxa present in the sample, even where the assemblages were dominated by AP types.
321 The diversity of pe types in the pollen assemblages (Figure 3e: range 10-20, mean 16) is both higher
322 and more uniform than for the vegetation data (range 1-23, mean 7). This probably reflects the
323 greater spatial sampling area represented by the pollen assemblages, considering both the local
324 pollen component from within the source area and the background pollen component, when
325 compared with the vegetation survey area. Figure 3f shows a clear correlation between % ground
326 cover in the vegetation and % NAPS ($r=0.459$, d.f. = 36, $p < 0.01$), but this probably results from the
327 response of both signals to the environmental changes through the coppice cycle in factors such as
328 light competition intensity and canopy openness rather than the pollen signal directly responding to
329 local ground cover.

330

331

332 3.1. Cluster analysis

333 3.1.1 Ground flora vegetation

334 Clear differences in the plant lists between the three woods reflect differences in the canopy taxa
335 and environmental setting. TWINSpan analysis of the vegetation abundance data around each trap
336 site (Figure 4a) clearly separated most samples by woodland, grouping all Bradfield Woods and
337 Hayley Wood samples in the 0xx division and most of the older Chalkney Woods samples in the 1xx
338 division. This first division (0xx versus 1xx) largely seems driven by vegetation (palynological
339 equivalent) diversity, grouping low-diversity samples with strong representation of *Scilla*-type in
340 cluster 1xx. This cluster is further divided according to ground cover and the few other species
341 recorded, but the division seems to reflect geography within the wood rather than years since
342 cutting; cluster 100 collects locations in the northern part of Chalkney Wood, where *Ranunculus*

343 *acris*-type is present and ground cover around 50%, whilst 101 locations are more southerly, lack this
344 taxon and have lower ground cover, around 30%. The 1998 compartment sample is separated off in
345 11, reflecting lower ground cover here (5%) than elsewhere in Chalkney Wood.

346

347 [INSERT FIGURE 4 HERE]

348

349 Cluster 00X contains most Bradfield Woods samples, characterised by a greater biodiversity than the
350 Chalkney Wood samples and a ground flora generally dominated by *Cyperaceae*, *Mercurialis*
351 *perennis*, *Poaceae* and *Ranunculus acris*-type; Group 001 has a higher abundance of grasses than
352 group 000 and also has generally greater ground cover (74% versus 58%), and contains four of the
353 five most recently cut compartments along with one of the oldest, that cut in 1985.

354

355 Cluster 01x contains Hayley Wood samples grouped with a small number of samples from the other
356 woods. Group 010 has comparatively high ground cover, around 70%, and groups four Chalkney
357 Wood compartments with one Hayley Wood compartment, that cut in 2001, which is also the
358 closest to the secondary woodland of The Triangle. The four Chalkney compartments have high
359 abundance of *Scilla*-type, like the other Chalkney compartments, along with a greater diversity of
360 other taxa; they are four of the five most recently cut, therefore expected to have the best light
361 conditions to support ground flora diversity, and are also all close to the edges of the wood where
362 light penetration is likely to be greater. Group 011 samples have much lower ground cover,
363 averaging 22%, but have similar high floral diversity to the other compartments grouped in 0xx.
364 Group 011 collects all other Hayley Wood samples along with two Bradfield Woods samples, that cut
365 in 1988 and that last cut in 2007. In both cases these compartments have low ground cover
366 compared with the other Bradfield Woods compartments, although the causes are likely to be
367 different. 0110 and 0111 (indicated by underlining on figure 4a) roughly divide more recently cut
368 compartments in Hayley Woods from older ones.

369

370 Cluster analysis suggests that, as expected, the ground layer vegetation broadly reflects the different
371 environmental conditions in the three woods, with the main subdivisions within woods apparently
372 driven by changes in ground cover between early and late stages of the coppice cycle.

373

374 3.1.2 Pollen assemblages

375 TWINSpan analysis (Figure 4b) was carried out using the proportion of woodland NAPS types
376 (hereafter wNAP) relative to the total land pollen and spores sum, since this is the form of data most
377 commonly encountered in the palaeoecological literature. The first division splits moderate
378 abundance samples (average wNAP proportions of 30%, 35% and 40%) from one high abundance
379 sample (Bradfield Woods 2006: 76%) and a group of lower abundance samples (means of 25%, 20%
380 and 15% respectively). Figure 4b shows that the analysis first divided all Hayley Wood samples apart
381 from the compartment cut in 1998 from the other two woods, with the exception of the 2005
382 compartment from Chalkney Wood, which was grouped with the 2007 and 2006 Hayley Woods
383 compartments (group 011: this group has higher NAP proportion (mean = 40%) and lower average
384 species diversity (15) than the other Hayley groups). The three subdivisions of Hayley Wood assemblages
385 are broadly on an age basis, although the 2003 assemblage groups with those from 1996-98. Three
386 Bradfield assemblages (those from compartments cut in 2005, 2000 and 1997) are grouped with the
387 bulk of the Chalkney Wood samples in 110, with an average wNAP abundance of 20%. The 1991 and
388 1978 Chalkney assemblages are separated in group 111 (with the lowest mean proportion of wNAP,
389 15%) and one Chalkney sample (1983) and one Hayley sample (1998) are grouped with the bulk of
390 the Bradfield Woods samples in cluster 101 (abundance around 25%). The assemblage from the
391 2006 compartment at Bradfield Woods has a very high wNAP abundance, which sets it apart from all
392 other samples.

393

394 Cluster analysis suggests that the wNAPS pollen assemblages can be grouped according to woodland
395 of origin, with subdivisions which relate to pollen abundance and possibly in Hayley Wood also years
396 since cutting (although grouped samples show some geographic grouping, with group 00 containing

397 mostly samples from compartments on the south west of the ride, and the other groups collecting
398 samples from the north-east).

399

400

401 3.2. Indices of Association

402 Plants were recorded present, but no pollen found in the trap assemblages, for the palynological
403 equivalents *Arum*, *Dactylorhiza*-type and *Sedum*. Both plants and pollen were recorded, but never
404 both in the same compartment, for *Epilobium*-type, *Geum*, *Hypericum perforatum*-type, *Scutellaria*-
405 type, *Stachys*-type, *Veronica* and *Viola*-undiff. Indices of representation were calculated for the
406 remaining 23 ground flora pollen types at multiple distances. Indices based on vegetation presence
407 at a distance of 10m radius around the traps are presented in Table 3. Only four taxa, Poaceae,
408 *Ranunculus acris*-type, Cyperaceae and *Scilla*-type, have an Index of Association (A) greater than 0.5,
409 implying that the presence of pollen can be interpreted in terms of the local presence of the relevant
410 plant taxon with some confidence.

411

412 [INSERT TABLE 3 HERE]

413

414 3.2.1 Variations in indices of association with increased vegetation survey radius

415 Since indices could be calculated using vegetation presence in different radii around the traps, plots
416 of changes in index with increasing distance were made. The plots can be grouped into four clusters
417 showing similar patterns with two exceptions (examples shown in Figure 5; all taxa presented in
418 supplementary material 1). Group 1 contains Cyperaceae, Poaceae, *Ranunculus acris*-type and *Scilla*-
419 type. For these taxa, A increases with increasing distance, the rate of increase slows beyond 2m
420 radius, and A is the largest index of representation beyond 4m. The second group shows increasing A
421 with increasing distance, generally levelling off beyond 2m, but the index of over-representation (O)
422 is the highest index. For some taxa, A reaches values around 0.5 and close to the O value by 10m
423 (group 2a: *Mercurialis perennis* and *Rubus*-type), but for most O is always the largest index by some

424 way (group 2b: Apiaceae, Asteraceae (Cardueae), Asteraceae (Lactuceae), Brassicaceae,
425 Caryophyllaceae, *Dryopteris dilatata*-type, *Filipendula*, *Lysimachia vulgaris*-type, *Plantago*
426 *major/media*, *Rumex sanguineus*-type and *Urtica dioica*). The third group has a similar pattern but
427 the index of under-representation (U) has the highest values beyond 2m (*Lonicera periclymneum*,
428 *Paris quadrifolia*-type, *Primula veris*-type and *Prunella vulgaris*-type). Two taxa did not fall into one
429 of the three clusters; the indices for *Allium*-type had the same values at every distance, and for
430 *Potentilla*-type A decreased and U increased with increasing distance.

431

432 [INSERT FIGURE 5 HERE]

433

434 The tendency of all values towards an asymptote, with only slight changes between values
435 calculated using vegetation present within 4m of the trap array and that present within 10m of the
436 trap array, is interpreted as implying an effective source area for the taxon presence-absence
437 indicator values of ground flora taxa in coppiced woodlands on the order of 10m radius. Ground
438 flora and vegetation data were recorded in March-April, in order to ensure that spring ephemeral
439 species believed particularly significant in coppice woodlands would be recorded, which may lead to
440 under-recording of the abundance of summer-green taxa and over-recording of the abundance of
441 spring ephemeral taxa compared to studies carried out later in the year. This may have contributed
442 to the high over-represented indices (where pollen is present but not plants), although since the
443 Index analysis uses presence-absence data we consider this to be less significant than under-canopy
444 effects of reduced flowering and limited air movement for pollen transport.

445

446 3.3. Abundant taxon RPP values

447 Those taxa present in at least three compartments in both the vegetation and pollen data sets were
448 considered for estimation of RPP. Scatter plots comparing pollen influx and accumulated distance
449 weighted plant abundance to 10m were created for Apiaceae, Asteraceae (Cardueae), Cyperaceae,

450 *Filipendula*, *Lonicera periclymneum*, *Mercurialis perennis*, Poaceae, *Ranunculus acris*-type, *Rubus*-
451 type. and *Scilla*-type, and obvious outliers removed where these were small in number.

452

453 Some taxa showed scatters which suggested a complex taphonomy (see Figure 6). The basic
454 assumption underlying the approach used to estimate RPP in this paper is that there is a common
455 mode of pollen transport linking all samples with their surrounding vegetation, therefore a singular
456 relationship between pollen and vegetation to be modelled. Where there appeared to be two or
457 more distinct relationships in the plot of pollen influx against dwpa, as in Figure 6, this has been
458 interpreted as implying that different modes dominate at different locations, each producing an
459 approximately linear relationship. The relationship with high levels of influx and low local dwpa is
460 interpreted as being dominated by highly stochastic transport modes such as insects (insects visiting
461 flowers and then visiting the traps, perhaps attracted by the availability of open water, depositing
462 pollen into the traps either during a visit or as a result of becoming incorporated into the pollen
463 assemblage) or gravitic deposition (pieces of anther or clumps of ripened pollen falling directly into
464 the trap rather than being released as individual grains into the air and transported through the
465 trunk and canopy space). These non-aerial modes are also probable causes of some of the samples
466 identified as outliers for having exceptionally high influx and removed from analysis for other taxa.
467 Complex scatters of this type were noted for *Filipendula*, *Lonicera*, *Ranunculus* and *Rubus*, all taxa
468 with insect-attracting flowers, and these were not considered further.

469

470 [INSERT FIGURE 6 HERE]

471

472 Correlation coefficients for pollen against vegetation at different sampling radii for the remaining six
473 taxa are shown in Figure 7, and suggest some improvement in the relationship with distance for
474 Apiaceae and Cyperaceae, with otherwise little change. $RPP_{Poaceae}$ calculated using regression of
475 pollen influx against distance-weighted plant abundance to 10m is summarised in table 4. Most
476 estimates of Relative Pollen Productivity presented in the literature are derived from percentage

477 pollen data by applying ERV analysis (Parsons and Prentice, 1981; Prentice and Parsons, 1983), which
478 uses an iterative approach to identify values of RPP and background pollen influx for all taxa at once.
479 We applied this method to the dataset reported here, but the algorithm failed to find a solution; this
480 is interpreted as showing that there are too few samples where most or all of the taxa are present in
481 both pollen and vegetation to enable the algorithm to be effectively applied.

482

483 No published values for *Mercurialis perennis* or *Scilla*-type are available in the literature. Hjelle
484 (1998) used a different field method to estimate $RPP_{Poaceae}$ of herb taxa in Norwegian hay meadows,
485 and obtained an estimate of 0.27 for Apiaceae type, about six times lower than the estimate
486 reported here, and an estimate of 0.06 for Asteraceae (Cardueae) (*Cirsium*-type), twice the value
487 reported here. The taxonomic mixture of plants making up the palynological equivalent types were
488 different, including *Aegopodium podagraria*, *Anthriscus sylvestris*, *Carum carvi*, *Pimpinella saxifrage*
489 and *Sanicula europaea*, as well as *Angelica sylvestris* and *Conopodium majus*, the species present in
490 our woodland sites, for Apiaceae, and *Cirsium palustre* only for Asteraceae (Cardueae) where we
491 also recorded *Arctium minus*, *Cirsium arvense*, *C. vulgare* and *Sonchus* sp. Possible explanations for
492 the differences include the different vegetation survey methods (see e.g. Bunting and Hjelle, 2010),
493 the different time of year of recording vegetation (since Poaceae ground cover in woodlands may be
494 lower in March-April than in June-July, depending on the phenology of the species), and the
495 different species mixtures and flowering conditions for the reference taxon, Poaceae, in hay
496 meadows and in woodlands. Hjelle (1998) does not report a taxonomic list of plants in the
497 palynological equivalent type Poaceae for comparison. Multiple estimates of $RPP_{Poaceae}$ (Cyperaceae)
498 have been reported in the literature (see e.g. Broström, et al. 2004, Mazier, et al. 2012), and a range
499 of values are included in table 4. The closest value to this study comes from Hjelle (1998), whose
500 species mixture is listed as including *Carex* spp. *Eriophorum angustifolium*, *E. vaginatum* and
501 *Trichophorum cespitosum*, whereas our estimate is derived from a number of *Carex* species (see
502 table 4). Ground flora vegetation data were recorded in March-April, in order to ensure that spring
503 ephemeral species believed particularly significant in coppice woodlands would be recorded. This

504 may lead to under-recording of the abundance of summer-green taxa and over-recording of the
505 abundance of spring ephemeral taxa compared to studies carried out later in the year which might in
506 turn lead to an over-estimate of RPP_{Poaceae} for *Scilla*-type and under-estimate for *Mercurialis perennis*
507 and other taxa.

508

509 [INSERT FIGURE 7 HERE]

510 [INSERT TABLE 4 HERE]

511

512 The total annual pollen influx from these six taxa for the traps studied has a mean of
513 4530 grains cm⁻². The intercept on the regression model indicates the pollen influx when no plants
514 are present within the 10m radius survey area, and therefore estimates the influx from the
515 background vegetation, beyond the studied area. These estimates are given in table 4, and sum to
516 2240 grains cm⁻², c. 49% of the mean total influx for these taxa. Using the proportion-based ERV-
517 analysis, 40-60% of the total pollen typically comes from beyond the Relevant Source Area of Pollen
518 (RSAP) (e.g. Sugita 1994), therefore the assumption of a relatively short source area seems
519 reasonable. However, the range of total influx values from individual traps varies very widely, from
520 80 grains cm⁻² to 56700 grains cm⁻².

521

522

523 **4. Discussion**

524

525 The assumption made in designing this study was that the vegetation and the NAPS pollen signal
526 within the coppiced compartments would show systematic variation with years since cutting on a
527 scale of a few metres. A general decrease in % ground cover and number of pe taxa recorded in the
528 vegetation data was seen with increasing years since cutting in all three woods, as expected.

529 TWINSpan cluster analysis of the vegetation data show that the three woods have distinctly

530 different ground floras, as would be expected given different geographical location, dominant

531 species in the canopy as both standards and coppiced stools, and differences in the physical
532 environment. Cluster analysis suggests that the ground layer vegetation broadly reflects the
533 different environmental conditions in the three woods, with the main subdivisions within woods
534 apparently driven by changes in ground cover between early and late stages of the coppice cycle
535 along with some geographic variation in the physical environment within the woods.

536

537 The proportion of NAPS pollen types is higher in more recently coppiced compartments, which could
538 reflect the increased ground cover recorded in the vegetation surveys, but could also reflect
539 flowering responses to the increased light availability created by coppicing, decreases in pollen
540 production by newly coppiced AP species, increased influx of pollen sourced from beyond the woods
541 due to the opening up of the canopy, or all of these factors. The plot showing the total influx of NAPS
542 types (Figure 3d) shows a weaker response to time since cutting than seen in the proportion plot,
543 which might imply that such effect as there is, can largely be attributed to a reduction of tree pollen
544 influx rather than an increase in NAPS influx. However, the effect is broadly similar across all three
545 woods, and since Waller et al. (2012) showed that pollen production of *Corylus* actually increased in
546 the first few years after coppicing, differences between the woods would be expected if this was the
547 sole process, therefore further investigation is needed to confidently identify the dominant cause of
548 this pattern. Cluster analysis suggests that the woodland NAPS pollen assemblages can be grouped
549 according to woodland of origin, with subdivisions which relate to pollen abundance and possibly in
550 Hayley Wood also years since cutting. NAPS proportions are all below 50% of the total, apart from
551 three recently cut compartments at Bradfield Woods, and show a broad pattern of decreasing with
552 increasing years since cutting, but there is no clear relationship between ground cover and %NAPS in
553 any one of the woods.

554

555 These general patterns suggest that years since cutting does affect the ground vegetation and NAPS
556 assemblage trapped in these woods, but that the effect is more clearly seen at an assemblage level
557 in the vegetation than in the pollen assemblages.

558

559 4.1. Signals from individual palynological equivalent taxa

560 Two approaches were used to investigate the signal contained in the record of individual pollen and
561 spore types, indices of association (after Davis, 1984) and fitting a linear pollen dispersal and
562 deposition model to estimate RPP. Although multiple palynological equivalent plant types are clearly
563 recorded in only one wood (Table 1), the pollen record of none of these types is confined to that
564 wood's pollen assemblages; the pollen and spore types are found more widely. Overall, there was
565 much less variation in the number of NAPS types recorded in pollen assemblages than in vegetation
566 samples (Figure 3). Indices were calculated for all 23 taxa present as both plants and pollen in at
567 least one of the 36 compartments in the dataset. Only 4 of those taxa, Poaceae, *Ranunculus acris*-
568 type, Cyperaceae and *Scilla*-type, have A greater than 0.5, a value which implies that the presence of
569 pollen can be interpreted in terms of the local presence of the relevant plant taxon with moderate
570 confidence – in general, the value of A was low, suggesting that the association between
571 pollen/spore presence and local plant presence is weak. Four out of the ten more abundant taxa
572 considered suitable for analysis using a linear model of pollen dispersal and deposition had data
573 scatters suggesting complex taphonomy, all are taxa with insect-attracting flowers, and these were
574 not considered further. Table 4 presents first estimates of $RPP_{Poaceae}$ for *Mercurialis perennis* and
575 *Scilla*-type, and first estimates from woodland for Apiaceae, Asteraceae (Cardueae) and Cyperaceae.
576 As is usually the case with RPP estimates, the use of Poaceae as a reference taxon presents
577 challenges. Poaceae is a pollen type made up of many different plants, which vary according to
578 habitat, and many of the species show facultative switching between vegetative and floral
579 reproductive mechanisms so can show high variation in pollen production in response to local
580 conditions (e.g. Baker, 2012). The values obtained for Cyperaceae and Asteraceae (Cardueae) are
581 broadly comparable to those obtained by Hjelle (1998) from a study in hay meadow communities,
582 and whilst the value for Apiaceae shows a different rank position (higher than Poaceae rather than
583 below it and Cyperaceae) compared with Hjelle's (1998) study, the value is still within a reasonable
584 range, and may reflect the greater height relative to the rest of the ground flora canopy and

585 therefore greater pollen transport of Apiaceae in a woodland ground flora community rather than a
586 grassland or the different species mixes.

587

588 This discussion highlights the early state of research into pollen productivity, a very important
589 parameter for pollen dispersal and deposition modelling. Carefully designed studies in multiple
590 habitats subject to different management regimes (e.g. Baker, 2012; Abraham & Kozakova, 2012)
591 and supporting different species mixtures are needed to investigate the environmental variations in
592 pollen production of widely occurring taxa such as Poaceae, in order to compare findings from
593 studies of the same palynological equivalent taxa in e.g. grazed pastures (Broström, et al. 2004;
594 Mazier, et al. 2008), hay meadows (e.g. Hjelle, 1998) and woodlands (this study); such a study in
595 northwest Europe is being carried out by the Crackles Project (see Bunting, et al. 2013; forthcoming).
596 A useful future approach will be to combine direct assessment of flowering with pollen surface
597 sample type studies to gain a better understanding of the micro and meso-scale components
598 affecting pollen production within species and landscapes (e.g. Waller, et al. 2012; Bunting, et al. in
599 prep).

600

601 *4.2. Source area estimates for NAPS taxa in woodlands*

602 The assumption underlying the design of the vegetation survey was that the pollen source area of
603 the ground flora taxa is on the order of a few metres. This is partly based on analogy with other
604 studies of ground-level vegetation communities (e.g. Bunting and Hjelle, 2010) and partly on
605 extrapolation from the short (50-100m) RSAP (Sugita, 1994) typically reported for tree pollen in
606 closed canopy woodland communities (e.g. Calcote, 1995; Bunting 2005; Sugita, et al. 2010) and also
607 found in this project (Bunting, et al in prep). The RSAP is a source area defined on the basis of a
608 particular assemblage of pollen types using the ERV approach, which was not appropriate for this
609 data set, so the analyses presented here are based on relationships for individual taxa, an
610 approach applied to datasets before the development of effective computational methods for
611 carrying out ERV analysis (e.g. Bradshaw, 1981; Jackson, 1990). Studies suggest that the RSAP is

612 determined by the spatial sensing properties of the rarer taxa with the longest individual source
613 areas (Bunting, et al. 2004; Hellman, 2009a), therefore we considered that the published RSAPs were
614 a reasonable estimate of the maximum individual source area we might find, and used them to
615 determine the vegetation survey methods.

616

617 The pollen source area concept depends on the division of pollen sources into two components
618 based on their position relative to the sampling point, and therefore is usually expressed as a radial
619 distance around the sample point. Pollen sources within the pollen source area contribute 'local'
620 pollen to the assemblage, and those located beyond that distance contribute 'background' pollen. A
621 widely used approach to defining this radius is to consider the 'fit' between pollen signal and
622 vegetation measured at different distances according to a specified model of the pollen-vegetation
623 relationship, and define the distance at which the fit does not improve on the addition of more
624 vegetation data as the pollen source area (e.g. RSAP; Sugita, 1994). On this basis, the correlation
625 coefficient fit measure used for the RPP estimation (Figure 7) implies that the pollen source area is
626 between 4m and 10m, since adding data from the outer set of pin-frame points to the cumulating
627 dwpa produces either the same coefficient or a slight decrease in correlation.

628

629 For the Index approach, various measures of 'fit' could be defined, such as the distance with the
630 highest 'A' value, or the point at which all three indices first reach constant values. Highest values of
631 A are obtained at the longest survey distance, 10m, and groups 2a and 3 show a clear rise in values
632 of A between 4m and 10m, suggesting that the source area may be further out from the trap. The
633 plots of all the indices for groups 2a and 2b show smaller changes between 4m and 10m than
634 between closer samples, but are not constant – these results suggest a pollen source area slightly
635 beyond 10m. As discussed in the text the indices suggest generally quite poor interpretative links
636 between pollen and vegetation at the species level.

637

638 'Background' pollen is clearly an important component of the NAPS pollen assemblage, as shown by
639 the high (and relatively uniform) diversity of pollen types recorded in all three woods (Figure 3).
640 Although a number of palynological equivalent plant types occurred only in one of the three woods
641 studied, this was not seen in the pollen assemblages. This may partly be explained by the presence
642 of ground flora elements in the studied woods other than those recorded in the samples used, and
643 partly by background pollen transported from beyond the studied wood which reflects the presence
644 of pe plants in the wider landscape.

645

646 *4.3. Limitations*

647 This study has several clear limitations. Tauber traps were only deployed for a single year, and
648 although using three traps at each location allowed some outliers to be identified and removed the
649 results will still have been affected by the particular characteristics of the flowering season sampled.
650 A greater vegetation survey distance, and finer spacing of the modified pin-frame points, would
651 allow clearer identification of possible source areas and probably improve the fit of the various
652 models used here, but within the context of the wider project the effort invested in surveying
653 around individual sampling points had to be balanced against the desire to ensure an adequate
654 number of different sampling points were included in the analysis. Vegetation survey was carried out
655 in the spring; coverage and visibility of ground flora taxa varies across the seasons, so results based
656 on survey in other parts of the year might be different. Developing a vegetation recording strategy
657 which combines survey at multiple seasons without being too time-consuming so that a reasonable
658 number of samples could still be recorded could be a useful development for studies of vegetation
659 communities with strong seasonal variation (e.g. grasslands). Using fewer sites per wood and
660 exploring a wider range of woodlands might increase the applicability of the study, but the chosen
661 strategy allowed us to look at a wide range of coppice stages, which was important since historic and
662 presumably pre-historic coppice practice is known to have included cycles of different lengths, from
663 a few years to several decades.

664

665 4.4. *Implications for palaeoecology*

666 Whilst the pollen source area of the NAPS component in these woodlands seems to be quite small,
667 and it is possible to estimate RPP and background influx for some taxa and therefore apply
668 quantitative vegetation cover reconstruction strategies to their representation in the pollen record,
669 the information on local vegetation contained within this pollen signal is limited. The strong
670 contribution of the background pollen rain means that the suite of species present is quite similar
671 across the wood regardless of coppice stage, although the diversity of ground flora present
672 decreases with increasing years since cut. NAPS proportion does decrease with years since cutting
673 (Figure 3c), and a cyclic rise and fall in % NAPS at an appropriate time interval in a pollen record
674 might be a sign of coppicing. There is no correlation between % NAPS and % ground cover (Figure
675 3f), so this signal is likely to reflect the behaviour of the AP signal and the changes in physical
676 conditions associated with the progress of the coppice cycle. As regrowths create more shade and
677 shelter, they will reduce the occurrence and strength of below canopy trunk space air movement
678 and therefore pollen transport, as well as creating more sites for impaction to remove pollen from
679 the air stream before it reaches the sampling point, and also reduce light levels therefore suppressing
680 flowering in some species.

681

682 The interpretative significance of NAPS taxa does not seem to be in providing information about the
683 local conditions around the sampling point, but in reflecting the ground flora of the wider woodland.
684 Identifying the indicator value (see e.g. Court-Picon, 2006) of the different pollen types recorded
685 here will require a wider study of habitats throughout the landscape of interest, and may be
686 inhibited by the heavily managed and industrialised nature of much of the northern European
687 lowlands and by the taxonomic limitations of pollen identification.

688

689

690 5. Conclusions

691 We set out to determine whether the NAPS component of the pollen record was a useful tool for
692 identifying coppice management in long-term pollen records. Our findings show that there is no
693 unambiguous signal of coppice management. However, there are a few years of increased %NAPS
694 levels immediately following coppicing, which might show up through cyclic oscillations in these
695 values in a pollen diagram of sufficiently high temporal resolution from a site where the spatial
696 sensitivity of the assemblage is comparable to the coppiced unit. RPP_{Poeaceae} values are estimated for
697 five taxa, Apiaceae (1.61 ± 0.40), Asteraceae (Cardueae) (0.03 ± 0.01), Cyperaceae (0.40 ± 0.07),
698 *Mercurialis perennis* (0.24 ± 0.04) and *Scilla*-type (0.34 ± 0.06), which provide a starting point for
699 future investigations using pollen dispersal and deposition models. Indices of representation show
700 quite poor relationships between pollen presence in traps and plant presence in spring vegetation
701 surveys in the immediate area, and imply that the effective source area for these ground flora types is
702 around 10m, but the non-arboreal component of the pollen record mainly reflects the ground flora of
703 the wider woodland, not of the local sampling area.

704

705 **Glossary**

706 A: Index of Association

707 AP: arboreal pollen

708 dwpa: distance-weighted plant abundance

709 NAP: non-arboreal pollen

710 NAPS: non-arboreal pollen and spores

711 O: Index of Over-representation

712 pe: palynological equivalent

713 RPP: Relative Pollen Productivity

714 $RPP_{Poaceae}$: Pollen Productivity relative to Poaceae

715 RSAP: Relevant Source Area of Pollen

716 U: Index of Under-representation

717 wNAP: woodland non-arboreal pollen

718

719 **Acknowledgements**

720 We would like to thank the managers and owners of Hayley Wood (the Cambridgeshire Wildlife
721 Trust), Bradfield Woods (the Suffolk Wildlife Trust) and Chalkney Wood (Essex County Council) for
722 providing access to the sites. Thanks also go to Claire Ivison (Kingston University) for drafting Figure
723 1. The research was funded by the award of grant from the LeverhulmeTrust (F/00 520/E) to the
724 authors. Anne Birgitte Nielsen and an anonymous referee provided helpful comments on an earlier
725 version of this manuscript.

726

727

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881 their implications for the detection of woodland management in Holocene pollen records.

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883

884 **Figure list**

885

886 Figure 1: location map of field sites

887

888 Figure 2: diagram showing the layout of the modified pin-frame used for recording the vegetation in
889 the field (see text for details).

890

891 Figure 3: a) % ground cover against year of cutting b) vegetation diversity (number of pe) in 10m
892 radius area against year of cutting c) mean %NAPS in the pollen traps against year of cutting d) mean
893 NAP influx per pollen trap against year of cutting e) number of pe taxa recorded in the pollen traps
894 against year of cutting f) %NAPS against % vegetation cover. Solid circles show locations in Bradfield
895 Woods, grey shaded circles those in Chalkney Wood, and open circles those in Hayley Wood.

896

897 Figure 4: WinTWINS cluster diagrams for a) vegetation data (numbers beneath groups show the
898 mean ground cover/number of palynological equivalent taxa in the plant lists for each group) and b)
899 non-arboreal pollen data (numbers beneath groups show the mean %NAPS/ number of palynological
900 equivalent NAPS taxa in the pollen assemblage for each group) - see text for details. Each sample is
901 identified by a letter for the woodland and two digits for the year of cutting: B98 is the sample from
902 the compartment in Bradfield Woods which was cut in 1998. In addition, samples from Bradfield
903 Woods are bolded and those from Hayley Wood are italicised. Underlining within groups shows the
904 next level of division into subgroups, where that was possible.

905

906 Figure 5: trends in indices with increasing survey area for ground flora taxa. Plots give examples of
907 the three clusters described in the text.

908

909 Figure 6: *Filipendula* pollen influx against dwpa to 10m – the solid line oval outlines samples with low
910 dwpa and high pollen influx, and the dashed line oval outlines samples with lower influx. These two

911 groups are considered to reflect two different dominant modes of pollen transport at different
912 pollen traps (see text for details)

913

914 Figure 7: Pearson product-moment Correlation coefficients between pollen influx and cumulated
915 dwpa at increasing distances around the pollen traps for ground flora taxa. Asteraceae (Cardueae)
916 correlations are significant with $0.01 < p < 0.05$, and all others at $p < 0.01$

917

918

919 **Table list**

920 Table 1: plant species recorded and palynological equivalent taxa (after Bennett 1994)

921

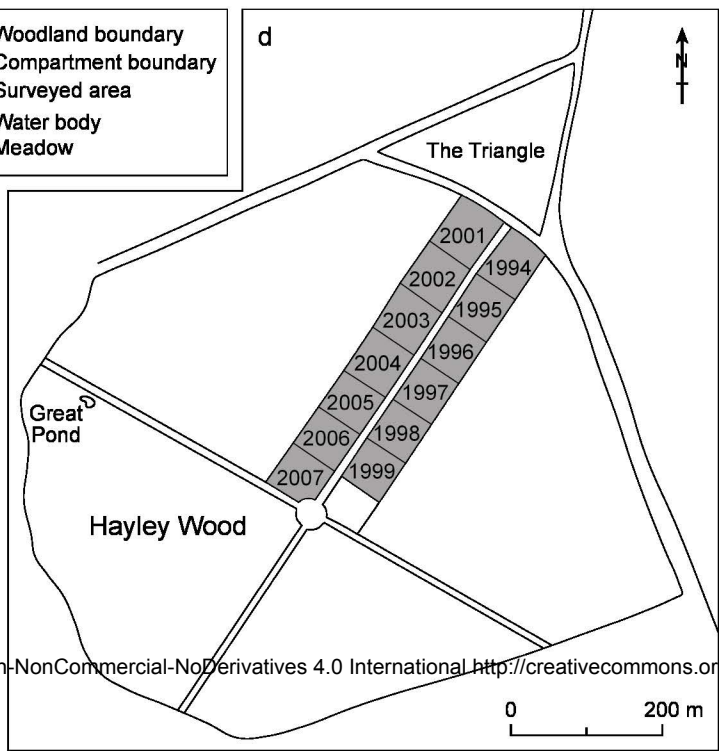
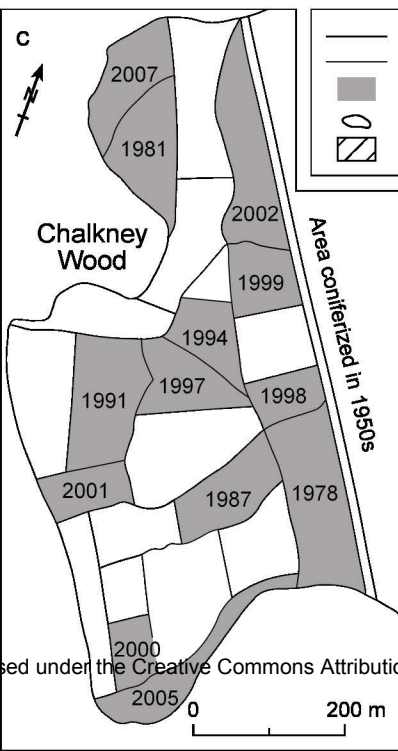
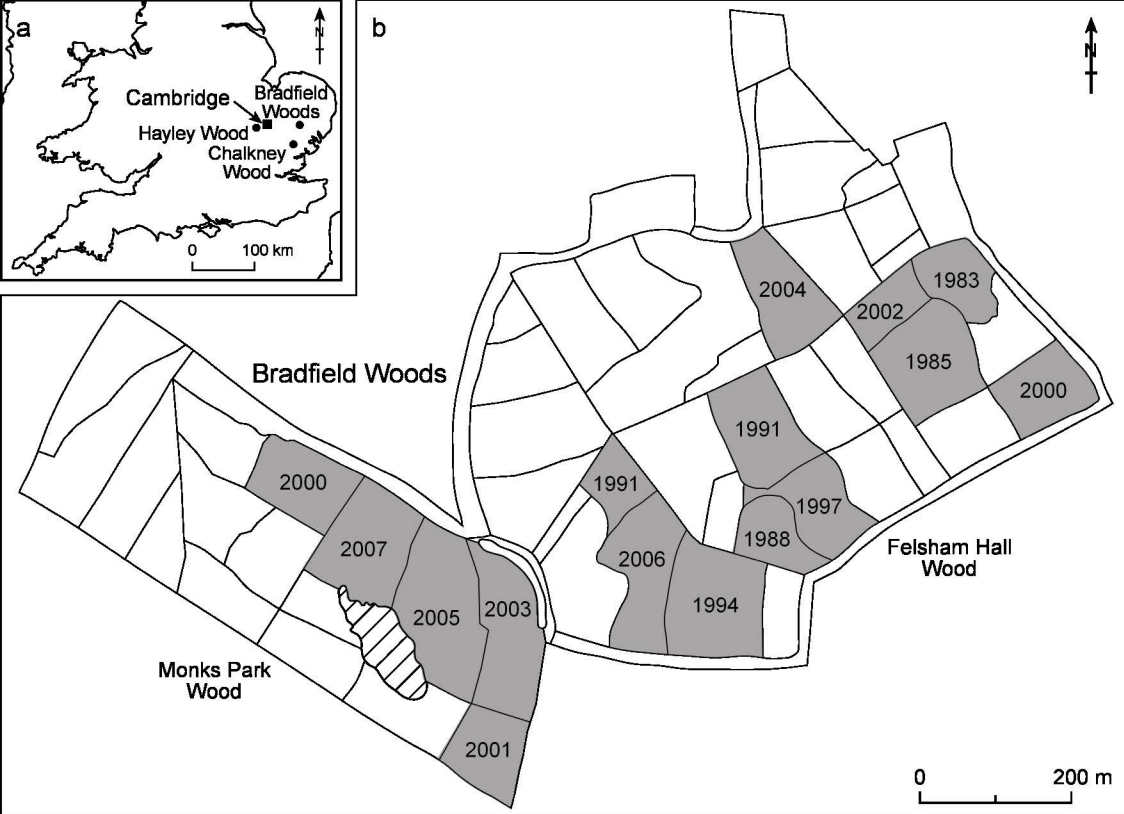
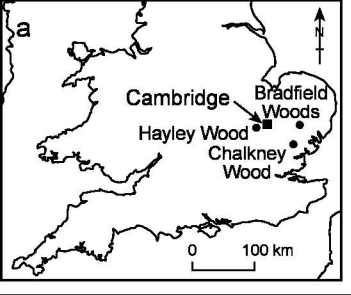
922 Table 2: fall speed estimates for the ten taxa selected for linear regression analysis (see text for
923 details)

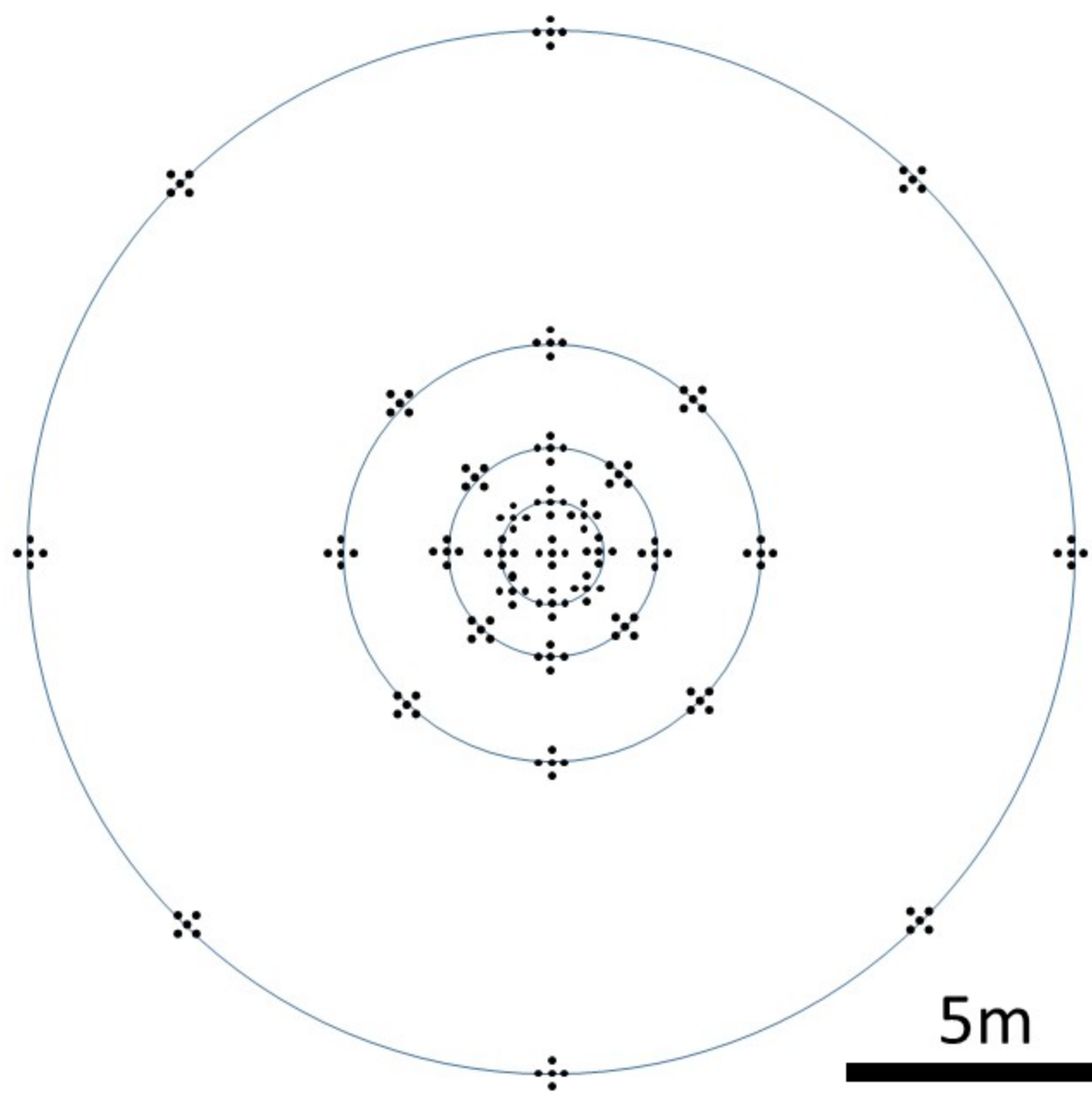
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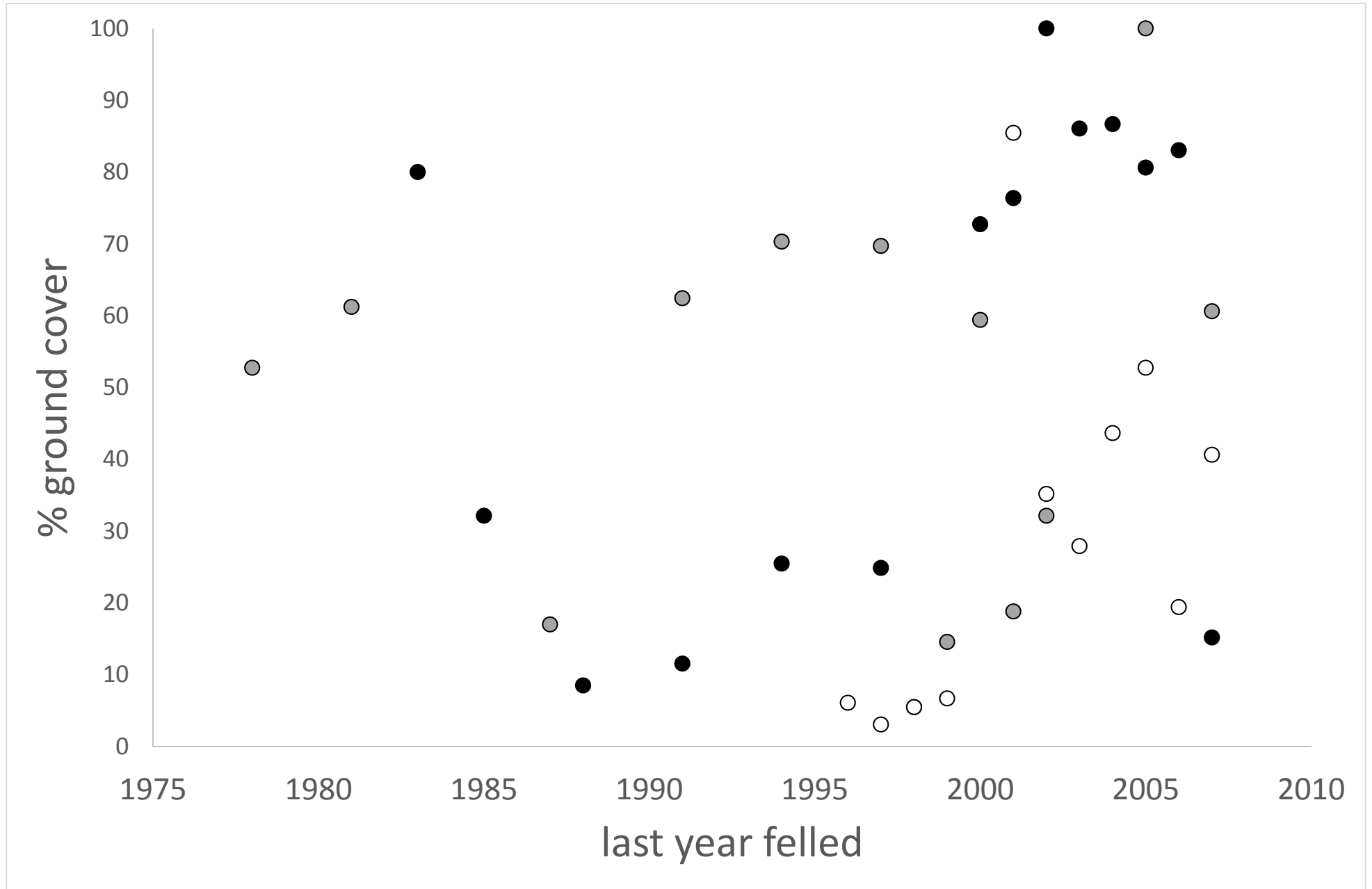
925 Table 3: indices of association between pollen trap assemblages and vegetation surveyed within
926 10m of the centre of the trap array for ground flora species

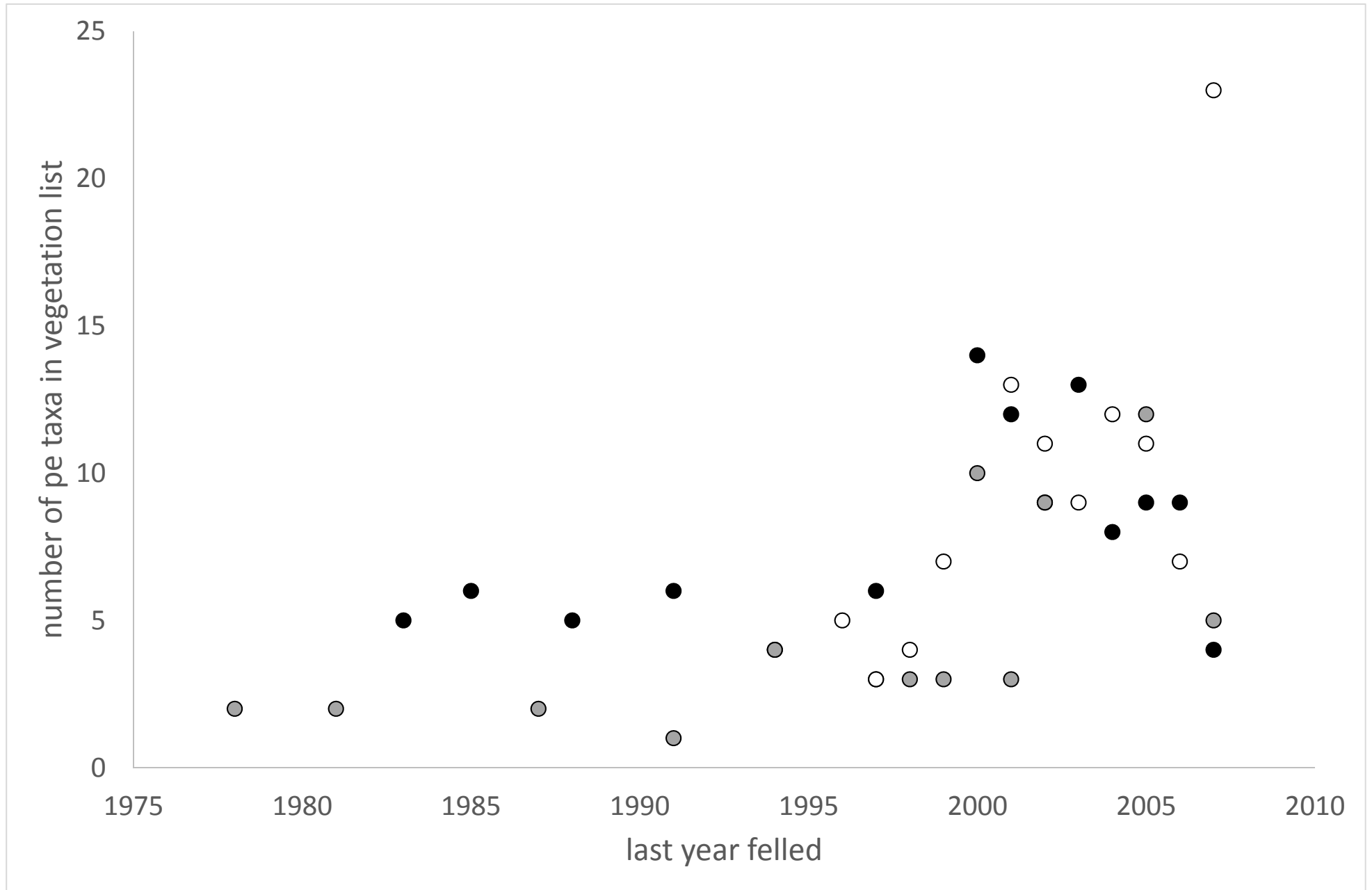
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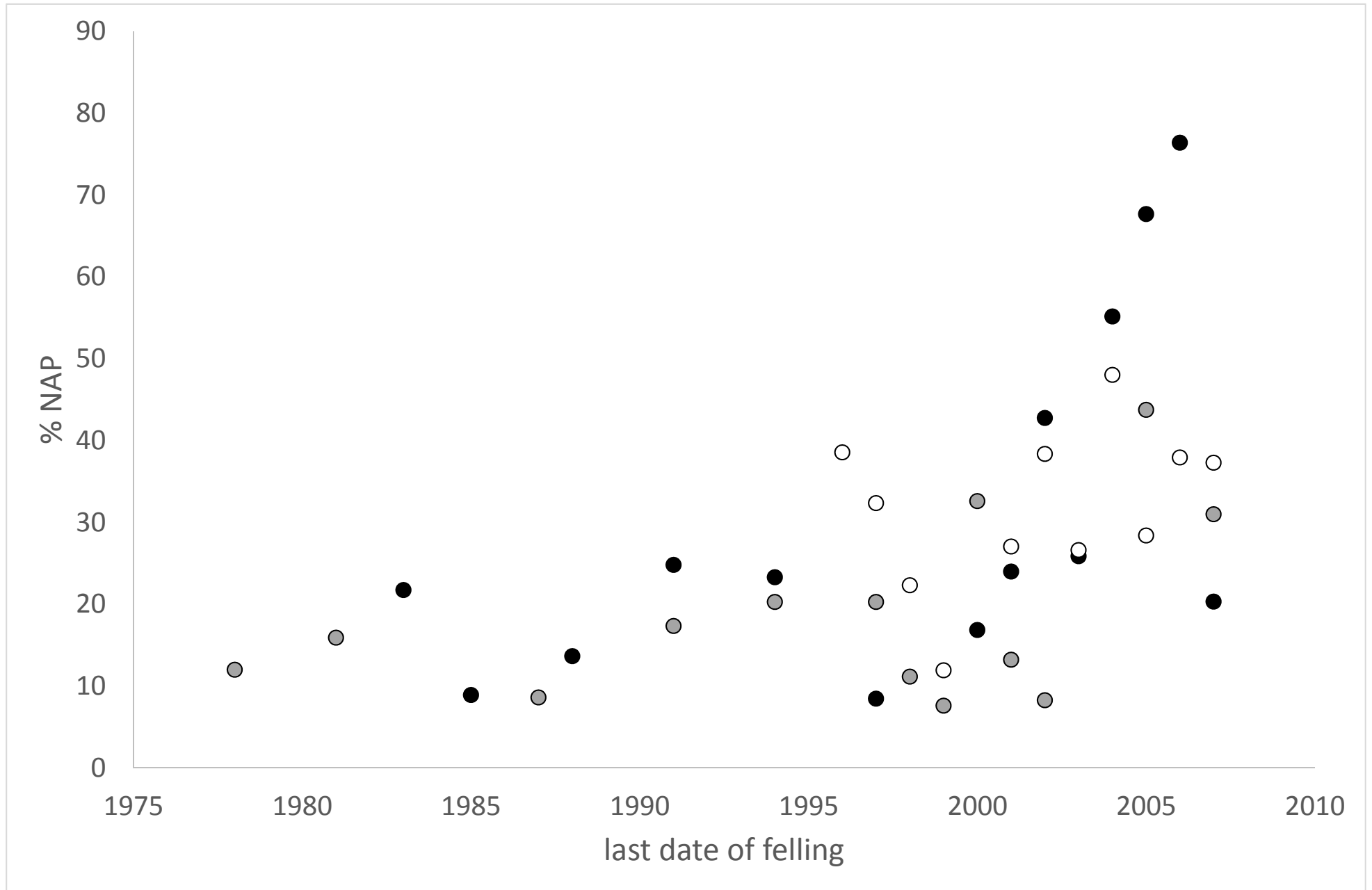
928 Table 4: summary of estimated pollen productivity values relative to Poaceae for the main six ground
929 flora taxa (see text for details)

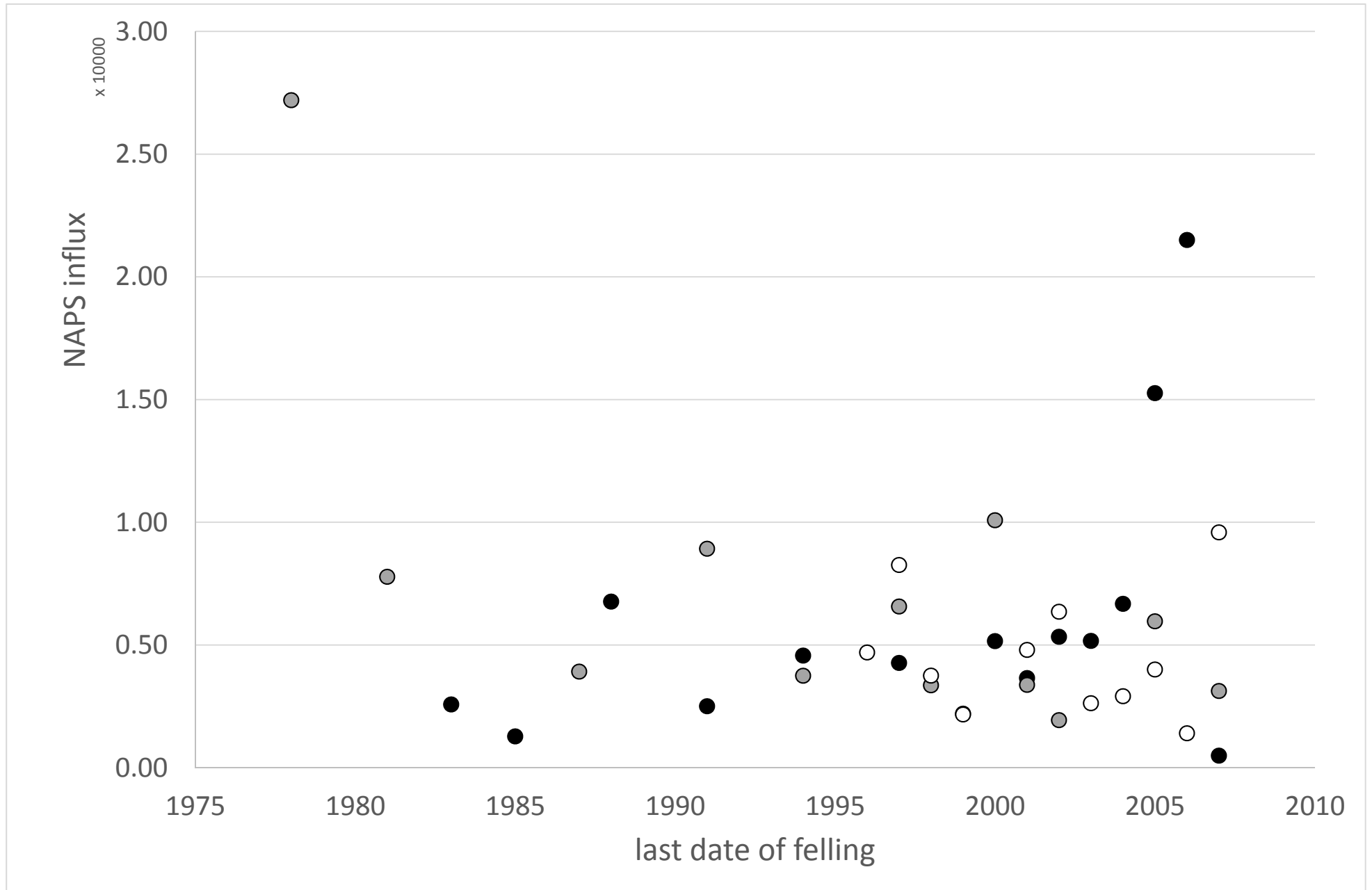


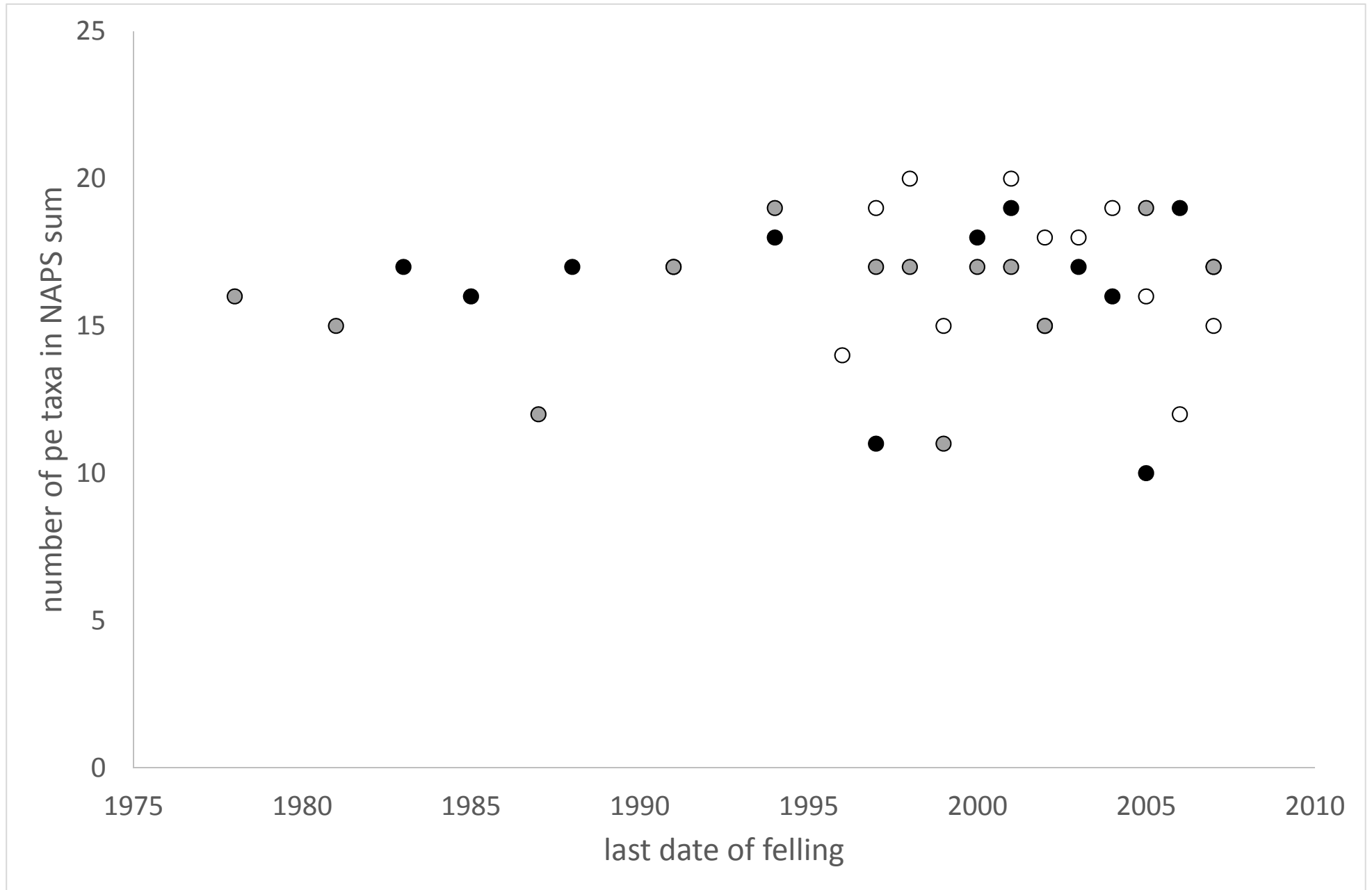


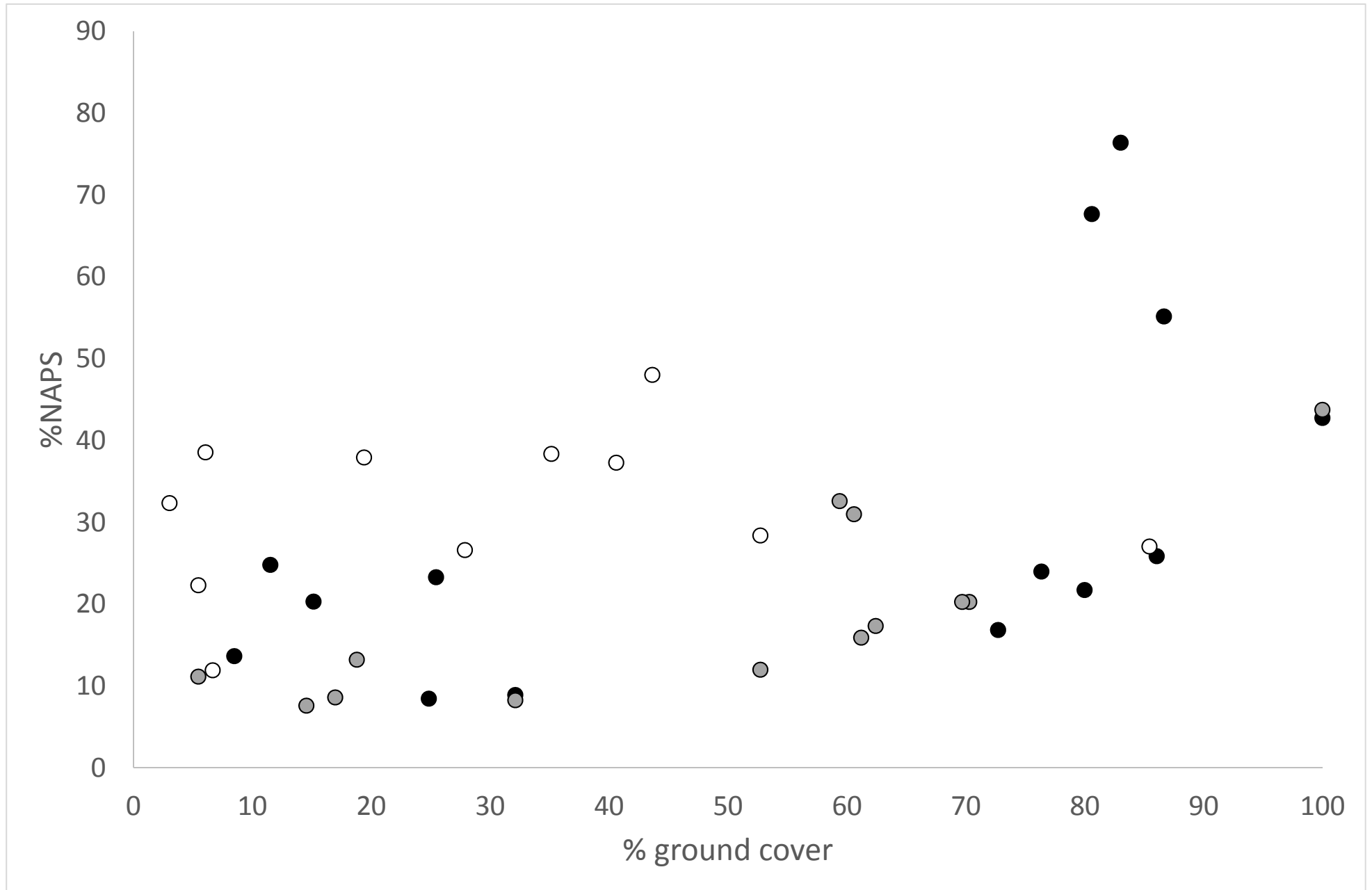


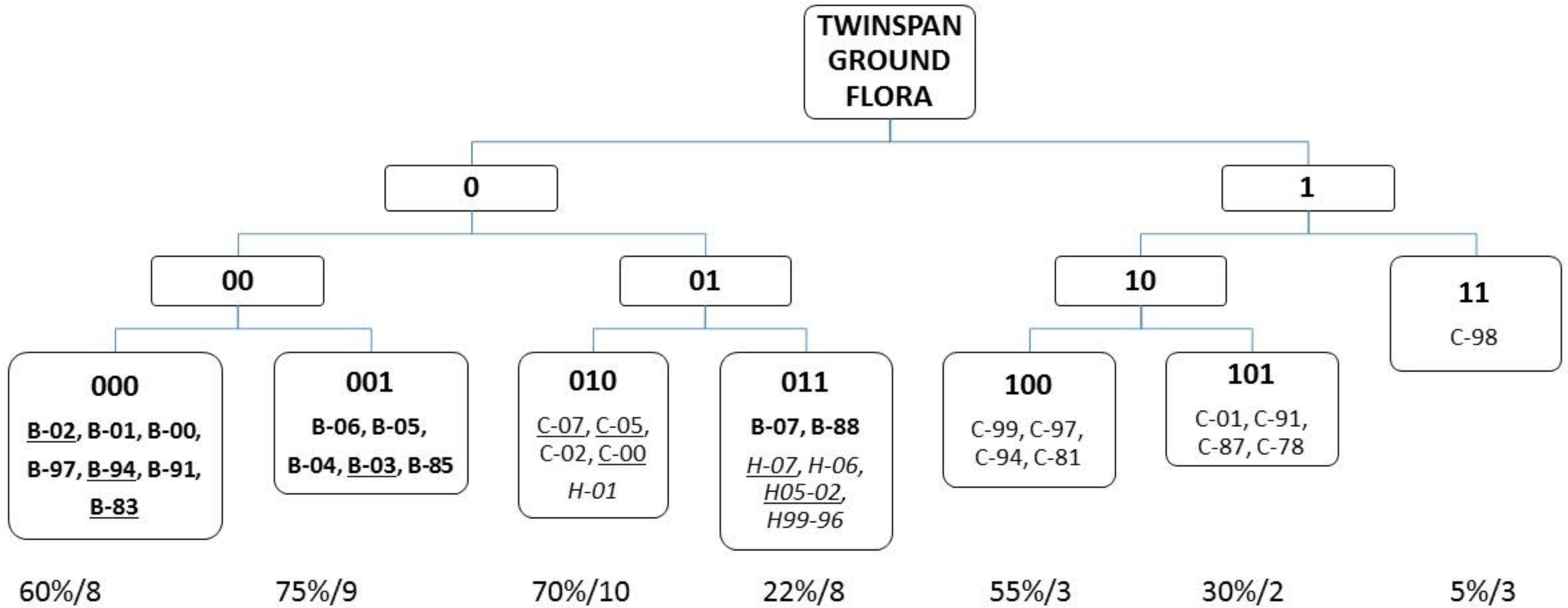


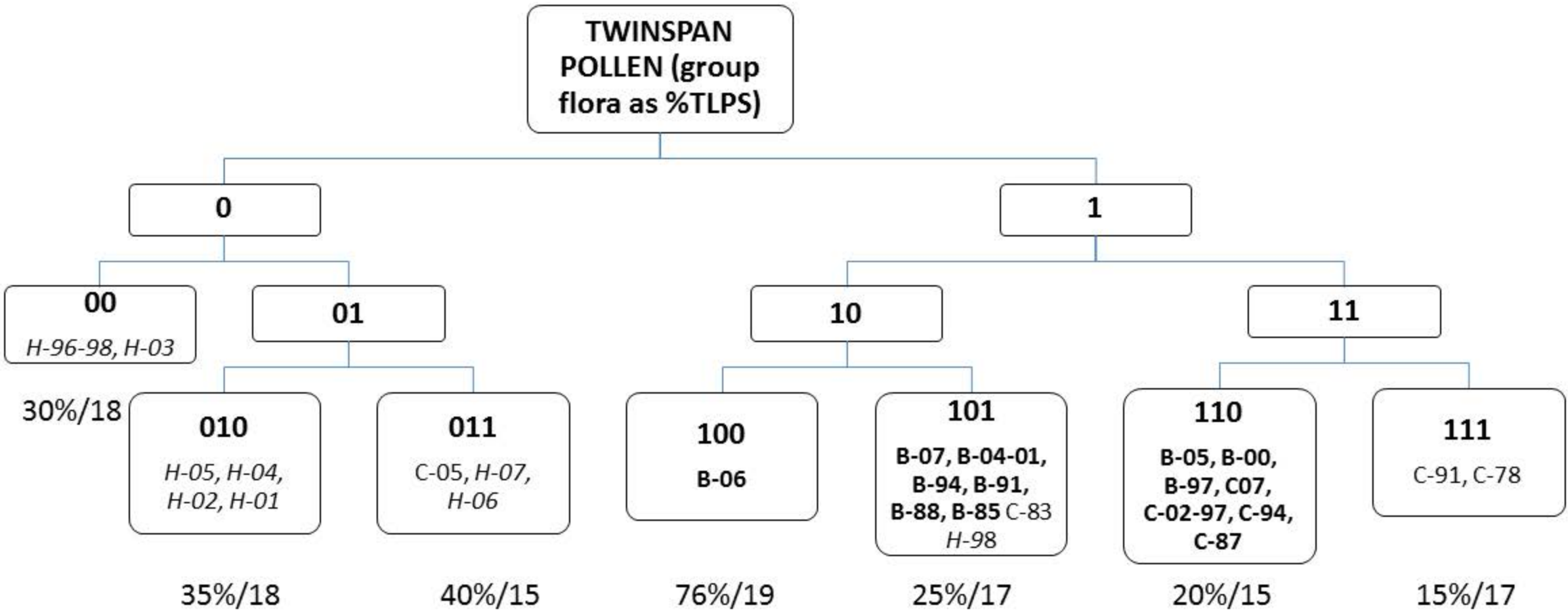


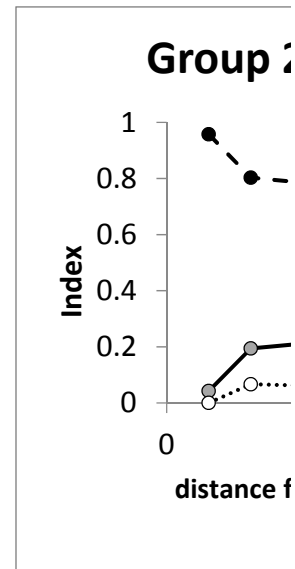
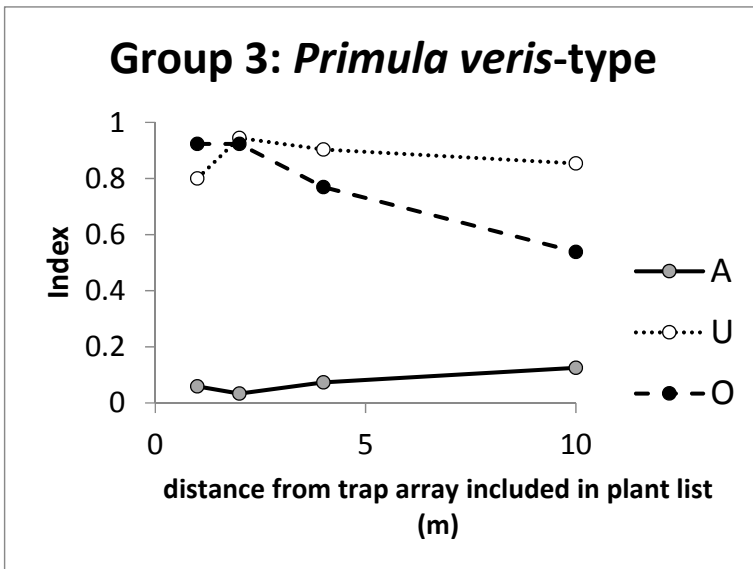
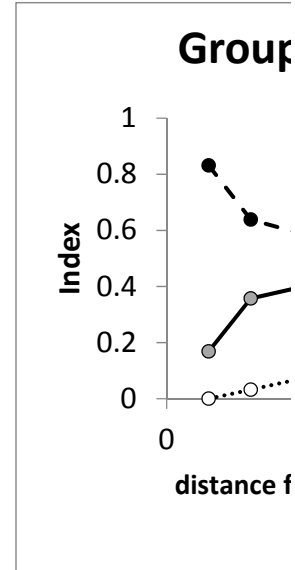
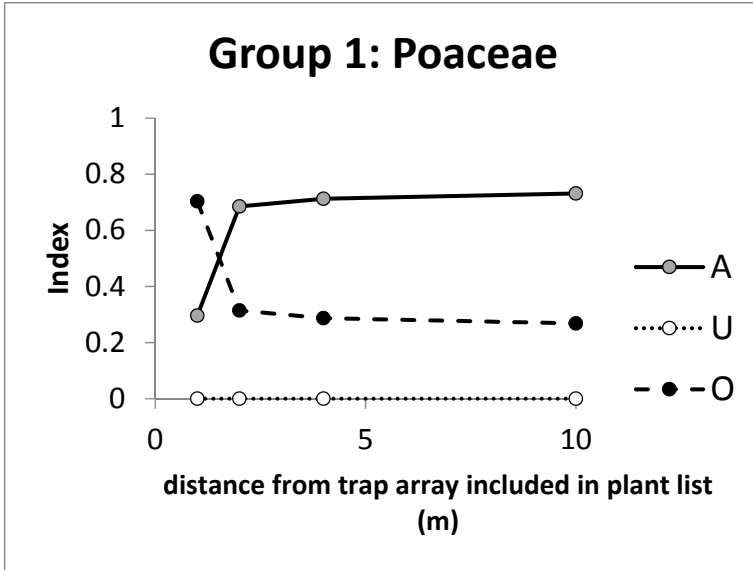




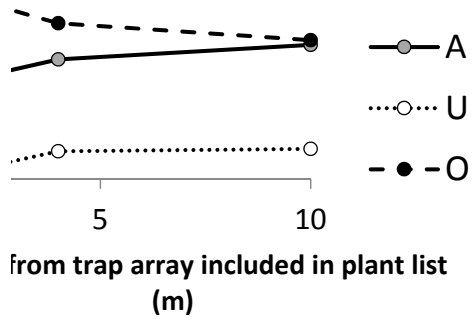




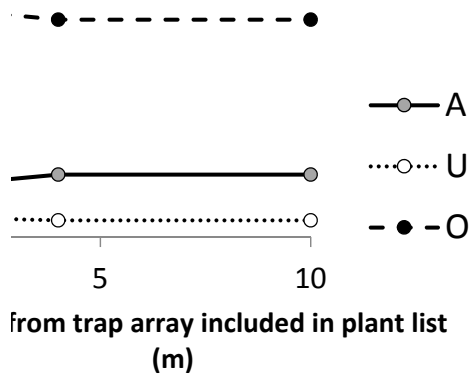


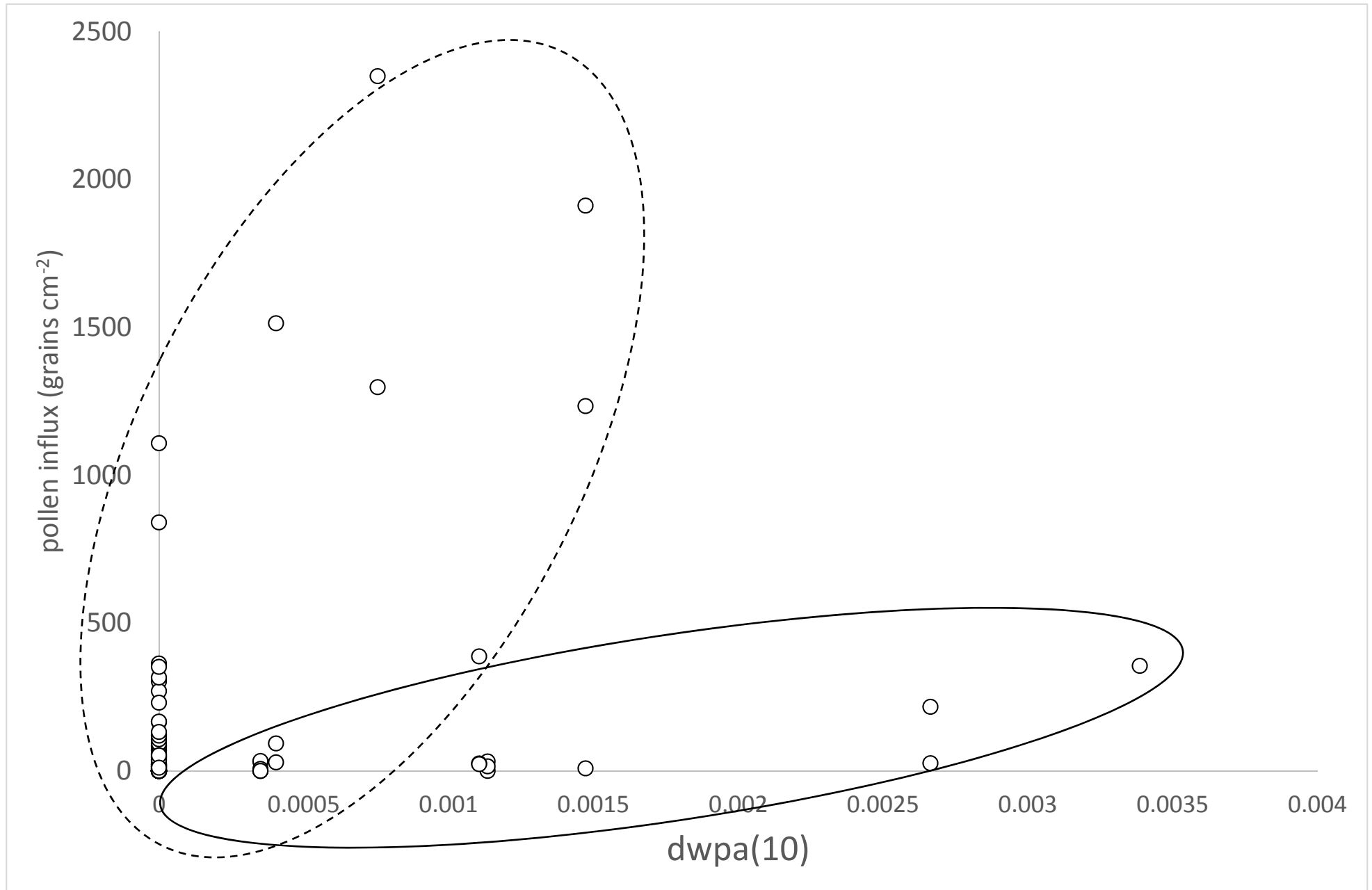


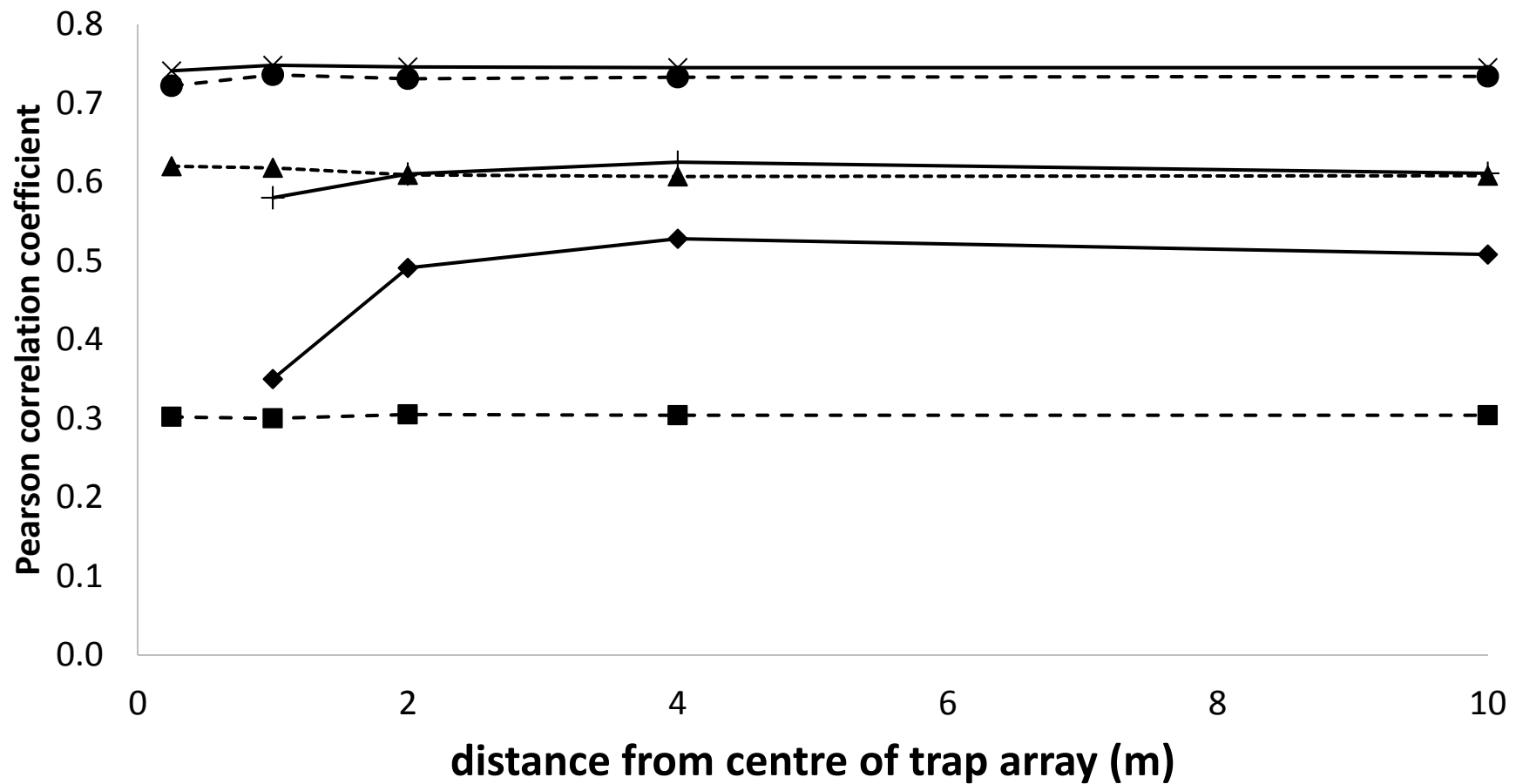
2a: *Mercurialis perennis*



2b: Apiaceae-type







—×— *Scilla*-type

-●- *Mercurialis perennis*

—+— Cyperaceae undiff.

--▲-- Poaceae undiff.

—◆— Apiaceae

--■-- Asteraceae Cardueae

- Non-arboreal pollen and spore (NAPS) influx in coppiced woodlands is studied
- NAPS/plant presence Association Indices calculated for several survey distances
- Indices imply effective source area for ground flora is approx. 10m radius
- Estimates of Pollen Productivity are presented for five taxa
- NAPS record reflects ground flora of wider woodland not of local compartment

Table 1: plant species recorded and palynological equivalent taxa (after Bennett 1994)

Palynological equivalent	actual plant species recorded		
	Bradfield Woods	Chalkney Woods	Hayley Wood
<i>Allium</i> -type	<i>Allium ursinum</i>		
Apiaceae	<i>Conopodium majus</i>		<i>Angelica sylvestris</i>
<i>Arum</i>	<i>Arum maculatum</i>		<i>Arum maculatum</i>
Asteraceae (Cardueae)	<i>Arctium minus</i> , <i>Cirsium arvense</i> , <i>C. palustre</i> , <i>C. vulgare</i>	<i>Cirsium arvense</i> , <i>Sonchos</i> sp.	
Asteraceae (Lactuceae)	<i>Taraxacum oblogatum</i>		<i>Taraxacum oblongatum</i>
Brassicaceae		<i>Cardamine pratensis</i>	
Caryophyllaceae		<i>Cerastium fontanum</i> , <i>Silenedioica</i>	
Cyperaceae	<i>Carex pendula</i> , <i>C. strigosa</i> , <i>C. strigosa/sylvatica</i> , <i>C. sylvatica</i>	<i>Carex pendula</i> , <i>C. sylvatica</i>	<i>Carex flacca</i> , <i>C. pendula</i> , <i>C. riparia</i> ,
<i>Dactylorhiza</i> -type			<i>Orchis fuchsii</i>
<i>Dryopteris dilatata</i>		<i>Dryopteris dilatata</i>	
<i>Epilobium</i> -type		<i>Epilobium</i> sp	<i>Epilobium</i> sp
<i>Filipendula</i>	<i>Filipendula ulmaria</i>		<i>Filipendula ulmaria</i>

<i>Geum</i>	<i>Geum undif.</i>		<i>Geum urbanum</i>
<i>Hypericum perforatum</i> -type	<i>Hypericum hirsutum, H. humifusum</i>		<i>Hypericum hirsutum, H. humifusum, H. tetrapterum</i>
<i>Lonicera periclymenum</i>	<i>Lonicera periclymenum</i>	<i>Lonicera periclymenum</i>	<i>Lonicera periclymenum</i>
<i>Lysimachia vulgaris</i> type		<i>Lysimachia nemorum</i>	
<i>Mercurialis perennis</i>	<i>Mercurialis perennis</i>	<i>Mercurialis perennis</i>	<i>Mercurialis perennis</i>
<i>Paris quadrifolia</i> -type	<i>Paris quadrifolia</i>		<i>Paris quadrifolia</i>
<i>Plantago major/media</i>			<i>Plantago major</i>
Poaceae	<i>Agrostis stolonifera, Brachypodium sylvatica, Deschampsia caespitosa, Poa annua, P. undif.</i>	<i>Agrostis stolonifera, Poa annua, P. trivialis, P. undif.</i>	<i>Agrostis stolonifera, Deschampsia caespitosa, Holcus mollis, Poa trivialis, P. undif.</i>
<i>Potentilla</i> -type	<i>Potentilla sterilis</i>	<i>Potentilla sterilis</i>	<i>Potentilla sterilis</i>
<i>Primula veris</i> -type	<i>Primula elatior</i>		<i>Primula elatior</i>
<i>Prunella vulgaris</i> -type	<i>Prunella vulgaris</i>	<i>Prunella vulgaris</i>	<i>Glechoma hederacea</i>
<i>Ranunculus acris</i> -type	<i>Anemone nemorosa, Ranunculus ficaria</i>	<i>Anemone nemorosa, Ranunculus ficaria, R. repens</i>	<i>Anemone nemorosa, Ranunculus ficaria</i>
Rosaceae indet.			<i>Rosa sp.</i>
<i>Rubus undiff.</i>	<i>Rubus fruticosus</i> agg., <i>R. idaeus</i>	<i>Rubus caesius, R. fruticosus</i> agg., <i>R. idaeus</i>	<i>Rubus caesius, R. fruticosus</i> agg., <i>R. idaeus</i>
<i>Rumex sanguineus</i> type		<i>Rumex crispus</i>	
<i>Scilla</i> -type		<i>Hyacinthoides non-scripta</i>	<i>Hyacinthoides non-scripta</i>
<i>Scutellaria</i> -type	<i>Ajuga reptans</i>	<i>Ajuga reptans</i>	<i>Ajuga reptans</i>

<i>Sedum</i>			<i>Sedum telephium</i>
<i>Stachys</i> -type	<i>Stachys sylvatica</i>		<i>Lamium sp.</i>
<i>Urtica dioica</i>	<i>Urtica dioica</i>	<i>Urtica dioica</i>	<i>Urtica dioica</i>
<i>Veronica</i>		<i>Veronica chamaedrys, V.undif</i>	
<i>Viola undiff.</i>	<i>Viola undif.</i>	<i>Viola undif.</i>	<i>Viola reichenbachiana</i>

1 Table 2: fall speeds of abundant pollen types

2

Taxon	Fallspeed (m/s)	source
Apiaceae	0.021	this paper
Asteraceae (Cardueae)	0.067	this paper
Cyperaceae	0.035	Sugita et al 1999
<i>Filipendula</i>	0.006	Broström et al. 2004
<i>Lonicera periclymenum</i>	0.158	this paper
<i>Mercurialis perennis</i>	0.024	this paper
Poaceae	0.035	Sugita et al 1999
<i>Ranunculus acris</i> -type	0.014	Broström et al. 2004
<i>Rubus</i> undiff.	0.019	this paper
<i>Scilla</i> -type	0.088	this paper

3

- 1 Table 3: indices of association between pollen trap assemblages and vegetation surveyed within
- 2 10m of the centre of the trap array for ground flora species
- 3

Taxon	Index of Association	Index of Under-representation	Index of Over-representation	
A>0.5: Associated				
Poaceae undiff.	0.73	0.00	0.27	
<i>Ranunculus acris</i> -type	0.65	0.24	0.18	
Cyperaceae	0.63	0.03	0.35	
<i>Scilla</i> -type	0.60	0.13	0.34	
A>0.25: weakly associated				
<i>Mercurialis perennis</i>	0.48	0.11	0.49	
<i>Rubus</i> undiff.	0.46	0.23	0.46	
<i>Filipendula</i>	0.31	0.22	0.66	over-represented?
Asteraceae (Cardueae)	0.26	0.17	0.73	over-represented?
<i>Lonicera periclymenum</i>	0.25	0.68	0.46	
<i>Allium</i> -type	0.25	0.00	0.75	
A<0.25, U>O: under-represented				
<i>Prunella vulgaris</i> -type	0.04	0.96	0.75	poor
<i>Potentilla</i> -type	0.08	0.88	0.79	poor
<i>Primula veris</i> -type	0.13	0.85	0.54	poor
<i>Paris quadrifolia</i> -type	0.20	0.78	0.33	
A<0.25, O>U: Over-represented				
<i>Plantago major/media</i>	0.04	0.67	0.95	

<i>Lysimachia vulgaris</i> type	0.03	0.67	0.96	
<i>Rumex sanguineus</i> type	0.08	0.33	0.91	
<i>Dryopteris dilatata</i>	0.04	0.33	0.96	
Caryophyllaceae	0.15	0.17	0.84	
<i>Urtica dioica</i>	0.08	0.13	0.92	
Apiaceae	0.22	0.06	0.77	
Asteraceae (Lactuceae)	0.05	0.00	0.95	
Brassicaceae	0.03	0.00	0.97	

4

Table 4: estimated pollen productivity values relative to Poaceae for the main six ground flora taxa

(see text for details).

	RPP _{Poaceae} regression method	Background pollen influx (grains cm ⁻²) rounded to nearest 10 grains	Broström et al. 2004 values	Mazier et al 2012 PPE.st2 dataset	Hjelle 1998
Apiaceae	1.61 ± 0.40	50		0.26	0.27
Asteraceae Cardueae	0.03 ± 0.01	30			0.06 (<i>Cirsium</i> type)
Cyperaceae	0.40 ± 0.07	50	1.0	0.87	0.29
<i>Mercurialis perennis</i>	0.24 ± 0.04	70			
Poaceae	1	1950	1	1.0	1
<i>Scilla</i> -type	0.34 ± 0.06	80			