

1 **Title:** Implications of a Pre-Exercise Alkalosis Mediated Attenuation of HSP72 on its Response to a  
2 Subsequent Bout of Exercise

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24 **Abstract**

25 The aim of this study was to investigate if a pre-exercise alkalosis mediated attenuation of HSP72 had  
26 any effect on the response of the same stress protein after a subsequent exercise. Seven physically  
27 active males ( $25.0 \pm 6.5$  years,  $182.1 \pm 6.0$  cm,  $74.0 \pm 8.3$  kg, peak aerobic power (PPO)  $316 \pm 46$  W)  
28 performed a repeated sprint exercise (EXB1) following a dose of  $0.3 \text{ g kg}^{-1}$  body mass of sodium  
29 bicarbonate (BICARB), or a placebo of  $0.045 \text{ g kg}^{-1}$  body mass of sodium chloride (PLAC).  
30 Participants then completed a 90-min intermittent cycling protocol (EXB2). Monocyte expressed  
31 HSP72 was significantly attenuated after EXB1 in BICARB compared to PLAC, however there was no  
32 difference in the HSP72 response to the subsequent EXB2 between conditions. Furthermore there was  
33 no difference between conditions for measures of oxidative stress (protein carbonyl and HSP32). These  
34 findings confirm the sensitivity of the HSP72 response to exercise induced changes in acid-base status  
35 in vivo, but suggest that the attenuated response has little effect upon subsequent stress in the same  
36 day.

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38 **Key words**

39 HSP72, bicarbonate, alkalosis, stress, monocyte

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## 53 **Introduction**

54 Exposure to an extracellular acidosis has been demonstrated to increase HSP72 gene activity in  
55 monkey kidney cells (pH = 6.8) (Gapen and Moseley, 1995) and the expression of HSP72 protein in  
56 cultured rat astrocytes (pH = 5.2) (Narasimhan et al., 1996), with a potential mechanism being the  
57 initiation of protein degradation via the ATP dependent ubiquitin proteasome pathway (Mitch et al.  
58 1994, Price et al. 1994). However, these *in vitro* models are difficult to apply to the whole organism  
59 due to the extreme pH limits employed. Recent research in human studies inducing a pre-exercise  
60 alkalosis using sodium bicarbonate (NaHCO<sub>3</sub>) has demonstrated an attenuation of the stress protein  
61 following high-intensity anaerobic exercise (Peart et al. 2011, 2013a), but not following a longer sub-  
62 maximal 90-min cycling effort (Peart et al. 2013b). These findings therefore suggest that HSP72 is also  
63 sensitive to less extreme shifts in acid-base balance *in vivo* (pH = 7.15). However it was not  
64 investigated in this previous work whether the attenuated response of HSP72 would have any  
65 implications for the stress response from a subsequent exercise.

66

67 One of the primary purposes of HSP72 is to facilitate cross-tolerance to a subsequent stressor, and  
68 Madden et al. (2008) proposed that its expression could enhance cellular tolerance to the stress of  
69 exercise. Moreover authors have shown that increases in monocyte expressed HSP72 in particular can  
70 provide protection to oxidative stress in a subsequent exercise (Taylor et al, 2012). In light of this it is  
71 possible that attenuating the acute exercise induced HSP72 response to exercise via NaHCO<sub>3</sub> may  
72 inhibit this particular mechanism of defence to a second bout of exercise.

73

74 The aim of this study was to confirm previously published data and further expand whether this would  
75 have any implications for the subsequent HSP72 response and oxidative stress response to a second  
76 exercise in the same day.

77

## 78 **Materials and Methods**

### 79 **Participants**

80 Seven recreationally active non-smoking males (mean  $\pm$  SD; age  $25.0 \pm 6.5$  years, height  $182.1 \pm 6.0$   
81 cm, body mass  $74.0 \pm 8.3$  kg, absolute peak power output (PPO)  $316 \pm 46$  W and relative PPO  $4.3 \pm$   
82  $1.0$  W.kg<sup>-1</sup>) volunteered for the study. All participants provided written informed consent in accordance

83 with the departmental and University ethical procedures and following the principles outlined in the  
84 Declaration of Helsinki. None of the participants were supplementing their diet with any ergogenic aids  
85 prior to testing. Participants were instructed not to exercise in the 24-h prior to testing, and to also  
86 abstain from foods and beverages high in alcohol, fat and caffeine (Sandstrom et al., 2009, Taylor et  
87 al., 2010a)

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### 89 **Exercise protocols**

90 Prior to the experimental trials all participants performed a ramped PPO test on a cycle ergometer  
91 (Lode Sport Excalibur, Netherlands). The test started with a 5-min warm up at 50 W, and workload  
92 increased thereafter by 30 W.min<sup>-1</sup> until volitional exhaustion. The PPO from this test was used to  
93 prescribe an individual workload for both exercise bouts (EXB1 and EXB2 respectively). EXB1 was a  
94 high-intensity anaerobic exercise consisting of 10 x 15-s sprints against 120% PPO separated by 45-s  
95 active recovery and EXB2 was a 90-min interval cycling exercise (10 x 9-min blocks of exercise  
96 consisting of 306 s at 40 % PPO, 204 s at 60 % PPO, 8 s at 0 W, 14 s sprint at 120 % PPO and 8 s at 0  
97 W). Both protocols have been described in full in Peart et al (2013a) and Peart et al (2013b)  
98 respectively.

99

### 100 **Experimental Design**

101 Participants reported to the laboratory on three occasions, with the first visit consisting of the described  
102 PPO test followed by a familiarisation to the testing procedures. Visits two and three were the  
103 experimental trials completed in a blinded and randomised manner. These trials began with ingestion  
104 of either 0.3 g.kg.BW<sup>-1</sup> NaHCO<sub>3</sub> (BICARB) or an equimolar (sodium) dose of sodium chloride (NaCl -  
105 0.045g.kg.BW<sup>-1</sup>) placebo (PLAC), followed by a 60-min rest period. The NaHCO<sub>3</sub> and NaCl were  
106 ingested in gelatine capsules as opposed to in a liquid solution as per previous research (Artioli et al  
107 2007, Flinn et al 2014, Peart et al 2013b) as the capsules may facilitate participant blinding by  
108 removing the taste (Peart et al, 2012) and offer a more preferable mode of consumption (Carr et al,  
109 2011). All of the gelatine capsules were opaque so participants could unknowingly ingest empty  
110 capsules to allow a matched number of capsules per condition.

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112 A venous blood sample was taken 60-min post-ingestion, after which participants performed EXB1.  
113 After 90-min rest (determined from the peak HSP72 response observed in Peart et al., (2013b))  
114 participants began EXB2. A venous blood sample was taken immediately post EXB2, and a final  
115 venous blood sample was taken 60-min post EXB2. Fig 1 provides a visual representation of the  
116 experimental trials. Capillary blood samples for the measurement of acid-base variables (pH, HCO<sub>3</sub><sup>-</sup>,  
117 base excess and lactate) were taken immediately before and 60-min after ingestion of the pills (both  
118 pre-exercise), immediately post EXB1 and prior to EXB2. All capillary blood samples were collected  
119 in 100 µl balanced heparin blood gas capillary tubes and analysed immediately (Radiometer, ABL800,  
120 Copenhagen, Denmark). Venous blood samples for the measurement of HSP72 were drawn from the  
121 antecubital vein into potassium EDTA Vacuette tubes (Vacuette®, Greiner BIO-one, UK) at the time  
122 points already described.

123

124 Oxidative stress was quantified by the measurement of protein carbonyl using a commercially available  
125 assay kit (Cayman, UK). The expression of HSP72 and HSP32 were measured via flow cytometry in  
126 monocytes using a well-established assay method (Sandstrom et al. 2009, Vince et al., 2010 Peart et al  
127 2013b, Taylor et al, 2012). Whole blood (100 µl) was transferred from the potassium EDTA tubes into  
128 2 ml of red blood cell lysis buffer (diluted 1/10 with distilled water; Erythrolyse, AbD Serotec, UK)  
129 and left to incubate for 10 minutes. Following incubation, samples were centrifuged for 5-min at 3000  
130 rpm to pellet the white blood cells, and the resultant supernatant discarded. White blood cells were  
131 subsequently washed in 2 ml phosphate buffering solution (PBS) and centrifuged for 5-min at 3000  
132 rpm, with the supernatant discarded. Following a second wash 100µl of Fix solution (Leucoperm  
133 Reagent A, AbD Serotec) was added to the samples and left to incubate for 15-min. After incubation,  
134 samples were washed as above and permeabilised by the addition of 100 µl of Perm solution  
135 (Leucoperm Reagent B, AbD Serotec). Each sample was then divided into two 50 µl aliquots. Added to  
136 these aliquots were 4 µl of either anti-HSP72:FITC (IgG1) / anti-HSP32:FITC (IgG2B) (Enzo Life  
137 Sciences, USA) or an isotype matched negative control:FITC (AbD Serotec). Following 30 min  
138 incubation in the dark, samples were washed with PBS and then re-suspended in 300 µl PBS ready for  
139 flow cytometry. Samples were analysed by flow cytometry on a BDFACSCalibur® (BD Biosciences,  
140 UK) running CELLQuest Software (BD Biosciences, UK), with monocytes and lymphocytes gated by  
141 forward scatter (FSC; cell size) and side scatter (SSC; cell granularity) properties, with a total of

142 20,000 events counted. Results were calculated as the ratio of mean fluorescence intensity (MFI)  
143 gained with the anti-HSP antibody to that obtained with the isotype matched negative control.

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#### 145 **Statistical Analysis**

146 All statistical analyses were completed using IBM SPSS Statistics 18 (SPSS Inc. Chicago, IL). Central  
147 tendency and dispersion of the sample data are represented as the mean  $\pm$  SD. The change in acid-base  
148 variables and biochemical variables across condition and time were analysed using linear mixed  
149 models. The expression of HSP72 and HSP32 were expressed as the ratio of the mean fluorescence  
150 intensity (MFI) gained from the anti-HSP72/anti-HSP32 antibody to that obtained with the isotype  
151 matched negative control. Post hoc tests with Sidak-adjusted p values were used to locate significant  
152 paired differences, with two-tailed statistical significance accepted at  $p < 0.05$ .

153

#### 154 **Results**

155 The NaHCO<sub>3</sub> ingestion protocol significantly elevated blood pH, HCO<sub>3</sub><sup>-</sup> and base excess at rest. EXB1  
156 significantly altered all acid-base variables in PLAC, with pH, HCO<sub>3</sub><sup>-</sup>, and base excess all maintained  
157 closer to pre-exercise values in BICARB (Table 1).

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159 HSP72 was significantly higher post EXB1 in PLAC compared to BICARB (Fig 2) (33 vs. 8% increase  
160 respectively;  $F = 4.588$ ,  $p = 0.050$ ), (Fig 1) a result apparent in all participants (Fig 3). The HSP72  
161 response following EXB2 was similar in both conditions ( $F = 2.633$ ,  $p = 0.115$ ), with a significant main  
162 effect for time ( $F = 13.484$ ,  $p < 0.001$ ) whereby HSP72 significantly increased 60-min post exercise ( $p$   
163  $< 0.001$ ) (Fig 2). HSP32 was unchanged following EXB1 (less than 10% change in both trials), but was  
164 significantly higher ( $F = 11.085$ ,  $p < 0.001$ ) 60-min post EXB2 compared to rest and pre EXB2 ( $p <$   
165  $0.001$ ) regardless of condition (Fig 4). Furthermore HSP32 was significantly higher immediately post  
166 EXB2 compared with immediately before ( $p = 0.018$ ) regardless of condition. The concentration of  
167 protein carbonyl did not change significantly from rest throughout the testing period ( $F = 1.920$   $p =$   
168  $0.174$ ), and was comparable between conditions ( $F = 1.476$ ,  $p = 0.249$ ) (Table 2).

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172 **Discussion**

173 The efficacy of the supplementation protocol was demonstrated by the observation of a significantly  
174 altered blood acid-base balance prior to EXB1 (Table 1), and a significantly attenuated HSP72  
175 response post EXB1 in the BICARB trial as per previous research (Peart et al, 2013a). A lower basal  
176 level of HSP72 prior to EXB2 in BICARB though did not affect the magnitude of the response  
177 following the 90-min protocol of EXB2. Hypoxia is another stimulus that can result in ubiquitin-  
178 proteasome mediated protein degradation, via induction by hypoxia-inducible factor (HIF) (Brahimi-  
179 Horn and Pouyssegur, 2005). Taylor et al (2012) reported a significantly attenuated HSP72 response  
180 post-exercise (~50%) after basal HSP72 expression was elevated via a hypoxic exposure, therefore it  
181 could have been expected that the trial with the lower basal HSP72 (BICARB) prior to EXB2 would  
182 have resulted in increased HSP72 expression following the bout. However it must be noted that the  
183 average changes in basal levels between experimental conditions in the research from Taylor et al  
184 (2012) was higher than in the present study (67% and 31% respectively). Therefore it may be the case  
185 that the HSP72 response to EXB1 in this study was not of a high enough magnitude to influence the  
186 response to EXB2.

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188 As other authors have shown that increasing the level of HSP72 prior to exercise can improve defences  
189 to the oxidative damage associated with exercise (Taylor et al., 2012), both HSP32 and protein  
190 carbonyl were measured prior to and after EXB2. Monocyte HSP32 was induced after EXB2 to the  
191 same extent in both conditions. This is in contrast to the HSP32 response to the same 90-min exercise  
192 bout in previous research, which was attenuated under BICARB conditions (Peart et al. 2013b). In the  
193 current study acid-base values had returned to homeostasis prior to EXB2 resulting in comparable acid-  
194 base results between conditions (Table 1), whereas in the previous study blood pH, base excess and  
195 HCO<sub>3</sub> were significantly different between conditions prior to and throughout the exercise. This  
196 finding supports our previous suggestions that increased oxidative damage is not necessarily the only  
197 stimulus for increased HSP32 and that this particular HSP is highly sensitive to acid-base changes *in*  
198 *vivo* (Peart et al. 2013b) as well as *in vitro* (Christou et al, 2004).

199

200 The protein carbonyl data adds further support for the notion that oxidative stress is not the sole  
201 stimulus for increases in HSP32. Protein carbonyl was measured in this study as a second marker more

202 specific to protein oxidative damage after HSP32 was significantly attenuated in the previous research  
203 (Peart et al. 2013b). Although quantification of this marker of protein oxidative damage has previously  
204 produced conflicting responses following anaerobic exercise (Alessio et al., 2000, Bloomer et al., 2006,  
205 Bloomer et al., 2007b, Bloomer et al., 2005), it has been widely reported to increase following exercise  
206 typically aerobic in nature. Such exercises include cycling interventions lasting 15 to 120-min  
207 (Alessio et al., 2000, Bloomer et al., 2007a, Michailidis et al., 2007), a half marathon race (Sureda et  
208 al., 2013) and ultra-distance cycling (Tauler et al., 2003) and running (Radak et al., 2000). Despite this  
209 trend seen in previous research, protein carbonyl did not increase at any time point throughout the  
210 testing period in this study nor was any difference observed between conditions. The only other study  
211 reporting no increase in protein carbonyl following aerobic exercise utilised a 90-min cycling protocol  
212 at 70% of maximum (Morillas-Ruiz et al., 2005). Fisher-Wellman and Bloomer (2009) have suggested  
213 that studies reporting null findings for protein carbonyl may be due to insufficient sampling times,  
214 exercise protocols that are too short or physical training status of the participants. Additionally, some  
215 authors have reported peaks in protein carbonyl occurring around 4-6 hours post-exercise (Bloomer et  
216 al., 2005, Michailidis et al., 2007), therefore it is possible that sample timings in this study may have  
217 contributed to no observed increases in protein carbonyl, as the final blood sample was 60-min post  
218 EXB2, and Morillas-Ruiz et al, (2005) only took a sample 20-min post exercise. However, other  
219 authors have demonstrated peaks in protein carbonyl immediately post-exercise of a similar duration to  
220 the current study (Bloomer 2007a, Sureda et al, 2013). Finally the training status is unlikely to have  
221 resulted in the absence of an increased protein carbonyl from rest in this study as the participants were  
222 less physically active compared with other studies, and lesser trained individuals have been shown to  
223 have greater protein carbonyl responses following exercise (Tauler et al., 2003). In the current study  
224 participants cycled predominantly at 40-60% of maximum during EXB2, whereas Bloomer et al,  
225 (2007a) used an intensity of 70% and Michailidis et al, (2007) 70-90% maximum suggesting  
226 the current protocol may not have been a high enough stimulus to elicit increases in protein carbonyl.  
227 However Morillas-Ruiz et al, (2005) also applied an intensity of 70% with null findings. Furthermore  
228 increases in oxygen consumption are unlikely to be the sole cause of protein carbonyl increases  
229 following exercise as authors have at times demonstrated increases in protein carbonyl following  
230 exercises of relatively low demands compared to sub-maximal exercise (Alessio et al., 2000,  
231 Bloomer et al., 2005). In summary we witnessed no increase in protein carbonyl concentration

232 following either exercise bout, which is in contrast to previous work using similar exercise  
233 modalities/duration. The reason for this is unclear as this study also had similar sampling times  
234 following exercise to other studies, a factor which has been suggested to contribute to null findings  
235 (Fisher-Wellman and Bloomer, 2009).

236

237 The increase in HSP72 after EXB1 observed in this study is less than the results reported in previous  
238 research (~30% and ~80% respectively) (Peart et al, 2013a). So as acknowledged earlier in the  
239 discussion, the magnitude of the response may have been inadequate to influence HSP72 expression  
240 following EXB2, and it is unclear whether an increase similar to the original study would have had  
241 more effect upon the subsequent response. This draws attention to whether documenting the stress  
242 response on a single day of training is necessarily fully reflective of the role of HSP72 in sport and  
243 exercise and future investigations should document intra-individual variance in the response to acute  
244 exercise. Other authors have shown that protein damage can progressively increase over several days  
245 training, as can the intracellular HSP72 response to exercise (Whitham et al., 2004). Therefore future  
246 work may also consider further examining the effect of pre-exercise mediated alterations in the  
247 response of HSP72 by documenting the implications of manipulating the HSP72 stress response over a  
248 longer period training, and any subsequent effect upon exercise performance and recovery times. This  
249 would allow further insight into whether chronic attenuation of HSP72 would have additional  
250 implications during a presumably more stressful period, and may inform future work investigating the  
251 hormetic nature of oxidative stress for training adaptation (Powers et al, 2010). From a practical  
252 viewpoint these findings suggest that individuals choosing to ingest  $\text{NaHCO}_3$  acutely for its possible  
253 ergogenic effect (Peart et al. 2012) do not risk interfering with the physiological stress response to a  
254 subsequent exercise in the same day.

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262 **Acknowledgments**

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264 was internally funded.

265

266 **Ethical approval**

267 All procedures performed in studies involving human participants were in accordance with the ethical  
268 standards of the institutional and/or national research committee and with the 1964 Helsinki declaration  
269 and its later amendments or comparable ethical standards.

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271 **Compliance with Ethical Standards**

272 Conflict of Interest: The authors declare that they have no conflict of interest.

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407 **Fig 1** Schematic of the experimental trials

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409 **Fig 2** Mean  $\pm$  SD Monocyte expressed HSP72 pre and post EXB1 and EXB2 during the PLAC and  
410 BICARB trials. \* significantly different to BICARB ( $p = 0.05$ ), † significantly different to pre EXB2  
411 ( $p < 0.001$ ), ‡ significantly different to 0-min post EXB2 ( $p < 0.001$ ).

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413 **Fig 3** Individual changes in monocyte expressed HSP72 after EXB1, expressed as percentage change  
414 from rest

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416 **Fig 4** Mean  $\pm$  SD Monocyte expressed HSP32 during the PLAC and BICARB trials. \* significantly  
417 different to pre EXB1 and pre EXB2 ( $p \leq 0.041$ ).

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**Table 1 Acid-base characteristics pre- and post-NaHCO<sub>3</sub> ingestion, immediately post EXB1 and pre EXB2 (means ± SD)**

		Pre-ingestion	Post-ingestion	Post EXB1	Pre EXB2
pH	PLAC	7.40 ± 0.01	7.40 ± 0.02	7.19 ± 0.04 <sup>†</sup>	7.39 ± 0.02
	BICARB	7.39 ± 0.01	7.44 ± 0.02 <sup>*‡</sup>	7.27 ± 0.05 <sup>†‡</sup>	7.40 ± 0.02
HCO <sub>3</sub> <sup>-</sup> (mmol/L)	PLAC	24.56 ± 0.70	23.02 ± 3.49	14.85 ± 4.35 <sup>†</sup>	24.22 ± 1.19
	BICARB	24.28 ± 1.15	27.5 ± 0.97 <sup>*‡</sup>	15.45 ± 2.21 <sup>†</sup>	25.9 ± 1.53
Base excess (mEq/L)	PLAC	0.35 ± 1.37	0.30 ± 1.00	-13.93 ± 2.05 <sup>†</sup>	0.43 ± 1.21
	BICARB	0.44 ± 1.21	3.84 ± 1.18 <sup>*‡</sup>	-10.82 ± 3.09 <sup>†‡</sup>	2.47 ± 1.49 <sup>‡</sup>
Lactate (mmol/L)	PLAC	1.40 ± 0.47	1.38 ± 0.28	13.65 ± 1.09 <sup>†</sup>	2.16 ± 0.38 <sup>†</sup>
	BICARB	1.28 ± 1.66	1.65 ± 0.23	14.30 ± 1.89 <sup>†</sup>	2.50 ± 0.48 <sup>†</sup>

\*significantly different to pre-ingestion (p<0.05), †significantly different to post-ingestion (p<0.05), ‡significantly different to PLAC (p<0.05)

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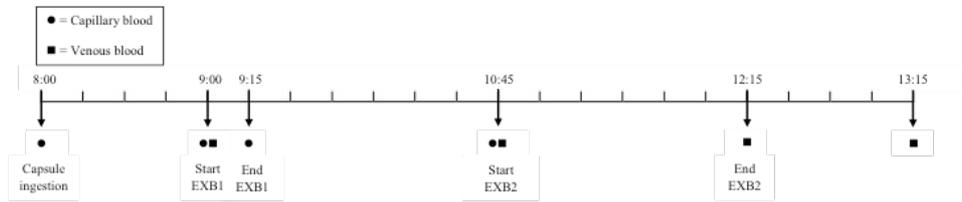
**Table 2 Protein carbonyl (nmol/ml) during the PLAC and BICARB trials (means ± SD)**

		Pre EXB1	90-min post EXB1 / Pre EXB2	0-min post EXB2	60-min post EXB2
Protein carbonyl	PLAC	44.18 ± 8.07	42.23 ± 5.02	40.55 ± 5.55	36.73 ± 11.45
	BICARB	48.69 ± 12.27	49.18 ± 8.32	38.16 ± 12.04	46.05 ± 13.54

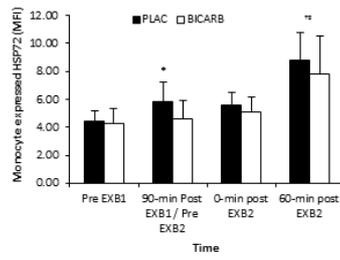
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440 Figure 1



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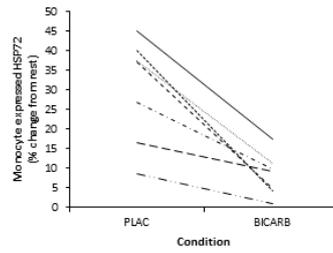
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447 Figure 3

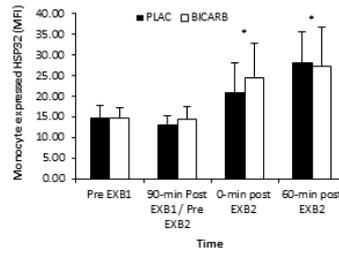


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451 Figure 4



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