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- P_t dynamic payback period, year
- *Q* energy, kWh
- q_{abs} thermal flux, W/m²
- *R* pressure drop factor
- *S* suppression factor
- *T* temperature, K
- v velocity, m/s
- *x* vapor quality
- *X_{tt}* Martinelli number

Abbreviation

Bo	boiling number	
CAP	capacity	
CER	CO ₂ emission reduction	
EFPC	evacuated flat-plate solar collector	
FBM	the factor of bare module	
HTF	heat transfer fluid	
MRE	mean relative error	
Nu	Nusselt number	
PEC	cost function	
Pr	Prandtl number	
Re	Renold number	
Subscripts		

2p two phases a ambient

- abs absorber plate
- b bottom plate
- c convection
- cond conductivity

ele	electricity
eq	equipment
f	fluid
g	vapor phase
gla	glass
grd	ground
in	inlet
1	liquid phase
nb	nucleate boiling
ng	natural gas
out	outlet
r	radiation
tb	absorber tube

Greek letter

α	absorptance
$eta_{ ext{CF}}$	factor of the contingency fees
γ	surface tension, N/m
δ	error
Е	emittance
λ	thermal conductivity, W/ ($m \cdot K$)
μ	dynamic viscosity, Pa•s
ρ	density, kg/m3
σ	Stefan-Boltzmann constant
τ	transmittance

32 **1. Introduction**

33 Steam is a sort of important product in the industrial manufacturing process, such 34 as metallurgical engineering [1], medical industry [2], food processing [3], and so on. 35 It is generally produced by fossil energy-based boilers [4], such as coal, natural gas, and so on. However, fossil energy will not only cause environmental problems that are 36 37 contrary to the goal of "carbon neutrality", but also brings great exergy losses between 38 chemical energy and thermal energy. Besides, as a kind of strategic reserve, fossil 39 energy is not always available to all countries [5]. Therefore, using renewable energy 40 (such as solar energy) to generate low-pressure process steam for industrial sectors is a 41 more promising approach since it is copious globally and has a relatively higher 42 efficiency to produce thermal energy than other renewable energy forms.

The technology of steam generation by solar energy has been studied worldwide [6] and most works are focused on the concentrating solar collectors, such as the parabolic trough solar collector [7] and so on. However, the concentrating solar collectors are disadvantageous as they can only use solar beam irradiance, thereby a tracking device is inevitable in the initial cost.

48 The non-concentration solar collectors (such as the flat plate solar collector [8], 49 the evacuated tube solar collector [9], and so forth) are also widely used in solar energy 50 utilization fields that can harvest both the beam and diffuse solar irradiance. It is 51 featured as technology maturity, convenient installation, and low production cost [10]. 52 Nevertheless, due to its great heat losses (thermal conduction, convection, and 53 radiation), it is usually used for domestic hot water and space heating occasions (< 100 °C) [11], but not qualified for the process steam generation (100 – 250 °C) [1]. If 54 55 the heat loss problem of the non-concentrating solar collector can be solved properly, it will have executable thermal performance in steam generation applications and achieve
better solar efficiency than the concentrating ones in the regions with a high proportion
of diffuse solar irradiance.

59 The current research devoted to steam generation by non-concentrating solar 60 collectors is mainly focusing on the various methods to impede its heat losses, thereby 61 promoting its thermal performance and fitting the process steam generation applications. 62 Inert gases (such as Ar and Kr) are used for reducing the energy losses of flat plate solar 63 collector since it has a low thermal conduction coefficient. When they are used for the 64 flat plate solar collector instead of the air interlayer, the energy losses can be reduced by as much as 20% [12]. If reduce its pressure, the heat conduction effect can be held 65 back more obviously, thereby enhancing its thermal performance. The outdoor test 66 67 indicated that the thermal efficiency has reached 60% under low-pressure Kr gas 68 insulation in the flat plate solar collector [13].

69 As another type of non-concentrating solar collector, the evacuated tube solar 70 collector adopts a vacuum environment to inhibit thermal conduction and convection, 71 thus its operating temperature is more suitable for steam generation. For example, an 72 experiment is conducted that uses the heat pipe evacuated tube solar collector for steam 73 generation [14]. A steam drum is employed as the condensation section of the heat pipe 74 evacuated tubes array that can achieve 130 °C under non-concentration solar irradiance. 75 For further temperature and pressure elevation, the compound parabolic concentrator 76 evacuated tube structure is explored for 200 °C steam generation [15]. Evacuated tube solar collector for high-temperature steam generation in the applications of steam
cooking, boilers, laundry, etc are also studied [16]. In addition to steam generation, an
evacuated tube collector is used for acetone vapor generation to drive an organic
Rankine cycle [17].

81 Many advanced solar thermal conversion materials are also used for steam 82 generation [18, 19], and they are generally categorized as metallic nanoparticles [20], 83 porous carbon materials [21], and plasmonic absorbers [22]. Graphene oxide-based 84 aerogels with carefully tailored absorption, thermal, and hydrophilic properties can 85 enable efficient (\approx 83%) solar steam generation under one-sun illumination [23]. Activated carbon fiber felt is used to generate steam efficiently, and its solar 86 conversion efficiency has reached 79.4 % under one sun illumination [24]. Ag 87 88 nanostructures were made into thin films for efficient generation of steam and this 89 novel material attains steam generation efficiency of 68.3% with the irradiation of 90 natural sunlight [25]. However, these solar harvesting processes are conducted under 91 normal pressure and these novel energy materials are usually aimed at the solar 92 desalination and clean water production problem.

In line with the above literature review, the current solar collector used for steam generation usually needs an optical tracking device and the conventional nonconcentrating solar collector generally has low efficiency due to the great heat loss. Given the high demand for process steam in the industrial sectors but usually modest solar resources in their located regions, steam generation based on the high-efficient

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98 non-concentrating solar collector is urgently needed. In this paper, a high-efficient 99 evacuated flat plate solar collector (EFPC) used for direct steam generation is 100 propounded. It adopts a high-vacuum environment to impede the thermal conductivity 101 and thermal convection effect. Hence, the operating temperature and thermal efficiency 102 can both be promoted to meet the requirement of direct steam generation. Using the 103 non-concentrating EFPC for direct steam generation brings the following merits. Firstly, 104 since the high-vacuum environment is adopted to inhibit thermal conduction and 105 convection, the thermal radiant loss has become the main heat loss source of the 106 evacuated flat plate solar collector. It is mainly caused by the high temperature of the 107 absorber plate that emits thermal radiation to the ambient [26]. In the direct steam 108 generation process, the operation temperature of the solar collector will maintain nearly 109 constant accounts for the water phase change process. As a result of this merit, the 110 thermal efficiency of the solar collector will also be improved due to the relatively low 111 average operating temperature. Secondly, the steam is generated in the absorber pipes 112 which leads to a boiling heat transfer process that usually possesses a high heat transfer 113 coefficient. In the previous studies of evacuated flat plate solar collectors [27], the 114 working fluid is the pressurization water to prevent evaporation. Hence, the heat 115 transfer fluid (HTF) exchanges heat with absorber tubes by heat convection effect. With 116 direct steam generation instead, boiling heat transfer occurs in the absorber tubes which 117 will improve the heat transfer coefficient greatly, thereby improving the thermal 118 efficiency of the solar collector. Thirdly, during the direct steam generation process, the

119 latent heat of the water will be fully employed to absorb the solar energy. Owing to the thermodynamic properties of water, the flow rate of the whole solar field will be greatly 120 121 reduced and water resources are saved. Furthermore, the power consumption of the 122 pump will be saved which is significant in the large-scale solar fields. Fourthly, the 123 EFPC can harvest both beam and diffuse solar irradiation. Compared with the 124 concentrating solar collectors, this merit makes the system can be installed in regions 125 that don't have abundant solar resources (such as east China which has vast process 126 steam requirements). Finally, owing to the low operating temperature, the organic fluid 127 is usually adopted as HTF in the conventional non-concentrating solar collectors for the 128 two-phase heat transfer process [28]. Through EFPC deployment, the water/steam can 129 be used as HTF that is easily obtained, free of contamination, and cheap. Therefore, the 130 materialization of direct steam generation-based EFPC has bilateral profits for both the 131 steam generation process and solar harvesting process themselves. 132 To prove the feasibility and superiority of the direct steam generation with the non-133 concentrating solar collector, an evacuated flat plate solar plant for direct steam 134 generation is investigated in this paper. The validated numerical models by experiment 135 are employed to manifest its thermal performance in terms of the thermal efficiency, thermal loss distribution, and energy flow situation. Besides, the traditional non-136 137 concentrating solar collector is usually used for the building space heating projects but 138 there exists the solar seasonal mismatch problem in these solar projects, i.e., the solar

139 energy resource and the solar collectors themselves are not made fully used in the non-

heating season since the lower heating demand than the heating season [29]. To solve
this problem, a dual-mode EFPC system is also established to produce low-temperature
hot water in the heating season for space heating and generate process steam in the nonheating season.

144 Therefore, the direct steam generation-based EFPC is fully explored in this paper 145 from the perspective of the thermal performance, the internal energy flow, thermal 146 losses, and the practical applications to solve the solar seasonal mismatch issue. The 147 structure of this paper is organized as follows. First of all, the configuration of the direct 148 steam generation-based EFPC system is described in Section 2. The detailed numerical 149 models are stated for the main energy transfer process together with the experimental 150 results and numerical model validation are also conducted in this section. Then, the 151 results of direct steam generation EFPC and discussion are detailedly elucidated in 152 Section 3. Next, the energetic, economic, and environmental performance of the dual-153 mode EFPC system is presented in Section 4 and the comparison with the state-of-art 154 is also carried out. Finally, the main conclusions are drawn in Section 5. It is believed 155 that this technology will bring much more benefits to the solar thermal utilization field 156 and promise to be instrumental in the future decarbonization process.

157 **2. Methodology**

158 2.1 System description

As shown in Fig. 1 (a), the direct steam generation-based EFPC system is composed of several pieces of EFPC in series to increase the length of the circulation 161 loop. Since the non-concentration solar flux is much less than the concentration solar 162 collection scenarios (such as the parabolic trough solar collector with the solar 163 concentration ratio over 50 [30]), the EFPC panel is designed with two inlets and outlets 164 such that the working fluid will pass each panel twice in the circulation loop.





170

configuration, and (b) detailed structure of single piece EFPC.

171 The structure of a single solar panel in the EFPC system is depicted in Fig. 1 (b), 172 the inlet and outlet absorber tubes are both insulated in the vacuum environment. The 173 two-phase flow inside the horizontal tubes will absorb the thermal energy from the 174 absorber plate and increase its steam quality along the flow direction. The absorber 175 plate is separated into two pieces to prevent the uneven temperature distribution on the 176 absorber plate. A drimeter is adopted at the end of the return steam pipeline to check 177 the dryness of the HTF. If the solar irradiance is weak which leads to a low steam 178 quantity, the three-way value will change the pipe flow direction for HTF recirculation. 179 The expansion vessel is used to adjust the working pressure of the pressurization HTF, 180 thereby controlling the operating temperature of the whole solar plant. A pressurization 181 pump and air vent channel will work synergistically to adjust the pressure of the whole 182 solar plant. The flash vessel is used to store the HTF and supply energy to the 183 subsequent device. The boiling heat transfer process in the EFPC will deteriorate if the 184 solar irradiance is too strong and the flash vessel has reached its maximum storage 185 capacity. The dry cooler can be used to dissipate the stored energy to prevent 186 overheating in the whole solar plant.

187

2.2 Numerical model for two-phase flow

188 In this study, the boiling heat transfer coefficient is estimated via the correlation 189 proposed by Gungor and Winterton [31]. The two-phase flow heat transfer process is 190 divided into two components: a nucleate boiling contribution and a single-phase 191 convection contribution for saturated water.

$$h_{2p} = Sh_{pb} + Eh_l \tag{1}$$

192 The factor *S* reflects the suppressed superheat amid the forced convection 193 compared with the pool boiling, and it can be expressed as:

$$S = (1 + 1.15 \times 10^{6} E^{2} \operatorname{Re}_{l}^{1.17})^{-1}$$
(2)

194 where the Re_l is the Renold number of the liquid phase:

$$\operatorname{Re}_{l} = \frac{G(1-x)D_{in}}{\mu_{l}}$$
(3)

and *G* is the mass flux, kg/(m²•s); *x* is the vapor quality, D_{in} is the inner diameter of the tube, m; μ_1 is the dynamic viscosity of the working fluid, Pa•s.

197 Factor *E* represents the enhanced convection and higher velocity in the two-phase198 flow compared with the single-phase flow condition.

$$E = 1 + 24000Bo^{1.16} + 1.37X_{tt}^{-0.86}$$
⁽⁴⁾

199 where the *Bo* and X_{tt} are two dimensionless numbers that are called the boiling number

200 and Martinelli number, respectively. They are expressed as:

$$Bo = \frac{q_{abs}}{G\left(h_g - h_l\right)} \tag{5}$$

$$X_{tt} = \left(\frac{\rho_g}{\rho_l}\right)^{0.5} \left(\frac{\mu_l}{\mu_g}\right)^{0.1} \left(\frac{1-x}{x}\right)^{0.9} \tag{6}$$

where q_{abs} is the thermal flux, W/m²; ρ_g and ρ_l are the density of liquid and vapor phase, respectively, kg/m³. The physical property parameters are calculated at the saturated state by the REFPROP 10.0 software.

For the nucleate boiling coefficient h_{nb} , it can be calculated as:

$$h_{nb} = 55p_r^{0.12} \left(-\log_{10}^{p_r}\right)^{-0.35} M^{-0.5} q_{abs}^{0.67}$$
(7)

where p_r is the ratio between the pressure in the flow tube and the critical pressure of the working fluid. *M* is the relative molecular mass of the working fluid, g/mol.

207 The single-phase forced convection flow in the tube is modeled with the Dittus-208 Boelter equation [32]:

$$h_{l} = 0.023 \left(\frac{\lambda_{l}}{D_{in}}\right) \operatorname{Re}_{l}^{0.8} \operatorname{Pr}_{l}^{0.4}$$
(8)

209 where the λ is the thermal conductivity, W/ (m•K); and Pr is the Prandtl number.

The pressure drop of the two-phase flow is sourced from the actual data of solar steam collectors [33]. For a two-phase flow, the pressure drop is defined as the product of the single-phase water flow and factor *R*.

$$\left(\frac{dp}{dl}\right)_{2p} = R\left(\frac{dp}{dl}\right)_{l}$$
(9)

213 with,

$$\left(\frac{dp}{dl}\right)_{l} = 0.316 \,\mathrm{Re}^{-0.25} \,\frac{1}{D_{in}} \frac{\rho}{2} v^{2} \tag{10}$$

$$R = A + 3.43x^{0.685} (1-x)^{0.24} \left(\frac{\rho_l}{\rho_g}\right)^{0.5} \left(\frac{\mu_g}{\mu_l}\right)^{0.22} \left(1 - \frac{\mu_g}{\mu_l}\right)^{0.89} Fr_l^{-0.47} We_l^{0.0334}$$
(11)

214 where $(dp/dl)_l$ is the pressure drop of the single-phase flow. The ρ and v are the liquid 215 density and velocity, respectively. The parameters for factor *R* are expressed as follows:

$$A = (1-x)^2 + x^2 \left(\frac{\rho_l}{\rho_g} \cdot \frac{\xi_g}{\xi_l}\right)^{0.8}$$
(12)

$$Fr_l = \frac{G^2}{\rho^2 g D_{in}} \tag{13}$$

$$We_l = \frac{G^2 D_{in}}{\rho \gamma} \tag{14}$$

216 where g is the gravitational acceleration, m/s^2 , γ is the surface tension, N/m.

217 2.3 Numerical model of the direct steam generation EFPC

A numerical model for the direct steam generation EFPC is established for the thermal performance and energy flow analysis. For this model, the energy equilibrium equations for the key components in the solar collector are formulated, thereby the thermal performance of the solar collector is deduced by the thermodynamic first law.

222 The thermal equilibrium equation for the glass cover is:

$$\alpha_{\rm gla}I + h_{\rm r,abs-gla}(T_{\rm abs} - T_{\rm gla}) = h_{\rm r,g-a}(T_{\rm gla} - T_{\rm a}) + h_{\rm c,g-a}(T_{\rm gla} - T_{\rm a})$$
(15)

223 with,

$$h_{\rm r,abs-gla} = \frac{\sigma(T_{\rm abs}^2 + T_{\rm gla}^2)(T_{\rm abs} + T_{\rm gla})}{\frac{1}{\varepsilon_{\rm abs}} + \frac{1}{\varepsilon_{\rm gla}} - 1}$$
(16)

$$h_{\rm r,gla-a} = \frac{\varepsilon_{\rm g} \sigma (T_{\rm gla}^4 - T_{\rm sky}^4)}{(T_{\rm gla} - T_{\rm a})} \tag{17}$$

$$T_{\rm sky} = 0.0552 T_a^{1.5} \tag{18}$$

$$h_{\rm c,gla-a} = 5.7 + 3.8v_{\rm wind}$$
 (19)

where a_{gla} and ε_{gla} are the absorptivity and emissivity of the glass cover, respectively; ε_{abs} is the emissivity of the absorber plate; σ is the Stefan-Boltzmann constant, 5.67×10^8 W/(m²•K⁴); $h_{\text{r,abs-gla}}$ is the radiant heat transfer coefficient between the glass cover and absorber plate; $h_{\text{r,gla-a}}$ and $h_{\text{c,gla-a}}$ are the radiant and convection heat transfer coefficient between the glass cover and ambient, respectively; T_{gla} , T_{a} , and T_{sky} are the temperature of the glass cover, ambient and sky, respectively. 230 The thermal equilibrium equation for the absorber plate is:

$$\tau_{\rm gla}\alpha_{\rm abs}I = h_{\rm r,abs-gla}(T_{\rm abs} - T_{\rm gla}) + h_{\rm r,abs-b}(T_{\rm abs} - T_{\rm b}) + h_{\rm cond,abs-tb}(T_{\rm abs} - T_{\rm tb})$$
(20)

231 with,

$$h_{\rm r,abs-b} = \frac{\sigma(T_{\rm abs}^2 + T_{\rm b}^2)(T_{\rm abs} + T_{\rm b})}{\frac{1}{\varepsilon_{\rm abs}} + \frac{1}{\varepsilon_{\rm b}} - 1}$$
(21)

$$h_{\text{cond,abs-tb}} = \frac{\lambda_{\text{weld}}b}{r}$$
(22)

where $h_{r,abs-b}$ is the radiant heat transfer coefficient between the absorber plate and bottom plate; $h_{cond,abs-tb}$ is the conductivity heat transfer coefficient between the absorber plate and absorber tubes; ε_b is the emissivity of the bottom plate; λ_{weld} , *b*, and *r* are the thermal conductivity coefficient, average width and average thickness of the welding material, respectively; T_{abs} , T_{tb} , and T_b are the temperature of the absorber plate, absorber tube, and bottom plate, respectively.

238 The thermal equilibrium equation for the absorber tube is:

$$h_{\text{cond,abs-tb}}(T_{\text{abs}} - T_{\text{tb}}) = h_{\text{tb-f}}(T_{\text{tb}} - T_{\text{f}})$$
(23)

239 with,

$$h_{\text{tb-f}} = \left(\ln \left(\frac{D_{\text{out}}}{D_{\text{in}}} \right) \cdot \frac{1}{2\pi\lambda_{\text{tb}}l_{\text{tb}}} + \frac{1}{h_{2\text{p,f}}} \right)^{-1}$$
(24)

where h_{tb-f} is the heat transfer coefficient between absorber tubes and the HTF that is comprised of the heat conductivity of the absorber tube itself and the two-phase flow boiling heat transfer coefficient of the HTF; D_{out} , D_{in} , λ_{tb} , l_{tb} are the inner and outer diameter, thermal conductivity, and length of the absorber tubes; $h_{2p,f}$ is the two-phase heat transfer coefficient of the working fluid that can be calculated from the numerical 245 model in the previous section. $T_{\rm f}$ is the fluid temperature that is considered constant 246 during the phase change process.

247 The enthalpy of heat transfer fluid will rise by absorbing the heat from absorber248 tubes:

$$h_{\rm tb-f}(T_{\rm tb} - T_{\rm f}) = H_{\rm out} - H_{\rm in}$$
 (25)

249 where H_{out} and H_{in} are the enthalpy of the outlet and inlet HTF, respectively.

250 The thermal equilibrium equation for the back bottom plate is:

$$h_{\rm r,abs-b}(T_{\rm abs} - T_{\rm b}) = h_{\rm r,b-grd}(T_{\rm b} - T_{\rm grd}) + h_{\rm c,b-a}(T_{\rm b} - T_{\rm a})$$
(26)

251 with,

$$h_{\rm r,b-grd} = \frac{\sigma(T_{\rm b}^2 + T_{\rm grd}^2)(T_{\rm b} + T_{\rm grd})}{\frac{1}{\varepsilon_{\rm grd}} + \frac{1}{\varepsilon_{\rm b}} - 1}$$
(27)

$$h_{c,b-a} = 5.7 + 3.8v_{wind} \tag{28}$$

where $h_{r,b-grd}$ is the radiant heat transfer coefficient between the bottom plate and ground; $h_{c,b-a}$ is the convection heat transfer coefficient for the bottom plate and the ambient surrounding.

Due to each heat transfer coefficient for the above equations being closely related to the temperature of each component, the calculation process should be iterated until the results' accuracy are satisfied. The main calculation process is depicted in the flow chart in Fig. 2.



260

Fig. 2 The calculation process of the direct steam generation EFPC.

261 2.4 Experimental validation

262 To validate the precision of the above numerical model and demonstrate the 263 superiority of this system, the thermal performance of the pressurization water mode test experiment is conducted via a medium-scale (50.96 m²) EFPC platform comprised 264 265 of 26 pieces of EFPC panels (the test rig in Fig. 3). The long-term experimental results 266 are given in Fig. 4. It is observed that the simulation thermal efficiency curve agreed 267 well with the experimental results. In light of the calculation method of the mean relative error (MRE) in Eq. (29) [34], the accuracy of this model is 1.80%. This 268 269 deviation between the experimental and simulation results is mainly caused by the ideal 270 spectrum characteristic of the simulation model and the measurement error of the 271 experimental test.

$$MRE = \frac{\sum_{i=1}^{N} |X_{exp} - X_{sim}|}{\sum_{i=1}^{N} X_{exp}} \times 100\%$$
(29)



272 273

Fig. 3 The experiment platform of EFPC.





Fig. 4 The experimental validation of the EFPC.

The boiling heat transfer process is modeled based on the numerical model in [33, 35]. It is also validated via an experiment for boiling heat transfer in horizontal fluid pipes [36] (see Fig. 5). The simulation results are well-matched with the test values in all vapor quality ranges as depicted in Fig. 6. According to Eq. (29), the MRE of this
model is 1.81%. This deviation between the experimental and simulation mainly results
from the semi-empirical relationship used in the simulation model and the measurement
error in the experiment process.







285

283

Fig. 6 The experimental validation of the numerical model for boiling heat transfer in

287

horizontal tubes.

2.5 Economic and environmental evaluation 288

289 To evaluate the comprehensive performance of the system proposed in this paper, 290 the economic and environment index are modeled for quantitative evaluation. The 291 economic performance of this system is evaluated by the dynamic payback period $P_{\rm t}$ 292 defined as Eq. (30).

$$\sum_{t=0}^{P_t} (CI - CO)_t \cdot (1 + i_c)^{-t} = 0$$
(30)

293 where CI and CO are the cash income and outcome, respectively. i_c is the benchmark 294 yield for the system.

295 For the solar thermal and other devices, the initial equipment costs are calculated 296 by [37]:

$$C_{eq} = (1 + \beta_{CF}) \sum_{k} (PEC_{k} \cdot FBM_{k})$$

$$k \in \{\text{solar panels, flash vessel, and pump} \cdots \}$$
(31)

297 where β_{CF} is the factor of the contingency fees, and the FBM_k is the bare module factor 298 that considers the costs of transportation and installation. The PEC_k is the function of the cost of the k_{th} component of all scenarios, including the EFPC panels, flash vessel, 299 300 pump, and so forth. It is the function of components and their installed capacity, i.e.,

301 $PEC_k = f(k, CAP_k)$, and it is given in Table 1.

Table 1 Cost function of the main components.				
Components	PEC_k	CAP_k type	Ref.	
Solar thermal panels	$150 \times CAP_{ST}$	Aperture area (m ²)	\	
Pump	$389 \times \ln\left(\frac{CAP_{\text{pump}}}{1000}\right) - 283.15$	Flow rate (kg/h)	[38]	
Flash vessel	$3955.3 \times CAP_{\text{vessel}}^{0.653}$	Volume (m ³)	[39]	

303 Besides, the maintenance and operation cost is established as the function of the 304 initial costs. It is set as 1.50% of the initial costs each year in the system lifespan.

The CO_2 emission reduction amount is adopted as the environmental evaluation index for this dual-mode system. It is defined as the CO_2 emission amount difference between a virtual system that assumes the energy supplied all by a natural gas boiler and this dual-mode EFPC system.

$$CER = \Delta Q_{\rm ng} \bullet f_{\rm ng} - Q_{pump} f_{ele}$$
(32)

309 where the ΔQ_{ng} refers to the natural gas reduction compared with the conventional gas 310 boiler, Q_{pump} is the total electricity consumption of the solar plant, and the f_{ng} and f_{ele} is 311 the CO₂ emission factor of natural gas which takes the value as 0.202 kg/kWh and 0.598 312 kg/kWh [41].

313 **3. Results and discussion**

To clearly show the superiority of the direct steam generation mode based on the EFPC, its thermal efficiency under the steady-state is investigated and compared with the pressurization water working mode. Then, the boiling heat transfer and the radiant thermal loss situation are also explored to explain. Finally, the internal energy flow relationships are presented for proving the above point of view.

319 3.1 Thermal efficiency analysis

320 The steady-state thermal performance of the two scenarios: direct steam 321 generation mode and pressurization water mode are compared in this section. The boundary conditions for both scenarios are set at the same with the solar irradiance
being 1000 W/m², the ambient temperature being 25 °C, and the wind velocity being 2
m/s. For the direct steam generation mode, the inlet HTF is under saturated condition,
i.e., the dryness of HTF is 0.

326 From the perspective of the whole solar plant, the thermal efficiency curves are 327 illustrated in Fig. 7. With this novel non-concentrating solar collector design, the 328 thermal efficiency of the direct steam generation mode is about 10% higher than the 329 pressurization water mode for the whole medium temperature range. At a typical steam 330 generation temperature of 130 °C, the thermal efficiency of the direct steam generation 331 mode reaches 70.19%. The reasons for the thermal efficiency promotion can be mainly 332 ascribed to the following issues. Firstly, the thermal radiation losses are dramatically 333 suppressed owing to the almost constant temperature in the working fluid phase change 334 process of direct steam generation mode. However, the heat transfer process will 335 deteriorate if the steam dryness rises to a much higher level. In this situation, the heat 336 transfer process between the absorber tube and HTF will be close to the single-phase 337 vapor. Secondly, the boiling heat transfer coefficient in the direct steam generation 338 mode is much higher than the convection heat transfer coefficient of the pressurization 339 water mode. These two aspects will be quantitatively described in the below section 340 exhaustively.





Fig. 7 The thermal performance of the direct steam generation and pressurization
water EFPC plant.

344 Generally, the HTF (such as water, organic fluid, etc.) has a much larger latent heat 345 storage capacity than sensible heat [32]. Hence, the higher thermal efficiency and using 346 two-phase steam as HTF also has a huge mass flow and energy storage capacity saving 347 potential. In the pressurization water mode, a storage tank is usually adopted as an 348 energy storage device for a solar heating system. It should be designed at a certain size 349 to prevent overheating of the solar plant and satisfy the requirement of the subsequent 350 device. For the same storage capacity, energy storage in a steam flash vessel that uses 351 latent heat as the thermal energy storage medium has less storage medium needed. For 352 the regions that are facing severe water scarcity (such as west China and Africa which 353 possess abundant solar resources), the HTF for the solar plant will lead to vast operating

and maintenance costs. The direct steam generation-based EFPC solar plant will alsorelease the water supply pressure there.

356 3.2 Heat transfer coefficient enhancement

The heat transfer coefficient between the HTF and absorber tube is quantitatively compared for the two scenarios in this section in terms of the solar panel array. A typical inlet temperature is selected at 150 °C and the other ambient parameters are the same as in Section 3.1.

361 The boiling heat transfer coefficient improved dramatically along with the solar 362 panels' array while the nuances of the convection heat transfer coefficient in the pressurization water mode can be omitted (see Fig. 8). For the two-phase flow, along 363 364 the flow direction of the HTF, the dryness of steam will rise progressively. Therefore, 365 the boiling heat transfer coefficient for each panel is also varying with respect to the 366 rising steam quality and pressure drop caused by the flow friction. For the pressurization water flow, the convection heat transfer coefficient will also increase 367 368 owing to their thermodynamic properties (such as the specific capacity, thermal 369 conductivity, density, etc.) will change concern with the operating temperature. The 370 maximum heat transfer coefficient of the direct steam generation mode is over 10000 371 $W/(m^2 \cdot K)$ which is almost an order of magnitude higher than the pressurization water 372 mode.



377 (red part). On average, the thermal resistance in this part has decreased by 66.34% with

378 the steam generation implementation.



379 380

Fig. 9 Thermal resistance network of EFPC [27].

There are many other approaches for the thermal performance improvement of non-concentrating solar collectors. In terms of the heat transfer enhancement between the absorber tube and HTF in the flat plate solar collector, the most common ways that have attracted many researchers' attention are the micro-channel absorber plate [42], turbulence promotion structure [43], using nano-fluid [44] as HTF, or their combination [45, 46]. All of these technologies are aiming at enhancing the heat transfer coefficient and reducing the temperature difference between the absorber plate and HTF: The micro-channel plate makes the most use of the small hydraulic diameter for HTF [47]; The nano-fluid elevates the high thermal conductivity of HTF by dispersing special particles into it; The turbulence promotion structure adopts some inserts to the absorber tubes to generate the turbulence effect. In light of the convection heat transfer formula [32]: $h = Nu \cdot \lambda_w/D$, all these three approaches can increase the heat transfer coefficient, thereby increasing the thermal efficiency of the solar panel.

394 However, the heat transfer coefficient enhancement of all these methods is not in 395 the same magnitude order as the boiling heat transfer, and the extra pump power 396 consumption and maintenance of nano-fluid will lead to extra costs that may outweigh 397 the extra energy gain [48]. Although some researchers use micro-channel collectors 398 with boiling heat transfer [49], the HTF of their studies should be organic fluid but 399 water. These HTF types (usually the organic fluids) are not easily obtainable and toxic 400 for practical application. More importantly, the direct steam generation is much more 401 useful in the industrial sectors that can't be realized by the above approaches.

402

3.3 Thermal loss for the solar plant

The EFPC processes a high-vacuum internal environment to prevent heat conductivity and heat convection losses from the absorber plate. Thus, the main heat loss is the thermal radiative losses from the absorber plate to the environment through the glass plate (top side) and backplate (bottom side). The total heat losses from these two parts are presented in Fig. 10 in terms of each solar panel.

408

For the pressurization water mode EFPC-based solar plant, the thermal loss for

409 each solar panel will increase along the flow direction. The reasons can be ascribed to
410 the progressively increasing operating temperature of the absorber plate. Since the
411 EFPC is highly vacuumed, the thermal radiant loss is the main heat loss form and it is
412 related to the four powers of the absorber plate temperature conforming to Stefan413 Boltzmann's law. The relatively lower average operating temperature of the direct
414 steam generation mode leads to the thermal radiant loss has reached as low as 260 W/m²
415 which is almost half of the pressurization water mode.



417 Fig. 10 The thermal loss distribution for each solar panel.

418 A representative solar panel among the EFPC system in these two working modes 419 is chosen to exhibit the temperature distribution on the absorber plate (see Fig. 11). For 420 the direct steam generation EFPC plant, the temperature of HTF is almost constant 421 during the phase change process. Hence, the temperature of the absorber plate will also 422 keep constant even amid the high-efficient solar harvesting process. The relatively low 423 and uniform temperature distribution in the solar plant not only improves the highly 424 efficient solar collection but also brings benefits for the low thermal stress in the 425 absorber plate and tubes.



430 Fig. 11 The temperature distribution on a solar panel in (a) direct steam generation
431 mode and (b) pressurization water operating mode.

432

2 3.4 Energy flow and transfer process

To clearly show the energy transfer and conversion process in the whole solar plant, the energy flow situations among each component of EFPC working in both the direct steam generation mode and pressurization water mode are presented in this section.

As depicted in Fig. 12, the solar irradiance of the whole solar plant will be converted into thermal energy in HTF through a series of processes. The glass cover and the absorber plate will lead to optical losses that are the same in both operation modes. The energy absorbed by the absorber plate will reemit to the glass cover (top side) and the backplate (bottom side). For the direct steam generation mode in Fig. 12 (a), the thermal radiative loss is much less than the pressurization water mode. Thus,



442 more useful energy is obtained by the HTF.

Fig. 12 The energy flow inside the EFPC panel of the (a) direct steam generation
mode and (b) pressurization water mode.

449 **4. Dual-mode solar thermal year-round utilization system**

For the conventional solar thermal heating projects, there always exists a solar energy seasonal mismatch problem, i.e., the solar energy resource is abundant in the non-heating season but weak in the heating season. On the contrary, the space heating demand in the non-heating season is usually much lower than the heating season. If the 454 conventional flat plate solar collector used for solar space heating continues to be put 455 in use in the non-heating season, there will be a mass of low-temperature solar seasonal 456 residual energy being useless. Hence, this seasonal mismatch issue in solar space 457 heating projects will generally lead to the conventional non-concentrating solar 458 collector being idle and the abundant solar energy is also wasted in the non-heating 459 season [29]. The direct steam generation EFPC proposed in this paper can solve this 460 seasonal mismatch problem by supplying the heating demand during the heating season 461 preferentially while producing steam in the non-heating season. Thus, solar energy will 462 be fully used for the whole year. In this section, the thermal performance and the 463 comparison with state-of-art technology will be presented to show the superiority of 464 this energy management strategy design.

465

4.1 **Thermal performance**

466 The energy gains for space heating in the heating season and steam generation in 467 the non-heating season are presented in Fig. 13. According to the arrangement of most regions in east China, the heating season is from 15th Nov. to 15th Mar. next year and 468 469 the rest period of the year is the non-heating season. For the space heating mode in the 470 heating season, 50 °C hot water is produced for floor radiant heating. In the non-heating 471 season, 130 °C low-pressure process steam is generated for industrial sectors. The 472 thermal efficiency in the non-heating season is lower than the one during the heating 473 season owing to the higher operating temperature but it is still around 50%. The year-474 round solar utilization efficiency for the dual-mode EFPC system is 52.59%. There is 475 no extra device required and the only differences between these two working modes are









479 4.2 Economic and environmental analysis

According to the evaluation model aforementioned, the economic and environmental performance of the dual-mode EFPC system is presented in this section. The economic evaluation uses the dynamic payback period as an index that takes the initial costs, maintenance and operation costs, and the temporal value of the fund into consideration. The yearly cash flow presented in Fig. 14 indicated that the payback period of this system is 9.02 years.





487

Fig. 14 The cash flow of the dual-mode EFPC system.

The environmental evaluation has considered the CO₂ reduction owing to the space heating and steam supplement from solar energy. The year-round electricity consumption by the pump is also taken into account as a carbon emission source of this system. The annual CO₂ reduction amount of the dual-mode EFPC system is 10142 kg.

492 4.3 Comparison with the state-of-art technology

To further demonstrate the superiority of the dual-mode EFPC system in this paper, an industrial factory in Jinan, China is selected as the target application object that needs space heating for the staff office building during the heating season and process steam for industrial products in the non-heating season. In the proposed solution in [50], an absorption-compression heat pump is adopted to elevate the temperature of the hot water produced by a solar plant (see Fig. 15).





Fig. 15 The annual solar space heating and steam generation system [50].

501 The year-round thermal performance is dynamically simulated in accord with the same weather data and the same solar field area. The comparison results are given in 502 503 Table 2. It can be observed that the energy gain for space heating in the heating season 504 and the steam supplement in the non-heating season are both higher than the reference 505 system. Although the reference system uses an absorption-compression heat pump to 506 make the solar collector work under a relatively low temperature, the final year-round 507 energy output for users is still not better than the dual-mode EFPC system in this paper. 508 In addition, the heat pump will bring extra electricity consumption and a more 509 complicated system configuration. Given the energy output results with the referred 510 system are under the same solar field area, the dual-mode EFPC system can meet the 511 same energy demand with less land occupation which is important in densely populated 512 areas. Hence, the dual-mode EFPC system is more qualified for solar thermal energy 513 utilization all year round.

Items	EFPC system	Reference system [50]	
Solar collector area/(m ²)	170	170	
Tile angle/(°)	36.6	36.6	
Heat pump capacity/(kW)	0	30	
Design collection temperature in the	50/120	20/05	
heating/non-heating season/(°C)	50/130	30/85	
Energy gain for space heating in the	27.00	11.55	
heating season/(MWh)	27.08		
Energy gain for steam supplement in	10.02	40.17	
the non-heating season/(MWh)	49.03		
Pay back period/(years)	9.02	10.10	
CO ₂ reduction/(kg)	10142	7187	

Table 2 The comparison with the solar assistant heat pump system.

515 **5. Conclusions**

516 In this paper, a direct steam generation solar system based on the nonconcentrating solar collector is proposed. This system uses a novel evacuated flat plate 517 solar collector that adopts a high-vacuum environment for high efficient solar 518 519 harvesting and process steam generation. The shortcoming of the conventional solar 520 steam generation system by concentrating solar collector is overcome and the 521 knowledge gap of the high-efficient solar steam generation by the non-concentrating 522 solar collector is filled. The heat transfer enhancement, thermal performance, and 523 energy flow situation are detailed investigated and compared with the traditional solar 524 plant. Furthermore, a dual-mode evacuated flat plate solar collector system based on 525 the above research is also proposed to solve the solar seasonal mismatch problem. The 526 main conclusions are drawn as follows.

- The thermal efficiency of the direct steam generation model solar plant is
 around 10 percentage points (absolute value) higher than the pressurization
 water working mode in the medium temperature range. At a typical steam
 generation temperature of 130 °C, the thermal efficiency of the direct steam
 generation mode reaches 70.19%.
- 532 2. For the two working modes, the maximum heat transfer coefficient of the
 533 direct steam generation mode has reached over 10000 W/(m²•K) which is
 534 much higher (with an order of magnitude enhancement) than the
 535 pressurization water working mode.
- The relatively lower average operating temperature leads to the thermal
 radiant loss of the direct steam generation mode solar plant has reached as low
 as 260 W/m² which is almost half of the pressurization water mode. Moreover,
 it can not only promote solar energy harvesting to the HTF and eliminate the
 uneven distribution of the absorber plate.
- 541
 4. The dual-mode evacuated flat plate solar plant can produce hot water for space
 542 heating in the heating season and generate steam in the non-heating season
 543 with a high solar year-round thermal efficiency. In comparison with the recent
 544 state-of-art work, the comprehensive performance of the dual-mode EFPC
 545 system in this paper is better for year-round solar energy utilization.

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