# Recent advances in lung cancer therapy based on nanomaterials: a review

Running title: Lung cancer therapy using nanomaterials

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Figure 1. Schematic illustration of various established therapeutic platforms in Lung cancer care.

#### Abstract

Lung cancer is one of the commonest cancers with a significant mortality rate for both genders, particularly in men. Lung cancer is recognized as one of the leading cause of death worldwide, which threatens the lives of over 1.6 million people every day. Smoking is considered to be the main risk factor for lung cancer. Other factors, including genetic susceptibility, history of respiratory diseases, infections, environmental factors and even diet, are attributed to risk factors. Early diagnosis plays a vital role in medicine to prevent disease, management, and effective treatment. Although cancer is the leading cause of death in industrialized countries, conventional anticancer medications are unlikely to increase patients' life expectancy and quality of life significantly. In recent years, there are significant advances in the development and application of nanotechnology in cancer diagnosis and treatment. The superiority of nanostructured approaches is that they act more selectively than traditional agents. This progress led to the development of a novel field of cancer treatment known as nanomedicine. Various formulations based on nanocarriers, including lipids, polymers, magnetic and porous silica particles, have been investigated to detect, imaging, screen, and treat various primary and metastatic tumors. The application and expansion of nano-agents lead to an exciting and challenging research era in pharmaceutical science, especially for the delivery of emerging anti-cancer agents. The objective of this review is to summarize various lung cancer treatments modalities focusing on nanomedicine.

Keywords: Lung cancer, Treatment, Nanocarriers, Drug delivery, Nanomedicine

#### Introduction

Lung carcinoma is one of the leading cause of death, with over 1.6 million death annually worldwide <sup>1, 2</sup>. According to different studies, smoking is considered to be the main risk factor for this lethal cancer. The prevalence of lung cancer in nonsmokers has confirmed that various other risk factors may also play a role in developing lung cancer. Several common genes have been identified in recent years as potential risk factors for lung cancer development. Therefore, integrating possible genetic pathways strengthens the toxic effects of smoking and increases lung cancer risk in an individual<sup>3</sup>. Generally, lung cancer based on the type of cancerous cells could be divided into "small cell lung cancer" (SCLC) and "non-small cell lung cancer" (NSCLC). NSCLC, which accounts for ~ 80% of all lung cancers, is more often classified as adenocarcinoma, squamous cell carcinoma and large cell carcinoma. The likelihood of successful lung cancer treatment in the early stages of diagnosis is more favourable than advanced ones; however, most cases are identified in the later stages <sup>4</sup>. The high mortality of lung cancer is attributed to its tendency to disseminate through the lymphatic and vascular system despite treatment <sup>5</sup>. The main sites of the presence of metastatic LC, apart from lymph nodes, are the brain (47%), bones (36%), liver (22%) and adrenal glands (15%) <sup>6</sup>.

#### **Risk factors for lung cancer**

#### Environmental factors, smoking and infections:

Lung cancer has multiple risk factors, and smoking is considered to be the leading risk factor. Other risk factors include genes, environmental pollutants, radiation, diet and viral infections such as HIV<sup>7</sup>. The risk of lung cancer is dose-dependent and could be significantly reduced by stopping smoking <sup>8</sup>. Some studies report that environmental pollutants and air contaminants could contribute to lung cancer, although more than half of nonsmoking patients had been exposed to cigarette smoke. They also stated that the environmental risk factors associated with lung cancer are more prevalent among people under 60 years old <sup>9</sup>. Recently, radon gas (Rn) has been identified as the second leading cause of LC. Many studies demonstrated that the incidence of LC was much higher in the areas with higher Rn concentration <sup>10</sup>. By causing DNA mutation on the P53 suppressor gene, radon gas could cause breakage in the DNA chain, acting as the primary step in cancer development <sup>11</sup>. Therefore, individuals who are exposed to high levels of radon should be screened for lung cancer. Older women who live in homes that are exposed to radon are more likely to develop lung adenocarcinomas<sup>9</sup>. There is also a relationship between infection of certain viruses such as "human papillomavirus" (HPV), "human immunodeficiency virus" (HIV) and the Epstein-Barr virus with lung cancer <sup>12</sup>. Kumar et al. reported that smokers infected with HIV were more at risk for lung cancer than nonsmokers. HIV weakens the immune system by reducing the TCD4 + cells. Thereby HIV-infected people are more likely to be harmed by the effects of carcinogens caused by cigarette smoke<sup>13</sup>. Cigarette smoking plays an essential role in developing HIV-related lung cancer. Still, the risk of cancer in people who have HIV is even more than two to four times higher than the general population, even after adjusting for the intensity and duration of smoking <sup>14</sup>. Some studies demonstrate a positive correlation between clinically diagnosed psychological disorders such as depression and anxiety with the surge in the risk of different cancers, including lung cancer <sup>15, 16</sup>. In a study conducted specifically on the Taiwan population, the effects of certain lifestyle factors such as smoking, betel quid chewing, and alcohol consumption on epigenetic alterations and cancer incident were examined. The results showed specific relationships between head and neck, oral, nasopharyngeal carcinoma, lung and gastrointestinal cancers with betel quid, alcohol and tobacco use <sup>17</sup>. In addition to the mentioned factors, environmental carcinogens (e.g. arsenic and asbestos), air pollution, and diseases like chronic bronchitis, emphysema, tuberculosis, pneumonia, and asthma also play an essential role either independently or through incremental or combination effects with other risk factors. Lung cancer in nonsmokers is both morphologically and molecularly different from those who smoke, so these findings help the clinician diagnose and manage lung cancer in a more tailored way<sup>5</sup>.

#### Lung cancer-related genes:

Changes in the various genes involved in the metabolism of peripheral cancers, nucleotide and base excision repair genes, and genes interfering with the cell cycle control have a significant impact on lung cancer development. Available data suggest that 1.7% of LC patients have a hereditary pattern for up to 68 years. Potentially highly

penetrating genes or genes that affect the metabolism of carcinogens such as tobacco are responsible for this effect <sup>18</sup>.

According to different studies, lung cancer has a genetic alteration profile with a pattern of expression of thousands of genes expression altered (Table1). Due to the importance of genes as a risk factor in lung cancer prevalence, various pharmacological treatments targeted the candidate genes encompassing *EGFR*, *ALK*, *BRAF*, *KRAS*, *VEGF* and so forth to inhibit or stimulate the genetic pathways to impact the development of lung cancer <sup>19</sup>.

Gene name	Abbreviation	Function	Reference
	name		
"Epidermal Growth	(EGFR)	Has a tyrosine kinase activity and involved in	20-23
Factor Receptor"		some cellular program such as	
		proliferation/growth/survival	
"Echinoderm	(EML4-ALK)	(EML4): is an echinoderm, microtubule-	20
microtubule-associated		associated protein-like family member	
protein-like 4 anaplastic		encodes a receptor tyrosine kinase:(ALK)	
lymphoma kinase."		The occurrence of EML4-ALK translocation	
v 1		observed in some lung cancer cases	
"Tumor Protein 53"	<i>(TP53)</i>	It is a tumor suppressor and a vital regulator	24
	· · · ·	gene for energy metabolism/apoptosis/cell	
		growth. TP53 considered an inhibitor of	
		cancer development	
"Baculoviral IAP Repeat	(BIRC5)	Survivin is a member of the "inhibitor	25
Containing 5" (Survivin)	(/	apoptosis protein" (IAP) family that has a role	
· · · · · · · · · · · · · · · · · · ·		as an apoptosis inhibitor and control the cell	
		cycle. Overexpression has been reported in	
		human lung cancer	
"Mini Chromosome	(MCM4)	Crucial roles in the start of DNA replication	26
Maintenance Complex	(11201127)	High MCM4 expression was reported in	
Component 4"		NSCLC	
T-Box 2	(TBX2)	Is a phylogenetically conserved family	27
	(12112)	member	
		that share a common DNA-binding domain,	
		the T-box	
		TBX2 involved in cell proliferation /	
		differentiation	
"Solute Carrier Family 2	(SLC2A1)	This gene encodes a glucose transporter	24
Member 1"		(GLUT1) protein, and high expression of	
		SLC2A1 is related to lung cancer tumors.	
"Lactate Dehydrogenase	(LDHA)	Has a role in the control of the glycolysis	24
A"	· /	pathway. This gene is overexpressed in	
		certain types of lung cancers	
"MYC Proto-Oncogene"	(MYC)	Considered as cell growth / cell cycle	24
e	· · ·	progression/ apoptosis / metabolism regulator.	
		Amplification of the MYC gene is observed in	
		some cancers.	
"Cell Division Cycle 20"	(CDC20)	Cdc20 is an Anaphase Promoting Complex	28
		(APC) regulator and is involved in the control	
		of cell division	
"Vascular Endothelial	(VEGF)	It is a growth factor that induces	29
Growth Factor"		proliferation/migration of vascular endothelial	
		cells and is essential for angiogenesis and	
		vascular angiogenesis	
"Ataxia Telangiectasia	(ATM)	It encodes an apical kinase and takes part in	30
Mutated"		cell cycle regulation and DNA damage	
		repairing and has recently been shown to play	

Table 1. List of some lung cancer-related genes

	1		
		an essential role in lung cancer progression. It	
		is suggested that the ATM gene may be a	
		potential new target for the diagnosis and	
"C1 + 1 - C		treatment of lung cancer	31
"Glutathione S-	(GSTs)	Glutathione S-transferases are phase II	51
Transferases"		biotransformation enzymes that play an	
		essential role in detoxifying a range of	
		external factors, including carcinogens and	
		anticarcinogenic agents and play a role in the system of cellular defence. It has been shown	
		that GSTs gene polymorphisms play a role in	
		response to treatment	
"RNA Binding Motif	(RBM5)		32
Protein 5"	(KDMJ)	It is a tumor suppressor gene that could stop	
FIOLEIII 5		the cell cycle and induces apoptosis /	
		regulating/inhibiting lung cancer metastasis and often eliminated in the early stages of LC	
		development. Regulating the expression of	
		RBM5 may be a new therapeutic goal for lung	
		cancer. High-expression of RBM5 inhibit	
		human lung cancer growth by increasing	
		apoptosis and inducing cell cycle arrest in the	
		G phase	
"Liver kinase B1	(LKB1)	LKB1 is a tumor suppressor gene. Some	33
(STK11)	(LILDI)	LKB1 mutations have been reported in	
(51111)		sporadic lung tumors. A protein of this gene	
		with serine-threonine kinase activity is related	
		to apoptosis/ cell proliferation/ energy	
		metabolism	
"Kristen Rat Sarcoma"	(KRAS)	KRAS protein is a member of the RAS family	34
	· /	with GTPase activity and involved in the	
		differentiation/proliferation/survival of cells	
"Glucose Transporter 1"	(GLUT1)	GLUT1 play a role in cancer cells glucose	35
-		transport/metabolism	
"Rearrangement	(RET)	RET gene is a receptor tyrosine kinase and	36, 37
during		a protein that involved in produces	
transfection."		differentiation/proliferation/migration/survival	
"B-Raf proto-	(BRAF)	This gene encodes a protein of RAF family	37
oncogene,		serine/threonine protein kinases. This protein	
serine/threonine		plays a role in regulating the MAP	
kinase."		kinase/ERK signaling pathway, which affects	
		cell division, differentiation, and secretion.	
"MET proto-	(MET)	This gene encodes a receptor tyrosine kinase	38-41
oncogene."		family proteins that play a role in mitosis/cell	
		survival/cell motility and was defined as high	
		expression of MET gene involved in lung	
		cancer.	20
"Phosphatase and tensin	(PTEN)	PTEN Is a tumor suppressor involved in cell	39
homolog."		survival/cell cycle progression/angiogenesis.	
		Downregulated of PTEN is associated with	
		the development of lung cancer	12
"Programmed death-	(PD-L1)	(PD-L1), the primary ligand of PD-1 immune	42
ligand 1"		checkpoint receptor that expresses on the	
		immune cell surface that involved in tumor	
		immune escape is upregulated in NSCLC and	
		different types of tumors	

# • Lung cancer-related microRNAs (miR)

MicroRNAs (miRNAs) are small, non-coding RNAs that impact different stages of carcinogenesis and metastasis. Aberrant expression of miRNAs in tissue and plasma has been found in various solid tumors. Due to many studies, dysregulation in the miRNA biogenesis process could result in oncogenesis <sup>43, 44</sup>. So far, numerous studies have been done to provide a miRNA profile of lung tumor tissues. The miRNA changes may also be observed in body fluids such as serum or plasma<sup>45, 46</sup>. Functionally, miRNA could act as tumor suppressors or oncogenes in lung cancer <sup>43</sup>. It had been demonstrated that there is a high expression of miR-10b, miR-14, miR-155, and miR-21 in the serum of patients with lung cancer. Also, miR-29a could be considered as a tumor suppressor in lung cancer. Besides, polymorphisms in miRNA-196a2 are also associated with lung cancer <sup>47</sup>. In contrast with other microRNAs, Let-7 has a lower expression in patients with lung cancer <sup>48</sup>. Dysregulation of the miR-34 family as tumor suppressor miRNAs are reported in the development of lung cancer <sup>49</sup>. The expression of plasma miR-145, miR-20a, and miR-223 was significantly raised in the early-stage NSCLC specimens compared with the control group <sup>50</sup>. Reports suggest that miR-34 and miR-124 loci methylation could be a tumour-associated frequentative incident during NSCLC tumorigenesis and could be used as potent markers for the prognosis of patients with NSCLC <sup>51</sup>.

Exosomal serum miR-146a-5p can be a new biomarker to investigate the efficacy of cisplatin for NSCLC patients and real-time monitoring of drug resistance <sup>52</sup>. Overexpression of miR-17/92 cluster in some cancer, including lung cancer, has been confirmed <sup>53</sup>. The study showed that miR-486-5p acts as an oncogene in the development of NSCLC, which affects the PTEN-PI3 K / AKT.PTEN signaling pathway, which is one of the most common pathways for tumor suppression. So it could be concluded that miR-486-5p might be used in the therapeutics of NSCLC <sup>54</sup>. miR-93-5p up-regulation in NSCLC plays an oncogenic role by inhibiting PTEN and RB, suggesting miR-93-5p might be a novel prognostic indicator and a therapeutic target in NSCLC <sup>55</sup>, whereas miR-455-3p was downregulated in NSCLC and was associated with the bad prognosis of NSCLC patients. miR-455-3p acts as a tumor suppressor by targeting HOXB5 in NSCLC development and could be a potential target for NSCLC treatment <sup>56</sup>. As mentioned before, the level of miR-770 expression in serum of patients may be a novel diagnostic, prognostic biomarker and a potential target for the treatment of NSCLC <sup>57</sup>. Downregulation of miR-129 has been associated with various cancers, and miR-129-5p has recently been shown to decrease lung cancer proliferation and metastasis <sup>58</sup>. Another study showed that miR-367 could be accompanied by the diffusion and progression of NSCLC by reinforcement of proliferation, invasion and blocking apoptosis in NSCLC cells <sup>59</sup>.

#### Non-Small Cell Lung Cancer treatment strategies

Surgery, radiotherapy, chemotherapy, targeted therapy and immunotherapy are the primary approaches for lung cancer treatment, and staging of cancer is fundamental for choosing treatment strategy 60. In general, lung resection procedures including lobectomy, pneumonectomy, wedge resection and segmentectomy are the optimal options to remove and confine the tumor penetrance, highly dependent on the tumor size, type and location <sup>61, 62</sup>. Another choice for early-stage lung cancer management is radiotherapy, which uses radiation (X-rays) to destroy cancer cells. Radiotherapy includes external beam radiation therapy, stereotactic radiotherapy and internal radiation therapy (brachytherapy). For stage IIIA and IIIB NSCLC patients, radiation therapy, chemotherapy, or combination therapy (chemoradiation) are considered first-line therapies <sup>63</sup>. Chemotherapy based on intravenous (IV) or oral anti-cancer drugs such as Paclitaxel (Taxol)<sup>64</sup>, Cisplatin, Doxorubicin<sup>65</sup>, Docetaxel<sup>66</sup> and also several types of antibodies<sup>67</sup> remains the basic strategies in the management of lung cancer <sup>68</sup>. Therefore, In the most advanced types of non-small cell lung cancer characterized as the metastatic stage (IV stage), the standard therapeutic options are combination chemotherapy, combination chemotherapy/targeted therapy and radiation therapy <sup>69</sup>. In targeted therapy, the factors involved in the development and spread of the malignancy, including gene or proteins, are targeted. Two types of common drugs in targeted therapy are monoclonal antibodies and small molecule drugs that attack solely on cancer cells than the normal cells <sup>70</sup>. Mutant EGFR tyrosine kinase as a receptor on cancer cells' surface is the most effective target for therapeutic agents such as Afatinib (Gilotrif)<sup>71</sup>, Erlotinib (Tarceva), gefitinib and several antibodies including cetuximab, Panitumumab, Matuzumab and NecitumumabiIn <sup>72</sup>. Moreover, targeted therapy could inhibit angiogenesis factors such as "vascular endothelial growth factor receptor 2" (VEGFR2), which are involved in cancer cell proliferation and invasion 73. The ramucirumab, an anti-VEGFR2 agent, can bind to VEGFR2 and blockade the signaling pathway and inhibit tumor

angiogenesis <sup>71</sup>. Targeting the VEGF receptor-2 pathways demonstrate great promises as a second-line treatment for patients with lung cancer <sup>74, 75</sup>. Another cancer treatment method used for late-stage of lung cancer, s immunotherapy. Immunotherapeutics stimulate the immune system through different agents such as monoclonal antibodies (mAbs), "adoptive cell transfer" (ACT), cancer vaccines (whole-cell vaccines or antigen-specific vaccines) and immunomodulators against checkpoint inhibitor signals to destroy cancer cells <sup>76, 77</sup>. In addition to the mentioned methods, gene therapy is currently being studied to treat cancer <sup>78</sup>, although it was initially used to treat monogenic diseases. Gene therapy aims to replace the defective gene with a functional gene to cure diseases, in this case, an increase in the therapeutic efficiency and a reduction in toxicity to normal tissues <sup>79</sup>. According to different studies, *p53* and *TUSC2* are the potential targets that could open new horizons in cancer treatment<sup>78</sup>.

#### Nanomedicine strategy for the treatment of lung cancer

Nanomedicine refers to utilizing nanotechnology in medicine to improve diagnosis, treatment or even monitoring of diseases.

Some nanotechnology applications in medicine consist of targeted drugs, imaging and theranostic (dual-purpose nanomaterials) agents, especially cancer care strategies. These nanosized tools' ability to transfer therapeutic compounds across biological barriers presents a potential avenue for treating cancers<sup>80</sup>. In the recent decade, various nanoparticles with unique properties for identifying different cancer cells, an adequate loading capacity of therapeutic agents, and the possibility of surface manipulation have been developed and investigated<sup>81,82</sup>. Delivery of chemotherapeutic agents to the tumor-specific site more efficiently with less toxicity and better drug activity is the most positive advantage of nanomaterials. Indeed, local delivery of drugs reduces therapeutic agents' side effects on the adjacent healthy tissues <sup>65, 83</sup>. Nowadays, nanomedicine has led to advances in combinational therapy. In combined therapy, two or more drugs can be delivered to several targets by single or multiple sets of nanocarriers. Drug delivery strategies are classified as active targeting, passive targeting, local delivery and triggered drug release <sup>84, 85</sup>. It should be noted that for enhancing the functional specificity of nanovehicles, transferring cargo to target cells, surface modification of nanostructure or nanoformulation with a particular polymer or ligand, even mono or polyclonal antibodies which generate a high tendency to the cells of the target tissue, needs to be developed <sup>86</sup>.

Several types of nanoscaled particles have been studied across various cancer types, including liposomes, polymeric nanoparticles, polymeric conjugates, polymeric micelles and dendrimers<sup>85</sup>. By offering different carriers, nanomedicine provides a suitable vehicle for carrying the therapeutic or diagnostic component and improving various treatment modalities (53, 54<sup>87</sup>). The application of nanomedicine as radionanomedicines (radiolabeled nanomedicine) for imaging and cancer therapy has also been developed<sup>88</sup>. These radiolabeled nanomaterials can be applied to visualize tumor tissues in living bodies and imaging the biodistribution and pharmacological index of drug delivery templates in animal tumor models<sup>89, 90</sup>. In the current review, we aim to highlight three versatile types of nanoparticulate cargo delivery systems with insight into specificity to distinct nanoformulation categories (Figure1). Liposomes are considered the most promising formulation in clinical settings to date. Many liposomal formulations, FDA-approved products, now prevail in the clinical landscape<sup>91, 92</sup> Novel intelligent polymeric micelles comparable to liposomes due to their unique characteristics such as concentrated tumor-targeting ability and for sequential or simultaneous drug release have made their debut in treatment approaches.<sup>93</sup> Eventually, we discuss dendrimers which could be described as the 3D polymeric structure with plenty of fruitful characteristics over conventional linear polymers<sup>94</sup>.

#### Types of nano-based carrier

#### Liposomes:

Liposomes as spherical phospholipid structures are the most frequent nanocarriers, owing to their distinctive properties, including biocompatibility, biodegradability, low toxicity and lack of immune system activation. These structures can be exploited for payload and delivery of either lipophilic and hydrophilic drug to various target sites <sup>95, 96</sup>. The liposomal structure could be used for common chemotherapy drugs,<sup>97-99</sup>, and other therapeutic agents such as plant-derived exracts in liposomal form have shown acceptable anti-tumour efficiency in lung cancer treatment<sup>100, 101</sup>. Liposomal honokiol (LHK) was used for induction of apoptotic and antitumor activities in different xenograft models generated using NSCLC cell lines such as HCC827 (gefitinib-sensitive) and H1975 (gefitinib-resistant)<sup>101</sup>. Liposomal honokiol was the first drug approved by the China Food and Drug

Administration for clinical trials in NSCLC patients. The liposomal form of baicalin exhibited acceptable and prolonged antitumor efficacy in nude mice bearing orthotopic human lung cancer. Furthermore, the baicalin-loaded nanoliposomes did not bring about lung injury in animal model<sup>100</sup>

As it was mentioned before, the liposomal surface could be tailored by flexible hydrophilic moieties, predominantly polyethylene glycol(PEG)<sup>102, 103</sup>, but also polyvinyl pyrrolidones <sup>104</sup> or Poly[N-(2-hydroxypropyl) meth acrylamide <sup>105</sup>. Doxil and Myocet, PEGylated liposomes loaded with doxorubicin (PLD), were considered the first FDA approved nano-drugs to treat lung cancer and some other neoplasms <sup>95, 106, 107</sup>. In the study conducted in 2013, octa-arginine (R8) cell-penetrating peptide is exploited for optimizing the antitumor activity of PLD. The authors reported that the presence of (R8) in the formulation of PEGylated liposomal doxorubicin (R8-PLD) led to the stimulation of apoptol for the efficient accumulation of the drug in lung cancer cells<sup>108</sup>. Cheng et al. studied GE11-modified liposomes loaded with doxorubicin (GE11-LP/DOX) to target A549 tumor-bearing nude mice. GE11 is a peptide with a high tendency to epidermal growth factor receptor (EGFR). The accumulation and retention of the GE-11 modified liposomes were 2.2-fold higher in comparison to unmodified liposomes <sup>109</sup>. In another study, liposomal curcumin dry powder inhaler (LCD) was used to treat lung cancer in the rat as an animal model. LCDs displayed much higher anticancer efficiency than gemcitabine in terms of pathological evidence and the expression of different cancer biomarkers such as VEGF, malondial dehyde, TNF- $\alpha$ , caspase-3 and BCL- $2^{110}$ . Dual ligand modified liposomes for a local delivery was investigated by Lin et al. In this study, anti-carbonic anhydrase IX (anti-CA IX) antibody and CPP33 dual-ligand modified triptolide-loaded liposomes (dl-TPL-lip) was used for specific tumor targeting, as well as tumor cell penetration. Their liposomal structure represented an effective inhibitory effect in-vivo and in-vitro studies. In addition, pulmonary administration in the orthotropic lung cancer model exhibited a much higher anti-cancer impact without any significant systemic toxicity<sup>111</sup>. Fu et al. applied linear and cyclic arginine-glycine-aspartate (RGD) peptide-based lipids to manufacture modified liposomal drug delivery systems for active targeting of lung cancer. The modified liposome and enhanced cellular uptake of DOX due to outstanding active targeting ability for integrin  $\alpha_{v}\beta_{3}$  receptors depicted notable antitumor activity in the in-vitro and in-vivo study<sup>112</sup>. Lu et al. studied the effect of liposomal paclitaxel combined with systemic chemotherapy (carboplatin and gemcitabine) on 48 stage III NSCLC patients. The study demonstrated tolerable toxicity, as well as a significant patient response <sup>64</sup>. Moreover, in several studies, liposomal cisplatin (Lipoplatin) has been evaluated for lung cancer therapy. Cisplatin can crosslink with DNA to disturb mitosis and can be used as a beneficial agent against some types of cancers<sup>65, 113, 114</sup>. Song et al. designed a multifunctional targeting liposome for the treatment of NSCLC. They modified the liposome surface by Octreotide (OCT), a synthetic 8-peptide analog of somatostatin that binds to the overexpressed somatostatin receptors in different cancers and Honokiol and epirubicin were encapsulated in liposomal structure. Their result showed that decorated liposome could decrease the PI3K, MMP-2, MMP-9, VE-Cadherin, and FAK and activate caspase 3, and significant beneficial effects were attained in the animal study<sup>115</sup>. Lin et al. prepared antibody (anti-carbonic anhydrase IX) decorated liposomes (CA IX-TPL-Lips) for targeted delivery of chemotherapeutic agents to treat lung cancer in an animal model via pulmonary administration. They revealed that their nanoformulation showed acceptable results on either A549 tumor spheroids and mice model of orthotopic lung tumors. <sup>116</sup>

All-trans retinoic acid (ATRA) loaded liposomes could be mentioned as another instance for applying liposome structure in cancer therapy. Although ATRA is an effective anti-tumour agent utilized against different cancers, its adverse effects such as the burning of skin and general malaise following systemic administration are the main barriers for its therapeutic application<sup>117</sup> Many studies reports confirmed the anti-metastatic activity of ATRA loaded nanoliposomes on animal models<sup>118, 119</sup>. The liposomal form of IL12 and ATRA in the complex with plasmid DNA, as the dual function nanocarrier for simultaneous drug and gene delivery, was formulated to inhibit the cancer cell proliferation in metastatic lung cancer. Study results demonstrated that liposome form, besides, to prolong the animal model's survival, decrease the number of cancer cells through apoptosis pathway<sup>120</sup>. In another study, the liposomal form of ATRA displayed a continues and increased therapeutic efficacy on an animal model. Since the cancer-bearing mice endure certain debilitating conditions such as anorexia, cachexia and remarkable weight loss, the liposomal ATRA showed a lower decrease in body weight than free drug<sup>121</sup>.

#### **Polymeric micelles:**

Micelles are self-assembled core-shell-type nanostructures which have been utilized for hydrophobic drugs and nucleic acid delivery. Owing to their characteristic physicochemical properties, drug encapsulation capacity, release profile, prolong systemic circulation, biocompatibility and facile composition, these versatile nanocarriers has drawn immense attention in recent years<sup>122, 123</sup>. So far, several studies have investigated the therapeutic effect of micellar paclitaxel in lung cancer. Kim et al. developed the form of a polymeric micelle of paclitaxel (Genexol-PM). They evaluated the therapeutic effects of their liposome on clinical trial phase II to treat advanced NSCLC patients. The results showed Genexol-PM plus cisplatin combination chemotherapy had significant antitumor properties<sup>124</sup>. In 2010, Guthi et al. developed a theranostic nanoplatforms which consists of PLA polymeric micelle whose surface was engineered with a lung cancer-targeting peptide (RGDLATLRQL) targeted against the overexpression of avß6 integrin human NSCLC cell H2009. Also, DOX and "super-paramagnetic iron oxide nanoparticles" (SPIONs) encapsulated in the micelle core for drug delivery and MR imaging simultaneously. Their designed nanoparticle with integrated function showed a promising result in the in vitro evaluation<sup>125</sup>. Besides, Zhang et al. designed a micellar form of PEG-PLA to co-deliver paclitaxel (PTX) and itraconazole (ITA) against NSCLC. The co-encapsulated micelles displayed superb effectiveness in inhibiting tumor proliferation in the orthotropic models and paclitaxel-resistance subcutaneous models <sup>126</sup>. The therapeutic effect of the chitosancholesterol micellar platform for combined delivery of siRNA and curcumin as a therapeutic agent (C-CCM/siRNA) were explored by Muddineti et al. The study demonstrated the utility of cholesterol-chitosan as a co-delivery system for both siRNA and a hydrophobic drug in combinational approach for cancer therapy. <sup>127</sup>. NC-6004 is a nanosized micellar polymeric form (about 30nm) of cisplatin composed of polyethylene glycol, which was utilized in combination therapy with Gemcitabine in patients with late-stage solid tumors. Up to now, Phase Ib/II of NC-6004 plus Gemcitabine clinical trial was done for patients, and acceptable results were achieved without any clinically significant neuro-, oto-, or nephrotoxicity <sup>128</sup>. Functionalizing polymeric micelles' surface with chemical moieties, antibodies, targeting ligands, and so forth, arise novel opportunities for more targeted drug delivery in various cancers<sup>129</sup>. Folat decorated self-assembled micelles were prepared for targeting Rapamycin (mTOR) kinase and phosphatidylinositol-3-kinase (PI3K). In this study, platinum (II) linked the dactolisib to the core of the structure of the micelles, which consist of poly (ethylene glycol and poly(acrylic acid) (PEG-b-PAA), to develop a PEG-b-PAA) polymeric micelles. According to their report, the presence of folat on the micelles' surface and enhanced cellular uptake by cancer cells improved the antitumor properties of dactolisib<sup>130</sup>. Zhou and coworkers developed a nanomicellear formulation of polyethylene glycol and polylactic acid-functionalized with aminoglucose to overcome the multidrug resistance in lung cancer patients. Paclitaxel (PTX)-loaded AG-PEG-SS-PLA (AG-PEG-SS-PLA/PTX) nano micelles displayed an efficient cellular internalization, drug release as well as, enhancement in tumor growth inhibition in nude mice bearing A549/ADR xenograft tumors. Therefore, they suggested that AG-PEG-SS-PLA/PTX nano micelles could build a platform to defeat MDR in cancer therapy<sup>131</sup>.

Inhalable drug delivery systems are another development in lung cancer treatment. Inhalation route not only do provide a non-invasive route for drug administration but also this type of setting offers the advantage of the localized transfer of anti-cancer agent to lesion site<sup>132</sup>. The mixed polymeric micelles synthesized with succinate-polyethylene glycol 1000 and 5000 Da loaded with paclitaxel (PTX) in the spray-dried form showed a promising in vitro cytotoxicity toward cancer cells in comparison with free drug<sup>133</sup> He et al. designed a nanocarrier based polymers polyethyleneglycol-polylactic acid (PEG-PLA) and Pluronic P105 for PTX encapsulation to synthesize a PEG-PLA/P105/PTX micelle. In this study, Ambroxol (AX) was used to stimulate the pulmonary system for secretion of surfactant and subsequent creation of a suitable environment for lung cancer drug delivery. According to their results, PEG-P-LA/P105/PTX micelle in the presence of AX exhibited excellent efficacy in the invitro and invivo study. This demonstrates that modulating the microenvironment could be a good strategy for better delivering cargoes in lung cancer.<sup>134</sup>

#### **Dendrimers**:

Dendrimers are synthetic globular branched structures that have a pivotal role in pharmaceutical science. The striking function of these polymers could be attributed to their extraordinary architectural backbone, branches and three-dimensional structures, which contributes to their bioavailability and water-solubility properties. Two synthetic methodologies are comprising of divergent and convergent approaches for the synthesis of dendrimers <sup>135, 136</sup>. Multiple types of dendrimers, including polyamidoamine (PAMAM), poly (propylene imine) (PPI), poly(glycerol-co-succinic acid), poly-l-lysine (PLL), melamine, triazine, poly(glycerol), poly[2,2-

bis(hydroxymethyl)propionic acid], poly(ethylene glycol) (PEG), and carbohydrate-based and citric acid-based ones, have been synthesized and investigated in various drug delivery studies<sup>137, 138</sup>. These nano-devices evolved dramatically in recent years. Their immense popularity is due to their numerous peripheral functional groups, which provide the possibility for covalently binding to chemotherapeutic agents, ligands and antibodies for accumulation in a specific tissue, creating a setting for tumor cell-specific delivery. Subsequently, hydrophobic drugs encapsulated into polycationic dendrimers through physical electrostatic interactions and hydrogen 140 acquired139, bonding, hydrophobic interaction be or can In a study by Liu et al., lung cancer-targeting peptide was conjugated to an acetylated polyamidoamine (PAMAM) dendrimer of generation 4 (G4) to form a PAMAM-Ac-FITC labeled-LCTP conjugate (PAMAM-Ac-FITC-LCTP) for targeting NSCLC and was tested in vivo <sup>141</sup>. Kaminskas et al. studied the efficiency of PEGylated polylysine dendrimers grafted to doxorubicin (DOX) to support the controlled and prolonged exposure of lung cancers to cytotoxic drugs. Their data demonstrated that PEGylated dendrimers have a capability as an inhalable drug delivery strategy to promote the longevity of lung cancers exposure to chemotherapeutic agents as well as to enhance anti-cancer activity<sup>142</sup>. Likewise, conjugates of DOX bind to PEGylated G4-polylysine dendrimer were explored by Leong et al. to study

cathepsin B-cleavable peptide linker for drug conjugation in drug delivery kinetics, intravenous, and pulmonary pharmacokinetics in rats. The extracellular and lysosomal overexpression of this enzyme was confirmed by cancer cells, and the presence of linker in drug binding affect the drug liberation profile<sup>143</sup>. In another study, Zhang et al. prepared peptide-dendrimer-paclitaxel conjugates and tested on stage 1 NSCLC in 293T and L132 cell lines. Their data demonstrated acceptable results for both cell lines in terms of cancer cells target optimization and drug release profile to boost the therapeutic efficacy<sup>144</sup>. A novel strategy of chemotherapeutic combination for lung cancer was developed based on a folic acid (FA) conjugated polyamidoamine dendrimer. The study demonstrated that folic acid-binded dendrimers induce extensively notable cell death and DNA damage compared to nanoparticles without FA moiety<sup>145</sup>.

The active role of dendrimers for the reduction and compensate of the adenovirus gene delivery was reported in 2016. In this study PEGylated PAMAM (PP) dendrimers and with an epidermal growth factor receptor (EGFR)-specific therapeutic antibody (ErbB) was prepared. Conjugated PEGylated PAMAM (PPE) dendrimer complexed with Ad showed More selective ErbB-mediated cellular internalization than naked Ad and P-complexed in EGFR-positiveA549 cell. The result of invivo studies in the orthotopic lung tumor model through systemic administration revealed the longevity in blood circulation as well as intra-tumoral accumulation of oncolytic Ad upon transfer with PPE-complex<sup>146</sup>.

Table 2 lists some of the typical cases of using nanomedicine-based carriers for drug delivery of lung cancer.

Nanomedicine	Therapeutic drug	Name	Invitro/invivo model	ref
Octa-arginine (R8)-modified PEGylated liposomal doxorubicin	Doxorubicin	R8-PLD	A549 cell line	108
liposomal honokiol	Honokiol	LHK	HCC827, H1975 cancer cell lines	101
Baicalin-loaded nanoliposomes	Baicalin	-	Nude mice bearing orthotopic human lung cancer	100
GE11-modified liposomes	Doxorubicin	GE11-LP/DOX	A549 tumor-bearing nude mice	109
Liposomal curcumin dry powder inhaler	Curcumin	LCD	Rat model	110

#### Table2. Examples of nanomedicine carrier studies for lung anticancer drug delivery

CPP33 dual-ligand modified triptolide-loaded liposomes	Triptolide	dl-TPL-lip	Orthotopic lung cancer model	116
Arginine-glycine-aspartate (RGD) DOX loaded liposome	Doxorubicin	RGD-modified, DOX-loaded liposomes	Tumor-bearing mice	112
Liposome	Paclitaxel / Carboplatin / Gemcitabine	-	Stage III NSCLC patients	64
OCT-modified (epirubicin/ Honokiol) liposomes	Honokiol and epirubicin	DSPE-PEG2000- OCT (epirubicin/ Honokiol) liposomes	Tumor-bearing mice	115
ATRA-cationic liposome)/IL-12 plasmid DNA (pDNA)	IL-12/ATRA	ATRA-cationic liposome/IL-12 pDNA	CDF1 tumor bearing mice	120
ATRA liposomal formulation	ATRA	lipo-ATRA	Cancer bearing mice	121
Liposome	Cisplatin	Lipoplatin	histologically or cytologically confirmed NSCLC patients	113, 114
Polymeric micelles	Paclitaxel	Genexol-PM	Advanced NSCLC patients	124
Multifunctional PLA polymeric micelle	SPIONs/ Doxorubicin	LCP-encoded MFM	H2009 lung cancer cells	125
poly(ethylene glycol)-b- poly(d,l-lactide) loaded paclitaxel and itra-conazole	Paclitaxel / itraconazole	PTX/ITA PEG-PLA micelle	Orthotropic A549 NSCLC mouse model	126
Chitosan-cholesterol micellar form	Curcumin / siRNA	(C-CCM/siRNA)	A549 cell line	127
Polymer micelles	Cisplatin	NC-6004	Patients with Solid Tumors	128
CendR peptide ligand(Cys- Arg-Gly-Asp-Ly) modified micelles	Doxorubicin	DSPE-PEG2000with CRGDK peptides	Tumore bearing mice	129
Folat conjugated dactolisib -poly(ethylene glycol)-b- poly(acrylic acid) (PEG-b- PAA) polymeric micelles	Dactolisib (DLB)	FA_DLB - PEG-b- PAA	A549 cells Tumore bearing mice	130
Paclitaxel loaded aminoglucose (AG)- conjugated, polyethylene glycol - polylactic acid polymeric micelles	Paclitaxel (PTX)	AG-PEG-SS- PLA/PTX	A549 Nude mice bearing A549/ADR xenograft tumors	131
Paclitaxel loaded tocopheryl succinate-polyethylene glycol 1000 and 5000 Da polymeric micelles	Paclitaxel (PTX)	TPGS1K-TPX TPGS5K-TPX	A549 cell line	133
Polyethyleneglycol- polylactic acid (PEG-PLA) and Pluronic P105 polymeric micelles encapsulate PTX	Paclitaxel (PTX)	PEG-PLA/P105-PTX	A549 cell line Tumore bearing mice	134
Peptide dendrimer conjugates (PAMAM–Ac–FITC– LCTP)	Non-small cell lung cancer- targeting peptide (LCTP)	PAMAM–Ac–FITC– LCTP	Athymic mice with lung cancer xenografts	141
PEGylated polylysine dendrimer	Doxorubicin	-	Tumor bearing male Sprague Dawley rats	142

GE11-Peptide-dendrimer- conjugates	Paclitaxel	GE11-PAMAM- PTX)	293T and L132 cell lines	144
DOX -PEGylated G4- polylysine dendrimer	Doxorubicin		Rat model	143
folic acid (FA)-conjugated polyamidoamine dendrimer (Den)-based nanoparticle (NP)) system	HuR mRNA (HuR siRNA), cis-diamine platinum (CDDP)	-	H1299 lung cancer cells	145
Epidermal growth factor receptor (EGFR)-specific therapeutic antibody (ErbB)- conjugated and PEGylated poly(amidoamine) (PAMAM) dendrimer (PPE)	Oncolytic adenovirus(Ad)	-	A549 cell line, A549 orthotopic tumor was established, tumor-bearing mice	146

However, the role of nanotechnology in cancer therapy is not confined to drug delivery research and development. This evergrowing field can be exploited in other areas such as gene therapy, hyperthermia and RNAi technology for cancer cell inhibition (48). Since curbing the expression of the target gene in cancer cells is highly dependent on the efficient transition of oligonucleotides, micro RNA (miRNA), small interfering RNA (siRNA) and short hairpin RNA (shRNA), RNAi technology is coming into the spotlight of cancer therapy research. <sup>79, 147</sup>. Some of the studies based on the delivery of nucleic acid-based therapeutics via nanotechnology systems are listed in table3.

Vehicle	RNAi/Gene	Target	Invitro/invivo	Ref
	type		test	
Cationic Lipoplexes	miR-133b	prosurvival gene MCL	A549 "non- small cell lung cancer"	148
			(NSCLC) cells.	
Aptamer conjugated cationic lipoplexes	shRNA	Bcl-Xl	A549 NSCLC cells	149
Cationic Lipoplexes	miR-29b	"cyclin-dependent protein kinase 6" (CDK6)	xenograft murine model using A549 cells	148, 150
Aptamer conjugated PAMAM Lipoplexes	shRNA	Bcl-XI	A549 NSCLC cells	150, 151
Liposomal nanoparticle	p53 and FHIT genes		xenograft mouse model (H1299 and A549)	152, 153
Liposomal nanoparticle	Survivin shRNA	knockdown survivin	nude mice bearing H292 xenograft tumors	154, 155
Liposomal nanoparticle	let-7a	receptor EphA2	CRL-2081 and CRL-5830 cells (MPM cell lines) and A549 cells (NSCLC cell line)	155, 156

Table 3. Nanotechnology application in RNAi/Gene delivery to lung cancer cells

Liposomal nanoparticle	miR-200c	regulating the	xenograft mouse	153, 157
		oxidative response	model	
		genes PRDX2,		
		SESN1, and		
		GAPB/Nrf2		
Lipid/calcium/phosphate (LCP)	MDM2, c-myc,	silencing of the	C57BL/6 mice	158, 159
nanoparticle	and VEGF	respective	bearing B16F10	
	siRNA	oncogenes in	cells xenograft	
		metastatic nodules	tumors	
Lipid/calcium/phosphate (LCP)	Luciferase	examine the siRNA	nude mice	157, 158
nanoparticle	siRNA	delivery activity of	bearing H460	
		NP	xenograft	
			tumors	
Lipid/calcium/phosphate (LCP)	(VEGF) siRNA	VEGFs	xenograft mouse	156
nanoparticle	and		models of	
L	gemcitabine		NSCLC	
	monophosphate			
	(GMP)			
RGD gold nanoparticles	C-myc siRNA	tumor growth	lung cancer	154, 159
	-	blocking via c-myc	syngeneic	
		oncogene inhibition	orthotopic	
		0	murine model	
DOTAP: cholesterol nanoparticle	TUSC2/FUS1	Tumor suppressor	Patients with	152, 160
1	(TUSC2) gene	**	recurrent or	
	× , , , , , , , , , , , , , , , , , , ,		metastatic lung	
			cancer	

## Therapeutic Nanomedicine for lung cancer in Clinical Trials

Even though nanomedicine-based therapeutic settings have rendered new opportunities for cancer treatment and diagnosis, there are many challenges for advancing nanomaterials in clinical practices. In the present section, a list of the approved formulation under Clinical trial for lung cancer therapy is represented in Table4.

## **Conclusion and future perspective**

Despite various advances in lung cancer management, it is still accounted for as one of the deadliest types of cancer worldwide. Compared to other types of malignancies, early diagnosis and adoption of appropriate therapeutic approaches have a significant role in managing patients with lung cancer. Due to the drawbacks and limitations of traditional modalities of cancer treatment, systematic toxicity and the damage resulting in healthy cells along with tumor cells, nanomedicine as a state of the art technology opens up new windows in diagnostic and therapeutic strategies. Lately, nanomedicine's strength includes liposomes, polymeric nanoparticles, polymeric conjugates, polymeric micelles, dendrimer and so forth to transfer the therapeutic agent, including drugs, genes, and oligonucleotide,s have been investigated. Therefore, these innovative integrated modalities can target tumor cells genetically and chemically.

Nevertheless, lack of knowledge of the fate of nanostructures in terms of their toxicity and in vivo behavior, only a few nano-formulations are available to manage lung cancers. Further investigation is required to provide evidence for changing nanomedicine's paradigm from the laboratory into the clinic. The advancement in nanoparticle-based diagnostics and therapeutics holds promise for improving the outcome for patients with lung cancer.

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### **Consent for publication**

Not applicable.

# **Conflicts of Interest**

The authors declare no conflict of interest.

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Table 4. Nanoparticle cancer therapeutics undergoing clinical studies

Trial ID	Year	Stage/Disease	Formulation/Drug	Delivery system	Status	Phase	Country
NCT02016209	2013	IIB/IIIA NSCLC	nanoparticle albumin-bound paclitaxel (nab-paclitaxel)	Nanoparticle	Unknown	II	China
NCT02283320	2014	advanced or metastatic NSCLC	BIND-014 (Docetaxel Nanoparticles for Injectable Suspension)	Nanoparticles	Completed	II	United States
NCT01872403	2012	IIB /IIIA NSCLC	nanoparticle albumin-bound paclitaxel/carboplatin (paclitaxel /carboplatin)	Nanoparticles	Unknown	II	China
NCT00748163	2008	IV NSCLC	paclitaxel albumin- stabilized nanoparticle formulatio n and sunitinib malate	Nanoparticles	Withdrawn	II	
NCT01455389	2011	IV NSCLC	TUSC2-nanoparticles	Nanoparticles	Active, not recruiting	Ι	United States
NCT01380769	2011	Advanced NSCLC	CRLX101 (a Nanoparticle Formulation of Camptothecin)	Nanoparticle	Completed	II	Russian
NCT04486833	2020	IV NSCLC	GPX-001 (encapsulate by non- viral lipid nanoparticles)/ osimertinib	lipid nanoparticl es	Not yet recruiting	I/II	
NCT04505267	2020	I-III NSCLC	Hafnium Oxide- containing Nanoparticles NBTXR 3	Nanoparticles	Not yet recruiting	Ι	United States
NCT04727853	2021	SCLC	irinotecan liposome injection	Liposome	Not yet recruiting	II	
NCT00104754	2005	SCLC	liposomal SN-38	Liposome	Withdrawn	II	
NCT03088813	2017	SCLC	Irinotecan Liposome Injection (ONIVYDE®)	Liposome	Active, not recruiting	II	United States
NCT01872416	2013	SCLC	Liposomal Doxorubicin Combined With ifosfamide	Liposome	Unknown	II	China
NCT04381910	2020	SCLC	LY01610( Irinotecan hydrochloride liposome injection )	Liposome	Recruiting	II	China
NCT02996214	2016	Advanced NSCLC	Paclitaxel Liposome	Liposome	Active, not recruiting	IV	
NCT01051362	2006	NSCLC	PEGylated Liposomal Doxorubici n (PLD) and Carboplatin	Liposome	Unknown	II	China

NCT00059605	2003	IIIB/IV NSCLC	DOTAP:Cholesterol- fus1 Liposome Complex (DOTAP:Chol-fus1)	Liposome	Completed	Ι	United States
NCT00828009	2009	IIIA /IIIB NSCLC	BLP25 liposome vaccine together with bevacizumab	Liposome	Completed	II	United States
NCT00960115	2009	III NSCLC	Tecemotide (L-BLP25) Liposome Vaccine	Liposome	Completed	I/II	Germany
NCT00277082	2006	Metastatic or Recurrent NSCLC	Aerosolized Liposomal 9-Nitro- 20 (S)- Camptothecin (L9NC)	Liposome	Completed		United States
NCT00157209	2005	NSCLC	Tecemotide (L-BLP25) Liposome Vaccine	Liposome	Completed	II	Germany
NCT01023347	2009	IIIB /IV NSCLC	Paclitaxel loaded polymeric micelle (Genexol-PM®)and Cisplatin	Polymeric micelle	Completed	II	Korea
NCT01770795	2013	IIIB /IV NSCLC	Genexol-PM(CrEL- free polymeric micelle formulated paclitaxel) and gemcitabine	Polymeric micelle	Completed	II	Korea
NCT01023347	2009	IIIB /IV NSCLC	Paclitaxel loaded polymeric micelle (Genexol- PM®)	micelle	Completed	II	Korea
NCT02667743	2016	IIIB /IV NSCLC	Paclitaxel Micelles	micelle	Active, not recruiting	III	China

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