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Corresponding Author: Dr. Daowei Yin,

Corresponding Author's Institution: East China Normal University

First Author: Daowei Yin

Order of Authors: Daowei Yin; Jeff Peakall, Dr.; Dan Parsons, Dr.; Zhongyuan Chen, Dr.; Heather Macdonald, Dr.; Paul Wignall, Dr.; Jim Best, Dr.

Abstract: Bedrock channels are common in the natural environment and bedrock channel erosion sets the pace of denudation in many of the world's river catchments. However, there have been very few investigations that concern either bedrock bedform genesis or bedrock channel abrasion processes. Field based analysis of sculptured forms within bedrock channels has been restricted notably by the slow rate of bedform development in such environments. Few flume-scale experiments have been conducted that attempt to simulate the genesis of sculpted bedforms in bedrock channels. This study demonstrates that optimisation of clay beds through successfully matching clay strength enables the development of features analogous to bedrock river channel bedforms. Three sets of sediment-laden experiments were carried out using hard, medium and soft clay beds, respectively. A suite of erosive bedforms, including potholes, flutes, and furrows developed on all experimental beds. All observed erosional features have clear equivalents to those observed in natural bedrock rivers. This work further demonstrates that in the absence of suspended sediment, fluid flow cannot induce erosion in cohesive or bedrock substrates. Basal shear strength was a significant factor for the genesis of different types of simulated bedrock bedforms in our experiments. Lastly this work illustrates that abrasion by suspended sediments is the only driving force necessary for the formation of these bedrock bedforms, because the erosional features were produced in the absence of bed load abrasion, plucking, cavitation and dissolution.

Suggested Reviewers: Paul Carling Dr.

Emeritus Professor in Physical Geography, Geography and Environment, University of Southampton P.A.Carling@soton.ac.uk

Michael Lamb Dr.

Professor of Geology, Division of Geological and Planetary Sciences, California Institute of Technology mpl@gps.caltech.edu

Rebecca Hodge Dr. Lecturer, Department of Geography, Durham University rebecca.hodge@durham.ac.uk

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State Key Laboratory of Coastal and Estuarine Research East China Normal University Shanghai, 200020 China 30, June, 2015

Dear Editor:

Please find enclosed a manuscript entitled: "Bedform genesis in bedrock substrates: insights into formative processes from a new experimental approach and the importance of suspension-dominated abrasion" which I am submitting for exclusive consideration for publication in Geomorphology.

The paper demonstrates the formation of a variety of bedrock bedforms under the effect of suspension-dominated abrasion through physical laboratory modelling for the first time. As such this paper will be of interest to a broad readership including those interested in fluvial processes and landscape changes.

Knowledgeable referees for this paper might include:

- Paul Carling: Professor in Physical Geography. P.A.Carling@soton.ac.uk;
- Michael Lamb: Professor of Geology. mpl@gps.caltech.edu;
- Rebecca Hodge: Lecturer, Department of Geography, Durham University. rebecca.hodge@durham.ac.uk

I confirm that this paper has not been submitted elsewhere or published. Thank you for your consideration of our work. We look forward to an editorial decision.

Sincerely,

Daowei Yin

# Highlights

- Flume-scale experiments using modelling clay produced more than 30 kinds of erosional features analogous to natural bedrock sculpted forms.
- Results showed erosive bedforms were developed under the sole effect of abrasion without cavitation, dissolution, corrosion or plucking.
- The experimental surfaces and the erosional bedforms were the result of suspension driven abrasion rather than bed load driven saltation dominated abrasion.
- Basal shear strength was a significant factor for the variety of bedrock bedforms in our experiments.

- **1 Bedform genesis in bedrock substrates: insights into formative**
- 2 processes from a new experimental approach and the
- **importance of suspension-dominated abrasion**
- 4
- 5 Daowei Yin<sup>1,2</sup>\*
- 6 <sup>1</sup> School of Earth and Environment, University of Leeds, Leeds, UK;
- <sup>2</sup> State Key Laboratory of Coastal and Estuarine Research, East China Normal University,
   Shanghai 200062, China. Nicholas t yin@hotmail.com;
- 9 \* Corresponding author
- 10 Jeff Peakall<sup>1</sup>
- <sup>1</sup> School of Earth and Environment, University of Leeds, Leeds, UK. <u>J.Peakall@leeds.ac.uk;</u>
- 12 Dan Parsons<sup>3</sup>
- <sup>3</sup> Department of Geography, Environment and Earth Science, University of Hull, Hull, UK.
   d.parsons@hull.ac.uk;
- 15 Zhongyuan Chen<sup>2</sup>
- <sup>2</sup> State Key Laboratory of Coastal and Estuarine Research, East China Normal University,
   Shanghai 200062, China. <u>z.chen@ecnu.edu.cn</u>;
- 18 Heather Macdonald<sup>1,4</sup>
- <sup>1</sup> School of Earth and Environment, University of Leeds, Leeds, UK;
- <sup>4</sup> ExxonMobil Exploration Company, 222 Benmar Drive, Houston, Texas 77060, USA.
  h.macdonald@see.leeds.ac.uk;
- 22 Paul Wignall<sup>1</sup>
- <sup>1</sup> School of Earth and Environment, University of Leeds, Leeds, UK. P.B.Wignall@leeds.ac.uk;
- 24 Jim Best<sup>5</sup>
- <sup>5</sup> Departments of Geology, Geography and GIS, Mechanical Science and Engineering and Ven
- Te Chow Hydrosystems Laboratory, University of Illinois, Urbana-Champaign, IL 61801, USA.
- 27 jimbest@illinois.edu.
- 28

# 29 Abstract

30 Bedrock channels are common in the natural environment and bedrock channel 31 erosion sets the pace of denudation in many of the world's river catchments. However, 32 there have been very few investigations that concern either bedrock bedform genesis or 33 bedrock channel abrasion processes. Field based analysis of sculptured forms within bedrock 34 channels has been restricted notably by the slow rate of bedform development in such 35 environments. Few flume-scale experiments have been conducted that attempt to simulate 36 the genesis of sculpted bedforms in bedrock channels. This study demonstrates that 37 optimisation of clay beds through successfully matching clay strength enables the 38 development of features analogous to bedrock river channel bedforms. Three sets of 39 sediment-laden experiments were carried out using hard, medium and soft clay beds, 40 respectively. A suite of erosive bedforms, including potholes, flutes, and furrows developed 41 on all experimental beds. All observed erosional features have clear equivalents to those 42 observed in natural bedrock rivers. This work further demonstrates that in the absence of 43 suspended sediment, fluid flow cannot induce erosion in cohesive or bedrock substrates. Basal shear strength was a significant factor for the genesis of different types of simulated 44 45 bedrock bedforms in our experiments. Lastly this work illustrates that abrasion by 46 suspended sediments is the only driving force necessary for the formation of these bedrock 47 bedforms, because the erosional features were produced in the absence of bed load 48 abrasion, plucking, cavitation and dissolution.

49

#### 50 **1. Introduction**

Bedrock rivers exhibit a diverse array of erosional forms, that in turn influence flow 51 52 fields and sediment dynamics (Richardson and Carling, 2005). The genesis and formative 53 processes of these erosional features is poorly understood, and remains an area where there 54 is a major knowledge gap (Lamb et al., 2015). This is largely because field studies are limited 55 by the slow rate of development of erosion within bedrock substrates, and by the difficulty and danger of attempting to measure processes during infrequent high magnitude flow 56 57 events (Lamb et al., 2015). Physical experiments offer the opportunity to examine processes 58 at much faster development rates, and under controlled conditions (Peakall et al., 1996; 59 Lamb et al., 2015). However, there have been relatively few studies of erosive bedforms in 60 substrates analogous to those observed in bedrock rivers (Shepherd and Schumm, 1974; 61 Wohl and Ikeda, 1997; Carter and Anderson, 2006; Johnson and Whipple, 2007; Johnson and

62 Whipple, 2010; Wilson et al., 2013; Wilson and Lave, 2014). Furthermore, these studies have 63 only reproduced a small number of the features identified in natural channels (Richardson 64 and Carling, 2005). Model studies on actual rock substrates have been restricted to forming 65 upstream facing convex surfaces (Wilson et al., 2013; Wilson and Lave, 2014). In contrast, 66 studies utilising artificial substrates exhibit a wider range of features, with those on concrete 67 (Carter and Anderson, 2006; Johnson and Whipple, 2007; Johnson and Whipple, 2010) and mixed sand/mud substrates (Shepherd and Schumm, 1974; Wohl and Ikeda, 1997) producing 68 longitudinal grooves, potholes, and furrows. Even in these cases, experiments with initially 69 70 broad erosion surfaces are dominated by longitudinal grooves that over time form 71 'emergent channel geometries' (Shepherd and Schumm, 1974; Wohl and Ikeda, 1997; 72 Finnegan et al., 2007; Johnson and Whipple, 2007; Johnson and Whipple, 2010; Lamb et al., 73 2015). Consequently, despite these advances, experiments have failed to produce the wide 74 variety of bedforms observed in natural systems, and the broad spatial distribution of these 75 erosive features. In turn, this raises questions as to the nature of the experimental 76 conditions and physical processes required to reproduce many of these bedrock bedforms. 77 Here, we utilise compacted clay substrates to reproduce most of the observed features 78 present in bedrock rivers (c.f. Richardson and Carling (2005)). The nature of the formative 79 conditions are discussed and compared to existing physical modelling and field studies.

80

# 81 1.1. Previous erosional experiments with clay beds

82 Although clay substrates have been used to study erosional bedforms in physical 83 experiments, these studies produced features such as flutes and longitudinal grooves that 84 have been compared to natural erosion in cohesive muddy substrates such as deep-sea 85 muds and river floodplains (e.g., Dzulynski and Sanders, 1962; Dzulynski and Walton, 1963; 86 Dzulynski, 1965, 1996; Allen, 1969,1971). Furthermore, the applicability of these mud-rich 87 cohesive sediments to bedrock rivers has been questioned (e.g., Lamb et al. (2015)) because 88 of the absence of brittle fracturing that typically occurs in bedrock erosion (Engel, 1976). The 89 majority of experiments that have been undertaken on weak muddy substrates, typically 90 used beds formed from *in situ* settling of clays in water for periods of hours to days (e.g., 91 Dzulynski and Walton, 1963; Dzulynski, 1965, 1996; Allen, 1969, 1971), producing a range of 92 features such as flutes and groove marks. In contrast, there has been very little work on firm 93 or hard mud beds. Allen (1971) undertook a series of 13 experiments in a Perspex pipe, 94 where particulate-flows eroded beds of kaolin-based modelling clay, producing flute like 95 features. Run times were between 27 and 74 minutes, although these experiments could not 96 be continued beyond these timescales as a series of bed waves developed (Allen, 1971).

97 Dzulynski and Sanders (1962) also used modelling clay to examine tool marks, but these 98 experiments were undertaken by rolling objects by hand across subaerially exposed clay. 99 Whilst these experiments on weak and firm clay beds have demonstrated a range of erosive 100 features, there is an absence of quantitative data on substrate strength, such as the shear 101 strength, and on flow properties such as basal shear stress, with which to explore the 102 boundary conditions of such erosive features. The experiments presented here revisit the 103 utility of clay substrates for modelling bedrock erosion, but under conditions where the 104 substrate strength and basal shear stress are quantified, and we examine the development 105 of erosive features in the absence of brittle fracturing.

106

#### 107 1.2. Erosive mechanisms in bedrock substrates

108 The major erosional mechanisms postulated to control the morphology and genesis of 109 bedrock channels are: (1) abrasion (Hancock et al., 1998; Wohl, 1998; Whipple et al., 2000a; 110 Sklar and Dietrich, 2001; Sklar and Dietrich, 2004; Johnson and Whipple, 2007; Wilson et al., 111 2013; Wilson and Lave, 2014); (2) plucking (Baker, 1973; Hancock et al., 1998; Whipple et al., 112 2000a; Whipple et al., 2000b; Lamb and Fonstad, 2010); (3) cavitation (Baker, 1974; Wohl, 113 1992; Baker and Kale, 1998; Hancock et al., 1998; Wohl, 1998; Whipple et al., 2000a; 114 Whipple et al., 2000b); and (4) dissolution or corrosion (Wohl, 1992; Wohl, 1998; Whipple et 115 al., 2000a). Of these, abrasion and plucking are considered the most important processes, 116 with plucking effective when rocks are fractured and exhibit discontinuities, whilst abrasion 117 is thought to dominate in massive rock with weak jointing (Hancock et al., 1998; Whipple et 118 al., 2000a; Lamb and Fonstad, 2010). Abrasion can occur as a result of either saltating bed 119 load or as suspended-load, with debate on the relative efficacy of these two modes in 120 bedrock rivers (Hancock et al., 1998; Whipple et al., 2000a). Evidence for the importance of 121 cavitation in the field and experiments is lacking, although theoretically it is thought to be a 122 plausible contributing factor (Whipple et al., 2000a; Carling et al., 2009). Weathering of 123 bedrock through corrosion may also be important, but has been little studied using 124 experiments (Lamb and Fonstad, 2010).

125

#### 126 **2. Methodology**

127 A series of four experimental runs were undertaken to examine the nature of erosion in 128 clay beds by open channel flow, three containing a particulate load of fine-grained sand and 129 one without particulate load (clear water). Air-dried modelling clay was used as the substrate, with the initial undrained shear strength of the clay beds adjusted between runsthrough pre-soaking of the clay bed.

## 132 Experimental setup

133 An 8.75 m long, tilting, recirculating hydraulic slurry flume (0.30 m wide by 0.30 m 134 deep) was used for the experiments (Fig. 1). The flume contained a false floor into which a 135 tray (0.90 m long and 0.075 m deep) containing clay could be inserted, such that the upper 136 surface of the clay-bed was flush with the false floor (Fig. 1). The water depth was set to 0.14 137 m above the clay bed in all experiments, and uniform flow was obtained by adjusting the 138 flume slope to 0.005. An array of ten 4 MHz ultrasonic Doppler velocimetry probes (UDVP; 139 (Best et al., 2001)) were positioned downstream of the clay bed, pointing upstream, with the 140 ends of the transducers positioned level with the end of the clay bed (Fig. 1). The UDVP 141 collected data for 99 seconds at a temporal resolution of 8 Hz; the operating parameters for 142 the UDVP are shown in Table 1. The UDVP probes enabled flow velocity profiles, initial basal shear stress (Exp. 1: τ≈3.10 Nm<sup>-2</sup>; Exp. 2: τ≈4.85 Nm<sup>-2</sup>; no data for Exp. 3 but of similar order 143 to experiments 1 and 2), and mean flow velocity ( $V_{mean} = 0.75-0.81 \text{ ms}^{-1}$ ), to be measured 144 145 above the clay bed. A further experiment (Exp. 4) was run for 12 hours without a sediment 146 load or bed defects, with an undrained shear strength of 10.5 kPa, initial basal shear stress of 3.10  $\text{Nm}^{-2}$  and flow velocity of ~0.81 ms<sup>-1</sup>. Water temperature during the experiments 147 148 varied between 8-10°C.



149

Figure 1. Schematic drawing of the experimental setup of the hydraulic slurry flume. The dark area represents the clay bed with a tray that was lowered into position so that the top surface of the clay bed was flush with the surrounding false floor.

# 153 **Table 1.** Parameters for the UDVP used in the presented experiments

Ultrasonic frequency	Bin Width	Bin distance	Measurement window	Number of bins	Multiplexing time delay	Number of profiles per transducer	Ultrasound velocity	Transducer diameter	Bins for analysis
4 MHz	1.48 mm	0.74 mm	5-101.2 mm	128	15 ms	500	1480 ms <sup>-1</sup>	8 mm	31-38

155 Clay preparation and undrained shear strength measurement

156 Air-dried modelling clay (Potter's Scola Clay) was used as the substrate and consisted 157 primarily of illite-smectite, kaolinite and quartz (Table 2). The pre-soaking time was altered 158 to adjust the initial undrained shear strength of the substrate from 10.5 kPa (Exp. 1), through 159 7.5 kPa (Exp. 2) to 5.5 kPa (Exp. 3) (Fig. 2) - referred to herein as hard, medium and soft. 160 Shear strength was measured using a hand shear vane meter with a four-blade vane (25.4 161 mm wide by 50.8 mm deep). After soaking to the required strength, the clay was placed in a 162 tray and inserted into the flume. In order to ensure the original bed surface was flat the clay 163 surface was smoothed by hand using a metal board to the same level as the surrounding 164 Perspex floor.

165	Table 2 X-ray diffraction	analysis of m	odelling clay use	ed in the experiments
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	Quartz	Illite-smectite	Kaolinite	Hematite
Chemical composition (%)	35.3	39.1	21.1	4.5

166



167

Figure 2 Variation in undrained shear strength with soaking time. Positions of the initial
undrained shear strengths are shown for each experiment; Exp. 1: Hard: 10.5 kPa; Exp. 2:
Medium: 7.5 kPa; Exp. 3: Soft: 5.5 kPa.

#### 171 Experimental conditions

Experiments were initiated with both smooth clay beds (Exps. 2 and 3) and with a number of circular bed defects (Exp. 1; Fig. 3). The defects consisted of five large holes 2.4 174 cm in diameter and 0.3 cm in depth, two medium-sized hollows 0.9 cm in diameter and 0.2 175 cm in depth, and 2 smaller holes 0.6 cm in diameter and 0.2 cm in depth (Exp. 1; Fig. 3). Each 176 experiment was then run until no further morphological change of the clay bed was 177 observed, in part corresponding with the substrate beginning to be covered by sand 178 deposited from suspension. Consequently the total run times of experiments 1-3 (hard, 179 medium, soft) were 1680 min, 1800 min, and 1080 min respectively. The experiments were 180 stopped periodically in order to take photographs after slowly draining the flume (e.g., Fig. 181 3b). These breaks in each experimental run took place at 1 and 2 hours and then every 2 182 hours until the end of the experiment, with an additional sampling point at 30 minutes for 183 experiment 1. In order to rectify the distorted photographs, four straight control bars with 184 10 control points on each of them were distributed around the edges of the clay bed and 185 corrections were undertaken using DxO ViewPoint software. Silica sand with a  $D_{10}$  of 82  $\mu$ m, 186  $D_{50}$  of 143  $\mu m,$  and  $D_{90}$  of 245  $\mu m$  was added to the flow. In order to maintain a constant 187 suspended sediment concentration (SSC), 1.5 kg of sand was progressively introduced every 188 15 minutes, thus compensating for sediment deposited in the pipework of the hydraulic 189 flume. Sediment concentration was monitored via water samples collected at a depth of 190 ~7 cm above the Perspex floor and ~10 cm downstream of the clay beds every 20 minutes; 191 95% of all SSC measurements were in the range of 0.10% to 0.20% by weight.



**Figure 3** A: The initial experimental bed of Exp. 1: Hard, the pre-formed larger holes are 2.4 cm in diameter and 0.3 cm in depth; the medium-sized hollows are 0.9 cm in diameter and 0.2 cm in depth, and the smallest hollows are 0.6 cm in diameter and 0.2 cm in depth. B: The fully developed experimental bed of Exp. 2 after 1200 minutes run time. The initial bed of Exp. 2 was a flat bed without hollows. Flow was from right to left in both cases.

198

#### 199 **3. Results**

#### 200 Clear water experiment

201The experiment undertaken without a sediment load or bed defects (Exp. 4) and run202over 12 hours exhibited no bed erosion.

#### 203 Evolution of the clay bed

204 The evolution and erosion rate of the clay bed differed between the three 205 experiments with a suspended-load (Exps. 1-3) as a function of the undrained shear stress. 206 For the hard clay bed (10.5 kPa), the bed barely altered until after 960 minutes and stopped 207 eroding after 1440 minutes, whilst for the medium bed (7.5 kPa) bedforms initiated after 208 720 minutes and stopped eroding after 1320 minutes. The erosion of the softest 209 experimental bed (5.5 kPa) began after 480 minutes and ended at 960 minutes, although 210 this run was initiated with a series of bed defects restricting direct temporal comparison. 211 Whilst bedform development occurred at different rates in experiments 1-3, the final forms 212 in each showed strong similarities, with the three experiments producing an array of 213 erosional features. Details of the most common types and geometries of these erosional 214 features, including 4 types of potholes, 3 types of flutes, 2 types of furrows and 2 types of 215 convex and undulating bedforms, are given below together with a comparison with natural 216 bedrock sculpted forms.

217

#### 218 3.1 Individual simulated erosional bedrock bedforms

#### 219 Potholes

Potholes are the most common abrasion sculpture in bedrock channels (Elston, 1917;
Elston, 1918; Alexander, 1932; Maxson and Campbell, 1935; Ives, 1948; Allen, 1971; Allen,
1982; Wohl, 1992; Wohl, 1993; Zen and Prestegaard, 1994; Wohl and Ikeda, 1998;
Richardson and Carling, 2005) as well as the most commonly observed erosional features on

224 the experimental clay beds. The potholes observed in the present experiments can be 225 classified into the following categories of Richardson and Carling (2005): i) simple potholes; ii) 226 potholes with extended exit furrows s; iii) open potholes; iv) spiral-furrowed potholes with a 227 spiral rib; v) spiral furrowed pothole; vi) potholes with both entry and extended exit furrows; 228 vii) potholes with exit furrows; viii) potholes with horizontal furrows; ix) potholes with lateral 229 external secondary furrows; x) complex potholes / convoluted potholes; and, xi) hierarchical 230 potholes. Importantly potholes representing all 11 categories were observed. For brevity, 231 only the details of the four most common types of pothole are described herein (Fig. 4). 232 Extensive discussion of all the features observed is provided by Yin (2013).

#### 233 Simple potholes

234 This kind of isolated, quasi-round pothole with a cylindrical form is common in 235 natural bedrock channels, and was common in the current experiments (Fig. 4A1, A2. Note 236 that dimensions of features are provided in the figures). Simple potholes could be observed 237 on the bed as part of more complex features, or sometimes in the early stage of the 238 experiments. These potholes typically evolved into other forms (e.g. flutes and short 239 furrows), widening and deepening their quasi-round opening, and thus were rarely stable. 240 The radius of the opening was usually slightly larger than that of the internal radius of its 241 base, but the form is still regarded as approximately cylindrical. The diameter of the opening 242 enlarged with time and extended in a specific direction, usually downstream, to form exit 243 furrows. As a consequence, the rims of solitary potholes typically did not maintain a 244 quasi-round geometry.

245

#### 246 Potholes with extended exit furrows

247 Potholes with extended exit furrows were the most common pothole developed in 248 the experimental beds (Fig. 4B1 to B4) The downstream ends of the exit furrows were not 249 always closed and the lengths of the exit furrows were much bigger than the diameters of 250 the primary potholes. The ratio of length to diameter ranges from 3.12 to 4.55 in the current 251 case. The exit furrows usually exhibited a curved planform profile in the downstream 252 direction with lengths more than twice as long as the widths. These features were still 253 considered potholes because they developed from individual hollows located at the 254 upstream end that are much deeper than the rest of the bedforms. The rims of these exit 255 furrows were parallel, and in some cases they were closed at their downstream end (Fig. 4B1, 256 B2). In other cases, the exit furrows were totally open at their downstream ends (Fig. 4B3, 257 B4). Individual simple potholes could develop in time into potholes with extended exit 258 furrows, or open potholes, if they did not connect to adjacent bedforms.

## 260 Open potholes

Open potholes are a kind of pothole that has an open end in plan view (Fig. 4C1, C2) that is almost as wide as the diameter of the primary hollow. These open potholes usually lack a lee side edge and have an entire open end whose dominant orientation is in the downstream direction. On some occasions, their upstream end rims were not closed, and they could be eroded by other marks in front of them, for example when an entry furrow developed.

267

# 268 Spiral-furrowed potholes with a spiral rib

269 On the experimental clay beds, many of the erosional marks had entry spiral ribs (e.g. 270 (Fig. 4D1 to D3) which are widely observed in natural bedrock channels (Alexander, 1932; 271 Ängeby, 1951; Allen, 1982; Jennings, 1983; Baker and Pickup, 1987; Wohl, 1992; Kor and 272 Cowell, 1998; Richardson and Carling, 2005). The spiral rib is a small curved furrow extending 273 in the upstream direction adjacent to the upstream rim of a pothole. The head of the spiral 274 rib was usually cuspate or approximately cuspate and pointed predominantly in the 275 upstream direction. The length and width of the spiral rib was normally far less than the 276 primary pothole with which it was connected. The length of the spiral rib is normally no 277 greater than 1/3 of the diameter of the primary pothole. Sometimes, near the top open rim 278 of potholes, a secondary spiral furrow with a cuspate ridge was present within the pothole 279 (Fig. 4D2).



Figure 4. Morphology of potholes in the experiments and in bedrock channels. (1) Simple potholes: A1 and A2 from Exp. 2. A3 shows a simple pothole in fine-grained sandstone from the River Lune (Halton), UK (from Richardson and Carling (2005)). The scale bar in C is 0.6 m long. (2) Potholes with extended exit furrows: The exit furrows of this kind of pothole were much longer than in potholes with an entry furrow. B1 and B3 from Exp. 2. B2 and B4 are two examples from the field (from Richardson and Carling (2005)). In B2, the notebook is 287 0.15 m long. B3 and B4 illustrate compound potholes with extended exit furrows. See pen in 288 B4 for scale. (3) Open potholes: C1 from Exp. 2. C2 is from the River Lune (Halton), UK. It is 289 1.20 m long with a diameter of 0.60 m (from Richardson and Carling (2005)). (4) 290 Spiral-furrowed pothole with a spiral rib: The examples in D1 and D2 were observed in the 291 central part of the bed in Exp. 2. D3 shows a natural example observed in Woolshed Creek, 292 Australia. The pothole is ~1.5 m across in its short dimension (from Richardson and Carling 293 (2005)). The arrow points to the spiral ribs of the potholes in D3. Flow is from right to left. A3, 294 B2, B4, C2 and D3 are reprinted from Richardson and Carling (2005) with permission from 295 GSA.

296

# 297 Longitudinal features

Besides potholes, another principal type of erosional mark in bedrock channels are longitudinal features, commonly flutes and furrows (King, 1927; Allen, 1971; Allen, 1982; Wohl, 1992; Wohl, 1993; Tinkler, 1997; Hancock et al., 1998; Richardson and Carling, 2005). Flutes and furrows are relatively shallow compared with potholes, with their depth usually being much smaller than their length (Richardson and Carling, 2005). In our experiments the average depth of the flutes was 0.82 cm compared with an average depth of 1.93 cm for the potholes (Appendix 1).

305 Flutes

Flutes are a common form typical of erosive bedforms in bedrock channels (Maxson and Campbell, 1935; Allen, 1971; Tinkler, 1993; Baker and Kale, 1998; Hancock et al., 1998; Whipple et al., 2000b; Richardson and Carling, 2005). The experimental approach herein produced various types of flutes that are almost identical with the flutes present in natural bedrock channels (Fig. 5).

311

# 312 Deep flutes

Deep flutes have been defined as those whose depth is greater than 25% of their length (Richardson and Carling, 2005). Figures 5A1 and A2 show deep flutes in our experimental substrate and natural bedrock, respectively, illustrating they are almost identical with both having a similar internal structure.

317

318 Flutes with internal secondary structure

Flutes with an internal secondary structure (Allen, 1971) formed in the experiments and show strong similarities to flutes formed in bedrock substrates (Fig. 5A1 and A2; (Richardson and Carling, 2005)). However, this type of flute was not as common as flutes with external
secondary structure in the flume experiments. This may, in part, be because the scale of
flutes in the present experiments was too small to contain visible smaller internal secondary
structures (Fig. 5B1 and B2).

325

# 326 Flutes with external secondary structure

327 Most of the flutes in these experiments were classified as flutes with external secondary 328 structures, formed outside the primary flutes (Fig. 5C1 to C5). Previous studies have 329 indicated that flutes with external secondary structures may be caused by a discontinuity in 330 the substrate (Hancock et al., 1998; Richardson and Carling, 2005). However, the clay beds 331 used herein were well mixed and essentially homogenous, and therefore lacked any 332 significant discontinuities. Additionally, the size of these features in the clay bed was variable, 333 with some as large as, or only slightly smaller, than the primary flutes, whilst others were 334 much smaller than the primary flutes. The ratio of the length of the secondary structures 335 and the primary flutes ranges from 0.66 to 0.88 (Fig. 5C1 to C4).



338 Figure 5. (1) Deep flutes: A1: deep flute in Exp. 1; A2: deep flute in the Borrow Beck, UK 339 (from Richardson and Carling (2005), pen for scale). Both A1 and A2 contain internal secondary flutes close to their upper rims (black arrows). (2) Shallow flutes with internal 340 341 secondary structure: B1 and B2 show flutes with internal secondary furrows on one side of 342 their flanks, Exp. 2 (arrowed). (3) Flutes with external secondary structures: C1 to C4 343 demonstrate several rows of flutes developing in Exp. 2. Normally the first flute in a row (the 344 rightmost flute) was regarded as the primary flute, with the remaining flutes defined as 345 secondary. C5 shows a row of small flutes (outlined by ellipse) from the River Dee, UK; ruler 346 in centimetres for scale (from Richardson and Carling (2005)). Flow from right to left in all 347 cases. A2 and C5 are reprinted from Richardson and Carling (2005) with permission from 348 GSA.

349

350 Longitudinal furrows

Furrows are also common longitudinal abrasion features in bedrock channels (Fig. 6). According to the definition of a typical furrow, the distal end should be the mirror image of its proximal end (Wohl, 1993; Wohl and Achyuthan, 2002; Richardson and Carling, 2005). The key difference between furrows and flutes is that furrows are almost symmetrical in both cross-sectional and longitudinal profile. The experimental beds demonstrated the development of most types of furrow observed in the field (Fig. 6).

Short furrows usually have closed elliptical rims in plan view (Fig. 6A1 to A4), with their depth being no more than a quarter of their length (Richardson and Carling, 2005). Typically, the average depth of these furrows was 1.37 cm and therefore not as deep as potholes (average depth: 1.93 cm), although potholes are sometimes elliptical in planform. The cross section of a short furrow is a 'U' shape, with the inner walls and bottom of the furrow usually being smooth (Richardson and Carling, 2005).

363

## 364 Sinuous parallel-sided furrows

365 The lengths of sinuous parallel-sided furrows ranged from one (1.29 cm) to more 366 than tens of centimetres (16.22 cm) (Fig. 6B1, B2, B4), with their dominant orientation being 367 longitudinal, with either proximal or distal ends that curved away from the flow direction, 368 and a sinuous furrow. The rims of these furrows were mostly parallel, with their ends being 369 either open or closed, the slope of both ends being gentle, and the rims were either round 370 or cuspate. The walls and the bottom of these furrows were usually smooth without 371 secondary structures or defects. Some long sinuous furrows developed from the connection 372 of curved or sinuous short furrows, and therefore the depth of the furrows was not always 373 uniform. Overall the morphology of these furrows was similar to field examples (Fig. 6B3).



375

Figure 6. (1) Straight short furrows: A1 and A3 are straight short furrows in Exp. 2. A2 and A4 are field examples from the River Dee, UK; penknife in A2 and A4 (white) for scale (from Richardson and Carling (2005)). (2) Sinuous parallel-sided furrows: B1, B3 and B4: examples of features observed in Exp. 3, 2, and 1 respectively. Flow from right to left. B2 was observed in the River Lune (Halton), UK; the scale is 0.60 m long. Flow from bottom right corner to top left corner. A2, A4 and B2 are reprinted from Richardson and Carling (2005) with permission from GSA.

383

# 384 Convex and undulating surfaces

A number of convex and undulating surfaces also formed in the experiments, with hummocky forms being the most common type within this category (Richardson and Carling, 2005). The most common kind of hummocky form was a sharp-crested hummocky morphology, which resembles ripples and dunes found in cohesionless substrates, but possessed more obvious sharp crests (Fig. 7A1 to A3). This morphology has led to these
features being termed pseudo-ripples and pseudo-dunes (Ängeby, 1951; Hancock et al.,
1998; Whipple et al., 2000b; Wohl and Achyuthan, 2002; Richardson and Carling, 2005; Hsu
et al., 2008).

393

# 394 Sharp-crested hummocky forms

The sharp crests of these features developed non-longitudinally and divided the convex form into two parts, having both a stoss side and a lee side (Fig. 7A1). The slope of the lee side (slope=0.65) was often steeper than that of the stoss side (slope=0.27). In the experiments, the sinuous crests were parallel to each other and the form of the convex parts was similar. The convex forms were arranged in rows with a regular spacing and orientation parallel to the flow direction (Fig. 7A1, A2), thereby producing regular trains of sharp-crested hummocky forms (Richardson and Carling, 2005).

402

# 403 Obstacle marks

404 Obstacle marks (Fig. 7B1 to B5) are the other typical composite erosional 405 morphology found in the field (Baker, 1974; Lorenc et al., 1994; Richardson and Carling, 2005), and they were also commonly developed on all three experimental beds. In the field, 406 407 obstacle marks are scour marks caused by flow separation and the horseshoe 'junction' 408 vortex generated when flow encounters projections on the substrate (Simpson, 2001). These 409 obstacle marks possess a crescentic planform shape (Allen, 1982), and in the present 410 experiments they consisted of a raised projection as an obstacle with average width of 0.96 411 cm and a crescentic reversed furrow (average depth: 1.73 cm) upstream of it. The crescentic 412 reversed furrows were parallel-sided in plan view with either open or closed ends.

413



Figure 7. (1) Hummocky forms: A1: Regular trains of sharp-crested hummocky forms observed in Exp. 2. A2 and A3: hummocky forms found in natural bedrock surfaces, camera bag at the bottom left corner of A2, 0.20 m across, and a 0.15 m long handbook in A3, for scale (from Richardson and Carling (2005)). (2) Obstacle marks: B1, B2 are observed in Exp. 1 and B3 are in Exp. 2. B4 and B5: Obstacle marks observed in the field, the lens cap in B4 and the 0.15 m long handbook in B5 for scale (from Richardson and Carling (2005)).

Flow from right to left in all cases. A2, A3, B4 and B5 are reprinted from Richardson andCarling (2005) with permission from GSA.

424

# 425 **4. Discussion**

426 The three sediment-laden experiments described herein, using modelling clay with 427 different initial shear strengths, produced a wide array of erosive bedforms that closely 428 replicate many features observed in natural bedrock river substrates, including 7 kinds of 429 potholes, 9 kinds of flutes, 15 kinds of furrows, and 4 examples of other bedforms (Appendix 430 1). The degree of similarity is so strong that many of the bedforms in the clay were almost 431 identical to examples observed in the field (Figs 4-7). All of the forms were observed to 432 originate on both flat beds and on a bed with initial defects, suggesting that initial bedrock 433 defects are not critical for the genesis of bedforms, or for the overall variety of erosional 434 forms. However, the imposed defects did have a significant effect on altering the specific 435 type of bedform, especially in the genesis of obstacle marks (Fig. 7). The experiments 436 indicated that although obstacle marks also developed on flat beds, they tended to form 437 wherever small hollows were present irrespective of the size and depth of the defects. 438 Whilst the present experiments reproduced the majority of the different bedforms 439 recognised by Richardson and Carling (2005), there are a number of bedforms that were not observed in the current experiments (Appendix 1). Some of these features may be related to 440 heterogeneities in natural substrates that were not present in the experiments. In addition, 441 442 lateral features were not observed in the present experiments since all experiments utilised 443 a flat bed. If the lack of substrate heterogeneity and lateral topography in the experiments is 444 taken into account, then a remarkable range of forms observed in natural bedrock 445 substrates were observed in the experiments.

446 Although all three experiments produced similar types of erosional forms, there were 447 some differences in the diversity of forms between the different substrates, with experiment 448 2 (medium) showing the greatest diversity of forms. In the absence of repeat runs, the 449 degree of variation between runs with nominally identical conditions cannot be quantified. 450 Nonetheless, the present experiments suggest that for the given type of modelling clay, an 451 undrained shear strength of 7.5 kPa, and a shear flow with initial basal shear stress of 4.8 452 Nm<sup>-2</sup>, appears to provide the optimal characteristics for an analogue bedrock substrate for 453 creating erosional bedforms.

In the present experiments, erosion is concentrated within the bedforms, widening anddeepening them with time, whilst the areas between the bedforms have far less erosion.

456 The uniform cohesive substrate is unaffected by the plucking process, and similarly 457 dissolution, corrosion and cavitation are not present given the conditions and timescales of 458 the experiments. As a consequence, all erosion is caused by abrasion from the particulate 459 load as confirmed by the initial clear water experiment. The concentration of erosion on the 460 downstream side of bedforms (negative steps) suggests that the abrasion is closely coupled 461 to the flow dynamics, rather than being caused by bed load saltation, in that the latter has 462 been found to erode preferentially the upstream parts of bed protuberances (Whipple et al., 463 2000a). Calculation of the Rouse number, Z:

464

465 
$$Z = \frac{W_S}{kU_*}$$
 eq.

466

467 where  $W_s$  is the sediment fall velocity, calculated here using the expression of (Gibbs et al., 468 1971), *K* is von Karman's constant taken as 0.4, and  $U_*$  is the shear velocity, provides an 469 estimation of the transport condition of particles within a flow. For the experiments herein, 470 Rouse numbers, *Z*, were ~0.4-0.6 for the D<sub>50</sub> of 143 µm and ~1 for the D<sub>90</sub> of 245 µm, and 471 thus well below the suspension threshold of *Z*<2.4 (e.g., Lamb et al. (2015)), confirming that 472 even the coarsest material was in suspension.

473 The present experiments are also the first to reproduce large surfaces composed of 474 arrays of different and varied bedrock bedforms, and in marked contrast to previous 475 experiments that tended to form a narrow range of features prior to formation of a single 476 'emergent channel' (Shepherd and Schumm, 1974; Wohl and Ikeda, 1997; Finnegan et al., 477 2007; Johnson and Whipple, 2007; Johnson and Whipple, 2010; Lamb et al., 2015). In part, 478 this may reflect differences in initial conditions. Some previous experiments started with an 479 initial channel (Shepherd and Schumm, 1974; Finnegan et al., 2007), or with the centre being 480 lower than the edges (Johnson and Whipple, 2010), which will both encourage 481 channelization. Other experiments possessed very shallow flow depths (0.02-0.03 m) that 482 may have restricted macroturbulence and bedform development (Wohl and Ikeda, 1997). 483 However, the experiments of Johnson and Whipple (2007) did start with initial planar bed 484 conditions and greater flow depths (0.06-0.09 m), but still produced emergent channel 485 geometries. A major difference between the present experiments and those of Johnson and 486 Whipple (2007) is that the latter experiments were dominated by saltation-driven abrasion, 487 rather than suspension-driven abrasion. This is reflected in Rouse numbers of 18-67 for the 488  $D_{\rm 50}$  of 2.5 mm, and 24-90 for the  $D_{\rm 90}$  of 3.76 mm based on Table 1 from Johnson and 489 Whipple (2007) and calculating fall velocities with Gibbs et al. (1971). Other experiments 490 have largely been undertaken with dominantly saltation-driven abrasion as reflected in their

20

491 Rouse numbers,  $Z \sim 2.3-6.2$ , with suspension-dominated abrasion only beginning to occur as 492 narrower channels emerged (Wohl and Ikeda, 1997; Finnegan et al., 2007; Johnson and 493 Whipple, 2007; Johnson and Whipple, 2010). A second important difference is that the 494 present experiments were in the subcritical flow regime, Fr ~0.8, in contrast to previously 495 published experiments that were all strongly supercritical, Fr ~1.4-3.5 (Wohl and Ikeda, 1997; 496 Finnegan et al., 2007; Johnson and Whipple, 2007; Johnson and Whipple, 2010). These 497 previous studies showed that the erosional morphologies are not sensitive to the magnitude 498 of the Fr number, although the Fr numbers in those experiments were greater than that in 499 natural bedrock rivers (Johnson and Whipple, 2007). Our experiments are consistant with 500 those results and demonstrate that even when the flow is subcritical (Fr < 1), erosional 501 bedforms can still be generated by flume-scale experiments with analogue bedrock 502 substrates. Lastly, the present experiments do not exhibit brittle fracturing, unlike those 503 experiments with concrete based or rock substrates or natural bedrock channels (Johnson 504 and Whipple, 2007; Wilson et al., 2013; Lamb et al., 2015), suggesting that brittle fracturing 505 is not important for the genesis of these bedrock features.

Field studies of polished rock surfaces sculpted by erosive bedforms have argued that these surfaces are dominated by suspension- rather than saltation-driven abrasion (Hancock et al., 1998; Whipple et al., 2000a). The present study provides support for these field studies, and provides experimental confirmation of the importance of suspension-driven abrasion in the genesis and maintenance of sculpted surfaces of erosive bedforms.

511 Some previous experiments have concentrated on the effects of bed load-driven 512 saltation abrasion in order to answer a host of important questions, for example, the effects 513 of varied bed load on the roughness of the bedrock substrate, incision rate and channel 514 morphology (Hancock et al., 1998; Finnegan et al., 2007). Furthermore, the numerical 515 saltation-load abrasion model (Sklar and Dietrich, 2004: Turowski et al., 2007) has been 516 widely utilised to model bedrock river erosion from reach scales, through river profile 517 development, to landscape evolution (e.g., Crosby et al., 2007; Cook et al., 2012; Egholm et 518 al., 2013; Scheingross et al., 2014). However, there is increasing recognition that 519 suspension-load abrasion is also important in many bedrock rivers, and that a total-load 520 model incorporating the effects of abrasion from both saltation-load and suspension-load, is 521 required for more accurate modelling of many of these processes (e.g., Lamb et al., 2008; 522 Scheingross et al., 2014). Despite this recognition that suspension-load is important across a 523 wide range of problems, there are a number of issues with extending existing experimental 524 approaches to the suspension-dominated abrasion regime. Critically, the high tensile 525 strengths of existing substrates coupled to the low angle of impact of suspension driven 526 abrasion, means that large particles are required for any abrasion to occur (>0.2 mm for a 527 range of natural bedrock, even in a ball mill; Sklar and Dietrich, 2001, 2004), and these 528 particles require correspondingly high flow velocities to be transported in the suspension 529 regime. Additionally, even for larger particles erosion rates across existing experimental 530 substrates may be very low, restricting the utility of these substrates due to the large 531 timescales required for measurable erosion. The present experiments demonstrate a 532 method for extending the range of conditions that can be studied experimentally within 533 realistic timescales, to this suspension-driven abrasion regime. The method presented 534 herein thus opens up the potential to examine the temporal evolution of erosive bedrock 535 features, the coupled effects of macroscopic turbulence and bedform development, and the 536 interaction of multiple bedforms. In addition, this experimental approach enables study of 537 the effects of incorporating suspension-load abrasion on landscape evolution, and to the 538 development of total-load abrasion models incorporating suspension-load abrasion. 539

#### 540 **5. Conclusion**

541 Our experiments produced bedforms highly analogous to natural field examples, and 542 for the first time reproduced the overwhelming majority of bedform types that have been 543 shown to occur on planar surfaces in homogenous substrates. The experiments reported 544 herein confirm field observations that such surfaces and their erosive bedforms are primarily 545 the result of suspension-driven abrasion, rather than bed load driven saltation dominated 546 abrasion. It is also evident that cavitation, dissolution, corrosion, plucking, and supercritical 547 flow conditions are not required for the generation of these forms. Whilst the clay 548 substrates used here do not exhibit brittle fracturing due to discontinuities, experiments 549 were able to reproduce a variety of erosive bedforms. This new method provides a viable 550 approach for extending the physical modelling of saltation driven abrasion, to the 551 suspension-dominated abrasion regime, within realistic laboratory timescales. This approach 552 using modelling clay thus opens up the potential to study the evolution and fluid-bedform 553 coupling of these bedforms, as well as experimentally examine the influence of 554 suspension-dominated abrasion on landscape evolution.

555

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# 700 Appendix

701

702 Appendix 1. Bedform types and dimensions observed in the present experiments, and

comparison with those described by (Richardson and Carling, 2005). Remarks indicate which

704 experiment features observed from.

Types of bedforms		Rock type	Length (cm)	Width (cm) (lower parts)	Depth (cm)	Remarks
	Ovoid pothole	Fine-grained sandstone	0.85 1.27	1.20 1.35	1.08 1.61	Fig. 4: A1-Exp. 2 : A2-Exp. 2
	Spiral-furrowed pothole	Microgranite	4.96 2.69	2.92 3.52	2.21 2.45	Exp. 1 Exp. 2
othole	Incipient pothole	Limestone	-	-	-	-
PC	Pothole with entry furrow	Calcareous mudstone	2.67 3.96	1.29 1.31	0.96 2.20	Fig. 4: D1-Exp. 2 : D2-Exp. 2
	Pothole with extended exit furrow	Granitic gneiss	3.69 3.31	0.81 1.06	1.60 1.60	Fig. 4: B1-Exp. 2 : B3-Exp. 2

Open pothole	Fine- grained sandstone	2.41	1.54	2.71	Fig. 4: C1-Exp. 2
A pothole with horizontal furrows	Calcareous mudstone	-	-	-	-
Hierarchical pothole	Granitic gneiss	5.03 3.82	4.38 3.03	2.55 2.33	Exp. 1 Exp. 3
Convoluted pothole	Gneiss	9.46 1.73	5.92 1.25	2.76 1.04	Exp. 1 Exp. 3
Large isolated breached pothole	Granitic gneiss	-	-	-	-
Coalesced potholes	Granitic gneiss	-	-	-	-
Natural arch	Granitic gneiss	-	-	-	-
Natural pillar	Granitic gneiss	-	-	-	-
Closed lateral pothole	Granitic gneiss	-	-	-	-
Lateral pothole	Granitic gneiss	-	-	-	-
Conjugate linear lateral potholes	Granitic gneiss	-	-	-	-
Compound lateral pothole of the hierarchical variety	Granitic gneiss	-	-	-	-
Paired lateral potholes	Dolomit	-	-	-	-
Broad flute	Limestone	0.94	2.75	0.59	Exp. 2
Narrow flute	Granitic gneiss	1.56	0.79	0.56	Exp. 2
Flute with median ridge and internal secondary structures	Calcareous mudstone	2.65	1.47	1.07	Exp. 2
Spindle-shaped flute	Rhyolitic agglomerate	2.62	0.65	0.59	Exp. 2

	Elute with internal		2.41	1.44	1.43	Fig. 5: A1-Exp. 1
		Calcareous mudstone	3.09	2.49	0.69	: B1-Exp. 2
	secondary structures		2.71	1.34	0.47	: B2-Exp. 2
			6.38	1.41	0.65	Fig. 5: C1-Exp. 2
	Flute with external	Limostono	3.99	1.33	0.84	: C2-Exp. 2
	secondary structures	Linestone	7.88	2.44	0.71	: C3-Exp. 2
			3.01	1.14	1.25	: C4-Exp. 3
	En echelon flutes	Granitic gneiss	4.75	4.15	1.28	Exp. 2
	Paired flutes	Granitic gneiss	2.89	2.07	1.24	Exp. 1
	Lineations	Limestone	8.06	9.01	0.10	Exp. 2
	Straight chart furrow	Limestone	2.09	0.82	1.50	Fig. 6: A1-Exp. 1
	Straight short furrow	Limestone	2.52	0.80	0.99	: A3-Exp. 2
	Curved short furrow	Calcareous mudstone	2.87	0.63	1.55	Exp. 2
	Cuspate, deep short	Cracia	2.09	0.82	1.50	Fig. 6: A1-Exp. 1
	furrow	Gheiss	2.52	0.80	0.99	: A3-Exp. 2
	Paired short furrows	Calcareous mudstone	-	-	-	-
	Short furrow with internal secondary structures	Gneiss	-	-	-	-
Furrow	Straight parallel-sided furrow	Fine-grained sandstone	2.81	0.51	1.24	Exp. 2
	Curved parallel-sided furrow	Granitic gneiss	3.91	0.35	1.22	Exp. 2
	Sinuous parallel sided		1.29	0.54	1.19	Fig. 6: B1-Exp. 3
	furrow	Fine-grained sandstone	3.61	0.31	1.22	: B3-Exp. 2
	Turiow		16.22	0.90	1.90	: B4-Exp. 1
	Parallel-sided furrow with levees	Fine-grained sandstone	-	-	-	-
	Chute furrow	Limestone	-	-	-	-

Chimney furrow	Interbedded limestone and marl	-	-	-	-
Bifurcating furrows	Microgranite	4.71 23.11	2.68 1.45 (bifurcating point)	1.50 1.50	Exp. 2 Exp. 3
Group of parallel-sided furrows	Limestone	2.20 (average)	0.68 (average)	0.76 (average)	Exp. 2
Regular compound parallel-sided furrows	Andesite	10.24	0.98	1.90	Exp. 2
Irregular compound parallel-sided furrows	Limestone	10.62 10.52	0.37 0.61	1.22 1.34	Exp. 2
Funnel-shaped furrow (underwater)	Medium-grained sandstone	2.44	1.62	0.56	Exp. 2
Bulbous furrow (underwater)	Fine-grained sandstone	3.28	1.55	1.10	Exp. 2
Runnel with cusped margins	Fine-grained sandstone	-	-	-	-
Oblique sloping furrows	Granitic gneiss	-	-	-	-
Compound transverse furrows	Fine-grained sandstone	-	-	-	-
Cross-channel furrow (underwater).	Fine-grained sandstone	-	-	-	-
Straight reversed furrow	Granitic gneiss	-	-	-	-
Curved reversed furrow	Granitic gneiss	4.02	0.61	2.00	Exp. 3
Open-ended reversed furrow	Granitic gneiss	5.79	4.07	2.08	Exp. 1
Branched reversed furrow	Granitic gneiss	-	-	-	-

	Group of parallel reversed furrows	Granitic gneiss	3.28	2.78	1.68	Exp. 1
	Convergent furrow complex	Granitic gneiss	6.66	1.96	1.08	Exp. 2
surfaces	Yin- yang furrow complex	Calcareous mudstone	-	-	-	-
	Nested curved furrow complex	Medium-grained sandstone	-	-	-	-
	Overhanging concave surface	Granitic gneiss	-	-	-	-
	Cavetto	Limestone	-	-	-	-
	Taffoni	Fine-grained sandstone	-	-	-	-
surfaces	Shallow concave surfaces	Calcareous mudstone	-	-	-	-
llating :	Hummocky forms	Limestone	13.25	3.50	0.59	Fig. 7: A1-Exp. 2
d undu	Pseudoripples	Andesite	-	-	-	-
nvex an	Microripples	Gneiss	-	-	-	-
Cor	Partially abraded surface	Limestone	-	-	-	-
	Bladed forms	Calcareous mudstone	3.31 7.40	1.93 0.97	0.74 1.60	Exp. 2
	Obstacle mark		3.12	2.38 (0.79)	1.84	Fig. 7: B1-Exp. 1
	(Current crescents with	Rhyolitic agglomerate	2.72	1.746 (0.47)	1.69	: B2-Exp. 1
	secondary sculpting)		2.33	2.99 (0.76)	1.66	: B3-Exp. 2
	Pseudoripples with short furrows	Andesite	-	-	-	-
	Runnel with SCHF	Gneiss	-	-	-	-
	Parallel runnels with step-pool structures	Granite	-	-	-	-

High relief Hummocky					
forms with current	Limestone	-	-	-	-
crescents					
Hummocky forms with steep lee faces	Limestone	-	-	-	-

- **1 Bedform genesis in bedrock substrates: insights into formative**
- 2 processes from a new experimental approach and the
- **importance of suspension-dominated abrasion**
- 4
- 5 Daowei Yin<sup>1,2</sup>\*
- 6 <sup>1</sup> School of Earth and Environment, University of Leeds, Leeds, UK;
- <sup>2</sup> State Key Laboratory of Coastal and Estuarine Research, East China Normal University,
   Shanghai 200062, China. Nicholas t yin@hotmail.com;
- 9 \* Corresponding author
- 10 Jeff Peakall<sup>1</sup>
- <sup>1</sup>School of Earth and Environment, University of Leeds, Leeds, UK. <u>J.Peakall@leeds.ac.uk;</u>
- 12 Dan Parsons<sup>3</sup>
- <sup>3</sup> Department of Geography, Environment and Earth Science, University of Hull, Hull, UK.
   d.parsons@hull.ac.uk;
- 15 Zhongyuan Chen<sup>2</sup>
- <sup>2</sup> State Key Laboratory of Coastal and Estuarine Research, East China Normal University,
   Shanghai 200062, China. <u>z.chen@ecnu.edu.cn</u>;
- 18 Heather Macdonald<sup>1,4</sup>
- <sup>1</sup> School of Earth and Environment, University of Leeds, Leeds, UK;
- <sup>4</sup> ExxonMobil Exploration Company, 222 Benmar Drive, Houston, Texas 77060, USA.
   h.macdonald@see.leeds.ac.uk;
- 22 Paul Wignall<sup>1</sup>
- <sup>1</sup> School of Earth and Environment, University of Leeds, Leeds, UK. P.B.Wignall@leeds.ac.uk;
- 24 Jim Best<sup>5</sup>
- <sup>5</sup> Departments of Geology, Geography and GIS, Mechanical Science and Engineering and Ven
- Te Chow Hydrosystems Laboratory, University of Illinois, Urbana-Champaign, IL 61801, USA.
- 27 jimbest@illinois.edu.
- 28

## 29 Abstract

30 Bedrock channels are common in the natural environment and bedrock channel 31 erosion sets the pace of denudation in many of the world's river catchments. However, 32 there have been very few investigations that concern either bedrock bedform genesis or 33 bedrock channel abrasion processes. Field based analysis of sculptured forms within bedrock 34 channels has been restricted notably by the slow rate of bedform development in such 35 environments. Few flume-scale experiments have been conducted that attempt to simulate 36 the genesis of sculpted bedforms in bedrock channels. This study demonstrates that 37 optimisation of clay beds through successfully matching clay strength enables the 38 development of features analogous to bedrock river channel bedforms. Three sets of 39 sediment-laden experiments were carried out using hard, medium and soft clay beds, 40 respectively. A suite of erosive bedforms, including potholes, flutes, and furrows developed 41 on all experimental beds. All observed erosional features have clear equivalents to those 42 observed in natural bedrock rivers. This work further demonstrates that in the absence of 43 suspended sediment, fluid flow cannot induce erosion in cohesive or bedrock substrates. Basal shear strength was a significant factor for the genesis of different types of simulated 44 45 bedrock bedforms in our experiments. Lastly this work illustrates that abrasion by 46 suspended sediments is the only driving force necessary for the formation of these bedrock 47 bedforms, because the erosional features were produced in the absence of bed load 48 abrasion, plucking, cavitation and dissolution.

49

#### 50 **1. Introduction**

Bedrock rivers exhibit a diverse array of erosional forms, that in turn influence flow 51 52 fields and sediment dynamics (Richardson and Carling, 2005). The genesis and formative 53 processes of these erosional features is poorly understood, and remains an area where there 54 is a major knowledge gap (Lamb et al., 2015). This is largely because field studies are limited 55 by the slow rate of development of erosion within bedrock substrates, and by the difficulty and danger of attempting to measure processes during infrequent high magnitude flow 56 57 events (Lamb et al., 2015). Physical experiments offer the opportunity to examine processes 58 at much faster development rates, and under controlled conditions (Peakall et al., 1996; 59 Lamb et al., 2015). However, there have been relatively few studies of erosive bedforms in 60 substrates analogous to those observed in bedrock rivers (Shepherd and Schumm, 1974; 61 Wohl and Ikeda, 1997; Carter and Anderson, 2006; Johnson and Whipple, 2007; Johnson and

62 Whipple, 2010; Wilson et al., 2013; Wilson and Lave, 2014). Furthermore, these studies have 63 only reproduced a small number of the features identified in natural channels (Richardson 64 and Carling, 2005). Model studies on actual rock substrates have been restricted to forming 65 upstream facing convex surfaces (Wilson et al., 2013; Wilson and Lave, 2014). In contrast, 66 studies utilising artificial substrates exhibit a wider range of features, with those on concrete 67 (Carter and Anderson, 2006; Johnson and Whipple, 2007; Johnson and Whipple, 2010) and mixed sand/mud substrates (Shepherd and Schumm, 1974; Wohl and Ikeda, 1997) producing 68 69 longitudinal grooves, potholes, and furrows. Even in these cases, experiments with initially 70 broad erosion surfaces are dominated by longitudinal grooves that over time form 71 'emergent channel geometries' (Shepherd and Schumm, 1974; Wohl and Ikeda, 1997; 72 Finnegan et al., 2007; Johnson and Whipple, 2007; Johnson and Whipple, 2010; Lamb et al., 73 2015). Consequently, despite these advances, experiments have failed to produce the wide 74 variety of bedforms observed in natural systems, and the broad spatial distribution of these 75 erosive features. In turn, this raises questions as to the nature of the experimental 76 conditions and physical processes required to reproduce many of these bedrock bedforms. 77 Here, we utilise compacted clay substrates to reproduce most of the observed features 78 present in bedrock rivers (c.f. Richardson and Carling (2005)). The nature of the formative 79 conditions are discussed and compared to existing physical modelling and field studies.

80

# 81 1.1. Previous erosional experiments with clay beds

82 Although clay substrates have been used to study erosional bedforms in physical 83 experiments, these studies produced features such as flutes and longitudinal grooves that 84 have been compared to natural erosion in cohesive muddy substrates such as deep-sea 85 muds and river floodplains (e.g., Dzulynski and Sanders, 1962; Dzulynski and Walton, 1963; 86 Dzulynski, 1965, 1996; Allen, 1969,1971). Furthermore, the applicability of these mud-rich 87 cohesive sediments to bedrock rivers has been questioned (e.g., Lamb et al. (2015)) because 88 of the absence of brittle fracturing that typically occurs in bedrock erosion (Engel, 1976). The 89 majority of experiments that have been undertaken on weak muddy substrates, typically 90 used beds formed from *in situ* settling of clays in water for periods of hours to days (e.g., 91 Dzulynski and Walton, 1963; Dzulynski, 1965, 1996; Allen, 1969, 1971), producing a range of 92 features such as flutes and groove marks. In contrast, there has been very little work on firm 93 or hard mud beds. Allen (1971) undertook a series of 13 experiments in a Perspex pipe, 94 where particulate-flows eroded beds of kaolin-based modelling clay, producing flute like 95 features. Run times were between 27 and 74 minutes, although these experiments could not 96 be continued beyond these timescales as a series of bed waves developed (Allen, 1971).

97 Dzulynski and Sanders (1962) also used modelling clay to examine tool marks, but these 98 experiments were undertaken by rolling objects by hand across subaerially exposed clay. 99 Whilst these experiments on weak and firm clay beds have demonstrated a range of erosive 100 features, there is an absence of quantitative data on substrate strength, such as the shear 101 strength, and on flow properties such as basal shear stress, with which to explore the 102 boundary conditions of such erosive features. The experiments presented here revisit the 103 utility of clay substrates for modelling bedrock erosion, but under conditions where the 104 substrate strength and basal shear stress are quantified, and we examine the development 105 of erosive features in the absence of brittle fracturing.

106

#### 107 1.2. Erosive mechanisms in bedrock substrates

108 The major erosional mechanisms postulated to control the morphology and genesis of 109 bedrock channels are: (1) abrasion (Hancock et al., 1998; Wohl, 1998; Whipple et al., 2000a; 110 Sklar and Dietrich, 2001; Sklar and Dietrich, 2004; Johnson and Whipple, 2007; Wilson et al., 111 2013; Wilson and Lave, 2014); (2) plucking (Baker, 1973; Hancock et al., 1998; Whipple et al., 112 2000a; Whipple et al., 2000b; Lamb and Fonstad, 2010); (3) cavitation (Baker, 1974; Wohl, 113 1992; Baker and Kale, 1998; Hancock et al., 1998; Wohl, 1998; Whipple et al., 2000a; 114 Whipple et al., 2000b); and (4) dissolution or corrosion (Wohl, 1992; Wohl, 1998; Whipple et 115 al., 2000a). Of these, abrasion and plucking are considered the most important processes, 116 with plucking effective when rocks are fractured and exhibit discontinuities, whilst abrasion 117 is thought to dominate in massive rock with weak jointing (Hancock et al., 1998; Whipple et 118 al., 2000a; Lamb and Fonstad, 2010). Abrasion can occur as a result of either saltating bed 119 load or as suspended-load, with debate on the relative efficacy of these two modes in 120 bedrock rivers (Hancock et al., 1998; Whipple et al., 2000a). Evidence for the importance of 121 cavitation in the field and experiments is lacking, although theoretically it is thought to be a 122 plausible contributing factor (Whipple et al., 2000a; Carling et al., 2009). Weathering of 123 bedrock through corrosion may also be important, but has been little studied using 124 experiments (Lamb and Fonstad, 2010).

125

#### 126 **2. Methodology**

127 A series of four experimental runs were undertaken to examine the nature of erosion in 128 clay beds by open channel flow, three containing a particulate load of fine-grained sand and 129 one without particulate load (clear water). Air-dried modelling clay was used as the substrate, with the initial undrained shear strength of the clay beds adjusted between runsthrough pre-soaking of the clay bed.

## 132 Experimental setup

133 An 8.75 m long, tilting, recirculating hydraulic slurry flume (0.30 m wide by 0.30 m 134 deep) was used for the experiments (Fig. 1). The flume contained a false floor into which a 135 tray (0.90 m long and 0.075 m deep) containing clay could be inserted, such that the upper 136 surface of the clay-bed was flush with the false floor (Fig. 1). The water depth was set to 0.14 137 m above the clay bed in all experiments, and uniform flow was obtained by adjusting the 138 flume slope to 0.005. An array of ten 4 MHz ultrasonic Doppler velocimetry probes (UDVP; 139 (Best et al., 2001)) were positioned downstream of the clay bed, pointing upstream, with the 140 ends of the transducers positioned level with the end of the clay bed (Fig. 1). The UDVP 141 collected data for 99 seconds at a temporal resolution of 8 Hz; the operating parameters for 142 the UDVP are shown in Table 1. The UDVP probes enabled flow velocity profiles, initial basal shear stress (Exp. 1: τ≈3.10 Nm<sup>-2</sup>; Exp. 2: τ≈4.85 Nm<sup>-2</sup>; no data for Exp. 3 but of similar order 143 to experiments 1 and 2), and mean flow velocity ( $V_{mean} = 0.75-0.81 \text{ ms}^{-1}$ ), to be measured 144 145 above the clay bed. A further experiment (Exp. 4) was run for 12 hours without a sediment 146 load or bed defects, with an undrained shear strength of 10.5 kPa, initial basal shear stress of 3.10 Nm<sup>-2</sup> and flow velocity of ~0.81 ms<sup>-1</sup>. Water temperature during the experiments 147 148 varied between 8-10°C.



149

Figure 1. Schematic drawing of the experimental setup of the hydraulic slurry flume. The dark area represents the clay bed with a tray that was lowered into position so that the top surface of the clay bed was flush with the surrounding false floor.

# 153 **Table 1.** Parameters for the UDVP used in the presented experiments

Ultrasonic	Bin	Bin	Measurement	Number	Multiplexing	Number of profiles per transducer	Ultrasound	Transducer	Bins for
frequency	Width	distance	window	of bins	time delay		velocity	diameter	analysis
4 MHz	1.48 mm	0.74 mm	5-101.2 mm	128	15 ms	500	1480 ms <sup>-1</sup>	8 mm	31-38

155 Clay preparation and undrained shear strength measurement

156 Air-dried modelling clay (Potter's Scola Clay) was used as the substrate and 157 consisted primarily of illite-smectite, kaolinite and quartz (Table 2). The pre-soaking time 158 was altered to adjust the initial undrained shear strength of the substrate from 10.5 kPa (Exp. 159 1), through 7.5 kPa (Exp. 2) to 5.5 kPa (Exp. 3) (Fig. 2) - referred to herein as hard, medium 160 and soft. Shear strength was measured using a hand shear vane meter with a four-blade 161 vane (25.4 mm wide by 50.8 mm deep). After soaking to the required strength, the clay was 162 placed in a tray and inserted into the flume. In order to ensure the original bed surface was 163 flat the clay surface was smoothed by hand using a metal board to the same level as the 164 surrounding Perspex floor.

165	Table 2 X-ray diffraction	analysis of m	odelling clay used	in the experiments
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	Quartz	Illite-smectite	Kaolinite	Hematite
Chemical composition (%)	35.3	39.1	21.1	4.5

166



167

Figure 2 Variation in undrained shear strength with soaking time. Positions of the initial
undrained shear strengths are shown for each experiment; Exp. 1: Hard: 10.5 kPa; Exp. 2:
Medium: 7.5 kPa; Exp. 3: Soft: 5.5 kPa.

#### 171 Experimental conditions

Experiments were initiated with both smooth clay beds (Exps. 2 and 3) and with a number of circular bed defects (Exp. 1; Fig. 3). The defects consisted of five large holes 2.4 174 cm in diameter and 0.3 cm in depth, two medium-sized hollows 0.9 cm in diameter and 0.2 175 cm in depth, and 2 smaller holes 0.6 cm in diameter and 0.2 cm in depth (Exp. 1; Fig. 3). Each 176 experiment was then run until no further morphological change of the clay bed was 177 observed, in part corresponding with the substrate beginning to be covered by sand 178 deposited from suspension. Consequently the total run times of experiments 1-3 (hard, 179 medium, soft) were 1680 min, 1800 min, and 1080 min respectively. The experiments were 180 stopped periodically in order to take photographs after slowly draining the flume (e.g., Fig. 181 3b). These breaks in each experimental run took place at 1 and 2 hours and then every 2 182 hours until the end of the experiment, with an additional sampling point at 30 minutes for 183 experiment 1. In order to rectify the distorted photographs, four straight control bars with 184 10 control points on each of them were distributed around the edges of the clay bed and 185 corrections were undertaken using DxO ViewPoint software. Silica sand with a  $D_{10}$  of 82  $\mu$ m, 186  $D_{50}$  of 143  $\mu m,$  and  $D_{90}$  of 245  $\mu m$  was added to the flow. In order to maintain a constant 187 suspended sediment concentration (SSC), 1.5 kg of sand was progressively introduced every 188 15 minutes, thus compensating for sediment deposited in the pipework of the hydraulic 189 flume. Sediment concentration was monitored via water samples collected at a depth of 190 ~7 cm above the Perspex floor and ~10 cm downstream of the clay beds every 20 minutes; 191 95% of all SSC measurements were in the range of 0.10% to 0.20% by weight.



**Figure 3** A: The initial experimental bed of Exp. 1: Hard, the pre-formed larger holes are 2.4 cm in diameter and 0.3 cm in depth; the medium-sized hollows are 0.9 cm in diameter and 0.2 cm in depth, and the smallest hollows are 0.6 cm in diameter and 0.2 cm in depth. B: The fully developed experimental bed of Exp. 2 after 1200 minutes run time. The initial bed of Exp. 2 was a flat bed without hollows. Flow was from right to left in both cases.

198

#### 199 **3. Results**

#### 200 Clear water experiment

The experiment undertaken without a sediment load or bed defects (Exp. 4) and run
over 12 hours exhibited no bed erosion.

#### 203 Evolution of the clay bed

204 The evolution and erosion rate of the clay bed differed between the three 205 experiments with a suspended-load (Exps. 1-3) as a function of the undrained shear stress. 206 For the hard clay bed (10.5 kPa), the bed barely altered until after 960 minutes and stopped 207 eroding after 1440 minutes, whilst for the medium bed (7.5 kPa) bedforms initiated after 208 720 minutes and stopped eroding after 1320 minutes. The erosion of the softest 209 experimental bed (5.5 kPa) began after 480 minutes and ended at 960 minutes, although 210 this run was initiated with a series of bed defects restricting direct temporal comparison. 211 Whilst bedform development occurred at different rates in experiments 1-3, the final forms 212 in each showed strong similarities, with the three experiments producing an array of 213 erosional features. Details of the most common types and geometries of these erosional 214 features, including 4 types of potholes, 3 types of flutes, 2 types of furrows and 2 types of 215 convex and undulating bedforms, are given below together with a comparison with natural 216 bedrock sculpted forms.

217

# 218 3.1 Individual simulated erosional bedrock bedforms

# 219 Potholes

Potholes are the most common abrasion sculpture in bedrock channels (Elston, 1917;
Elston, 1918; Alexander, 1932; Maxson and Campbell, 1935; Ives, 1948; Allen, 1971; Allen,
1982; Wohl, 1992; Wohl, 1993; Zen and Prestegaard, 1994; Wohl and Ikeda, 1998;
Richardson and Carling, 2005) as well as the most commonly observed erosional features on

224 the experimental clay beds. The potholes observed in the present experiments can be 225 classified into the following categories of Richardson and Carling (2005): i) simple potholes; ii) 226 potholes with extended exit furrows s; iii) open potholes; iv) spiral-furrowed potholes with a 227 spiral rib; v) spiral furrowed pothole; vi) potholes with both entry and extended exit furrows; 228 vii) potholes with exit furrows; viii) potholes with horizontal furrows; ix) potholes with lateral 229 external secondary furrows; x) complex potholes / convoluted potholes; and, xi) hierarchical 230 potholes. Importantly potholes representing all 11 categories were observed. For brevity, 231 only the details of the four most common types of pothole are described herein (Fig. 4). 232 Extensive discussion of all the features observed is provided by Yin (2013).

#### 233 Simple potholes

234 This kind of isolated, quasi-round pothole with a cylindrical form is common in 235 natural bedrock channels, and was common in the current experiments (Fig. 4A1, A2. Note 236 that dimensions of features are provided in the figures). Simple potholes could be observed 237 on the bed as part of more complex features, or sometimes in the early stage of the 238 experiments. These potholes typically evolved into other forms (e.g. flutes and short 239 furrows), widening and deepening their quasi-round opening, and thus were rarely stable. 240 The radius of the opening was usually slightly larger than that of the internal radius of its 241 base, but the form is still regarded as approximately cylindrical. The diameter of the opening 242 enlarged with time and extended in a specific direction, usually downstream, to form exit 243 furrows. As a consequence, the rims of solitary potholes typically did not maintain a 244 quasi-round geometry.

245

#### 246 Potholes with extended exit furrows

247 Potholes with extended exit furrows were the most common pothole developed in 248 the experimental beds (Fig. 4B1 to B4) The downstream ends of the exit furrows were not 249 always closed and the lengths of the exit furrows were much bigger than the diameters of 250 the primary potholes. The ratio of length to diameter ranges from 3.12 to 4.55 in the current 251 case. The exit furrows usually exhibited a curved planform profile in the downstream 252 direction with lengths more than twice as long as the widths. These features were still 253 considered potholes because they developed from individual hollows located at the 254 upstream end that are much deeper than the rest of the bedforms. The rims of these exit 255 furrows were parallel, and in some cases they were closed at their downstream end (Fig. 4B1, 256 B2). In other cases, the exit furrows were totally open at their downstream ends (Fig. 4B3, 257 B4). Individual simple potholes could develop in time into potholes with extended exit 258 furrows, or open potholes, if they did not connect to adjacent bedforms.

## 260 Open potholes

Open potholes are a kind of pothole that has an open end in plan view (Fig. 4C1, C2) that is almost as wide as the diameter of the primary hollow. These open potholes usually lack a lee side edge and have an entire open end whose dominant orientation is in the downstream direction. On some occasions, their upstream end rims were not closed, and they could be eroded by other marks in front of them, for example when an entry furrow developed.

267

# 268 Spiral-furrowed potholes with a spiral rib

269 On the experimental clay beds, many of the erosional marks had entry spiral ribs (e.g. 270 (Fig. 4D1 to D3) which are widely observed in natural bedrock channels (Alexander, 1932; 271 Ängeby, 1951; Allen, 1982; Jennings, 1983; Baker and Pickup, 1987; Wohl, 1992; Kor and 272 Cowell, 1998; Richardson and Carling, 2005). The spiral rib is a small curved furrow 273 extending in the upstream direction adjacent to the upstream rim of a pothole. The head of 274 the spiral rib was usually cuspate or approximately cuspate and pointed predominantly in 275 the upstream direction. The length and width of the spiral rib was normally far less than the 276 primary pothole with which it was connected. The length of the spiral rib is normally no 277 greater than 1/3 of the diameter of the primary pothole. Sometimes, near the top open rim 278 of potholes, a secondary spiral furrow with a cuspate ridge was present within the pothole 279 (Fig. 4D2).



281

Figure 4. Morphology of potholes in the experiments and in bedrock channels. (1) Simple potholes: A1 and A2 from Exp. 2. A3 shows a simple pothole in fine-grained sandstone from the River Lune (Halton), UK (from Richardson and Carling (2005)). The scale bar in C is 0.6 m long. (2) Potholes with extended exit furrows: The exit furrows of this kind of pothole were

286 much longer than in potholes with an entry furrow. B1 and B3 from Exp. 2. B2 and B4 are 287 two examples from the field (from Richardson and Carling (2005)). In B2, the notebook is 288 0.15 m long. B3 and B4 illustrate compound potholes with extended exit furrows. See pen in 289 B4 for scale. (3) Open potholes: C1 from Exp. 2. C2 is from the River Lune (Halton), UK. It is 290 1.20 m long with a diameter of 0.60 m (from Richardson and Carling (2005)). (4) 291 Spiral-furrowed pothole with a spiral rib: The examples in D1 and D2 were observed in the 292 central part of the bed in Exp. 2. D3 shows a natural example observed in Woolshed Creek, 293 Australia. The pothole is ~1.5 m across in its short dimension (from Richardson and Carling 294 (2005)). The arrow points to the spiral ribs of the potholes in D3. Flow is from right to left. A3, 295 B2, B4, C2 and D3 are reprinted from Richardson and Carling (2005) with permission from 296 GSA.

297

# 298 Longitudinal features

Besides potholes, another principal type of erosional mark in bedrock channels are longitudinal features, commonly flutes and furrows (King, 1927; Allen, 1971; Allen, 1982; Wohl, 1992; Wohl, 1993; Tinkler, 1997; Hancock et al., 1998; Richardson and Carling, 2005). Flutes and furrows are relatively shallow compared with potholes, with their depth usually being much smaller than their length (Richardson and Carling, 2005). In our experiments the average depth of the flutes was 0.82 cm compared with an average depth of 1.93 cm for the potholes (Appendix 1).

306 Flutes

Flutes are a common form typical of erosive bedforms in bedrock channels (Maxson and Campbell, 1935; Allen, 1971; Tinkler, 1993; Baker and Kale, 1998; Hancock et al., 1998; Whipple et al., 2000b; Richardson and Carling, 2005). The experimental approach herein produced various types of flutes that are almost identical with the flutes present in natural bedrock channels (Fig. 5).

312

# 313 Deep flutes

Deep flutes have been defined as those whose depth is greater than 25% of their length (Richardson and Carling, 2005). Figures 5A1 and A2 show deep flutes in our experimental substrate and natural bedrock, respectively, illustrating they are almost identical with both having a similar internal structure.

318

319 Flutes with internal secondary structure

Flutes with an internal secondary structure (Allen, 1971) formed in the experiments and show strong similarities to flutes formed in bedrock substrates (Fig. 5A1 and A2; (Richardson and Carling, 2005)). However, this type of flute was not as common as flutes with external secondary structure in the flume experiments. This may, in part, be because the scale of flutes in the present experiments was too small to contain visible smaller internal secondary structures (Fig. 5B1 and B2).

326

# 327 Flutes with external secondary structure

328 Most of the flutes in these experiments were classified as flutes with external secondary 329 structures, formed outside the primary flutes (Fig. 5C1 to C5). Previous studies have 330 indicated that flutes with external secondary structures may be caused by a discontinuity in 331 the substrate (Hancock et al., 1998; Richardson and Carling, 2005). However, the clay beds 332 used herein were well mixed and essentially homogenous, and therefore lacked any 333 significant discontinuities. Additionally, the size of these features in the clay bed was 334 variable, with some as large as, or only slightly smaller, than the primary flutes, whilst others 335 were much smaller than the primary flutes. The ratio of the length of the secondary 336 structures and the primary flutes ranges from 0.66 to 0.88 (Fig. 5C1 to C4).



339 Figure 5. (1) Deep flutes: A1: deep flute in Exp. 1; A2: deep flute in the Borrow Beck, UK 340 (from Richardson and Carling (2005), pen for scale). Both A1 and A2 contain internal secondary flutes close to their upper rims (black arrows). (2) Shallow flutes with internal 341 342 secondary structure: B1 and B2 show flutes with internal secondary furrows on one side of 343 their flanks, Exp. 2 (arrowed). (3) Flutes with external secondary structures: C1 to C4 344 demonstrate several rows of flutes developing in Exp. 2. Normally the first flute in a row (the 345 rightmost flute) was regarded as the primary flute, with the remaining flutes defined as 346 secondary. C5 shows a row of small flutes (outlined by ellipse) from the River Dee, UK; ruler 347 in centimetres for scale (from Richardson and Carling (2005)). Flow from right to left in all 348 cases. A2 and C5 are reprinted from Richardson and Carling (2005) with permission from 349 GSA.

350

351 Longitudinal furrows

Furrows are also common longitudinal abrasion features in bedrock channels (Fig. 6). According to the definition of a typical furrow, the distal end should be the mirror image of its proximal end (Wohl, 1993; Wohl and Achyuthan, 2002; Richardson and Carling, 2005). The key difference between furrows and flutes is that furrows are almost symmetrical in both cross-sectional and longitudinal profile. The experimental beds demonstrated the development of most types of furrow observed in the field (Fig. 6).

Short furrows usually have closed elliptical rims in plan view (Fig. 6A1 to A4), with their depth being no more than a quarter of their length (Richardson and Carling, 2005). Typically, the average depth of these furrows was 1.37 cm and therefore not as deep as potholes (average depth: 1.93 cm), although potholes are sometimes elliptical in planform. The cross section of a short furrow is a 'U' shape, with the inner walls and bottom of the furrow usually being smooth (Richardson and Carling, 2005).

364

## 365 Sinuous parallel-sided furrows

366 The lengths of sinuous parallel-sided furrows ranged from one (1.29 cm) to more 367 than tens of centimetres (16.22 cm) (Fig. 6B1, B2, B4), with their dominant orientation being 368 longitudinal, with either proximal or distal ends that curved away from the flow direction, 369 and a sinuous furrow. The rims of these furrows were mostly parallel, with their ends being 370 either open or closed, the slope of both ends being gentle, and the rims were either round 371 or cuspate. The walls and the bottom of these furrows were usually smooth without 372 secondary structures or defects. Some long sinuous furrows developed from the connection 373 of curved or sinuous short furrows, and therefore the depth of the furrows was not always 374 uniform. Overall the morphology of these furrows was similar to field examples (Fig. 6B3).



Figure 6. (1) Straight short furrows: A1 and A3 are straight short furrows in Exp. 2. A2 and A4 are field examples from the River Dee, UK; penknife in A2 and A4 (white) for scale (from Richardson and Carling (2005)). (2) Sinuous parallel-sided furrows: B1, B3 and B4: examples of features observed in Exp. 3, 2, and 1 respectively. Flow from right to left. B2 was observed in the River Lune (Halton), UK; the scale is 0.60 m long. Flow from bottom right corner to top left corner. A2, A4 and B2 are reprinted from Richardson and Carling (2005) with permission from GSA.

384

# 385 Convex and undulating surfaces

A number of convex and undulating surfaces also formed in the experiments, with hummocky forms being the most common type within this category (Richardson and Carling, 2005). The most common kind of hummocky form was a sharp-crested hummocky morphology, which resembles ripples and dunes found in cohesionless substrates, but possessed more obvious sharp crests (Fig. 7A1 to A3). This morphology has led to these
features being termed pseudo-ripples and pseudo-dunes (Ängeby, 1951; Hancock et al.,
1998; Whipple et al., 2000b; Wohl and Achyuthan, 2002; Richardson and Carling, 2005; Hsu
et al., 2008).

394

# 395 Sharp-crested hummocky forms

The sharp crests of these features developed non-longitudinally and divided the convex form into two parts, having both a stoss side and a lee side (Fig. 7A1). The slope of the lee side (slope=0.65) was often steeper than that of the stoss side (slope=0.27). In the experiments, the sinuous crests were parallel to each other and the form of the convex parts was similar. The convex forms were arranged in rows with a regular spacing and orientation parallel to the flow direction (Fig. 7A1, A2), thereby producing regular trains of sharp-crested hummocky forms (Richardson and Carling, 2005).

403

# 404 *Obstacle marks*

405 Obstacle marks (Fig. 7B1 to B5) are the other typical composite erosional 406 morphology found in the field (Baker, 1974; Lorenc et al., 1994; Richardson and Carling, 2005), and they were also commonly developed on all three experimental beds. In the field, 407 408 obstacle marks are scour marks caused by flow separation and the horseshoe 'junction' 409 vortex generated when flow encounters projections on the substrate (Simpson, 2001). These 410 obstacle marks possess a crescentic planform shape (Allen, 1982), and in the present 411 experiments they consisted of a raised projection as an obstacle with average width of 0.96 412 cm and a crescentic reversed furrow (average depth: 1.73 cm) upstream of it. The crescentic 413 reversed furrows were parallel-sided in plan view with either open or closed ends.

414



Figure 7. (1) Hummocky forms: A1: Regular trains of sharp-crested hummocky forms observed in Exp. 2. A2 and A3: hummocky forms found in natural bedrock surfaces, camera bag at the bottom left corner of A2, 0.20 m across, and a 0.15 m long handbook in A3, for scale (from Richardson and Carling (2005)). (2) Obstacle marks: B1, B2 are observed in Exp. 1 and B3 are in Exp. 2. B4 and B5: Obstacle marks observed in the field, the lens cap in B4 and the 0.15 m long handbook in B5 for scale (from Richardson and Carling (2005)).

Flow from right to left in all cases. A2, A3, B4 and B5 are reprinted from Richardson andCarling (2005) with permission from GSA.

425

# 426 **4. Discussion**

427 The three sediment-laden experiments described herein, using modelling clay with 428 different initial shear strengths, produced a wide array of erosive bedforms that closely 429 replicate many features observed in natural bedrock river substrates, including 7 kinds of 430 potholes, 9 kinds of flutes, 15 kinds of furrows, and 4 examples of other bedforms (Appendix 431 1). The degree of similarity is so strong that many of the bedforms in the clay were almost 432 identical to examples observed in the field (Figs 4-7). All of the forms were observed to 433 originate on both flat beds and on a bed with initial defects, suggesting that initial bedrock 434 defects are not critical for the genesis of bedforms, or for the overall variety of erosional 435 forms. However, the imposed defects did have a significant effect on altering the specific 436 type of bedform, especially in the genesis of obstacle marks (Fig. 7). The experiments 437 indicated that although obstacle marks also developed on flat beds, they tended to form 438 wherever small hollows were present irrespective of the size and depth of the defects. 439 Whilst the present experiments reproduced the majority of the different bedforms 440 recognised by Richardson and Carling (2005), there are a number of bedforms that were not observed in the current experiments (Appendix 1). Some of these features may be related to 441 heterogeneities in natural substrates that were not present in the experiments. In addition, 442 443 lateral features were not observed in the present experiments since all experiments utilised 444 a flat bed. If the lack of substrate heterogeneity and lateral topography in the experiments is 445 taken into account, then a remarkable range of forms observed in natural bedrock 446 substrates were observed in the experiments.

447 Although all three experiments produced similar types of erosional forms, there were 448 some differences in the diversity of forms between the different substrates, with experiment 449 2 (medium) showing the greatest diversity of forms. In the absence of repeat runs, the 450 degree of variation between runs with nominally identical conditions cannot be quantified. 451 Nonetheless, the present experiments suggest that for the given type of modelling clay, an 452 undrained shear strength of 7.5 kPa, and a shear flow with initial basal shear stress of 4.8 453 Nm<sup>-2</sup>, appears to provide the optimal characteristics for an analogue bedrock substrate for 454 creating erosional bedforms.

In the present experiments, erosion is concentrated within the bedforms, widening anddeepening them with time, whilst the areas between the bedforms have far less erosion.

457 The uniform cohesive substrate is unaffected by the plucking process, and similarly 458 dissolution, corrosion and cavitation are not present given the conditions and timescales of 459 the experiments. As a consequence, all erosion is caused by abrasion from the particulate 460 load as confirmed by the initial clear water experiment. The concentration of erosion on the 461 downstream side of bedforms (negative steps) suggests that the abrasion is closely coupled 462 to the flow dynamics, rather than being caused by bed load saltation, in that the latter has 463 been found to erode preferentially the upstream parts of bed protuberances (Whipple et al., 464 2000a). Calculation of the Rouse number, Z:

465

466 
$$Z = \frac{W_S}{kU_*}$$
 eq.

467

468 where  $W_s$  is the sediment fall velocity, calculated here using the expression of (Gibbs et al., 469 1971), *K* is von Karman's constant taken as 0.4, and  $U_*$  is the shear velocity, provides an 470 estimation of the transport condition of particles within a flow. For the experiments herein, 471 Rouse numbers, *Z*, were ~0.4-0.6 for the D<sub>50</sub> of 143 µm and ~1 for the D<sub>90</sub> of 245 µm, and 472 thus well below the suspension threshold of *Z*<2.4 (e.g., Lamb et al. (2015)), confirming that 473 even the coarsest material was in suspension.

474 The present experiments are also the first to reproduce large surfaces composed of 475 arrays of different and varied bedrock bedforms, and in marked contrast to previous 476 experiments that tended to form a narrow range of features prior to formation of a single 477 'emergent channel' (Shepherd and Schumm, 1974; Wohl and Ikeda, 1997; Finnegan et al., 478 2007; Johnson and Whipple, 2007; Johnson and Whipple, 2010; Lamb et al., 2015). In part, 479 this may reflect differences in initial conditions. Some previous experiments started with an 480 initial channel (Shepherd and Schumm, 1974; Finnegan et al., 2007), or with the centre being 481 lower than the edges (Johnson and Whipple, 2010), which will both encourage 482 channelization. Other experiments possessed very shallow flow depths (0.02-0.03 m) that 483 may have restricted macroturbulence and bedform development (Wohl and Ikeda, 1997). 484 However, the experiments of Johnson and Whipple (2007) did start with initial planar bed 485 conditions and greater flow depths (0.06-0.09 m), but still produced emergent channel 486 geometries. A major difference between the present experiments and those of Johnson and 487 Whipple (2007) is that the latter experiments were dominated by saltation-driven abrasion, 488 rather than suspension-driven abrasion. This is reflected in Rouse numbers of 18-67 for the 489  $D_{\rm 50}$  of 2.5 mm, and 24-90 for the  $D_{\rm 90}$  of 3.76 mm based on Table 1 from Johnson and 490 Whipple (2007) and calculating fall velocities with Gibbs et al. (1971). Other experiments 491 have largely been undertaken with dominantly saltation-driven abrasion as reflected in their

492 Rouse numbers,  $Z \sim 2.3-6.2$ , with suspension-dominated abrasion only beginning to occur as 493 narrower channels emerged (Wohl and Ikeda, 1997; Finnegan et al., 2007; Johnson and 494 Whipple, 2007; Johnson and Whipple, 2010). A second important difference is that the 495 present experiments were in the subcritical flow regime, Fr ~0.8, in contrast to previously 496 published experiments that were all strongly supercritical, Fr ~1.4-3.5 (Wohl and Ikeda, 1997; 497 Finnegan et al., 2007; Johnson and Whipple, 2007; Johnson and Whipple, 2010). These 498 previous studies showed that the erosional morphologies are not sensitive to the magnitude 499 of the Fr number, although the Fr numbers in those experiments were greater than that in 500 natural bedrock rivers (Johnson and Whipple, 2007). Our experiments are consistant with 501 those results and demonstrate that even when the flow is subcritical (Fr < 1), erosional 502 bedforms can still be generated by flume-scale experiments with analogue bedrock 503 substrates. Lastly, the present experiments do not exhibit brittle fracturing, unlike those 504 experiments with concrete based or rock substrates or natural bedrock channels (Johnson 505 and Whipple, 2007; Wilson et al., 2013; Lamb et al., 2015), suggesting that brittle fracturing 506 is not important for the genesis of these bedrock features.

Field studies of polished rock surfaces sculpted by erosive bedforms have argued that these surfaces are dominated by suspension- rather than saltation-driven abrasion (Hancock et al., 1998; Whipple et al., 2000a). The present study provides support for these field studies, and provides experimental confirmation of the importance of suspension-driven abrasion in the genesis and maintenance of sculpted surfaces of erosive bedforms.

512 Some previous experiments have concentrated on the effects of bed load-driven 513 saltation abrasion in order to answer a host of important questions, for example, the effects 514 of varied bed load on the roughness of the bedrock substrate, incision rate and channel 515 morphology (Hancock et al., 1998; Finnegan et al., 2007). Furthermore, the numerical 516 saltation-load abrasion model (Sklar and Dietrich, 2004: Turowski et al., 2007) has been 517 widely utilised to model bedrock river erosion from reach scales, through river profile 518 development, to landscape evolution (e.g., Crosby et al., 2007; Cook et al., 2012; Egholm et 519 al., 2013; Scheingross et al., 2014). However, there is increasing recognition that 520 suspension-load abrasion is also important in many bedrock rivers, and that a total-load 521 model incorporating the effects of abrasion from both saltation-load and suspension-load, is 522 required for more accurate modelling of many of these processes (e.g., Lamb et al., 2008; 523 Scheingross et al., 2014). Despite this recognition that suspension-load is important across a 524 wide range of problems, there are a number of issues with extending existing experimental 525 approaches to the suspension-dominated abrasion regime. Critically, the high tensile 526 strengths of existing substrates coupled to the low angle of impact of suspension driven

527 abrasion, means that large particles are required for any abrasion to occur (>0.2 mm for a 528 range of natural bedrock, even in a ball mill; Sklar and Dietrich, 2001, 2004), and these 529 particles require correspondingly high flow velocities to be transported in the suspension 530 regime. Additionally, even for larger particles erosion rates across existing experimental 531 substrates may be very low, restricting the utility of these substrates due to the large 532 timescales required for measurable erosion. The present experiments demonstrate a 533 method for extending the range of conditions that can be studied experimentally within 534 realistic timescales, to this suspension-driven abrasion regime. The method presented 535 herein thus opens up the potential to examine the temporal evolution of erosive bedrock 536 features, the coupled effects of macroscopic turbulence and bedform development, and the 537 interaction of multiple bedforms. In addition, this experimental approach enables study of 538 the effects of incorporating suspension-load abrasion on landscape evolution, and to the 539 development of total-load abrasion models incorporating suspension-load abrasion. 540

#### 541 **5. Conclusion**

542 Our experiments produced bedforms highly analogous to natural field examples, 543 and for the first time reproduced the overwhelming majority of bedform types that have 544 been shown to occur on planar surfaces in homogenous substrates. The experiments 545 reported herein confirm field observations that such surfaces and their erosive bedforms are 546 primarily the result of suspension-driven abrasion, rather than bed load driven saltation 547 dominated abrasion. It is also evident that cavitation, dissolution, corrosion, plucking, and 548 supercritical flow conditions are not required for the generation of these forms. Whilst the 549 clay substrates used here do not exhibit brittle fracturing due to discontinuities, experiments 550 were able to reproduce a variety of erosive bedforms. This new method provides a viable 551 approach for extending the physical modelling of saltation driven abrasion, to the 552 suspension-dominated abrasion regime, within realistic laboratory timescales. This approach 553 using modelling clay thus opens up the potential to study the evolution and fluid-bedform 554 coupling of these bedforms, as well as experimentally examine the influence of 555 suspension-dominated abrasion on landscape evolution.

556

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# 703 Appendix

704

Appendix 1. Bedform types and dimensions observed in the present experiments, and comparison with those described by (Richardson and Carling, 2005). Remarks indicate which

707 experiment features observed from.

-	Types of bedforms	Rock type	Length Width (cm) (cm) (lower parts)		Depth (cm)	Remarks	
	Ovoid pothole	Fine-grained sandstone	0.85 1.27	1.20 1.35	1.08 1.61	Fig. 4: A1-Exp. 2 : A2-Exp. 2	
Pothole	Spiral-furrowed pothole Incipient pothole	Microgranite Limestone	4.96 2.69 -	2.92 3.52 -	2.21 2.45 -	Exp. 1 Exp. 2	
	Pothole with entry furrow	Calcareous mudstone	2.67 3.96	1.29 1.31	0.96 2.20	Fig. 4: D1-Exp. 2 : D2-Exp. 2	

Pothole with extended	Cremitie anneire	3.69	0.81	1.60	Fig. 4: B1-Exp. 2
exit furrow	Granitic gneiss	3.31	1.06	1.60	: B3-Exp. 2
Open pothole	Fine- grained sandstone	2.41	1.54	2.71	Fig. 4: C1-Exp. 2
A pothole with horizontal furrows	Calcareous mudstone	-	-	-	-
Hierarchical pothole	Granitic gneiss	5.03 3.82	4.38 3.03	2.55 2.33	Exp. 1 Exp. 3
Convoluted pothole	Gneiss	9.46 1.73	5.92 1.25	2.76 1.04	Exp. 1 Exp. 3
Large isolated breached pothole	Granitic gneiss	-	-	-	-
Coalesced potholes	Granitic gneiss	-	-	-	-
Natural arch	Granitic gneiss	-	-	-	-
Natural pillar	Granitic gneiss	-	-	-	-
Closed lateral pothole	Granitic gneiss	-	-	-	-
Lateral pothole	Granitic gneiss	-	-	-	-
Conjugate linear lateral potholes	Granitic gneiss	-	_	-	-
Compound lateral pothole of the hierarchical variety	Granitic gneiss	-	-	-	-
Paired lateral potholes	Dolomit	-	-	-	-
Broad flute	Limestone	0.94	2.75	0.59	Exp. 2
Narrow flute	Granitic gneiss	1.56	0.79	0.56	Exp. 2
Flute with median ridge and internal secondary structures	Calcareous mudstone	2.65	1.47	1.07	Exp. 2
Spindle-shaped flute	Rhyolitic agglomerate	2.62	0.65	0.59	Exp. 2

Flute

	Elute with internal		2.41	1.44	1.43	Fig. 5: A1-Exp. 1
		Calcareous mudstone	3.09	2.49	0.69	: B1-Exp. 2
	secondary structures		2.71	1.34	0.47	: B2-Exp. 2
			6.38	1.41	0.65	Fig. 5: C1-Exp. 2
F	Flute with external	Limestana	3.99	1.33	0.84	: C2-Exp. 2
	secondary structures	Linestone	7.88	2.44	0.71	: C3-Exp. 2
			3.01	1.14	1.25	: C4-Exp. 3
	En echelon flutes	Granitic gneiss	4.75	4.15	1.28	Exp. 2
	Paired flutes	Granitic gneiss	2.89	2.07	1.24	Exp. 1
	Lineations	Limestone	8.06	9.01	0.10	Exp. 2
	Ctraight chart furrow	Limestone	2.09	0.82	1.50	Fig. 6: A1-Exp. 1
	Straight short furrow	Limestone	2.52	0.80	0.99	: A3-Exp. 2
	Curved short furrow	Calcareous mudstone	2.87	0.63	1.55	Exp. 2
	Cuspate, deep short	Crucian	2.09	0.82	1.50	Fig. 6: A1-Exp. 1
	furrow	Gheiss	2.52	0.80	0.99	: A3-Exp. 2
	Paired short furrows	Calcareous mudstone	-	-	-	-
	Short furrow with internal secondary structures	Gneiss	-	-	-	-
Furrow	Straight parallel-sided furrow	Fine-grained sandstone	2.81	0.51	1.24	Exp. 2
	Curved parallel-sided furrow	Granitic gneiss	3.91	0.35	1.22	Exp. 2
	Sinuous parallal sided		1.29	0.54	1.19	Fig. 6: B1-Exp. 3
	furrow	Fine-grained sandstone	3.61	0.31	1.22	: B3-Exp. 2
			16.22	0.90	1.90	: B4-Exp. 1
	Parallel-sided furrow with levees	Fine-grained sandstone	-	-	-	-
	Chute furrow	Limestone	-	-	-	-

Chimney furrow	Interbedded limestone and marl	-	-	-	-
Bifurcating furrows	Microgranite	4.71 23.11	2.68 1.45 (bifurcating point)	1.50 1.50	Exp. 2 Exp. 3
Group of parallel-sided furrows	Limestone	2.20 (average)	0.68 (average)	0.76 (average)	Exp. 2
Regular compound parallel-sided furrows	Andesite	10.24	0.98	1.90	Exp. 2
Irregular compound parallel-sided furrows	Limestone	10.62 10.52	0.37 0.61	1.22 1.34	Exp. 2
Funnel-shaped furrow (underwater)	Medium-grained sandstone	2.44	1.62	0.56	Exp. 2
Bulbous furrow (underwater)	Fine-grained sandstone	3.28	1.55	1.10	Exp. 2
Runnel with cusped margins	Fine-grained sandstone	-	-	-	-
Oblique sloping furrows	Granitic gneiss	-	-	-	-
Compound transverse furrows	Fine-grained sandstone	-	-	-	-
Cross-channel furrow (underwater).	Fine-grained sandstone	-	-	-	-
Straight reversed furrow	Granitic gneiss	-	-	-	-
Curved reversed furrow	Granitic gneiss	4.02	0.61	2.00	Exp. 3
Open-ended reversed furrow	Granitic gneiss	5.79	4.07	2.08	Exp. 1
Branched reversed furrow	Granitic gneiss	-	-	-	-

	Group of parallel reversed furrows	Granitic gneiss	3.28	2.78	1.68	Exp. 1
	Convergent furrow complex	Granitic gneiss	6.66	1.96	1.08	Exp. 2
	Yin- yang furrow complex	Calcareous mudstone	-	-	-	-
Group of parallel       Grantic gneiss       3.28       2.78       1.68       H         Convergent furrow       Grantic gneiss       6.66       1.96       1.08       1         Vin-yang furrow       Calcareous mudstone       -       -       -         Nested curved furrow       Calcareous mudstone       -       -       -         Nested curved furrow       Grantic gneiss       -       -       -         Overhanging concave       grantic gneiss       -       -       -         Surface       Limestone       -       -       -         Cavetto       Limestone       -       -       -         Taffoni       Fine-grained sandstone       -       -       -         Shallow concave       surfaces       Calcareous mudstone       -       -       -         Hummocky forms       Limestone       13.25       3.50       0.59       Fig. 7         Pseudoripples       Andesite       -       -       -       -         Bladed forms       Calcareous mudstone       3.31       1.93       0.74       1.60         Obstacle mark       Calcareous mudstone       -       -       -       -         Obstacle mar	-					
	Overhanging concave surface	Granitic gneiss	-	-	-	-
	Cavetto	Limestone	-	-	-	-
	Taffoni	Fine-grained sandstone	-	-	-	-
dulating surfaces	Shallow concave surfaces	Calcareous mudstone	-	-	-	-
	Hummocky forms	Limestone	13.25	3.50	0.59	Fig. 7: A1-Exp. 2
d undu	Pseudoripples	Andesite	-	-	-	-
ivex and	Microripples	Gneiss	-	-	-	-
Cor	Partially abraded surface	Limestone	-	-	-	-
	Bladed forms	Calcareous mudstone	3.31 7.40	1.93 0.97	0.74 1.60	Exp. 2
	Obstacle mark		3.12	2.38 (0.79)	1.84	Fig. 7: B1-Exp. 1
	(Current crescents with	Rhyolitic agglomerate	2.72	1.746 (0.47)	1.69	: B2-Exp. 1
	secondary sculpting)		2.33	2.99 (0.76)	1.66	: B3-Exp. 2
	Pseudoripples with short furrows	Andesite	-	-	-	-
	Runnel with SCHF	Gneiss	-	-	-	-
	Parallel runnels with step-pool structures	Granite	-	-	-	-

High relief Hummocky					
forms with current	Limestone	-	-	-	-
crescents					
Hummocky forms with steep lee faces	Limestone	-	-	-	-