

1 *This is a pre-copyedited, author-produced PDF of an article accepted for*
2 *publication in Proceedings of the Royal Society of London, Series B:*
3 *Biological Sciences following peer review. The version of record Morrell,*
4 *LJ, Ruxton, GD & James, R. (2011) The temporal selfish herd: predation*
5 *risk while aggregations form Proceedings of the Royal Society of London*
6 *Series B 278: 605-612." is available online at:*
7 *dx.doi.org/10.1098/rspb.2010.1605*

8

9 **The Temporal Selfish Herd:**

10 **Predation risk whilst aggregations form**

11

12

13 Lesley J. Morrell¹, Graeme D. Ruxton² and Richard James³

14

15

16 ¹ Institute of Integrative and Comparative Biology, University of Leeds

17 ²Division of Ecology and Evolutionary Biology, Faculty of Biomedical and Life
18 Sciences, University of Glasgow

19 ³Department of Physics & Centre for Mathematical Biology, University of Bath

20

21 **Correspondence:**

22 Lesley Morrell
23 Institute of Integrative and Comparative Biology
24 LC Miall Building
25 University of Leeds
26 Leeds
27 LS2 9JT
28 UK

29

30 Email: L.J.Morrell@leeds.ac.uk

31 Tel: +44 (0) 113 343 3051

32

33 **Abstract**

34 The hypothesis of the selfish herd has been highly influential to our understanding of
35 animal aggregation. Various movement strategies have been proposed by which
36 individuals might aggregate to form a selfish herd as a defence against predation,
37 but although the spatial benefits of these strategies have been extensively studied,
38 little attention has been paid to the importance of predator attacks that occur *while*
39 *the aggregation is forming*. We investigate the success of mutant aggregation
40 strategies invading populations of individuals using alternative strategies, and find
41 the invasion dynamics depend critically on the timescale of movement. If predation
42 occurs early in the movement sequence, simpler strategies are likely to prevail. If
43 predators attack later, more complex strategies invade. If there is variation in the
44 timing of predator attacks (through variation within or between individual
45 predators), we hypothesise that groups will consist of a mixture of strategies,
46 dependent upon the distribution of predator attack times. Thus, behavioural
47 diversity can evolve and be maintained in populations of animals experiencing a
48 diverse range of predators differing solely in their attack behaviour. This has
49 implications for our understanding of predator-prey dynamics, as the timing of
50 predator attacks will exert selection pressure on prey behavioural responses, to
51 which predators must respond.

52

53 **Keywords:** selfish herd, aggregation, anti-predator behaviour, group living

54 **Introduction**

55 Aggregation into groups of individuals is a widespread phenomenon across many
56 animal species. There are a number of well-recognised benefits to aggregation
57 through mechanisms that act to reduce predation risk (Krause & Ruxton 2002),
58 including the dilution (Foster & Treherne 1981), encounter-dilution (Turner & Pitcher
59 1986) and confusion (Miller 1922; Krakauer 1995) effects, where the presence of
60 other animals acts to reduce the risk to any given individual.

61

62 However, there are also potential costs to forming aggregations, of which the most
63 commonly considered are reduction in resource uptake rate through competition;
64 increased aggression; local resource depletion and increased detection by predators
65 (for a review, see Krause & Ruxton 2002 and references therein). Thus, many taxa
66 use grouping facultatively in response to the strength of perceived predation risk,
67 initiating or enhancing aggregation in response to heightened threat (Foster &
68 Treherne 1981; Watt et al. 1997; Viscido & Wetthey 2002). The process of forming an
69 aggregation can take a non-trivial amount of time, being dependent at least on the
70 finite rates of turning and movement of the individuals concerned (James et al. 2004,
71 Wood 2010). Thus it may commonly be that predators attack while the aggregation
72 is forming. In contrast, existing theory, almost without exception, ignores this case
73 and focuses on stable groups (that are either permanently maintained or are the
74 behavioural outcome of the process of aggregation studied here).

75

76 A particularly influential body of theory for understanding the anti-predatory
77 benefits of aggregation has been the Selfish Herd hypothesis stemming from the

78 classic paper of Hamilton (1971). This hypothesis assumes that the relative risk of
79 two individuals can be evaluated by comparison of the areas around them that
80 include all points closer to that individual than to any other (the so called 'domains
81 of danger'). There has been important recent work refining the definition of domains
82 of danger (Beecham & Farnsworth 1999; James et al. 2004) and evaluating the types
83 of behavioural patterns that generate aggregations through the Selfish Herd effect
84 (Morton et al. 1994; Viscido et al. 2002; James et al. 2004; Reluga & Viscido 2005;
85 Wood & Ackland 2007; Morrell & James 2008). Many of these latter works take an
86 evolutionary approach, comparing the relative predation risk of interacting prey
87 adopting different strategies. However, implicitly or explicitly, these previous works
88 evaluate the relative effectiveness of different behavioural strategies only after the
89 process of aggregation has resulted in a stable group configuration. Here we aim to
90 generalise this to the biologically realistic case where attacks occur while
91 aggregations are still in the process of forming.

92

93 Facultative group formation may be triggered by cues that provide either
94 information about general risk of attack or warning of an impending specific attack.
95 An example of the first case may be aggregation driven by lower environmental light
96 levels (triggered by cloud formation or impending dusk) that are correlated with
97 enhanced attack rates by predators and/or reduced ability to detect or evade attacks
98 that do occur (Lima 1988; Metcalfe & Ure 1995). An example of the second might be
99 a predator that has to break from cover and travel a distance across open ground
100 before making contact with the prey (such as a cheetah stalking prey through long
101 grass before attacking; Schaller 1968). As soon as the predator breaks cover it is

102 likely to be much more easily detectable than it was previously. In response to such
103 detection there may be sufficient time for the prey to initiate (and perhaps even
104 complete) the process of aggregation before the predator has closed on the prey;
105 however sometimes the prey may still be in the process of aggregating when the
106 predator strikes. This last situation is an exemplar of the type of phenomenon
107 modelled in this paper.

108

109 As discussed above, the process of aggregation can be thought of as being triggered
110 by cues that a specific attack is imminent. However, the point at which individual
111 prey are at risk from the attack may be later than that (Bednekoff & Lima 1998).
112 Implicit in the concept of the domain of danger and its modifications is the issue of
113 target selection by the predator. A relatively large domain of danger indicates a large
114 relative risk of being the target of a particular attack (Hamilton 1971; Morton et al.
115 1994). If we take the example of a cheetah breaking cover from some trees and
116 closing in across grassland on a number of gazelle, it is likely that distance from cover
117 to any prey is sufficient that there may be changes in the relative positioning and
118 behaviour of the gazelle before the cheetah has closed sufficiently to tackle any one
119 individual. Hence, in such cases it is likely to be advantageous to the cheetah to delay
120 selection of a particular gazelle to target in the attack until some time into the
121 closing phase of its attack. It is at this moment of target selection that the relative
122 predation risks of prey in different positions (relative to others) most directly impacts
123 on the outcome of the attack for specific prey individuals (Bednekoff & Lima 1998).
124 Thus it is at this point in the predation sequence that the relative effectiveness of
125 different aggregation strategies is evaluated in our model. This moment may well

126 occur while the process of aggregation is still ongoing. Neill & Cullen (1974) and Lima
127 (2002) both discuss the value of clearly separating predator strategy from tactics.
128 Our paper can be seen as a small step in correcting the current imbalance of
129 research focus on the first of these. We deal not with the larger scale question of
130 how animals trade-off predation risk and resource exploitation, but the finer-scale
131 issue of identification of the anti-predator tactics that would be useful in different
132 circumstances.

133

134 Throughout this paper, the timing of attacks refers to the timing of the moment of
135 target selection by the predator relative to the time when the predator could first be
136 detected by the prey (causing the prey to initiate defensive aggregation; Bednekoff
137 & Lima 1998). We demonstrate the importance of considering the temporal aspect
138 of movement decisions in the reduction of individual risk within groups of animals.

139 We investigate the relative success of different movement rules, and the success of a
140 mutant strategy invading a population of individuals using an alternative strategy.

141 We explore a variety of rules of increasing complexity, since some complex
142 movement rules have been criticized (Morton et al. 1994; Viscido et al. 2002) on the
143 basis that they may be cognitively too demanding for animals to follow. We find that
144 the invasion dynamics depend critically on the timescale of movement, and focus
145 our evaluation of these results on the evolutionary consequences of variation in
146 attack time.

147

148 **Methods**

149 We use the agent-based modelling framework described by James et al. (2004) and
150 Morrell & James (2008) as the basis for our simulation model of aggregation
151 behaviour. N point-like agents (prey individuals; we use $N=20$ as the default here)
152 are placed in a two-dimensional circular arena of radius R (measured in m, although
153 the model is applicable to any unit) following a uniform random distribution. The
154 density of individuals (per m^2) within the group, d , is given by $N/\pi R^2$. We investigate
155 the effect of altering N and d (R) on the results in the electronic supplementary
156 material. Each agent is surrounded by a two-dimensional domain of danger (a
157 limited domain of danger, LDOD) in the shape of a circle of radius r , with a maximum
158 area $A_{max} = \pi r^2$. We use the LDOD framework (James et al. 2004), rather than one
159 where peripheral individuals have infinite domains of danger, as it is thought to
160 more closely resemble predation risk in the wild (James et al. 2004; Morrell & James
161 2008). Only isolated individuals (those at least $2r$ from any others) have an LDOD
162 area of A_{max} . For other individuals, the circular LDOD is reduced by any bisector
163 generated by an agent within a distance of $2r$, giving an LDOD area A . In each
164 simulation, each agent is allocated a movement rule from those defined below.

165

166 We consider three different movement rules (a subset of previously proposed rules
167 and those considered in Morrell & James (2008)). In line with Hamilton's (1971)
168 original ideas, and to allow for comparison with other published studies (Morton et
169 al. 1994; Viscido et al. 2002; James et al. 2004; Morrell & James 2008), we do not
170 explicitly model predator behaviour: agents do not receive any directional
171 information regarding the predator's approach direction. Such directional
172 information has been shown to result in a movement decision that comprises both

173 movement away from the predator, and movement towards other individuals within
174 the group (Viscido et al 2001). Here, we focus our attention on the more
175 controversial aspect of movement towards other individuals, rather than simply
176 away from the predator. In addition the habitat is assumed to be homogenous, with
177 no areas of cover that could potentially be used by the prey for protection.

178

179 The rules we consider are:

- 180 1) *Nearest Neighbour* (NN): Each agent moves directly towards its closest
181 neighbour (Hamilton 1971). NN is the simplest rule we consider.
- 182 2) *Multiple Nearest Neighbour* (n NN): Individuals move towards the average
183 location of several (n) nearest neighbours (Morton et al. 1994). For simplicity,
184 we consider only $n=3$ here.
- 185 3) *Local Crowded Horizon* (LCH): Each individual moves towards the area with
186 the densest concentration of conspecifics, depending on their positions
187 relative to the focal individual. Individuals calculate the distance to their (up
188 to) 20 closest neighbours, and close neighbours have a strong influence on
189 movement direction, while distant group-mates exert a much weaker
190 influence. We use the perception function suggested by Viscido et al. (2002)
191 as being biologically plausible: $\frac{k}{1+kx}$, where x is the distance from the
192 focal individual and $k=0.375$ (Viscido et al. 2002). The influence of each
193 neighbour is weighted by $f(x)$.

194 In our simulations, N_{NN} agents used the NN rule, N_{3NN} agents used the 3NN rule, and
195 N_{LCH} agents used the LCH rule ($N_{NN} + N_{3NN} + N_{LCH} = N$).

196

197 In each timestep t , until a maximum t_{max} (here, $t_{max} = 10$ seconds), each agent
198 identifies its target location, based on the movement rule it is following, and then
199 moves at a speed of 0.15m/s towards that target location (the approximate
200 swimming speed of a three-spined stickleback; James et al. 2004; Morrell & James
201 2008). Each timestep in our simulations lasts 0.1 seconds. The start of the simulation
202 ($t = 0$) represents the point at which the agents begin moving. All individuals move
203 simultaneously, as is likely to occur in nature, rather than sequentially, and thus each
204 agent updates its target location and direction in every timestep. Bode et al (in
205 press) demonstrate that under higher predation threat, synchronous behaviour
206 becomes increasingly probable. LDOD areas are calculated after every timestep and
207 for every individual. We ran 1000 simulations for each set of parameter values. All
208 simulations were run in C, and resulting data were analysed using Matlab® R2007b
209 (Mathworks 2007).

210

211 At each timestep, we calculated the total LDOD area (the sum of A for all individuals,
212 A_{tot}). We then calculated the proportion of A_{tot} occupied by each individual, to give a
213 measure of the way predation risk is distributed among individuals. For each
214 timestep, we then calculated the mean proportion of A_{tot} for all individuals using
215 each strategy. Finally, we calculated the mean and standard error of these values
216 across 1000 replicate simulations. This method allows us to compare the relative
217 success of strategies in reducing individual risk: if the mean proportion of A_{tot}
218 occupied by individuals using one strategy is less than the mean proportion of A_{tot}

219 occupied by individuals using an alternative strategy, then the first strategy is more
220 successful at reducing individual risk.

221

222 **Results**

223 *Proportion of risk: Mixed-strategy population*

224 Figure 1 shows how the proportion of the total LDOD area (A_{tot}) occupied by
225 individuals using each strategy changes over the course of 10 seconds of movement,
226 when each strategy is equally represented in the population ($N_{NN} = N_{3NN} = N_{LCH} = 7$).

227 Figure 1 indicates that the relative success of the different movement rules is
228 dependent on the time elapsed since the start of prey movements towards each
229 other. This can be thought of as the time at which the predator closes on and makes
230 its attack on the moving prey group. Time $t = 0$, when the prey start moving, can be
231 thought of the time when the prey first detect the presence of the predator and/or
232 cues of imminent attack. At very rapid predator attack times (< 1 second after
233 detection), NN and 3NN individuals do equally well, and are more successful than
234 LCH individuals in the population (figure 1). If predators attack more slowly (after
235 between 1 and 5 seconds of movement), 3NN individuals do considerably better
236 than individuals using other rules. After 5 seconds of movement, LCH individuals are
237 most successful. Thus, if we considered only what happened when the population
238 reached equilibrium (i.e. when movement ceases), we could conclude that the LCH
239 strategy was the most successful, yet the 3NN strategy performs better for a
240 considerable proportion of the movement time. Thus selection pressure on
241 movement rules depends on the characteristic interval that prey have for

242 aggregative movements between them first detecting the predator and the predator
243 being in a position to capture an individual.

244

245 *Mutant invasions*

246 We next consider the ability of 'mutant' rules to begin to invade a population using a
247 different movement rule. In each set of simulations, 19 individuals use the
248 'population strategy', and one individual uses an alternative strategy. We investigate
249 all 6 potential mutant-population combinations. Figure 2 illustrates the ability of the
250 mutant rules to invade each population type, showing the mean proportion of the
251 total LDOD area (A_{tot}) occupied by the mutant and population members.

252 The ability of a mutant using one strategy to invade a population using an alternative
253 strategy is highly dependent on the time at which the invasion is considered. A NN
254 population (figure 2b & d) is stable against invasion by both the 3NN (2b) and LCH
255 (2d) mutant for the first approximately 1.5 seconds of movement. After this time,
256 the 3NN mutant can successfully invade, but the population is stable against invasion
257 by the LCH mutant for intervals less than approximately 4 seconds. A 3NN population
258 (figure 2a & f) is always stable against invasion by NN mutants (2a) and against LCH
259 mutants for intervals less than approximately 7 seconds (2f). If the predator attacks
260 with a longer interval than this, the LCH mutant can invade the population. Finally, in
261 a population of LCH individuals (figure 2c & e), both NN and 3NN mutants can invade
262 if predators attack rapidly (< 1 second for NN, < 2 seconds for 3NN), but if the
263 predator attacks after more than 2 seconds of movement has taken place, a LCH
264 population will be stable against invasion.

265

266 *Invasion dynamics*

267 We now consider what happens as the number of mutants in a population increases
268 (either through individuals using different strategies entering the group, individuals
269 switching strategy, or through reproduction where parental strategies are passed on
270 to offspring). We initially consider a single mutant individual using one strategy
271 invading a population using an alternative strategy (thus considering the strategies in
272 a pair-wise game), then increase the number of mutants (5 and 10 mutant
273 individuals in a total population of 20). As before, we calculate the mean proportion
274 of the total LDOD area occupied by individuals using each strategy. We then
275 calculate the difference in these values as (mutant mean – population mean), giving
276 a positive value where the population is stable against invasion, and a negative value
277 where the mutant is able to invade. This is shown in figure 3, where each panel
278 represents a different mutant-versus-population comparison, with three different
279 mutant frequencies.

280

281 At the start of each predator attack, there are initial intervals of a few tenths of a
282 second where invasion is possible in all populations ($t < 0.3$). These occur when the
283 individuals have only just begun movement, and are close to being randomly
284 positioned in the arena. There is a possibility, then, that a mutant using any strategy
285 could invade a population using any alternative strategy, if predators attack in the
286 first moments after detection by the prey (for example, an ambush predator).

287

288 We now consider whether populations are stable against invasion after potentially
289 adaptive movement has taken place. If we consider pairs of populations in turn, we

290 can investigate whether a population using strategy 'A' is stable against a mutant
291 using strategy 'B' and vice versa. A single NN mutant can invade a 3NN population
292 (figure 3a, squares) only in the first moments of movement, and thus 3NN is stable
293 against NN. NN populations are stable against 3NN (figure 3b) only if the predator
294 attacks within the first two seconds, otherwise, the 3NN mutant can invade. When
295 NN plays LCH (figure 3c), the LCH population is stable after 1.5 seconds of
296 movement, while a NN population is stable against invasion by a single mutant for
297 intervals less than 4.5 seconds (figure 3d). In the final combination, 3NN can invade
298 LCH for around 2.5 seconds after movement begins (figure 3e), while being stable
299 against a LCH mutant unless the interval is greater than approximately 7.5 seconds
300 (figure 3f).

301

302 If a mutant can invade a population, then that mutant can increase in frequency in
303 the population, and there is the potential for the mutant strategy to become the
304 dominant strategy in the population or for a combination of strategies to co-exist.
305 Figures 3a-f also show the invasion success of mutants as their numbers increase to 5
306 (circles) and then 10 (triangles). Our results show that once a mutant strategy has
307 gained a foothold in the population, the range of intervals over which that strategy is
308 more successful than the original population strategy increases (the areas where the
309 difference between the means is negative increases). We consider first the case
310 where predator attack times are fixed (i.e. the predator always attacks at a certain
311 point in time), and discuss how each pair-wise combination of strategies is likely to
312 evolve:

313

314 **NN and 3NN:** For the majority of predator attack times, a 3NN population is stable
315 against invasion by NN, and a 3NN mutant can invade a NN population. However, if
316 predators attack rapidly, invasion by a NN mutant is possible, and a NN population is
317 stable (Figure 3a and b). If NN initially invades, then it can increase in numbers until
318 the population consists of 10 NN and 10 3NN individuals (figure 3a). For slower
319 (later) predator attack times (larger values of t), the opposite is true: 3NN mutants
320 can increase in number, and persist at increasingly rapid attack times (figure 3b).

321

322 **NN and LCH:** A single NN individual can invade a LCH population at rapid predator
323 attack times and remains more successful at these times as its numbers increase
324 (figure 3c). A single LCH individual can successfully invade a NN population after 4.5
325 seconds (figure 3d), and remains more successful at later attack times as numbers
326 increase. When the group is equally divided between the two strategies, there is a
327 very small period of time (at around 2 seconds) where success of the two strategies
328 is similar (the point at which the triangles on figures 3c and 3d cross the 'zero' line).
329 For intervals of this length, it is possible that a mixed group of individuals might
330 persist, unless random drift allows the numbers of one or the other to increase to
331 fixation. Otherwise, the population is likely to fix at NN (if attack times are rapid) or
332 LCH (if attack times are slower).

333

334 **3NN and LCH:** A similar pattern is seen for the remaining strategy combination: 3NN
335 can increase in numbers if predators attack rapidly (figure 3e), while LCH can
336 increase if predators attack later (figure 3f). When the group consists of half 3NN and
337 half LCH, there is again a small period of time where strategies could co-exist, but

338 generally, we would expect the population to evolve to either 3NN (if attacks are
339 rapid) or LCH (if attacks are slow).

340

341 **Discussion**

342 Our results suggest that the consideration of the timing of predator attacks (relative
343 to the movement speed of the prey) is critically important in understanding the anti-
344 predator responses of prey. The timing parameter studied in our model is the delay
345 between prey first being aware of impending attack and an individual actually being
346 struck by the predator. This will be influenced both by the nature of the predator
347 and by the environment. For predators that attack from protective cover, the greater
348 the distance between such cover and the prey group the greater the attack time.
349 Thus we would expect this attack time to be longer in open environments (like
350 Steppe grasslands, tundra, savannah, lakes and seas) than more complex
351 environments where prey can less easily avoid potential hiding places of their
352 predators (like scrubland, forest, or narrow rivers and streams). While we found that
353 complex strategies are generally favoured over longer attack times, no defence is
354 effective at very short attack times (against ambush predators). This is because there
355 is insufficient time between predator detection and attack for a significant amount
356 of adaptive movement to take place, and individuals remain close to their random
357 starting positions. The benefits of potentially adaptive movement are only seen later
358 in the movement sequence. Complex strategies are not immediately favoured,
359 particularly in low density groups (see electronic supplementary material), due to
360 the fact that individuals using these rules can be left moving 'alone' with no
361 reduction in LDOD area, for a longer time period, as their strategy does not take

362 them directly towards another individual (thereby rapidly reducing LDOD area). With
363 the exception of the initial moments of the movement sequence, we found no
364 evidence that multiple strategies might coexist in a population at any given attack
365 time, suggesting that variation in predator attack timing would be necessary to allow
366 for multiple strategies to coexist.

367

368 Our results also suggest that there may be positive frequency dependence to rule
369 success. A strategy can invade across a wider range of attack times if it starts at a
370 higher frequency. Thus, both simple and complex rules are favoured when they are
371 already abundant in the population. A population consisting predominantly of one
372 strategy is much more stable against invasion than one consisting of a mixture of
373 strategies. This suggests that each strategy is most successful when in a group
374 containing others playing the same strategy, and may work against polymorphism at
375 any fixed attack time, again suggesting that a mixture of attack times is needed to
376 select for mixed responses in prey.

377

378 Overall, if predator attack times are fixed, then there are very few opportunities for a
379 mixture of different strategies to persist in the population. A second, and perhaps
380 more likely, possibility is that predator attack times are not fixed, but that predators
381 may attack a group across a range of times. Together, our results point to the
382 following hypothesis: If predators are fixed in their attack strategy (i.e. they always
383 attack at the same point in time) we predict that prey populations should evolve
384 towards a single, evolutionarily stable movement rule. However, if predator attacks
385 are unpredictable in time, either because different individual predators have

386 different, fixed, attack times, different species of predators differ in their hunting
387 methods and therefore attack timing, or other factors (such as distance from cover)
388 cause variation in attack timing, then we predict mixed responses in prey. Some prey
389 individuals may use simple rules, and others more complex rules (a polymorphism),
390 or individuals may respond using alternative rules (a mixed solution), perhaps
391 dependent upon other factors, such as the immediate size and density of the group,
392 or their own individual perceived risk. For example, individuals may use their
393 distance to neighbours to choose which rule to use; using one rule when close to a
394 neighbour, and a different rule if isolated. In a group consisting of individuals using
395 NN and LCH, for example, the individuals using LCH would be safer on those
396 occasions where predators attack slowly, while those using NN would be safer on
397 those occasions where predators attack more rapidly, thus, prey could select their
398 strategy based on the distance between the predator and the group. The overall
399 success of the two strategies and the dynamics of the population evolution would be
400 dependent on the distribution of predator attack times.

401

402 Many species are preyed upon by a variety of predators differing in their attack
403 behaviour, and where multiple predators are present, prey are likely to evolve a
404 range of risk-sensitive responses (Lima & Bednekoff 1999; Relyea 2003). Vervet
405 monkeys (*Cercopithecus aethiops*), under threat from leopards, martial eagles and
406 pythons, show predator-specific alarm calls and anti-predator responses (Struhsaker
407 1967; Seyfarth et al. 1980). In fish, guppies (*Poecilia reticulata*) fall prey to a variety
408 of other organisms, and respond in a risk-sensitive manner (Botham et al. 2008).
409 Thus, we may expect different movement rules to evolve in response to different

410 predators. Individuals of the same predatory species may also differ consistently in
411 their attack strategies (Sih et al. 2004). While some variation in attack times can be
412 attributed to predator species or strategy, variation in attack timing is also likely to
413 result from random factors, such as the distance from the prey group at which the
414 predator is first detected. Testing this hypothesis is a fascinating route for future
415 study.

416

417 Previous studies have shown that individuals using simpler strategies (such as NN)
418 can achieve protection through encounter-dilution effects (Morrell & James 2008),
419 and here we show that these individuals can also benefit at the expense of others in
420 the group (i.e. through the selfish herd effect), given the right ecological conditions.

421 Using an evolutionary genetic algorithm Reluga & Viscido (2005) demonstrated that
422 complex averaging rules could evolve from simpler ones, but considered only
423 equilibrium DOD areas once the aggregation had formed, and not attacks that occur
424 while the aggregation is in the process of forming. Empirical evidence for the use of
425 different movement rules is limited (Morrell & James 2008), although in three-spine
426 sticklebacks, individuals appear to prefer neighbours that can be reached more
427 quickly over those that are spatially closer (Krause & Tegeger 1994).

428

429 In line with previous explorations of the selfish herd, we assume here that predators
430 appear at random and attack the closest individual, so that risk is related entirely to
431 LDOD area. In reality, predators may target specific individuals within a group, or
432 certain positions within the group may be more risky than others. Peripheral
433 individuals should theoretically be more at risk than those in the centre of a group

434 (Hamilton 1971; Vine 1971), something that has received support across taxa
435 (Stankowich 2003; Morrell & Romey 2008; but see Parrish 1989). An individual's
436 position within a group may therefore affect the best way for it to respond to a
437 predator attack, and thus movement rules may also be state-dependent (Rands et al.
438 2004), perhaps related to each individual's perception of its position within the
439 group or level of risk (LDOD area). Vigilance and the detection of predators by prey
440 may also affect optimum movement decisions. If predators delay target selection
441 until after some individuals have detected and responded to the predator (Bednekoff
442 & Lima 1998), any delay in responding may also influence optimal movement rules.
443 Here we have shown the importance of closing speed of the predator relative to the
444 movement speed of prey on selection on anti-predator grouping behaviours.
445 Interestingly, Wood (2010) demonstrates a similar strong influence of predator
446 speed on the final shape of aggregations predicted by plausible prey movement
447 rules.

448

449 We also assume that all individuals begin moving at the same point in time, and at
450 the same speed, when in many animal groups there is variation in both 'starting
451 time' and movement speed. We suggest that the optimal movement rule for any
452 given individual should depend on its escape speed. A fast-moving individual may
453 benefit by using a simple rule, particularly if predators attack quickly. Presumably, a
454 fast-NN animal could easily invade a population using slow-NN, leading to the
455 evolution of faster escape responses. The speed of decision-making may also be
456 important: complex movement rules have been criticised (Morton et al. 1994;
457 Viscido et al. 2002) on the basis that they may be cognitively too demanding for

458 animals to follow. Incorporating a ‘thinking time’ into each movement step could
459 account for the complexity of the calculations.

460

461 Our findings have implications for our understanding of predator-prey dynamics, as
462 the timing of a predator attack relative to the detection of the predator by the prey,
463 and the prey response, is critical in determining the optimal escape decision of the
464 prey. Early and late attacking predators may exert different selection pressures on
465 prey, resulting in a mixture of escape strategies within a single prey population. The
466 movement rules used to escape from predators could also influence positioning
467 outside attack periods, allowing individuals to choose a position in a group which
468 allows for successful reduction of risk when attacks occur. Clearly, the ability of prey
469 to respond appropriately to predators will be critical for their fitness, but equally,
470 predators that behave unpredictably (by attacking at different times) may ensure
471 that a single optimal prey response cannot evolve, and leave a mixture of different
472 escape strategies within a population. We have adopted a modelling framework in
473 this study that allows effective comparison with previous relevant studies. However,
474 it should be acknowledged that this represents a highly idealised description of any
475 natural system. As the body of theory on the selfish herd becomes more and more
476 substantial, the need to explore how well the predictions of idealised models
477 transfer to system-specific, more-realistic case studies grows also. In our modelling
478 framework we do not consider predator success and thus cannot evaluate selection
479 pressures on the predator, thus, the longer-term evolutionary consequences of the
480 aggregative tactics explored here are also worthy of exploration within a co-
481 evolutionary modelling framework.

482

483 **Acknowledgements**

484 LJM is funded by a NERC Postdoctoral Fellowship (NE/D008921/1). Paul Bennett
485 wrote the original program on which this work is based. We would like to thank
486 Michael Doebeli, Jamie Wood, Ben Chapman, Stuart Humphries and several
487 anonymous referees for insightful comments on previous versions.

488

489 **References**

- 490 Bednekoff, P. A. & Lima, S.L. 1998 Re-examining safety in numbers: interactions
491 between risk dilution and collective detection depend upon predator
492 targeting behaviour. *Proc. R. Soc. Lond. B* 265, 2021-2026.
- 493 Beecham, J. A. & Farnsworth, K.D. 1999 Animal group forces resulting from predator
494 avoidance and competition minimization. *J. Theor. Biol.* 198, 533-548.
- 495 Bode, N.W.F, Faria, J.F., Franks, D.W., Krause, J. & Wood, A.J. (in press) How
496 perceived threat increases synchronization in collectively moving animal
497 groups. *Proc. R. Soc. Lond. B*
- 498 Botham, M. S., Hayward, R. K., Morrell, L. J., Croft, D. P., Ward, J. R., Ramnarine I.
499 & Krause, J. 2008 Risk sensitive anti-predator behaviour in the Trinidadian
500 guppy, *Poecilia reticulata*. *Ecology* 89, 3174-3185
- 501 Foster, W. A. & Treherne, J. E. 1981 Evidence for the dilution effect in the selfish
502 herd from fish predation on a marine insect. *Nature* 293, 466-467.
- 503 Hamilton, W. D. 1971 Geometry for the selfish herd. *J. Theor. Biol.* 31, 295-311.
- 504 James, R., Bennett, P. G. & Krause, J. 2004 Geometry for mutualistic and selfish
505 herds: the limited domain of danger. *J. Theor. Biol.* 228, 107-113.

506 Krakauer, D. C. 1995 Groups confuse predators by exploiting perceptual bottlenecks:
507 A connectionist model of the confusion effect. *Behav. Ecol. Sociobiol.* 36, 421-
508 429.

509 Krause, J. & Ruxton, G. D. 2002 *Living in Groups*. Oxford: Oxford University Press.

510 Krause, J. & Tegeder, R. W.. 1994 The mechanism of aggregation behaviour in fish
511 shoals: individuals minimize approach time to neighbours. *Anim. Behav.* 48,
512 353-359.

513 Lima, S. L. 1988 Vigilance during the initiation of daily feeding in dark-eyed juncos.
514 *Oikos* 53, 12-16.

515 Lima, S. L. 2002. Putting predators back into behavioral predator-prey interactions.
516 *Trends Ecol. Evol.* 17, 70-75.

517 Lima, S. L. & Bednekoff, P. A. 1999 Temporal variation in danger drives antipredator
518 behavior: The predation risk allocation hypothesis. *Am. Nat.* 153, 649-659.

519 Metcalfe, N. B. & Ure, S. E. 1995 Diurnal-variation in-flight performance and hence
520 potential predation risk in small birds. *Proc. R. Soc. Lond. B* 261, 395-400.

521 Miller, R.C. The significance of the gregarious habit. *Ecology* (1922) 3, 122–126

522 Morrell, L. J. & James, R. 2008 Mechanisms for aggregation in animals: rule success
523 depends on ecological variables. *Behav. Ecol.* 19, 193-201.

524 Morrell, L. J. & Romey, W. L. 2008 Optimal individual positions within animal groups.
525 *Behav. Ecol.* 19, 909-919.

526 Morton, T. L., Haefner, J. W., Nugala, V., Decino, R. D. & Mendes, L. 1994 The Selfish
527 Herd revisited: do simple movement rules reduce relative predation risk. *J.*
528 *Theor. Biol.* 167, 73-79.

529 Neill, S. R. S. and J. M. Cullen. 1974. Experiments on whether schooling by their prey
530 affects hunting behavior of cephalopods and fish predators. *J. Zoology* 172,
531 549-569.

532 Parrish, J. K. 1989 Reexamining the selfish herd: are central fish safer? *Anim. Behav.*
533 38, 1048-1053.

534 Rands, S. A., Pettifor, R. A., Rowcliffe, J. M. & Cowlshaw, G. 2004 State-dependent
535 foraging rules for social animals in selfish herds. *Proc. R. Soc. Lond. B.* 271,
536 2613-2620.

537 Reluga, T. C. & Viscido, S. 2005 Simulated evolution of selfish herd behavior. *J. Theor.*
538 *Biol.* 234, 213-225.

539 Relyea, R. A. 2003 How prey respond to combined predators: A review and an
540 empirical test. *Ecology* 84, 1827-1839.

541 Schaller, G. B. 1968 Hunting behaviour of the cheetah in the Serengeti National Park.
542 *E. Afr. Wildl. J.* 6, 95-100.

543 Seyfarth, R. M., Cheney, D. L. & Marler, P. 1980 Monkey responses to 3 different
544 alarm calls: Evidence of predator classification and semantic communication.
545 *Science* 210, 801-803.

546 Sih, A., Bell, A. M., Johnson, J. C. & Ziemba, R. E. 2004 Behavioral syndromes: An
547 integrative overview. *Q. Rev. Biol.* 79, 241-277.

548 Struhsaker, T. 1967 Auditory communication among vervet monkeys (*Cercopithecus*
549 *aethiops*). In *Social Communication Among Primates* (ed. S. Altmann), p 281.
550 Chicago: University of Chicago Press.

551 Turner, G. F. & Pitcher, T. J. 1986 Attack abatement: a model for group protection by
552 combined avoidance and dilution. *Am. Nat.* 128, 228-240.

553 Viscido, S. V., M. Miller, and D. S. Wethey. 2001. The response of a selfish herd to an
554 attack from outside the group perimeter. *J. Theor. Biol.* 208, 315-328.

555 Viscido, S. V., Miller, M. & Wethey, D. S. 2002 The dilemma of the selfish herd: The
556 search for a realistic movement rule. *J. Theor. Biol.* 217, 183-194.

557 Viscido, S. V. & Wethey, D. S. 2002 Quantitative analysis of fiddler crab flock
558 movement: evidence for 'selfish herd' behaviour. *Anim. Behav.* 63, 735-741.

559 Watt, P. J., Nottingham, S. F. & Young, S. 1997 Toad tadpole aggregation behaviour:
560 evidence for a predator avoidance function. *Anim. Behav.* 54, 865-872.

561 Wood, A.J. 2010 Strategy selection under predation: evolutionary analysis of the
562 emergence of cohesive aggregations. *J. theor. Biol.* 264, 1102-1110.

563 Wood, A. J. & Ackland, G. J. 2007 Evolving the selfish herd: emergence of distinct
564 aggregating strategies in an individual-based model. *Proc. R. Soc. Lond. B.*
565 274, 1637-1642

566

567 **Figure legends**

568

569 **Figure 1:** Proportion of risk: Mean (± 2 SE) proportion of total LDOD area occupied
570 by individuals using NN (squares), 3NN (triangles) and LCH (circles), when all
571 strategies are equally represented in the population. Parameter values: $N_{NN} = N_{3NN} =$
572 $N_{LCH} = 7, d = 4$

573

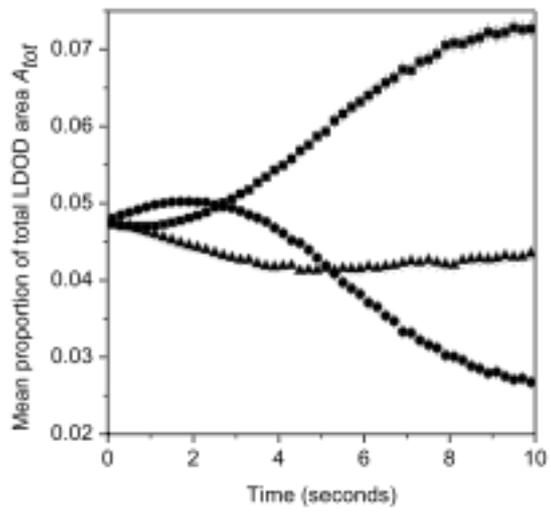
574 **Figure 2:** Mean (± 2 SE) proportion of total LDOD area occupied by the mutant (open
575 shapes) and an average population member (filled shapes), for each possible
576 combination of mutant (open circles) and population strategy (filled squares). **a)** A
577 NN mutant in a population of 3NN ('NN v 3 NN'); **b)** 3NN v NN; **c)** NN v LCH; **d)** LCH v
578 NN; **e)** 3NN v LCH; **f)** LCH v 3NN. Other parameter values: $N = 20, N_m = 1, d = 4$

579

580 **Figure 3:** Invasion dynamics: Invasion success as mutant numbers increase,
581 measured as the difference in the mean proportion of total LDOD area occupied by
582 mutants and population members. The horizontal line at zero indicates equal success
583 (measured as proportion of total LDOD area); positive values indicate that the
584 population is stable against invasion by the mutant, and negative values that the
585 mutant can invade the population. Squares: $N_{mut} = 1$, circles $N_{mut} = 5$ and triangles:
586 $N_{mut} = 10$. **a)** NN v 3NN **b)** 3NN v NN, **c)** NN v LCH, **d)** LCH v NN, **e)** 3NN v LCH and **f)**
587 LCH v 3NN. Error bars represent 1 standard deviation. Parameter values: $N = 20, d =$
588 4.

589

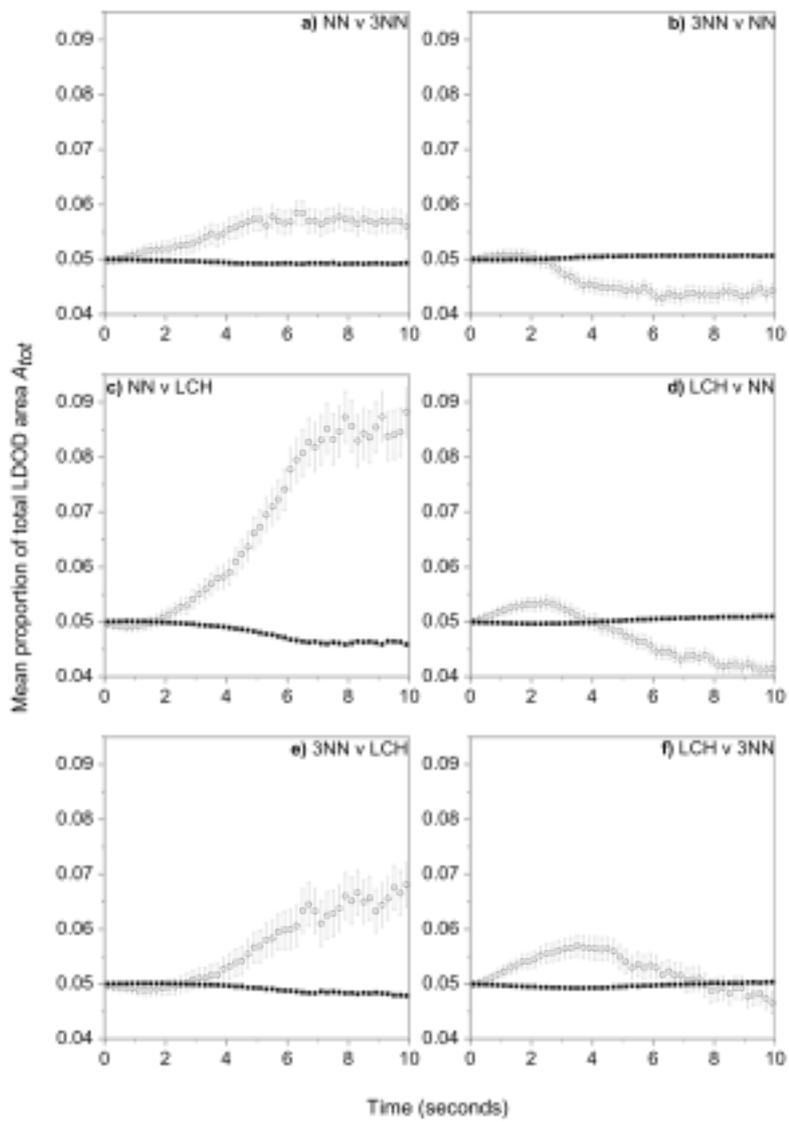
590 Figure 1



591

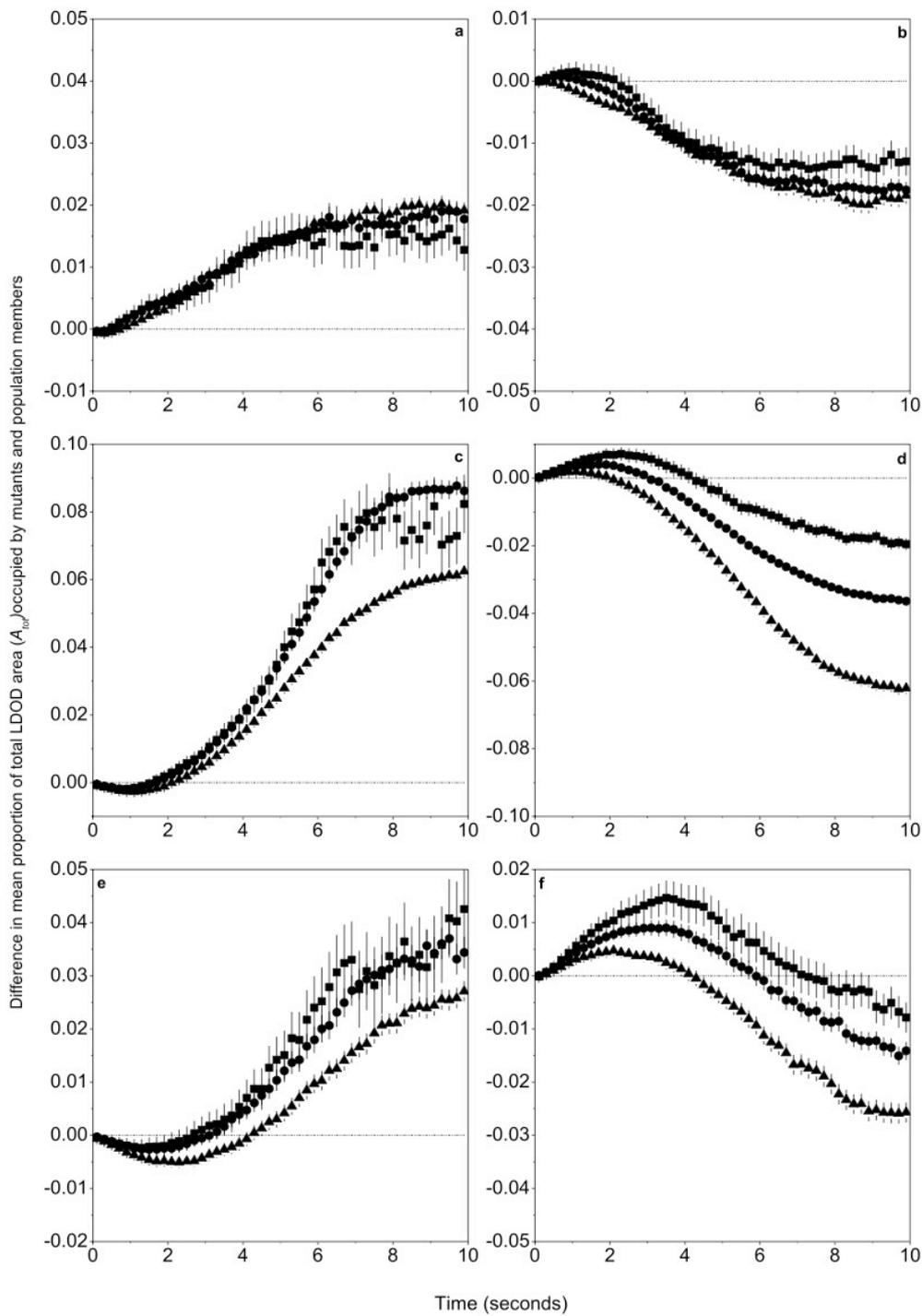
592

593 Figure 2



594

595



597

598

599 **Electronic Supplementary Material – *Adjusting population size and density***

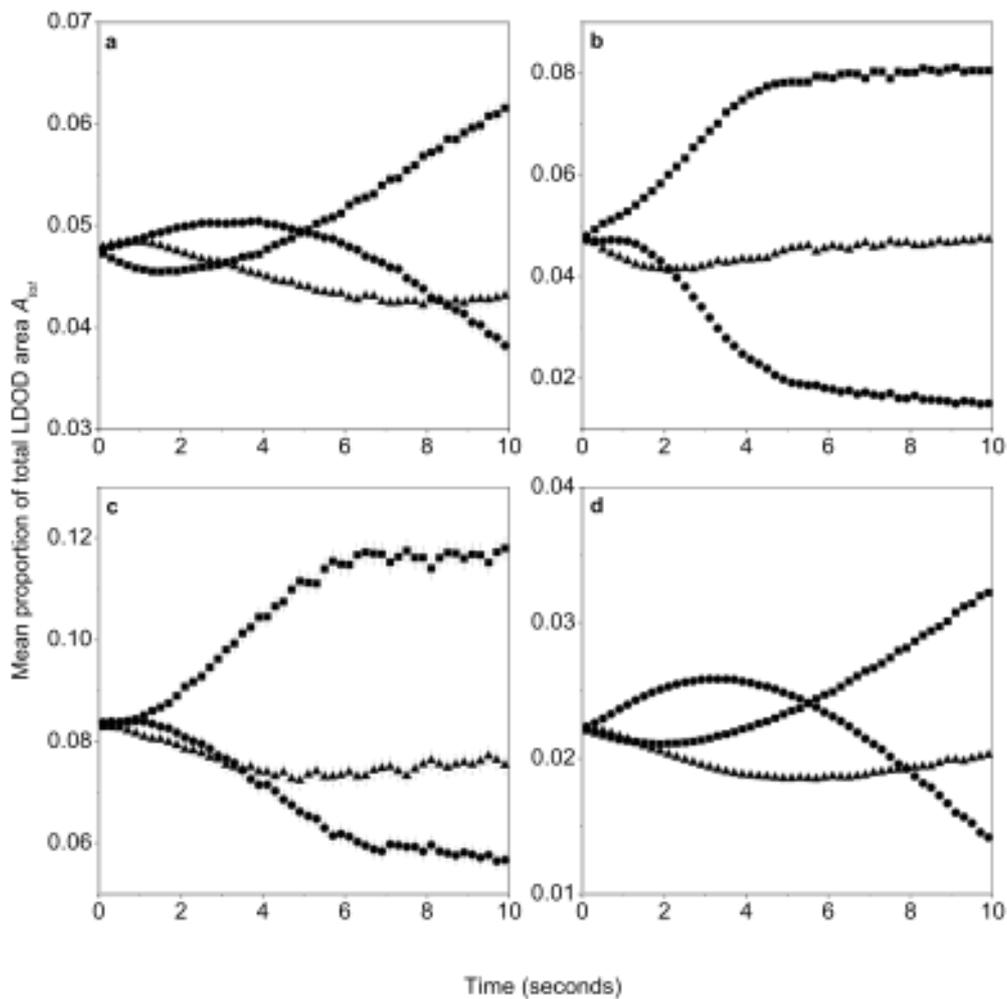
600

601 Using identical methodology to that in the main paper, we also consider the effect of
602 altering population size and density. In each of the panels in figure S1, we alter one
603 population parameter relative to figure 1. As density decreases (to $d = 2$, figure S1a),
604 the simpler strategies remain the most successful at rapid predator attack times. As
605 population density increases ($d = 10$, figure S1b; qualitatively equivalent to increasing
606 the radius of the LDOD, or the distance from which predators can successfully
607 attack), LCH becomes much more successful over a wider time range. Only at very
608 short attack times can the 3NN individuals outperform the LCH individuals. If we
609 decrease population size while maintaining density at $d=4$ (fig S1c; population size
610 has been shown previously to have an effect on rule success independent of density;
611 Morrell & James 2008), NN performs badly at all attack times, while LCH and 3NN
612 have similar success at rapid attack times, but LCH outcompetes 3NN at longer attack
613 times. If we increase population size (fig S1d) simpler rules have increased success
614 over more complex rules over a longer period at the start of simulations.

615

616 Thus, at lower population densities, and in larger populations, simpler rules are able to
617 invade populations using more complex rules (and are stable against invasion) over a
618 greater range of predator attack times. When groups are already compact (higher
619 population densities), more complex rules are needed for a mutant individual to
620 benefit at the expense of a population using simpler rules, but again, the timing of the
621 predator attack is critically important in determining the invasion success of
622 alternative strategies.

623



624

625 **Figure S1:** Population parameters: The effect of altering population size and density
 626 on the relative success of different strategies (NN - squares, 3NN - triangles and LCH
 627 - circles). Panels show: **a)** Low density population: $N_{NN} = N_{3NN} = N_{LCH} = 7, d=2$, **b)**
 628 High density: $N_{NN} = N_{3NN} = N_{LCH} = 7, d=10$, **c)** Small population: $N_{NN} = N_{3NN} = N_{LCH} =$
 629 $4, d=4$ and **d)** Large population: $N_{NN} = N_{3NN} = N_{LCH} = 15, d=4$.

630

631