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### Systematic review

# Barriers to treatment adherence in physiotherapy outpatient clinics: A systematic review

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#### ABSTRACT

Poor adherence to treatment can have negative effects on outcomes and healthcare cost. However, little is known about the barriers to treatment adherence within physiotherapy. The aim of this systematic review was to identify barriers to treatment adherence in patients typically managed in musculoskeletal physiotherapy outpatient settings and suggest strategies for reducing their impact. The review included twenty high quality studies investigating barriers to treatment adherence was associated with low levels of physical activity at baseline or in previous weeks, low in-treatment adherence with exercise, low self-efficacy, depression, anxiety, helplessness, poor social support/activity, greater perceived number of barriers to exercise and increased pain levels during exercise. Strategies to overcome these barriers and improve adherence are considered. We found limited evidence for many factors and further high quality research has focussed on patient factors and additional research is required to investigate the barriers introduced by health professionals or health organisations, since these factors are also likely to influence patient adherence with treatment.

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#### 1. Introduction

Adherence with treatment is an important factor which can influence the outcome of that treatment (Hayden et al., 2005). Adherent patients may have better treatment outcomes than non-adherent patients (Vermeire et al., 2001; WHO, 2003). Poor adherence to treatment has been identified across many healthcare disciplines including physiotherapy (Vasey, 1990; Friedrich et al., 1998; Campbell et al., 2001). The extent of non-adherence with physiotherapy treatment is unclear. One study found that 14% of physiotherapy patients did not return for follow-up outpatient appointments (Vasey, 1990). Another suggested that non-adherence with treatment and exercise performance could be as high as 70% (Sluijs et al., 1993). Poor adherence has implications on treatment cost and effectiveness.

Adherence has been defined as: "the extent to which a person's behaviour... corresponds with agreed recommendations from

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a healthcare provider" (WHO, 2003). Within physiotherapy, the concept of adherence is multi-dimensional (Kolt et al., 2007) and could relate to attendance at appointments, following advice, undertaking prescribed exercises, frequency of undertaking prescribed exercise, correct performance of exercises or doing more or less than advised. Many factors related to the patient, the healthcare provider and the healthcare organisation are thought to influence patient adherence with treatment (Miller et al., 1997). Within physiotherapy it is not clear which factors act as barriers to adherence.

Identification of barriers may help clinicians identify patients at risk of non-adherence and suggest methods to reduce the impact of those barriers thereby maximising adherence. The aim of this review is twofold. Firstly, to identify important barriers to adhering with musculoskeletal outpatient treatment. Secondly, to discuss strategies that may help clinicians to overcome these barriers.

#### 2. Methods

#### 2.1. Literature search

The following databases were searched from their inception to December 2006: AMED, CINAHL, EMBASE, MEDLINE, PUBMED,





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Table 1

Quality Assessment Tool (adapted from Borghouts et al., 1998; Scholten-Peeters et al., 2003).

Criteria	Score
Study population (A) Description of source population (B) Description of inclusion and exclusion criteria	+/-/? +/-/?
Study design (C) Prospective study design (D) Study size ≥ 300	+/-/? +/-/?
Drop-outs (E) Information completers versus loss to follow-up/drop-outs	+/-/?
Prognostic factors (F) Description of potential prognostic factors (G) Standardised or valid measurements (H) Data presentation of most important prognostic factors	+/-/? +/-/? +/-/?
Outcome measures (I) Relevant outcome measures (J) Standardised or valid measurements (K) Data presentation of most important outcome measures	+/-/? +/-/? +/-/?
Analysis and data presentation (L) Appropriate univariate crude estimates (M) Appropriate multivariate analysis techniques	+/-/? +/-/?

 $[+ = \text{positive (design/conduct adequate, scores 1 point);} - = \text{negative (design or conduct inadequate, scores 0 points); ? = unclear (item insufficiently described, scores 0 points)].$ 

PSYCINFO, SPORTDISCUSS, the Cochrane Central Register of Controlled Trials and PEDro. The following keywords were used: 'barriers', 'prognostic', 'predictor', 'adherence', 'compliance', 'concordance', 'therapy', 'physical', 'physiotherapy', 'osteopath', 'chiropractor', 'sports', 'pain', 'joint', 'muscle', 'musculoskeletal', and 'outpatients'. The references of primary studies identified were scanned to identify further relevant citations. Internet searches of Google and Google Scholar were conducted.

#### 2.2. Study selection

Table 2

Studies were included if they: (1) were RCTs, prospective studies, CCTs or cross-sectional surveys which were peer-reviewed and published in the English language, (2) investigated patients with mechanical musculoskeletal dysfunctions, (3) related to treatment or therapeutic exercise administered by physical or exercise therapists and (4) identified barriers or predictors of adherence.

Studies were excluded if they investigated non-attendance at initial appointments, asymptomatic populations, in-patient populations, life threatening conditions/reduced mortality, nonmusculoskeletal conditions or systemic musculoskeletal conditions being managed primarily by drug therapy or a multidisciplinary team approach.

A three phase screening strategy was used to identify relevant articles. Firstly, one investigator (KJ) identified potentially relevant studies by scanning their titles and abstracts. Secondly, remaining citations were examined independently by two investigators (KJ & SMc) and agreement reached on articles which did not meet the selection criteria. Finally, both investigators (KJ & SMc) independently reviewed the full text of remaining articles against the selection criteria and consensus was reached for their inclusion in the review. In the event of disagreement, a third reviewer (JKM) arbitrated.

#### 2.3. Quality assessment of studies

The quality tool used in this review was modified from tools used in previous systematic reviews (Borghouts et al., 1998; Scholten-Peeters et al., 2003). Since adherence was the focus of this study, "loss to follow-up" was eliminated as an item of assessment from the quality tool. Therefore the quality assessment tool consisted of 13 criteria (see Table 1). The standard of information required to meet each criterion was set a-priori. Criterion meeting the quality standard were given a score of 1, while those not meeting the standard were given a zero score. Studies scoring  $\geq 7$ were considered 'high quality', while those scoring <7 were considered 'low quality' (Borghouts et al., 1998; Scholten-Peeters et al., 2003). Multiple publications derived from a single cohort were awarded one quality score based on the information available from all the publications (Scholten-Peeters et al., 2003). Two reviewers (KJ & SMc) independently assessed and scored the included studies. Where there was disagreement a third reviewer (EG) made the final decision.

#### 2.4. Data extraction and synthesis

A standardised template was used to extract data regarding the study population, study design, predictor variables, outcome measures, study quality, data analysis and results.

Inter-observer agreement of quality assessment was determined by calculating percentage agreement and a kappa co-efficient (Viera and Garrett, 2005). Information extracted is presented in table format to highlight methodological quality, similarities and differences between the studies. Narrative summaries of the results are provided. Qualitative conclusions are based on levels of evidence (see Table 2) which have been used in previous reviews (Karjalainen et al., 2001; Verhagen et al., 2004).

Where possible, the significance of factors affecting adherence and the levels of evidence were derived from multivariate analyses. Significant associations of p < 0.05 or relevant estimated odds ratios or risk ratios were used; these were defined as meaningful when  $\leq 0.5$  or  $\geq 2.0$  (Ariens et al., 2000).

#### 3. Results

Fig. 1 shows the process of study selection. Initial searching identified 833 citations. Following the first screening, 745 articles were excluded and 88 citations were retained for the second screening. Using inclusion and exclusion criteria a further 24 articles were excluded. Of the remaining 64 articles, four were unavailable. Initial disagreement over the selection of 18 papers occurred. Following discussions, six of these were included, with two further papers referred to the third reviewer (EG) for

Eevers of evidence.	
Strong	Consistent findings in at least 2 high quality cohorts/RCTs
Moderate	Findings from 1 high quality cohort/RCT and consistent findings from 1 or more low quality cohorts/RCTs
Limited	Findings from 1 high quality cohort/RCT or consistent findings from 1 or more low quality cohorts/RCTs
Conflicting	Inconsistent findings regardless of quality
No Evidence	No studies found

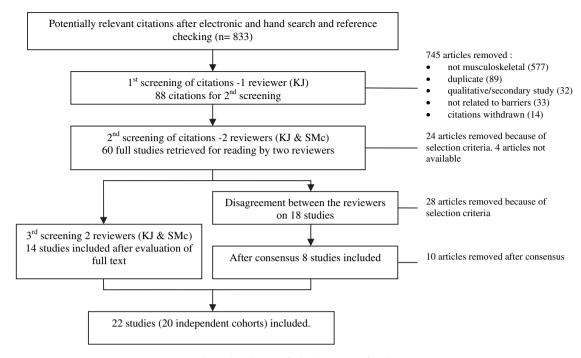


Fig. 1. Flow diagram of selection process of studies.

arbitration. In total, 22 articles reporting on 20 independent cohort studies were selected for the review.

#### 3.1. Methodological quality

The reviewers scored 286 items and disagreed on 29 items (10%). The overall inter-observer agreement ( $\kappa = 0.72$ ) represents substantial agreement between the reviewers (Viera and Garrett, 2005). Consensus was not achieved on 2 items. In each case the third reviewer (EG) made the final decision. The results of the quality assessment are shown in Table 3. Articles relating to the same cohort, e.g. Dobkin et al. (2005, 2006) and Brewer et al. (2000, 2003), had their quality assessment scores combined to prevent bias in assessing the levels of evidence. The quality scores ranged

#### Table 3

Results of methodological assessment.

from six to 11 indicating that all but one study were of high quality. The most common methodological shortfalls related to description of the source population (item A), the study size (item D) and failing to present univariate analysis (item M).

#### 3.2. Study characteristics

The main characteristics of the study populations, barriers and outcome measures for each cohort are outlined in the Supplementary electronic file. Of the 20 studies, seven recruited from osteoarthritis/rheumatoid arthritis populations attending physiotherapy (Stenstrom et al., 1997; Schoo et al., 2005), part of a health organisation (Shaw et al., 1994; Castenada et al., 1998), postsurgical patients (Fekete et al., 2006) or exercise trials (Minor and

Study	А	В	С	D	Е	F	G	Н	Ι	J	К	L	Μ	Quality Score
Schoo et al. (2005)	0	1	1	0	1	1	1	1	1	1	1	1	1	11
Alexandre et al. (2002)	1	1	1	0	0	1	1	1	1	1	1	0	1	10
Dobkin cohort <sup>a</sup>	0	1	1	0	1	1	1	1	1	1	1	0	1	10
Fekete et al. (2006)	0	1	1	0	1	1	1	1	1	1	1	0	1	10
Alewijnse et al. (2003)	0	1	1	0	1	1	1	1	1	1	1	0	1	10
Stenstrom et al. (1997)	0	1	1	0	1	1	1	1	1	1	1	1	0	10
Brewer cohort <sup>b</sup>	0	0	1	0	1	1	1	1	1	1	1	0	1	9
Castenada et al. (1998)	0	1	1	0	0	1	1	1	1	1	1	0	1	9
Funch and Gale (1986)	0	0	1	0	1	1	1	1	1	1	1	0	1	9
Kenny (2000)	0	1	1	1	0	1	1	1	1	0	1	0	1	9
Laubach et al. (1996)	0	1	1	0	0	1	1	1	1	1	1	0	1	9
Rejeski et al. (1997)	0	1	1	1	0	1	1	0	1	1	1	0	1	9
Shaw et al. (1994)	0	0	1	1	0	1	1	1	1	1	1	0	1	9
Sluijs et al. (1993)	0	0	1	1	0	1	1	1	1	1	1	0	1	9
Milne et al. (2005)	0	0	1	0	0	1	1	1	1	1	1	0	1	8
Oliver and Cronan (2002)	0	1	1	1	0	1	1	0	1	1	0	0	1	8
Chen et al. (1999)	0	1	1	0	0	1	1	0	1	1	1	0	1	8
Taylor and May (1996)	0	0	1	0	0	1	1	1	1	1	1	0	1	8
Minor and Brown (1993)	0	0	1	0	0	1	1	0	1	1	1	0	1	7
Kolt and McEvoy (2003)	0	0	1	0	0	0	1	0	1	1	1	0	1	6

<sup>a</sup> Dobkin et al., 2005 and Dobkin et al., 2006.

<sup>b</sup> Brewer et al, 2000 and Brewer et al., 2003.

Brown, 1993; Rejeski et al., 1997); four studies investigating lower back pain recruited from general outpatient populations (Sluijs et al., 1993; Alexandre et al., 2002; Kolt and McEvoy, 2003) or a tertiary rehabilitation agency (Kenny, 2000); three studies recruited from a sporting population (Laubach et al., 1996; Taylor and May, 1996; Milne et al., 2005); two studies investigated fibromyalgia patients (Oliver and Cronan, 2002; Dobkin et al., 2006); one study investigated an anterior cruciate ligament post-operative population (Brewer et al., 2003); one study recruited females suffering from urinary incontinence (Alewijnse et al., 2003); one study recruited patients with temporo-mandibular joint pain (Funch and Gale, 1986) and one study recruited patients from an upper limb rehabilitation centre (Chen et al., 1999). All studies investigated at least one aspect of treatment adherence including attendance at appointments, adherence with home exercises and in-clinic adherence. Only one study (Stenstrom et al., 1997) did not report multivariate analysis.

#### 3.3. Barriers that predict poor treatment adherence

Table 4 presents a summary of the barriers to treatment adherence. There was strong evidence that low levels of physical activity at baseline (4 trials,728 participants) or in previous weeks (2 trials, 883 participants), low self-efficacy (6 trials, 1296 participants), depression (4 trials, 1367 participants), anxiety (2 trials, 159 participants), helplessness (2 trials, 792 participants), poor social support or activity (6 trials, 2286 participants), greater perceived number of barriers to exercise (3 trials, 857 participants) and increased pain levels during exercise (2 trials, 159 participants) were barriers to treatment adherence. There was also strong evidence that low in-treatment adherence with exercise (3 trials, 287 participants) was a barrier to longer term exercise adherence. There was conflicting evidence that age and greater pain at baseline were barriers to treatment adherence. Limited evidence was found for a range of other variables with one good quality study supporting each of them.

#### 4. Discussion

This systematic review summarised the results from 20 high quality studies and found strong evidence that low levels of physical activity at baseline or in previous weeks, low in-treatment adherence with exercise, low self-efficacy, depression, anxiety, helplessness, poor social support or activity, greater perceived number of barriers to exercise and increased pain levels during exercise are barriers to treatment adherence. There was conflicting evidence regarding age and pain at baseline. Many other variables had limited evidence of being barriers to adherence.

The results of this review are in line with others which have found that non-adherent individuals were likely to have lower levels of prior activity, lower exercise self-efficacy, greater number of barriers and low levels of social support (Martin and Sinden, 2001; Jackson et al., 2005). These reviews vary from our own in that psychological variables such as anxiety, stress and helplessness did not emerge as predictive. In the review by Martin and Sinden (2001) few studies investigated whether psychological variables predicted adherence of non-clinical populations of older adults to exercise intervention. In the review by Jackson et al. (2005) there was conflicting evidence for depression and anxiety in patients attending Cardiopulmonary Rehabilitation (CPR). One reason for this could be that these traits are more likely to be present in women, who are less likely to be referred to CPR. Therefore these symptoms may be less likely to emerge as predictors of nonadherence in CPR (Benz Scott et al., 2002).

#### 4.1. Strengths and limitations of this review

This review was conducted in accordance with guidelines from the Centre for Reviews & Dissemination (CRD, 2001), however the possibility of publication bias cannot be excluded (Altman, 1991). Unpublished studies and studies from lesser known databases or published in languages other than English may have been missed. Our review considered a range of musculoskeletal conditions and study populations. Motivation to adhere with treatment and therefore the barriers may vary between different pathology types and populations (Shaw et al., 2005). We have not attempted to analyse these differences.

#### 4.2. Strengths and limitations of reviewed studies

Whilst all studies included in this review were rated as high quality, some limitations were apparent. The studies had sample sizes ranging from n = 34 (Laubach et al., 1996) to n = 695 (Sluijs et al., 1993) with only five (25%) studies exceeding 300 subjects. Whilst there are no universally agreed methods of calculating sample sizes for multivariate analysis, smaller studies with large numbers of predictive variables may allow less confidence in the findings (Tabachnick and Fidell, 2001). Some studies included in this review may be subject to this limitation.

Many potential predictors have not been investigated by the studies in our review. For example, low socioeconomic status (SES) emerged as a predictor of non-adherence with CPR (Jackson et al., 2005) and may warrant further investigation in populations with musculoskeletal disorders. In addition, much of the research has focussed on patient factors and little research has investigated the barriers introduced by health professionals or health organisations (Miller et al., 1997). Further research to investigate potential barriers such as SES, health professional factors and health organisation factors would be appropriate.

The most commonly used measures of adherence were attendance at appointments, adherence with home programmes and inclinic adherence. Whilst attendance at appointments is standardised it provides no information about patient attitude and behaviour towards rehabilitation e.g. adherence with home exercise programmes or within clinic adherence (Kolt et al., 2007). Patient self-reports using paper diaries were the most common measure of adherence with home programmes. However, poor real time compliance with diary completion and recall accuracy may lead to data of questionable validity (Stone et al., 2003). It is possible that the use of electronic diaries with compliance enhancing features may improve the quality and accuracy of data collected (Broderick and Stone, 2006; Green et al., 2006). The most common measure of in-clinic adherence was the therapist-rated Sports Injury Rehabilitation Adherence Scale (SIRAS). However patients and practitioners may disagree on the level of patient adherence (Donovan, 1995; Carr, 2001) and this variation between patient self-rating and therapist-rating of patient adherence leaves scope for considerable inaccuracy (Kolt and McEvoy, 2003). The use of therapist-rated adherence measures in conjunction with exercise diaries to corroborate patient self-reports (Kolt and McEvoy, 2003) may improve assessment of adherence (Shaw et al., 2005).

#### 4.3. Clinical implications

#### 4.3.1. Pain

Worsening pain during exercise was a barrier to adherence with exercise (Minor and Brown, 1993; Dobkin et al., 2006) indicating that strategies to minimise initial pain are important. In most cases the appropriate use of simple analgesics, heat or ice coupled with passive physiotherapy treatments, e.g. acupuncture, manual

Barrier to adherence	Level of evidence	Studies	Comments
Physical barriers Low level of physical activity or aerobic capacity at baseline	strong	Schoo et al. (2005)	Older subjects with OA who were physically active at baseline were 14 times mo likely to adhere to a home exercise programme
aerobic capacity at baseline		Stenstrom et al. (1997)	Undertaking regular range of motion exercise prior to the study predicted adherence with a one year home exercise programme in subjects with
		Minor and Brown (1993)	inflammatory rheumatoid disease. In subjects with OA/RA, low aerobic capacity at baseline predicted negative exerc behaviour 3 months and 18 months after participating in an exercise class.
		Rejeski et al. (1997)	In subjects with OA knee, lower baseline VO <sub>2PEAK</sub> predicted poor attendance at a
Low in-treatment adherence with exercise	Strong	Schoo et al. (2005)	aerobic class and less time spent undertaking aerobic exercise. Subjects with OA who reported adhering well to a prescribed home exercise in 1 first 4 weeks of the programme were 20 times more likely to report adhering w exercise in the final 4 weeks.
		Dobkin cohort	In women with fibromyalgia, in-treatment adherence with stretching and aerol
		Alewijnse et al. (2003)	exercise predicted future adherence with the stretching and aerobic programm In women with urinary incontinence, short term adherence with a pelvic floor muscle exercise programme predicted long term adherence with the programme
ow levels of exercise adherence in	Strong	Rejeski et al. (1997)	1-year follow-up. In subjects with OA knee, exercise behaviour 3 and 9 months post exercise intervention predicted follow-up exercise behaviour at 9 and 16 months
previous weeks		Oliver and Cronan (2002)	respectively. In women with fibromyalgia, engaging in regular exercise at previous time poin
Recreational athlete (v competitive)		Milne et al. (2005)	predicted engaging in regular exercise at future time points. Competitive athletes were predicted to be more adherent with exercise rehabilitation than recreational athletes.
Psychological barriers ow self-efficacy (for exercise,	Strong	Stenstrom et al. (1997)	In subjects with inflammatory rheumatoid disease, high self-efficacy for exercis
tasks and coping)		Shaw et al. (1994)	predicted compliance with a one year home exercise programme In subjects with OA, lower efficacy for exercise predicted poor attendance at ar
		Milne et al. (2005)	education group about appropriate use of the healthcare system. Greater task self-efficacy predicted adherence with rehabilitation; greater copir
		Taylor and May (1996)	self-efficacy predicted frequency of exercising in an athletic population. In an athletic population patient's self-efficacy predicted physiotherapist's estim
		Oliver and Cronan (2002)	of the patient's compliance with prescribed modalities and rest In women with fibromyalgia, greater exercise self-efficacy predicted continued
		Chen et al. (1999)	engagement in exercise behaviour at future time points. In subjects attending an upper limb rehabilitation centre, greater self-efficacy
ligh level of depression	Strong	Shaw et al. (1994)	contributed to greater adherence with a home exercise programme. In subjects with OA, very high levels and very low levels of depression predicted
at baseline		Minor and Brown, (1993)	poor attendance at a social support and education group. In subjects with OA/RA, less depression at baseline predicted exercise maintena
		Oliver and Cronan, (2002)	3 months after participating in an exercise programme. In women with fibromyalgia, low levels of depression predicted subjects who exercised regularly at baseline or who started doing regular exercise throughout
		Rejeski et al. (1997)	18 month follow-up period. In subjects with OA knee, higher levels of depression predicted reduced attenda
lo change or worse		Minor and Brown (1993)	at a 12 week exercise programme. In subjects with OA/RA, improvements in depression from baseline predicted
depression compared with baseline			participation in regular exercise 3 months, 9 months and 18 months after participating in a 3 month exercise class.
anxiety/stress at baseline	strong	Minor and Brown (1993)	In subjects with OA/RA, higher levels of anxiety at baseline predicted poor exer- maintenance at 3 months and 6 months after participating in a 3 month exerci class.
		Dobkin cohort	In women with fibromyalgia, high levels of stress or increases of stress during a week stretching programme predicted poor maintenance of that programme
ligh degree of helplessness	strong	Castenada et al. (1998)	Women with OA who registered low helplessness scores were predicted to exert more than those with high helplessness scores
		Sluijs et al. (1993)	In subjects with musculoskeletal pain (mainly LBP), subjects with a greater feelin, helplessness were predicted to be non-adherent with a home exercise program prescribed as part of physical therapy rehabilitation.
ow extroversion scores		Castenada et al. (1998)	Women with OA who registered high extroversion scores were predicted to participate in exercise compared with those who registered low scores.
ow quality of well being (QWB) score		Castenada et al. (1998)	In women with OA, high QWB score predicted participation in exercise compared those with low score.
ower sense of personal control		Laubach et al. (1996)	In injured athletes, a greater sense of personal control predicted subjects designa by the PT/AT as being adherent with rehabilitation
ower level of stability		Laubach et al. (1996)	In injured athletes, a greater sense of stability predicted subjects designated by PT/AT as being adherent with rehabilitation
High internal health locus of control		Chen et al. (1999)	In subjects attending an upper limb rehabilitation centre, internal health locus control was inversely related to adherence with a home exercise programme.
Low self motivation		Brewer et al. (2000, 2003)	For older patients undergoing ACL reconstruction, lower self motivation contributo decreased adherence with a home exercise programme

Table 4 (continued)

Barrier to adherence	Level of evidence	Studies	Comments				
Socio-demographic barriers Age	Conflicting	Castenada et al. (1998)	In women with OA, those who were older (i.e. closer to 90 years) tended to exercise				
		Shaw et al. (1994)	less than their younger counterparts (i.e. closer to 60 years) In subjects with OA (age range 60–87 years), the oldest and the youngest were predicted to be poor attendees in an education group about the appropriate use of				
		Dobkin cohort	the health care system. In women with fibromyalgia (mean age 49.2 (8.7) years), older women were predicted to have reduced participation in aerobic exercise. They were also more				
		Brewer cohort	likely to reduce their participation with aerobic exercise programme at a faster rate than their younger counterparts Younger patients with poor athletic identity were less likely to adhere to treatmen than those with positive athletic identity. Older patients with lower social suppor or motivation were less likely to adhere with home treatment. Age range not				
		Oliver and Cronan (2002)	reported In women with fibromyalgia, younger subjects were predicted to engage with exercise behaviour. Age range not reported				
Poor social or family support for activity	Strong	Funch and Gale (1986)	In subjects with chronic TMJ pain, social factors (i.e. family attitudes and general attitudes) predicted completion of a behavioural therapy programme. It was not				
		Shaw et al. (1994)	clear what specific attitudes were helpful and which hindered completion. In subjects with OA, restricted social activity predicted poor attendance at a social support and education group. In addition having a small informational support paturoit, predicted page attendance at a cocial guarget group.				
		Rejeski et al. (1997)	network predicted poor attendance at a social support group. In subjects with OA knee, poor social support predicted poor attendance with aerobic and resistance exercise programme conducted over 3 months.				
		Oliver and Cronan (2002)	In women with fibromyalgia, having a larger social, support network predicted subjetcs who exercised regularly at baseline or who started doing regular exercise throughout the 18 month follow-up period.				
		Minor and Brown (1993)	In subjects with OA/RA, having the support of friends for exercise positively predicted exercise behaviour 9 months after participating in an exercise class.				
		Sluijs et al. (1993)	In subjects with musculoskeletal pain (mainly LBP), lack of positive feedback from a physical therapist predicted non-adherence with a home exercise programme prescribed as part of physical therapy rehabilitation.				
		Fekete et al. (2006)	Subjects whose spouses used more positive control adhered less with treatment when spouses also provided high levels of problematic support, but adhered mor when spouses provided low levels of problematic support				
Being unmarried		Stenstrom et al. (1997)	In subjects with inflammatory rheumatoid disease, being unmarried predicted compliance with a one year home exercise programme				
Ethnicity		Rejeski et al. (1997)	In subjects with OA knee, ethnicity predicted attendance at a resistance training programme and continued resistance training at 9 months follow-up. It was not made clear which ethnics groups were more or less likely to adhere with exercise				
Greater number of barriers to exercise	Strong	Alexandre et al. (2002)	In subjects with LBP, those who could forese difficulties with the proposed treatment plan were 8 times less likely to adhere with treatment than those patient who could foresee no difficulties				
		Sluijs et al. (1993)	In subjects with musculoskeletal pain (mainly LBP), the barriers patients perceive and encountered (i.e. time, convenience, costs, forgetting, etc) predicted non- adherence with a home exercise programme prescribed during physical therapy				
		Dobkin cohort	rehabilitation. In women with fibromyalgia, a greater number of barriers faced during a 12 weel exercise programme predicted a significant decrease in post-treatment				
Patients pursuing compensation		Kolt and McEvoy (2003)	participation with exercise. In an LBP cohort, compensable subjects were estimated by the therapist to be less adherent with clinic based rehabilitation activities than non-compensable				
No sex education at school		Alewijnse et al. (2003)	counterparts. In subjects with urinary incontinence, women who had received sex education at school were more likely to adhere to a pelvic floor exercise programme in the long				
Being employed		Oliver and Cronan (2002)	term than those who had received no sex education at school. In women with fibromyalgia, unemployment at baseline significantly predicted subjects who engaged with exercise behaviour in the first 3 months				
ower educational level (high school or lower)		Oliver and Cronan (2002)	In women with fibromyalgia, lower educational standard significantly predicted subjects who maintained exercise behaviour subsequent to participation in an exercise class.				
Clinical barriers Presence of co-morbidity		Alexandre et al. (2002)	In subjects with LBP, presence of other medical illnesses predicted poorer adherence with treatment compared with no co-morbidity.				
Greater perception of the severity of injury		Taylor and May (1996)	In an athletic population, the subject's perception of the greater severity of condition predicted the physiotherapists reduced estimate of patient compliance with				
Greater pain at baseline	Conflicting	Dobkin cohort	prescribed modalities and rest. In women with fibromyalgia, more lower body pain at baseline predicted less adherence with a stretching programme over time. However more upper body pai				
		Rejeski et al. (1997)	at baseline predicted greater adherence with an aerobic programme. In subjects with OA knee, greater levels of baseline pain predicted reduced time spent undertaking aerobic exercise at 3 months follow-up.				
			(continued on next page				

#### Table 4 (continued)

Barrier to adherence	Level of evidence	Studies	Comments
Worsening of pain	Strong	Minor and Brown (1993)	In subjects with OA/RA, improvements in pain following participation in an exercise
during exercise			class positively predicted exercise behaviour 18 months later.
		Dobkin cohort	In women with fibromyalgia, increases in upper body pain during treatment predicted worse maintenance of aerobic exercise in the 3 months following treatment.
Fatigue		Dobkin cohort	In women with fibromyalgia, high levels of baseline fatigue predicted lower average
U U			time stretching, and performing aerobic exercise and lower average metabolic output during a 12 week exercise programme.
Having a diagnosis		Kenny (2000)	In a sample of workers with a variety of injuries, those subjects with a diagnosis of
of joint pathology			joint pathology were less likely to complete a supervised physical activity programme.
Longer treatment duration		Alexandre et al. (2002)	In subjects with LBP, treatment duration of 5–6 weeks predicted poor adherence
			with LBP treatment compared with treatment duration 2–3 weeks.
First time injury		Milne et al. (2005)	In an athletic population, those with first time injury were less likely to adhere with treatment than those who had reported 3 or more injuries.
Low perceived level of susceptibility		Taylor and May (1996)	In an athletic population, perceived susceptibility predicted patients estimated adherence with rest.
Greater BMI		Rejeski et al. (1997)	In subjects with OA knee, greater BMI predicted poorer attendance in an aerobic exercise class and less time performing aerobic exercise.
Greater mobility		Shaw et al. (1994)	In subjects with OA, having a greater level of mobility predicted poorer attendance at an education group.
Fewer weekly episodes		Alewijnse et al. (2003)	In women with urinary incontinence, subjects with frequent weekly wet episodes
of incontinence			before and after therapy were more likely to have high adherence levels to a pelvic
			floor exercise programme than women with fewer wet episodes.

Note: OA = Osteoarthritis, BMI = Body Mass Index,  $VO_2peak = maximal oxygen uptake$ , RA = Rheumatoid arthritis, PT/AT = Physical therapist/athletic trainer, ACL = anterior cruciate ligament, QWB = quality of well being, TMJ = Tempeoromandibular joint, LBP = Low back pain.

therapy, etc may help to alleviate pain sufficiently to allow patients to adopt more active treatment strategies (Moffett and McLean, 2006). In the rare case of a patient with severe pain an analgesic review with their GP or consultant may be required in order to allow participation in rehabilitation.

Many people believe that activities that cause pain must be harmful. Clinicians need to gain a clear understanding of the patient's pain experience and beliefs about pain (Eccleston and Eccleston, 2004) and counter those which are mal-adaptive. Clinicians should reinforce messages which reduce fear or anxiety about pain, e.g. that the presence of pain should not prevent most patients from safely participating in therapeutic exercise (Waddell et al., 2004) and may lead to reduction in symptoms (Guzman et al., 2002), improved function and return to work (van Tulder et al., 2000). Those who participate in regular exercise are also less likely to experience progressive problems (McLean et al., 2007). Patients should be encouraged to start exercise gently and advised to progress to moderate or even high intensity levels of exercise over a period of time (Pernold et al., 2005). This evidence could counter the fears held by many pain sufferers that movement could be damaging or lead to re-injury.

#### 4.3.2. Physical activity levels and exercise

Low levels of physical activity at baseline (Minor and Brown, 1993; Rejeski et al., 1997; Stenstrom et al., 1997; Schoo et al., 2005) or in previous weeks (Rejeski et al., 1997; Oliver and Cronan, 2002) and low in-treatment adherence with exercise (Alewijnse et al., 2003; Schoo et al., 2005; Dobkin et al., 2006) were barriers to treatment adherence. Physiotherapists need to recognise and be ready to mitigate the many barriers to initiating and adhering to exercise programmes; these include poor programme organisation and leadership, poor education, poor history of exercise, perceived physical frailty, perceived poor health and readiness to change (Duncan and McAuley, 1993; Courneya and McAuley, 1995; Boyette et al., 1997; Hellman, 1997; Rhodes et al., 1999).

Several strategies may be employed to improve patient adherence. Firstly providing explicit verbal instruction, checking the patient's recall and supporting this with additional written instructions may be effective at improving exercise adherence (Schneiders et al., 1998). Secondly, employing motivational techniques such as counselling sessions, positive feedback, reward, written treatment contracts and exercise diaries may also be helpful (Friedrich et al., 1998). Setting goals and drawing up action plans and coping plans which have been agreed collaboratively between the clinician and patient may be effective with patients who intend to participate in exercise (Bassett and Petrie, 1999; Evans and Hardy, 2002; Ziegelmann et al., 2006). Identifying potential barriers to exercising can support the development of action plans to initiate an exercise programme, whilst coping plans can help to overcome the difficulties that may arise over time and help patients to maintain that exercise programme (Gohner and Schlicht, 2006; Ziegelmann et al., 2006).

#### 4.3.3. Self-efficacy

Low self-efficacy was identified as a barrier to treatment adherence (Shaw et al., 1994; Taylor and May, 1996; Stenstrom et al., 1997; Chen et al., 1999; Oliver and Cronan, 2002; Milne et al., 2005). Poor self-efficacy could explain a patient's low confidence in their ability to overcome obstacles to initiating, maintaining or recovering from relapses in exercise (Sniehotta et al., 2005). Low self-efficacy could be identified by clinicians using simple questions such as "How confident are you that you can..." (a) "overcome obstacles to exercising?" or (b) "return to exercise, despite having relapsed for several weeks?" Strategies to address low self-efficacy should be specific to the individual's stage of exercise behaviour or perceived obstacles (Scholz et al., 2005). The use of strategies such as agreeing realistic expectations (Jensen and Lorish, 1994), setting treatment goals (Evans and Hardy, 2002), action planning (Sniehotta et al., 2005), coping planning and positive reinforcement (Gohner and Schlicht, 2006) may help increase patient self-efficacy and adherence.

#### 4.3.4. Anxiety, depression and helplessness

Depression (Minor and Brown, 1993; Shaw et al., 1994; Rejeski et al., 1997; Oliver and Cronan, 2002), anxiety (Minor and Brown, 1993; Dobkin et al., 2006) and helplessness (Sluijs et al., 1993; Castenada et al., 1998) were barriers to treatment adherence. Physiotherapists should be sensitive to the presence of anxiety, depression and helplessness and ensure that these patients are referred to relevant healthcare services for appropriate management as required. Simultaneously ensuring that pain is being effectively managed may be helpful in reducing anxiety or depression which is pain related. Additionally it may be helpful to reinforce the message that exercise is an effective way of countering both low mood and negative thoughts, whilst simultaneously improving pain and function (Lim et al., 2005). Greater social support and encouragement for exercise in this group of patients may provide motivation, role models and guidance that may be important (Castenada et al., 1998).

#### 4.3.5. Social or family support/activity

Low levels of social activity (Funch and Gale, 1986; Minor and Brown, 1993; Sluijs et al., 1993; Rejeski et al., 1997; Oliver and Cronan, 2002) and social or familial support (Shaw et al., 1994) were barriers to treatment adherence. Some patients believe they would more readily exercise if accompanied by someone else during their activity (Milroy and O'Neil, 2000; Campbell et al., 2001). The support provided by the physiotherapist, the development of the patient-practitioner relationship and positive feedback from the physiotherapist may also increase adherence (Sluijs et al., 1993; Campbell et al., 2001). Clinicians could consider organising rehabilitation programmes which incorporate social contact and support. For example group based rehabilitation, exercise referral schemes, expert patient programmes and exercise classes based in the community may be an ideal way of providing some patients with the social stimulation and long term encouragement to continue their exercise progression. For other patients, actively involving partners in the rehabilitation process to encourage and motivate the patient may help (Fekete et al., 2006).

#### 4.3.6. Barriers to exercise

Envisaging a greater number of barriers to participating in exercise predicted non-adherence with treatment (Sluijs et al., 1993; Alexandre et al., 2002). Barriers included transportation problems, child care needs, work schedules, lack of time, family dependents, financial constraints, convenience and forgetting. Physiotherapists need to be aware of difficulties that patients foresee in relation to adhering with a proposed treatment plan and act collaboratively with their patients to design treatment plans which are customised to the patient's life circumstances (Turk and Rudy, 1991). The addition of coping plans may help patients to overcome difficulties that may arise and allow them to maintain the treatment programme (Gohner and Schlicht, 2006; Ziegelmann et al., 2006).

#### 4.3.7. Research implications

There was limited evidence for many barriers and a lack of research into other potential predictors, e.g. socioeconomic status and the barriers introduced by health professionals or health organisations. Adherence has been identified as a priority in physiotherapy research (Taylor et al., 2004) therefore further high quality research is required in order to investigate the predictive validity of these barriers within musculoskeletal settings.

Poor attendance at clinic appointments is an objective measure with quantifiable cost implications to the health service. The extent to which patients actually carry out a programme of exercises recommended by a physiotherapist is an important research question which is methodologically more difficult to answer. These two different aspects of adherence may be related to different barriers and may require different strategies to overcome them, therefore these different aspects of adherence may be better addressed individually.

#### 5. Conclusion

This review identified 20 studies investigating barriers which predicted non-adherence with musculoskeletal treatment. Strong evidence was found that low levels of physical activity at baseline or in previous weeks. low in-treatment adherence with exercise. low self-efficacy, depression, anxiety, helplessness, poor social support or activity, greater perceived number of barriers to exercise and increased pain levels during exercise are all barriers to treatment adherence. Identification of these barriers during patient assessments may be important in order to adopt appropriate management strategies which help to counteract their effects and improve treatment outcome. The results of this review suggest that physiotherapists should be concerned about the attitudes, beliefs and barriers facing their patients and act collaboratively with their patients to design realistic treatment plans which are customised to the patient's life circumstances. There was conflicting evidence regarding age and pain at baseline and limited evidence for many other barriers. In addition there is a lack of research investigating barriers introduced by health professionals and health organisations. Further high quality research is required to increase our understanding of all the factors which contribute to patient nonadherence.

#### Appendix. Supplementary material

Supplementary data associated with this article can be found in the online version at doi:10.1016/j.math.2009.12.004.

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