1 Predicting calvarial growth in normal and craniosynostotic mice 2 using a computational approach

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19 Abstract

20 During postnatal calvarial growth the brain grows gradually and the overlying bones and sutures accommodate that growth until the later juvenile stages. The whole process is 21 22 coordinated through a complex series of biological, chemical and perhaps mechanical 23 signals between various elements of the craniofacial system. The aim of this study was to 24 investigate to what extent a computational model can accurately predict the calvarial growth in wild type (WT) and mutant type (MT) Fgfr2^{C342Y/+} mice displaying bicoronal suture fusion. 25 A series of morphological studies were carried out to quantify the calvarial growth at P3, P10 26 and P20 in both mouse types. Then, microCT images of a P3 specimen were used to 27 28 develop a finite element model of skull growth to predict the calvarial shape of WT and MT 29 mice at P10. Sensitivity tests were performed and the results compared to ex vivo P10 data. 30 While the models were sensitive to the choice of input parameters, they predicted the overall skull growth in the WT and MT mice. The models also captured the difference between the 31 32 ex vivo WT and MT mice. This modelling approach has the potential to be translated to 33 human skull growth and enhance our understanding of the different reconstruction methods 34 used to clinically manage the different forms of craniosynostosis, and in the long term possibly reduce the number of re-operations in children displaying this condition and thereby 35 36 enhance their quality of life.

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Keywords: biomechanics; development; calvarial bones; sutures; finite element method

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45 **1- Introduction**

The mammalian cranial vault principally consists of five flat bones joined along their edges 46 47 by soft tissues termed sutures (Opperman, 2000; Morriss-Kay & WIlkie 2005; Herring, 2008). The sutures are where most of the skull vault growth occurs and they also function to give 48 49 the bones flexibility for birth and to allow the skull to expand and grow as the brain enlarges 50 (Cohen, 2005; Richtsmeier & Flaherty, 2013). Premature closure of the sutures, or craniosynostosis, is a medical condition that occurs in about 1 in 2500 births, the question of 51 52 an occurrence rate increase has also been raised (Boulet et al. 2008; van der Meulen et al. 53 2009; Johnson & Wilkie, 2011; Cornelissen et al. 2016). The majority of cases (70%) are 54 non-syndromic i.e. single suture synostosis, with the remaining instances being syndromic 55 (e.g. Crouzon and Apert), in which more than one suture fuses and where additional features are present such as midfacial hypoplasia (Morriss-Kay & Wilkie, 2005). Children displaying 56 57 craniosynostosis generally require a surgical procedure that in majority of cases is carried 58 out at 6-12 months of age.

59 Research to understand the genetic basis and clinical course of craniosynostosis (Wilkie, 60 1997; Morriss-Kay & Wilkie, 2005; Al-Rekabi et al. in press) has led to the development of 61 various animal models (Mooney et al. 1998; Grova et al. 2012; Holmes, 2012). Mice have 62 been investigated extensively in this work because murine calvarial morphology and genetics share several similarities with humans with the advantage that the developmental 63 process occurs over a much shorter period (Morriss-Kay & Wilkie, 2005). In terms of 64 65 calvarial development the intracranial volume of wild type mice typically reaches 70% of the 66 adult size by postnatal day 10 (P10) with minimal further growth after P20 (Aggarwal et al. 2009; Moazen et al. 2016). In contrast, human intracranial volume reaches 65% of the adult 67 68 volume by 1 year, with minimal further growth after 10 years (Dekaban, 1977; Sperber, 69 1989).

The Crouzon mouse model (Fafr2^{C342Y/+}) has been extensively studied and has become a 70 well-established model for investigating craniosynostosis (Eswarakumar et al. 2004; Perlyn 71 72 et al. 2006; Liu et al. 2013; Martinez-Abadias et al. 2013; Peskett et al. 2017). This line is 73 particularly interesting since it exhibits robust phenotypic abnormalities with features 74 recapitulating clinical abnormalities observed in patients. The coronal sutures (joining the 75 parietal and frontal bones) are primarily affected in these mice as well as other joints on the cranial base (e.g. intersphenoidal synchondrosis suture joining presphenoid and 76 77 basisphenoid bones), causing a predictable bracycephalic (wide and short) head shape also 78 characteristic of Crouzon patients (Eswarakumar et al. 2004; Perlyn et al. 2006; Liu et al. 79 2013). Coronal sutures in the wild type mouse appear to be closed (while never fully fused) at postnatal day thirty (P30), while in the Crouzon mouse overlapping of the frontal and 80 parietal bones at this suture begins at the embryonic stages (E18.5) with full closure 81 occurring at ~P20 (Eswarakumar et al. 2004; Perlyn et al. 2006). Thus, Crouzon Fgfr2^{C342Y/+} 82 83 mutant type (MT) and wild type (WT) mice provide an invaluable tool with which to 84 understand the biomechanics of craniosynostotic and normal skull growth during postnatal 85 development.

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87 The finite element (FE) method is a computational modelling technique that has been widely 88 used to understand general craniofacial biomechanics (e.g. Ross et al. 2005; Rayfield, 2007; 89 Curtis et al. 2011; Cox et al. 2012; Moazen et al. 2013; Gussekloo et al. 2017), but it also 90 has great potential in the simulation of growth and development of the craniofacial system. It 91 can be used to predict the calvarial growth and to optimize reconstruction of various forms of 92 craniosynostosis (Wolanski et al. 2013; Li et al. 2013; Libby et al. 2017). However, FE 93 models require several input parameters and results produced must be validated using 94 experimental data generated in vitro or in vivo (e.g. Kupczik et al. 2007; Szwedowski et al. 95 2011; Toro-Ibacache. et al. 2016). To best of our knowledge, there have not been any 96 detailed simulations of skull growth (normal or craniosynostotic), which could lead to improvements in patient management or improvement of craniofacial surgery. 97

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This study tests the hypothesis that brain expansion during postnatal development drives calvarial growth and the response of the calvarial bone and sutures govern the resulting skull shape. We tested this hypothesis in a FE study to simulate calvarial growth, specific aims were to: (1) quantify the postnatal calvarial growth in WT and MT mice at P3, 10 and 20; (2) to develop a FE model of mouse calvarial growth; and (3) to validate the FE predictions by comparing them to *ex vivo* measurements of the calvaria in WT and MT mouse models.

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105 2- Materials and Methods

106 Micro-computed tomography (microCT) images were obtained from wild type and mutant, Fgfr2^{C342Y/+}, mice. A series of morphological studies were carried out to quantify the calvarial 107 108 growth at P3, P10 and P20. The microCT data of a single P3 mouse were then used to 109 develop a finite element model to simulate skull growth and in particular to predict mean 110 calvarial shape at P10. P10 was chosen since 70% of skull growth has been completed at 111 this stage, with the P20 data included to confirm this (see also Chuang et al., 2011; Moazen 112 et al., 2016). Several modelling sensitivity tests were performed with the results compared to 113 a mean specimen identified from the morphological study. This FE model was then used in 114 the same way but with specified premature fusion of the presphenoid-basisphenoid 115 synchondrosis (PBS), frontal, coronal, and lambdoid sutures to simulate growth to the 116 equivalent P10 (MT) mutant geometry.

117 2-1 Morphological analysis

118 MicroCT scans of a total of 22 WT and MT mice at P3 (n=1 for WT and MT), P10 (n=5 for WT and MT), and P20 (n=5 for WT and MT), were obtained using an X-Tek HMX160 119 120 microCT scanner (XTek Systems Ltd, Hertfordshire, UK). The images had a voxel size of 0.02mm in all directions. Avizo image processing software (FEI Visualization Sciences 121 122 Group, Merignac Cedex, France) was used to reconstruct these data into three dimensional 123 models. The models were positioned so that in the mid-sagittal and transverse planes the 124 basisphenoid and preshenoid bones were aligned with the horizontal axis. Following this 125 alignment, calvarial length was measured in the mid-sagittal plane as the distance between 126 the most anterior part of the frontal suture and the most posterior part of the calvaria (Fig 1). 127 Calvarial height was measured in the mid-sagittal plane as the distance between the 128 basisphenoid and the most superior part of the calvaria. Finally, calvarial width was 129 measured in the transverse plane as the distance between the two most lateral points of the 130 calvaria. An average specimen at each age and in each group was identified based on the 131 specimen with the closest length, width and height to the mean values.

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133 **2-2 Finite element analysis**

Model development: A three dimensional model of the P3 WT mouse was developed from 134 135 the microCT data (Fig 2), with bone and sutures segmented and reconstructed in Avizo. The 136 intracranial volume was defined by filling the whole intracranial volume, hence it was 137 necessary to ensure that the skull was fully enclosed. Thus the foramen magnum was filled 138 and areas of the calvaria that were not fully developed were also defined manually. The 139 model eventually consisted of twenty-three different sections. A surface model of the skull 140 was then transformed into a meshed solid geometry using Avizo and was then imported into 141 a finite element software ANSYS v.14.5 (ANSYS Inc., Canonsburg, PA, USA). The model 142 was meshed using SOLID187 tetrahedral elements (10 node elements with quadratic 143 displacement behaviours) that are well suited for modelling irregular geometries (ANSYS 144 Theoretical Manual, v. 14.5). Mesh convergence was carried out, with the final model 145 defined by over 144,000 elements.

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147 *Material properties*: All regions were assigned isotropic material properties. In the baseline 148 model, an elastic modulus of 3500 MPa was assumed for the bone. This was based on 149 extrapolation of the frontal and parietal bone properties measured in mice at P10, P20, and P70 (Moazen et al. 2015). Sutures and undeveloped areas of bone were assigned an elastic modulus of 30 MPa (Henderson et al. 2005; Moazen et al. 2015) while brain (the intracranial volume) was modelled with an elastic modulus of 150 MPa. A Poisson's ratio of 0.3 was used for all the materials, except 0.48 for the brain (Claessens et al. 1997).

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Boundary condition and loading: The intracranial volume expansion during calvarial enlargement was modelled by expansion of the intracranial volume (Fig 2) by applying a thermal expansion to the ICV material in the FE model to increase its volume. Isotropic linear expansion was assumed using the following equation:

(1)

(2)

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$$\Delta V = V_1 \times \alpha \times \Delta T$$

 $RMS = \sqrt{\frac{(\sum_{i=1}^{n} d_i^2)}{n}},$

160 where α is the expansion coefficient, ΔV the change in volume, equal to the target volume of 161 the next age V2 minus the current volume V1. The change in temperature ΔT was set at an 162 arbitrary constant value of 100°C, and then α was altered by to achieve the desired ICV volume. A thermal expansion that finally led to less than 5% difference between the 163 164 predicted brain and actual brain volume was considered acceptable. Thus, the P3 calvarium 165 was initially expanded to the intracranial volume of the wild type P10 (Chuang et al. 2011). 166 All degrees of freedom were constrained at three nodes on the presphenoid bone. The 167 presphenoid bone was constrained since quantification of the wild type mouse skull growth 168 revealed that this bone grows centrically during development and can be considered to 169 effectively remain at the same position in the skull.

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Measurements: Twenty landmarks (LMs) were used to quantify any differences between the predicted P10 skull (from the FE model) and the *ex-vivo* P10 (based on a 3D reconstruction from the CT data). While more LMs might have increased the sensitivity of the measurements, it was challenging to reliably identify more positions in the P3 geometry due to large areas of soft tissue. See Fig. 2 for the LMs details.

Root mean square (RMS) differences between the position of the actual and predicted LMswere then calculated by the following equation:

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where, n is the number of landmarks and d_i is the distance between two corresponding
landmarks of *ex vivo* P10 (in Avizo) and simulated P10 (expanded P3 geometry in ANSYS),
with d_i obtained by:

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 $d = \sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2 + (z_2 - z_1)^2}.$ (3)

187 It should be highlighted again that this study is focused on calvarial growth and not facial 188 growth, hence no LMs were assigned to the facial bones and an RMS of zero would have 189 meant an identical match between the predicted shape and *ex-vivo* results.

To quantify the change in the overall shape and to visualise the differences between the skulls, 3D distance plots were also created using Avizo. The models were aligned and the points on the expanded FE surface mesh were measured to the closest point on the average *ex vivo* skull at P10. The areas at which the two surfaces differed (both positively or negatively) showed where the FE models over or under-predicted skull growth. The maximum differences in both the positive and negative directions were calculated and plotted on a colour contour plot.

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198 Sensitivity tests: Three sensitivity tests were carried out on the WT model to investigate the 199 sensitivity of the results to some of the key input parameters. In particular: (1) boundary 200 condition: the baseline model in this study was constrained at the presphenoid bone this was 201 altered to basisphenoid (set 1 in Fig 7A) or both presphenoid and basisphenoid (set 3, Fig. 202 7A); (2) brain properties: there is a large range of data reported in the literature for brain 203 properties (e.g. Miller et al. 2000; Gefen & Margulies 2004; Bouchonville et al. 2016) hence 204 the baseline value of 150MPa was altered within the range from 1MPa to 1500MPa (Fig. 205 7B); (3) suture properties: our previous experimental measurements (Moazen et al. 2015) 206 showed a large standard deviation for the suture properties hence the baseline values of 207 30MPa was varied between 3MPa and 300MPa (Fig. 7C).

Predicting mutant Fgfr2^{C342Y/+} mouse calvarial shape at P10: The baseline wild type 208 209 model was used to predict the mutant skull shape at P10 after fusion of some of the sutures. 210 Lui et al. (2013) showed that in this mouse model, several sutures including the 211 presphenoid-basisphenoid synchondrosis (PBS), frontal, coronal, and lambdoid sutures fuse 212 prematurely. Hence, they were effectively fused in the wild type model described above by 213 changing their elastic modulus from suture material to that of bone (3500 GPa). The ICV was expanded in the same as the WT models and the results were compared against the 214 215 microCT data of the MT mice at P10.

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217 Results

218 Morphological analysis:

Fig 3 summarises the calvarial length, width and height measurements at P3, P10 and P20 for the WT and MT models. While all measurements gradually increased from P3 to P20, calvarial length and height of the WT mice were consistently higher and lower than the MT mice respectively. This pattern is also evident in the 2D sagittal cross-sections of the WT and MT mice (Fig 4).

Fig 5 compares the overall morphological differences between the WT and MT mice at P10 using 3D distance colour plots. In the dorsal view, the highlighted square shows the over growth of the MT skull across the parietal region (bulging). In the posterior view, the highlighted oval shows the under growth of the lambdoid region in the MT model (Fig 5).

228 *Finite element analysis:*

229 Sensitivity tests: Altering the boundary conditions from the baseline model i.e. at the 230 presphenoid bone (set 2 in Fig 6A) to both basisphenoid (set 1 in Fig 6) and presphenoid 231 and basisphenoid (set 3, Fig. 6A) leads to overestimation of calvarial height. This was while 232 he RMS values were decreased from the baseline value of 1.14 to 1.01 and 0.96, for set 1 233 and 3 respectively. Altering the elastic modulus of the brain had the greatest impact on the 234 overall skull shape (Fig 6B). Reducing the elastic modulus of the brain led to an increase in 235 the skull height and bulging of the fronto-parietal region. However, increasing the elastic 236 modulus of the brain from 15 MPa to 150MPa and 1500MPa led to a closer match with the 237 overall skull shape of the ex-vivo data and reduced the RMS values from 1.28 to 0.95 for an 238 elastic modulus change of 15 to 1500 MPa. Increasing the elastic modulus of the sutures 239 from 3MPa to 300MPa led to a gradual increase in skull height and RMS values from 1.18 to 240 0.99 (Fig 6C).

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Predicted WT and MT calvarial shape at P10: Figure 7 compares the overall geometric differences (in 2D and 3D) between the FE prediction of skull shape at P10 versus the ex vivo P10 skull using on the baseline model parameters. The FE model overestimates the skull height by 0.56mm (highlighted square in Fig 7, 7.19mm vs. 6.63mm) and underestimates the skull length by 0.21 mm (highlighted oval in Fig 7, 12.93mm vs. 13.14mm). In contrast, using the same parameters, the FE model simulating the MT mice

skull shape also overestimates the skull height by 0.16mm (Fig 8, 7.32mm vs. 7.16mm) and
underestimates the skull length by 0.13mm (Fig 8, 12.72mm vs. 12.59mm).

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253 Discussion:

254 Calvarial growth is thought to involve a series of complex biological, chemical and perhaps 255 mechanical signalling between a number of soft and hard tissues such as the growing brain, 256 dura mater, sutures and bone (Morriss-Kay & Wilkie, 2005; Richtsmeier & Flaherty, 2013; Al-257 Rekability et al. in press). This study aims to investigate whether a simple biomechanical 258 approach simulating expansion of the brain can predict calvarial growth in wild type and a 259 mouse model of craniosynostosis. The study focuses on prediction of calvarial growth up to 260 P10, using FE metholodology, which corresponds to about one year of age in humans, the 261 point at which there is clinical consensus advocating surgical treatment of craniosynostosis. 262 To validate the FE results a series of morphological studies on WT and MT mice were 263 carried out.

The morphological studies highlighted: (1) expansion of the calvaria up to P20 in both WT and MT; (2) centric growth of the cranial base; (3) the MT mice have a shorter skull length compared to WT mice and display bulging across the parietal region in line with previous studies (Eswarakumar et al. 2004; Perlyn et al. 2006; Liu et al. 2013; Martinez-Abadias et al. 2013; Peskett et al. 2017); and most importantly (4) they provided the reference data required for validation of the FE modelling approach.

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271 Sensitivity analysis to investigate the choice of input parameters is a key step in any FE 272 study, therefore a series of sensitivity tests were carried out initially to understand their 273 impact on the results. In the studies performed, the FE results consistently overestimated the 274 calvarial height and underestimated the calvarial width (Fig 6). The results highlighted that 275 the brain (or here the intracranial filling material) properties had the highest impact on the 276 predictions. The elastic modulus of the brain is reported to be in the range of 1-30 kPa 277 (Bouchonville et al. 2016). This is three to four orders of magnitude lower than the baseline 278 value of 150MPa used in this study. This may appear un-realistic, nonetheless since it 279 generally leads to a similar degree of calvarial expansion to the ex vivo data it may have 280 compensated the effect of other tissues not included here. For instance, dura mater was not 281 modelled explicitly in this study and is expected to have an elastic modulus in the range of 1-282 1000 MPa (e.g. van Noort et al. 1981; Mikos et al. 2008). While it is not clear what is the 283 combined elastic modulus of the intracranial soft tissues, it is likely to be higher than each of 284 its individual components and it is perhaps covered in the range of properties tested in the 285 sensitivity tests here.

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287 Overall, the finite element models predicted the expansion of the WT and MT model skulls 288 from P3 to P10 reasonably well. However, there were differences between the FE results 289 and the ex vivo measurements at P10 (Fig 7 and Fig 8). The fact that the FE prediction 290 constantly overestimates the skull height might be due to not modelling the soft tissues that 291 cover the brain and perhaps constrain it to the base of the skull i.e. dura matter and 292 tentorium. On the other hand, while we believe that at early stages of postnatal development 293 perhaps a uniform growth of the brain is not an unrealistic assumption but it is likely that in 294 mouse from about P10 onward, brain growth deviates from a uniform radial growth in line 295 with the bone formations at the sutures to exhibit a more posterior growth (see also Fig 4).

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To the best of our knowledge this is the first attempt to predict calvarial growth in WT and craniosynostotic MT mice using finite element analysis. A similar approach was recently tested in humans to predict normal calvarial growth up to one year of age, and it also showed promising results (Libby et al. 2017). Nonetheless, there are a number of limitations

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with the current approach that can be improved. These include: (1) several anatomical structures were not explicitly modelled. For example, the dura mater and cerebellar tentorium will constrain the brain expansion to some degree; (2) bone forms gradually at the suture, its thickness and elastic modulus increases during the development, coincident with skull expansion (Richtsmeier & Flaherty, 2013; Moazen et al. 2015&16). It is likely that addition of these changes to the model described in this study can enhance the presented prediction and may lead to better matching of the skull height predictions.

Considering the limitations mentioned above, modelling an expanding brain using our methodology, seems to predict skull expansion reasonably well. This suggests that brain growth may be a key factor in the morphogenesis of the calvarial growth. Future studies are required to address the limitations of the approach, nonetheless this approach may have applications in improving management of craniosynostosis, for example through optimisation of the reconstruction methods for the different various forms of the condition. In the longer term, this could reduce the number of re-operations for children displaying the condition and enhance their quality of life.

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Authors' contribution

MM, MJF, CB and EP designed the study, AM performed the study, AM and JL performed the analysis, AM, MM, MJF, CB and EP wrote the paper. All authors gave final approval for publication.

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482 **Figure captions:**

483 Fig 1: Lateral and dorsal view of a P3 mouse skull, highlighting landmark positions, length, 484 height and width measurement. Note: 1& 2 Most medial intersection of the frontal and 485 parietal bones, on the frontal (left & right); 3&4 Most medial intersection of the frontal and 486 parietal bones, on the parietal (left and right); 5&6 Most lateral intersection of the frontal and 487 parietal bones, on the frontal (left and right); 7&8 Midpoint on medial side of the parietal 488 bone (left & right); 9&10 Most posterior-inferior point on the parietal (left and right); 11 &12 489 Intersection of the squamosal to the zygomatic process of squamous portion of temporal 490 bone (left & right); 13&14 Most posterior-inferior point on the interparietal (left & right); 15 491 Most anterior-medial point of the interparietal bone; 16 Most anterior-medial point of the 492 occipital bone; 17&18 Most posterior-lateral point of the occipital bone; 19 Most posterior-493 medial point of the occipital bone; 20 Most posterior-medial point of the basioccipital bone.

- 494 Fig 2: Finite element model development and loading.
- 495 Fig 3: Length, width and height measurement at P3, P10 and P20.
- Fig 4: Sagittal cross section of *ex vivo* wild type (WT) and mutant type (MT) mice at P3, P10 and P20.

Fig 5: 3D morphological comparison between the P10 wild type (WT) and mutant type (MT) mice.

500 Fig 6: Sensitivity analysis to the choice of (A) boundary condition, (B) elastic modulus of the 501 brain, and (C) sutures. Dashed outlines highlight the baseline values and results.

502 Fig 7: 3D morphological comparison between the finite element (FE) predicted and *ex vivo* 503 wild type (WT) mouse at P10.

504 Fig 8: 3D morphological comparison between the finite element (FE) predicted and *ex vivo* 505 mutant type (MT) mouse at P10.

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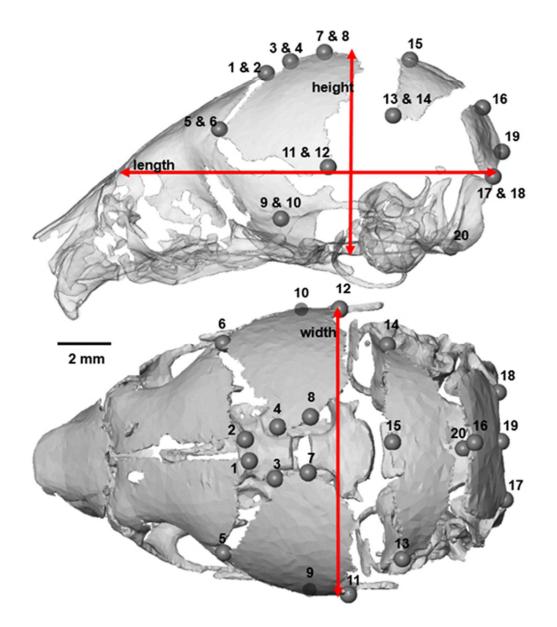


Fig 1: Lateral and dorsal view of a P3 mouse skull, highlighting landmark positions, length, height and width measurement. Note: 1& 2 Most medial intersection of the frontal and parietal bones, on the frontal (left & right); 3&4 Most medial intersection of the frontal and parietal bones, on the parietal (left and right); 5&6 Most lateral intersection of the frontal and parietal bones, on the frontal (left and right); 5&8 Most lateral intersection of the frontal and parietal bones, on the frontal (left and right); 5&8 Most lateral intersection of the frontal and parietal bones, on the frontal (left and right); 7&8 Midpoint on medial side of the parietal bone (left & right); 9&10 Most posterior-inferior point on the parietal (left and right); 11 &12 Intersection of the squamosal to the zygomatic process of squamous portion of temporal bone (left & right); 13&14 Most posterior-inferior point on the interparietal (left & right); 15 Most anterior-medial point of the interparietal bone; 16 Most anterior-medial point of the occipital bone; 17&18 Most posterior-lateral point of the occipital bone; 19 Most posterior-medial point of the occipital bone; 20 Most posterior-medial point of the occipital bone; 20 Most posterior-medial point of the basioccipital bone.

70x81mm (192 x 192 DPI)

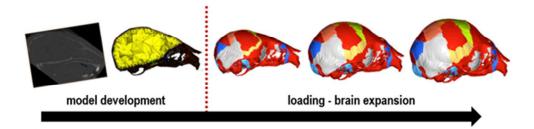


Fig 2: Finite element model development and loading.

79x22mm (192 x 192 DPI)

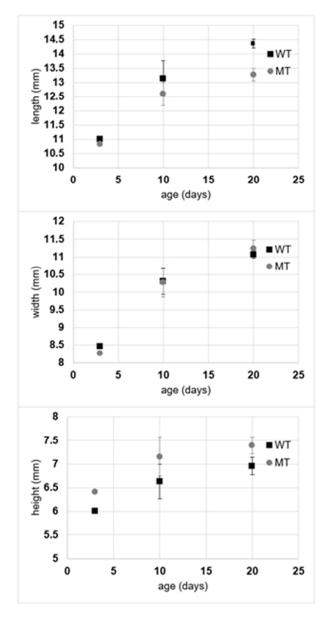


Fig 3: Length, width and height measurement at P3, P10 and P20.

43x83mm (192 x 192 DPI)

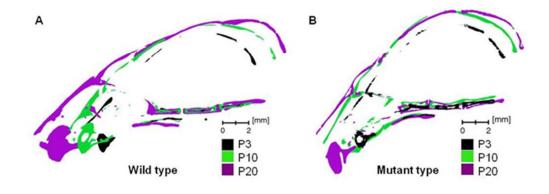


Fig 4: Sagittal cross section of ex vivo wild type (WT) and mutant type (MT) mice at P3, P10 and P20.

78x28mm (192 x 192 DPI)

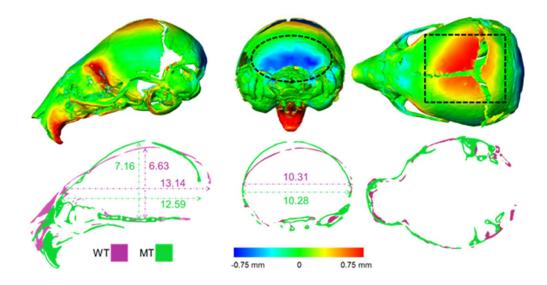


Fig 5: 3D morphological comparison between the P10 wild type (WT) and mutant type (MT) mice.

78x40mm (192 x 192 DPI)

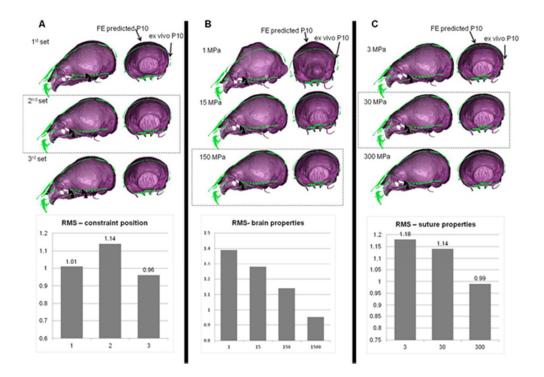


Fig 6: Sensitivity analysis to the choice of (A) boundary condition, (B) elastic modulus of the brain, and (C) sutures. Dashed outlines highlight the baseline values and results.

78x54mm (192 x 192 DPI)

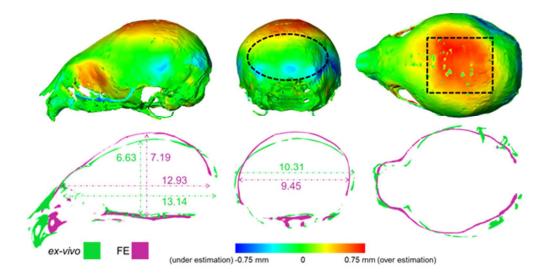


Fig 7: 3D morphological comparison between the finite element (FE) predicted and ex vivo wild type (WT) mouse at P10.



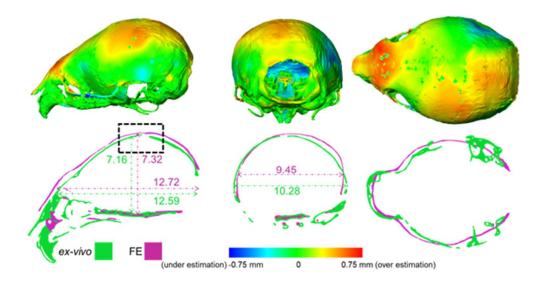


Fig 8: 3D morphological comparison between the finite element (FE) predicted and ex vivo mutant type (MT) mouse at P10.

