

1 **Computational biomechanics changes our view on insect head evolution**

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16 **Key words**

17 mouthpart, muscle, finite element analysis, multibody dynamics, Palaeoptera problem

18 **Abstract**

19 Despite large scale molecular attempts, the relationships of the basal winged insect lineages
20 dragonflies, mayflies and neopterans, are still unresolved. Other data sources, such as
21 morphology, suffer from unclear functional dependencies of the structures considered, which
22 might mislead phylogenetic inference. Here, we assess this problem by combining for the
23 first time biomechanics with phylogenetics using two advanced engineering techniques,
24 multibody dynamics analysis and finite element analysis, to *objectively* identify functional
25 linkages in insect head structures which have been used traditionally to argue basal winged
26 insect relationships. With a biomechanical model of unprecedented detail, we are able to
27 investigate the mechanics of morphological characters under biologically realistic load, i.e.
28 biting. We show that a range of head characters, mainly ridges, endoskeletal elements and
29 joints, are indeed mechanically linked to each other. An analysis of character state
30 correlation in a morphological data matrix focused on head characters shows a highly
31 significant correlation of these mechanically linked structures. Phylogenetic tree
32 reconstruction under different data exclusion schemes based on the correlation analysis
33 unambiguously supports a sistergroup relationship of dragonflies and mayflies. The
34 combination of biomechanics and phylogenetics as it is proposed here could be a promising
35 approach to assess functional dependencies in many organisms to increase our
36 understanding of phenotypic evolution.

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44 **Introduction**

45 The so-called "Palaeoptera problem" - the unclear relationships of dragonflies (Odonata),
46 mayflies (Ephemeroptera), and all other winged insects (Neoptera) - was identified as one of
47 the few remaining challenges in deep level insect systematics [1]. The Palaeoptera problem
48 is of special interest, since it also relates to the evolution of insect flight which evolved ~400
49 million years ago [2,3]. Due to the wingless outgroup silverfish, it is unclear how the insect
50 flight mechanism evolved, therefore resolving early winged insect relationships would help to
51 further our understanding of the evolution of insect flight [4].

52 Previous attempts [5–8], and more recently even large and sophisticated transcriptomic
53 studies [3] have failed to resolve the Palaeoptera problem unambiguously. Other approaches
54 focusing on an increase in the signal-to-noise ratio within diverse molecular datasets have
55 also produced inconclusive results [9].

56 One possible solution to assess the Palaeoptera problem is to increase our understanding of
57 the functional relationships of characters used in phylogenetics in an *objective* way, for
58 example through biomechanical testing. Revealing such functional character linkages with
59 regards to phylogeny can point towards problems with the way morphologies are coded in
60 datasets, in addition to increasing our general understanding of shape evolution under
61 mechanical constraints or triggers. In the context of the Palaeoptera problem disagreement
62 for the most frequently favoured hypotheses Metapterygota (Odonata + Neoptera), and
63 Palaeoptera (Odonata + Ephemeroptera) is derived partly from head morphology.
64 Metapterygota are supported by the similar anterior mandibular ball-and-socket articulation
65 and the loss of mandibular muscles [10,11], while Palaeoptera are supported by the similar
66 structure of the maxillary lacinia, characters related to the antennae, and the loss of a labial
67 muscle [12].

68 Until recently, however, testing objectively for character linkage in insect head structures was
69 impossible due to a lack of sufficiently detailed biomechanical models. We have developed a
70 biomechanical workflow able to handle the large 3D models needed for analysis [13–15] and
71 with the advent of synchrotron radiation micro computed tomography (SR- μ CT, [16,17]) it is

72 now possible to generate extremely detailed 3D models of insects [12,18,19] which can be
73 imported into mechanical simulation software to study the mechanical loading and strains
74 occurring in insect heads. These strain patterns then allow an assessment of the degree of
75 mechanical interdependency within the insect head and thus can serve as an objective
76 measure of character linkage. Testing these linked characters for pairwise correlation based
77 on the mechanical data could reveal the influence of function on phylogeny.

78

79 **Experimental procedures**

80 ***Synchrotron radiation microCT (SR- μ CT) and segmentation***

81 We built a 3D model of the damselfly *Lestes virens* (Fig. S1) derived from high resolution SR-
82 μ CT performed at the Deutsches Elektronen Synchrotron (DESY, Hamburg, Germany). After
83 fixation in Bouin solution[20] which usually leads to a shrinkage of soft tissue of ~5% [20], the
84 sample was washed in 70% EthOH, critical point dried (Model E4850, BioRad), and mounted
85 on beamline specific specimen holders. SR- μ CT was performed at beamline DORIS III/BW2
86 with a monochromatic X-ray beam at 8 keV photon energy, 3.4x magnification and an
87 isotropic voxel size of 4.7 μ m. We designated the voxels (segmentation) of the reconstructed
88 image stacks to the head capsule, mandibles and mandibular muscles using the open-
89 source segmentation software ITK-SNAP [21]. The segmentation was done using a
90 combination of semi-automatic active contour segmentation and manual correction of the
91 semi-automatic segmentation in three orthogonal planes. Due to the superior quality of the
92 image stacks, manual correction of the automatic segmentation was only necessary at the
93 transitions from head capsule to other chitinous parts such as antennae.

94

95 ***Multi-body dynamics modeling***

96 Apart from a detailed 3D geometric model of head, mandibles and muscles, precise
97 information on muscle and joint forces is needed to perform a biologically realistic
98 mechanical analysis (Fig. S1+2). Since it is currently impossible to measure the joint reaction
99 forces at the mandibles of insects, we used multibody dynamics analysis (MDA, Fid. S5), an

100 engineering tool which is becoming increasingly popular for the analysis of skull
101 biomechanics in vertebrates [22–25]. MDA outputs joint reaction and muscle forces which
102 can subsequently be used as the input for finite element analysis (FEA; see below).

103 An MDA model was created by importing volumetric models of the head capsule and
104 mandibles into ADAMS 2013 (MSC Software Corp. USA). The cranium was constrained in all
105 degrees of freedom, and spherical anterior and posterior joints defined between the cranium
106 and the mandibles so that the mandibles were modelled as movable parts relative to the
107 cranium. Each muscle was modelled through a series of strands in order to replicate the
108 pennation observed in the microCT data. It has been shown that the potential groundplan
109 mandible muscle equipment of dragonflies is composed of seven muscles [12,26], and the
110 chosen damselfly *Lestes virens* shows this muscle pattern. The M.
111 hypopharyngomandibularis was not considered in this model since it is a small muscle
112 connecting two moveable parts (mandible and a hypopharyngeal sclerite). Therefore, the
113 influence of this muscle cannot be modelled with certainty. Consequently, the MDA model
114 contained six muscles which were represented through a total of 30 strands on each side of
115 the head (Fig. S2).

116 The maximum intrinsic force of each muscle was estimated as: maximum cross-sectional
117 area times muscle stress. Each muscle cross-sectional area was determined from the
118 microCT data by measuring the attachment area at the head exoskeleton. Since most
119 mandibular muscles, and in particular the main adductor muscle, have a fan-like geometry,
120 measurement at the attachment site represents the most accurate and repeatable approach
121 to ensure an orientation of the plane of measurement perpendicular to each region of the
122 respective muscle, to capture the widest cross-sectional area and to avoid measurement
123 errors due to potential shrinkage. Reported insect muscle stress values vary widely, ranging
124 from 13.7 N/cm² to 49 N/cm² measured for single myofibrils [27–29]. Since specific data for
125 this particular insect is not available, a standard value of 25 N/cm² was used for the intrinsic
126 muscle stress in this simulation [30,31]. Results from the simulation of muscle forces are
127 accordingly as shown in Figure S5.

128 Each muscle strand was activated using a dynamic geometric optimisation (DGO) method,
129 which calculates the force within a strand based upon its orientation, in order to cause the
130 mandible to follow a specific motion (for a detailed description of the DGO method, see [32]).
131 The DGO was employed to initially simulate jaw opening to a gape that was sufficient to
132 enable a food particle to be placed at the mid-point between the mandibles (Fig. S2). During
133 the subsequent closing phase, the mandibles contacted the food particle and generated a
134 bite force. The predicted maximum bite force of 0.39N was 0.08N higher than the maximum
135 measured bite force (0.31N) in a similarly sized different dragonfly species [33], thus it can
136 be assumed that the MDA model was predicting physiologically reasonable joint reaction and
137 muscle forces as was also shown in former sensitivity studies [34,35]. The MDA model is
138 deposited under Dryad accession number DIO XXXXX.

139

140 ***Finite element analysis (FEA)***

141 We used the open-source finite element solver VOX-FE2 [13] for the analysis of stress and
142 strains in the head. A graphical user interface (GUI) developed as a plugin for PARAVIEW
143 (v.4.1.0, www.paraview.org; plugin available from <http://sourceforge.net/projects/vox-fe>) was
144 used to generate the FE mesh and define the muscle forces and model constraints. The
145 segmented head geometries were exported from ITK-SNAP and converted in PARAVIEW
146 into an FE mesh of 9.7 million hexahedral elements by direct voxel conversion. Joint reaction
147 forces at the anterior and posterior joints were obtained from the MDA model simulation.
148 Muscle loads were applied as distributed forces in the model to reflect their wide attachment
149 sites *in vivo* ("Load case A"; Fig. S3B). Reaction forces at the mandible joints, bite force and
150 muscle forces were applied according to the MDA calculations. While these forces are
151 exactly those required to place the head in static equilibrium, rounding errors in the solution
152 phase means that additional constraints must be defined on the FE model to prevent any
153 rigid body motion. Three points were chosen at the edges of occipital foramen (the opening
154 of the head to the thorax), two at the lateral sides and one at the dorsal side. All three nodes
155 were constrained in all directions.

156 Young's modulus (E) and Poisson's ratio (ν) of cuticle were taken from own measurements
157 reported in other studies [36] and are in agreement with literature data (here: E = 7.3 GPa, ν
158 = 0.3 [37,38]).

159 In order to determine whether strains in particular parts of the head structure during biting are
160 generated predominantly by the joint reaction forces or by the muscle forces, separate
161 analyses were run either with the main mandibular adductor muscle modeled as a 12
162 stranded muscle rather than a distributed force ("Load case B"; Fig. S3C), or without the
163 forces of this muscle ("Load case C"; Fig. S3D). Note that load case C is a non-physiological
164 loading scenario and used solely to investigate the relative importance of each applied load.
165 The FEA model is deposited under Dryad accession number DIO XXXXX.

166

167 ***Further analysis of the mechanically linked characters***

168 To explore the influence of the mechanically linked morphological characters on current
169 phylogenetic estimates, we tested them for pairwise character correlations using the
170 "fitPagel" test within the "phytools" package in R [39] which depends on R packages "ape"
171 [40,41] and "geiger" [42]. The test is based on the correlation test for discrete data proposed
172 by Pagel [43] taking into account branch lengths and phylogeny of an independent tree
173 inference. To carry out this test, we considered a morphological data matrix (Table S1)
174 obtained from the literature which is focused on the analysis of deep level insect
175 relationships using head structures [12,44]. For testing against a phylogeny, we considered
176 the large scale transcriptomic analysis carried out in Misof et al. [3] since this constitutes the
177 most up-to-date and rigorous estimate of diversification times in insects (and therefore of
178 branch lengths which are required for the Pagel test). The original phylogeny [3] was pruned
179 in R to reduce it to the same number of taxa like in the morphological matrix. Since the
180 Palaeoptera problem received no support in the Misof et al. [3] study, we also tested the
181 morphological characters against the major published alternative hypotheses Metapterygota
182 and Chiasmomyaria by realigning the Misof et al.[3] phylogeny accordingly, keeping the
183 branch lengths and the rest of the topology identical. Pagel's correlation method only works

184 on binary data [43]. Therefore, we recoded several characters within the original character
185 matrix to fit this prerequisite. These are the following characters for our subsample:
186 orientation of head (character 1), areas of origin of antennal muscles (35), and anterior
187 mandibular joint (70). Please refer to Tables S1 and S2 for a full overview on the original and
188 the recoded subset matrix.

189 We subsequently tested those characters which code for head capsule and mandible
190 structures in the widest sense (e.g. including also all mandibular and tentorial muscles, Table
191 S2). Due to this, the final Pagel test "all-versus-all" resulted in 462 pairwise tests of 31 head
192 and mandible characters for each hypothesis (Palaeoptera, Metapterygota and
193 Chiasmomyaria). For the final matrix reduction we only considered those characters which
194 showed a highly significant correlation ($p < 0.0005$) in each pairwise test for *all* three
195 hypotheses (Table S3). The results were visualized using the
196 "chordDiagramFromDataFrame" function in the "circlize" package of the R software
197 environment [45] In order to prevent an artificial downweighting of character complexes, we
198 only excluded one character of each correlated character pair for the subsequent tree
199 reconstructions. To test the effect of excluding different parts of character pairs found in the
200 correlation analysis, we generated four reduced character matrices based on the correlative
201 data, one basically excluding the joint characters and keeping the mandible muscle
202 characters (which were retrieved as highly interconnected; Matrix 1; Dataset S1). In the
203 second matrix we excluded the joint characters and kept the characters related to the
204 tentorium (Matrix 2; Dataset S2), the third matrix was reduced by the mandible muscle
205 characters while we kept the joint characters (Matrix 3; Dataset S3), while the fourth matrix
206 was reduced vice versa to the second matrix (Matrix 4; Dataset S4). Finally, the fifth matrix
207 was reduced by all characters retrieved as highly significant (Dataset S5). These five
208 morphological data matrices were used for phylogenetic analysis using maximum parsimony
209 in TNT [46] and Bayesian inference implemented in Mr.Bayes 3.2.2. [47] using established
210 procedures [48,49].

211

212 **Results**

213 The performance of the FEA head models were examined by considering the first and third
214 principal strain distributions (ϵ_1 and ϵ_3 respectively), which correspond to the most tensile
215 and most compressive strains at each point of the model. ϵ_1 and ϵ_3 distributions show areas
216 of highest strain at the mandible joints, which are each composed of an anterior and
217 posterior ball-and-socket joint in Odonata and Neoptera (Fig. 1 A+B, Movie S1), and along
218 certain ridges, which are regions of thickened cuticle (Fig. 1 C-E, Fig. S4, Movie S1). In
219 particular, strain ($\epsilon_1+\epsilon_3$) near the anterior mandibular joints is distributed along the
220 invagination of the anterior tentorial pits (externally visible invagination areas of the
221 endoskeleton), the subgenae (a lateral region of the head capsule above the mandibles),
222 dorsally towards the circumantennal ridge and along the epistomal ridge, a ridge spanning
223 anteriorly over the head from one anterior tentorial pit to the other (Fig. 1C+D). Parts of the
224 cephalic endoskeleton, basically a hard, X-shaped structure connected to the inside of the
225 head which is called tentorium in insects, equally show high strain values mainly towards the
226 anterior mandibular joints and towards the central part of the tentorium (called the
227 corpotentorium; Fig. 1E). Specifically, the anterior tentorial arms, which are two arms of the
228 "X" connected to head, and the dorsal tentorial arms (connected to the upper parts of the
229 head) show high strain under biting load.

230 ϵ_1 and ϵ_3 at the posterior mandibular ball-and-socket joints are distributed mainly over the
231 subgenal ridge (the ridge separating the subgena from the rest of the head) and a ridge
232 originating at the posterior joint running in posterior direction towards the circumocular ridge
233 (which is an internal ridge enclosing the eye) (Fig. 1E, Movie S1). Strain levels at the
234 circumocular ridge are also high despite these structures being located comparably far away
235 from the mandibular joints (Fig. 1C+D, Fig. S4).

236 In order to detect whether the observed strain patterns are really connected to the biting
237 action of the mandibles, we additionally ran artificial loading scenarios by modifying (Load
238 case "B") or excluding (Load case "C") the forces of the mandibular muscles, which are
239 mainly attached to the backside of the head and the tentorium, from the simulation.

240 When the main mandibular adductor was modelled as a simple 12 stranded muscle (Load
241 case "B") rather than being distributed over the actual muscle attachment area (Load case
242 "A") the FEA predicted the same strain at the constraint points (Fig. S2), which is a good
243 indicator that the applied loading is still close to equilibrium. However, upon closer inspection
244 of the muscle attachment areas at the back of the head, the strain patterns are clearly
245 unrealistic, since the strain is highly localised to the muscle attachment points (Fig. S5).

246 In load case "C", mandibular muscles were excluded from the analysis so that only the joint
247 reaction loading forces were applied to the model. Again this resulted in similar overall strain
248 patterns for the structures investigated in our study, i.e. those used as morphological
249 characters. But, as expected, notable differences were observed in the strain distribution at
250 the back of the head near the occipital foramen where the constraints were applied in order
251 to prevent free body movement (Fig. S5). It is important to stress the fact that both load
252 cases (B+C), but especially case "C" without muscle forces, constitute biologically unrealistic
253 boundary conditions for the FE analyses. A number of studies showed that unrealistic force
254 simulation can even lead to different strain patterns thus affecting the conclusions drawn
255 [34,35,50,51]. Based on these results, we conclude that the conspicuous strain pattern seen
256 in load case A is generated primarily by the forces acting at the mandible joints, i.e. the biting
257 motion of the mandibles.

258 To explore whether the mechanical linkage (expressed as strain patterns) between the
259 mentioned head capsule structures is detectable within data used for phylogenetic
260 reconstruction, we investigated a character matrix focused on head characters for character
261 correlation based on our mechanical results (see Experimental procedures). In total, 272
262 (19.6%) of the 1,386 tested head character pair combinations show a highly significant
263 correlation to each other (Fig. 2). Among these combinations, head ridges, in particular the
264 subgenal, the occipital and the epistomal ridge, the endoskeleton, both mandibular joints and
265 a number of mandibular muscles (Fig. 2C) show a high degree of correlation with other head
266 characters or to each other. Closer examination of the detailed dependencies (Fig. 2D, Fig.
267 S6) reveals that the presence of a subgenal and an epistomal ridge each is correlated with

268 the presence of an anterior joint. In turn, the anterior mandibular joint shows correlations with
269 the configuration of a number of endoskeletal characters and the presence of several
270 intramandibular muscles. These muscles are in turn correlated to each other.

271 We used the results from this correlation test of "all-versus-all" characters for a reduction of
272 the largest published character matrix for insect heads [12,44]. In each of the resulting four
273 scenarios of character exclusion, we account for different mechanically linked character
274 complexes under the premise to prevent double-downweighting due to exclusion of character
275 pairs. Please refer to the material and methods section and SI Appendix Table S1-3 and
276 Dataset S1-5 for further details on character exclusion. All trees based on the different
277 reduced datasets unambiguously support the Palaeoptera hypothesis, a sistergroup
278 relationship of dragonflies and mayflies (Fig. 3).

279

280 **Discussion**

281 Using a highly detailed (~10M elements) finite element model of a ~5mm wide insect head
282 allowed the visualization of the mechanical relationship of certain head structures under load
283 for the first time. The analysis shows that the strain arising in the head from biting, is
284 supported by the subgenal, epistomal, circumocular and occipital ridges and the anterior
285 and dorsal tentorial arms in the anterior part of the endoskeleton (Fig. 1). Closer inspection
286 furthermore reveals that the proximity of the subgenal ridge with the circumocular ridge
287 supports the strain generated by the two ball-and-socket mandibular joints (Fig. 1).
288 Combinations of these morphological structures have been used previously to infer the
289 relationships of basal winged insects [10–12,44], but this analysis now clearly establishes
290 that they are in fact mechanically connected to each other. It appears that the evolution of a
291 fixed axis of rotation of the mandible, as it is present in basal winged insects except mayflies,
292 also selected for a strong subgenal and epistomal ridge and stronger endoskeletal arms, and
293 coincided with the trend of a loss or reduction of the small tentoriomandibular muscles in
294 winged insects [52]. Evidence from the present (Table in Figure S2) and other studies [33,53]
295 indicates that the small tentoriomandibular muscles contribute less than 3-6% of the force of

296 the main adductor muscle (additionally with a suboptimal attachment geometry) in
297 dragonflies and this is probably also the case for other winged insects where lineage
298 dependent (Table S1) remnants of these muscles exist with a similar geometrical
299 configuration as in dragonflies [52]. Apparently, the large mandibular adductor muscle M.
300 craniomanibularis internus provides the main force proportion which is in agreement with the
301 large head volume this muscle occupies in those insects which use their mandibles for
302 feeding [54,55], securing mating rights [56,57] or other functions where high or quickly
303 released bite forces are advantageous. In primary wingless insects such as silverfish and
304 bristletails the tentoriomandibular muscles are well developed which is probably correlated
305 with the different configuration of the mandible joints and more degrees of freedom of the
306 mandibular movement in these lineages.

307 The structural changes in ridge and joint configuration are believed to result in stronger biting
308 capabilities in Odonata and Neoptera, and were formerly used as a strong argument in
309 favour of the Metapterygota (Odonata + Neoptera) hypothesis [10,11,58]. These statements
310 have thus far not been investigated with an objective testing scheme focused on the
311 mechanical linkage of morphological characters, since it proved to be extremely difficult to
312 experimentally investigate the mechanics of insect heads under load due to their small size.
313 MicroCT datasets combined with the methodological approach presented here, clearly show
314 functional linkage in joint and ridge structures in these winged insects. Indeed, the uniformity
315 of structures associated with the mandibular joint, the main mandibular muscles and certain
316 ridges, such as the subgenal ridge, across the winged insects considered here is striking and
317 the correlation analysis of the available morphological data matrix supports that this
318 uniformity of character states generates biasing phylogenetic signal (Fig. 2). Other recent
319 datasets additionally indicate that traditional mandibular performance measures, such as the
320 mechanical advantage [59–61], are similar across distantly related lineages such as
321 dragonflies [36] and cockroaches [62] despite their varying food preferences. However,
322 many more species from different lineages need to be studied to corroborate this idea of
323 similar mechanical performance despite varying food preferences.

324 Excluding subsets of the above mentioned problematic characters according to the found
325 character correlations supports the Palaeoptera hypothesis (Fig. 3). The ancestral mode of
326 insect flight thus most likely was an indirect system with the flight muscles attached to the
327 thorax as shown by mayflies and all other winged insects except dragonflies. The direct flight
328 mechanism accordingly is likely a derived condition which most probably evolved only once
329 in the common ancestor of dragonflies. Supporting characters (=synapomorphies) for the
330 Palaeoptera clade are the length ratios of the antennal segments, absence of antennal
331 circulatory organs, presence of dentisetae at the maxillae and absence of a muscle in the
332 labium. Other studies focused on a mathematical detection of convergence equally support
333 these characters as synapomorphies [63].

334

335 ***Biomechanics allows for the objective study of character linkage in insects***

336 Apart from insect heads, character linkage has also been assessed in a range of plant [64–
337 66] and bird character complexes [67,68]. While the three earlier studies used "classical"
338 character mapping on a molecular phylogeny, the other two formally assessed potential
339 confounding signals within the character state distribution, an approach also used for insect
340 heads [63]. The problematic issue mentioned in all of these studies is the uncertain
341 functional relationship between characters since the methods used only test for
342 compositional bias within a character state distribution [67] and not directly for functional
343 interdependencies.

344 Another potential drawback of mathematical concerted convergence testing is that it is not
345 possible to reveal the influence of retained (plesiomorphic) character states that do not
346 undergo adaptive character state changes [69–71]. Mathematical concerted convergence
347 analysis only tests for conspicuous patterns of character state *changes*. However,
348 plesiomorphic characters might also influence state changes in other characters [72,73]. In
349 this context, biomechanical testing of character interdependency is an approach to better
350 understand both directional (resulting in autapomorphies) and stabilizing (resulting in
351 maintained plesiomorphies) elements of selection pressures acting on the mechanical

352 evolution of structures [74]. In our case, the configuration of the anterior tentorial pit
353 (character 50 in supplementary table S4), the presence of an anterior mandibular joint (char
354 68), and the configuration of the posterior mandibular joint (char 71) may constitute such
355 plesiomorphic characters which are, according to our data, mechanically interdependent on
356 each other and thus show *concerted plesiomorphy* [64]. Concerted plesiomorphy - the
357 retention of ancestral states in groups of characters - is a term introduced as the essential
358 effect underlying phylogenetic niche conservatism [75–78]. Thus, with a biomechanical
359 testing of character interdependency we should also be able to better explain the
360 morphological basis of phylogenetic niche conservatism [77].

361 The biomechanical assessment of convergence is still at its infancy. There are only a handful
362 of studies simulating the mechanical behaviour of insect body parts [56,57,79]. In contrast,
363 the mechanical analysis of vertebrate body parts is at an advanced stage with many studies
364 using FEA [35,80–82] and to a minor extent the combination of FEA with MDA [15,34,83,84].
365 In fact biomechanical studies in vertebrates altered our understanding of the evolution in
366 seemingly well-studied groups [82,85]. The crucial factor in our view is to use approaches
367 resulting in objective parameters for assessment of character evolution. Combining
368 biomechanical simulation techniques with morphological phylogenetics is certainly a
369 promising avenue to better understand the phenotypic evolution of single traits, as well as
370 whole character complexes under mechanical constraints in a diverse range of lifeforms.

371

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379

380 **Author contributions**

381 AB and MJF designed the study, AB conducted the experiments, AB, PJW and RH analysed
382 the data. All authors wrote the manuscript and approved its final version.

383

384 **Competing interests**

385 The authors declare that they have no competing interests

386

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393

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- 638

639 **Figures**

640

641 **Figure 1 Finite element analysis of the head capsule of *Lestes virens* for a typical load**
642 **case during biting. (A)** Overview of the outer morphology of an exemplary damselfly head
643 (*Lestes sponsa*, Zygoptera, Odonata) to facilitate orientation. **(B)** 3D reconstruction showing
644 mandible joint points (red), principal mandible motion (yellow) and a part of the main
645 adductor muscle (orange). Note the mandible motion around a fixed axis of rotation. Black
646 arrows are the joint reaction force vectors derived from the multibody dynamics analysis,
647 frontolateral view. **(C)** First principal strain (ϵ_1) during a typical load case in frontolateral view,
648 phylogenetically relevant structures are indicated. **(D)** First (ϵ_1 , left) and third principal strain
649 (ϵ_3 , right) in frontal view. **(E)** First (ϵ_1 , left) and third principal strain (ϵ_3 , right) in ventral view.
650 Values are in microstrain (μS), eye and mandibles are shown to facilitate orientation. Scale
651 bar only valid for (D+E).

652

653 **Figure 2 Results of the pairwise correlation test of the character matrix subset**
654 **focused on head and mandible structures. (A)** Location of the characters on a 3D model
655 of the head of *Lestes virens*. **(B)** Detail of (A) showing the location of some of the mandibular
656 characters. **(C)** Character interdependencies. Note that only highly significant ($p < 0.0005$)
657 correlations are shown, the tested submatrix consisted of a total of 31 characters. For a full
658 overview including non-significant correlations see Figure S3 and Tables S1-3. **(D)**
659 Exemplary circular plots extracted from (C) showing the interdependencies of a subset of
660 characters. For a full overview of all single circular plots see Figure S3.

661

662 **Figure 3 Phylogenetic reconstruction using different exclusion scenarios of**
663 **mechanically correlated characters.** Support values are indicated at the nodes. First node
664 value: Bremer support; Second node value: Posterior probabilities.

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669

670 **Supporting information**

671

672 **Movie S1 3D model showing the first principal strain (ϵ_1) for a typical load case during**
673 **biting for the damselfly *Lestes virens* (Odonata: Lestidae).**

674

675 **Figure S1 Results of finite element analysis of the head capsule of *Lestes virens* for a**
676 **typical load case during biting. (A+B)** anterior view; **(C+D)** ventral view. **(E+F)** lateral view;
677 **(G+H)** posterior view. First principal strain (ϵ_1) on the left, third principal strain (ϵ_3) on the
678 right side. Values are in microstrain (μS), eyes not shown.

679

680 **Figure S2 Additional results of load cases with non-physiological boundary**
681 **conditions. Left side:** Finite element analysis for the head capsule of *Lestes virens* for a
682 typical load case during biting but with the strands of the Omd1 muscle modelled as 12 single
683 strands ("Load case B" of figure S6C). **(A+B)** Anterior view; **(C+D)** Ventral view. **(E+F)** Lateral
684 view; **(G+H)** Posterior view. First principal strain (ϵ_1) on the left, third principal strain (ϵ_3) on
685 the right side. **Right side:** Finite element analysis for a load case without the Omd1 muscle
686 modelled ("Load case C" of figure S6D). **(A+B)** Anterior view; **(C+D)** Ventral view. **(E+F)**
687 Lateral view; **(G+H)** Posterior view. First principal strain (ϵ_1) on the left, third principal strain
688 (ϵ_3) on the right side. Values are in microstrain, eyes not shown.

689

690 **Figure S3 Detailed visualisation of the correlations for the highly significant ($p <$**
691 **0.0005) characters.** Short character descriptions in the upper left corner.

693 **Figure S4 Flowchart illustrating the methodological workflow used in this study.** In
 694 bold are the devices / programs used, methodological steps are in small font.

695
 696 **Figure S5 Multibody dynamics model of the head of *Lestes virens* showing the**
 697 **mandible and muscle positions** (A) before the bite and (B) during bite on a force plate. (C)
 698 The muscle setup seen from posterolateral to highlight the spatial muscle configuration.
 699 Abbreviations: Omd1, Musculus craniomandibularis internus; Omd3, M. craniomandibularis
 700 externus posterior; Omd6, M. tentoriomandibularis lateralis inferior; Omd7, M.
 701 tentoriomandibularis medialis superior; Omd8, M. tentoriomandibularis medialis inferior. Omd5
 702 (M. tentoriomandibularis lateralis superior) not visible. The green line refers to the axis of
 703 rotation of the left mandible, the table shows the number of strands simulated and their
 704 respective intrinsic forces applied as boundary conditions in the FEA together with the joint
 705 reaction forces.

706

707 **Figure S6 Load cases simulated in the FEA. (A)** Overview of a part of the outer
 708 morphology of an exemplary damselfly (*Lestes sponsa*, Zygoptera, Odonata) to facilitate
 709 orientation in (B-D); **(B-D)** Different load cases used for the setup of the finite element
 710 analyses. Load cases differ in the definition of forces for the main mandibular adductor. Load
 711 case "A" represents the most physiologically accurate configuration since the muscle force is
 712 distributed over the whole attachment area at the back of the head.

713

714

715 **Table S1 Full character matrix from the literature** [12,44].

716

717 **Table S2 Recoded matrix for the Pagel tests** [43]. Essentially, all characters with more
 718 than 2 states were split and recoded.

719

720 **Table S3 P-values for all pairwise character correlations** against each of the three
 721 hypotheses Metapteragota, Palaeoptera and Chiasmomyaria.

722

723 **Dataset S1 Reduced character matrix 1**, based on the results of the correlation analysis;
 724 See the section "Experimental procedures" for details.

725

726 **Dataset S2 Reduced matrix 2**, based on the results of the correlation analysis; See the
 727 section "Experimental procedures" for details.

728

729 **Dataset S3 Reduced matrix 3**, based on the results of the correlation analysis; See the
 730 section "Experimental procedures" for details.

731

732 **Dataset S4 Reduced matrix 4**, based on the results of the correlation analysis; See the
 733 section "Experimental procedures" for details.

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735 **Dataset S5 Fully reduced matrix**, based on the results of the correlation analysis; See the
 736 section "Experimental procedures" details.

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