

1 **An Evaluation of the use of a Multibeam Echo-Sounder for**
2 **Observations of Suspended Sediment**

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22

23 **Abstract**

24 The theory relating the acoustic backscatter from suspended sediments to the mass
25 concentration of particles has been developed over several decades and is now routinely
26 applied to provide measurements for commercial and scientific applications. Single-beam
27 instruments, such as acoustic Doppler current profilers (ADCP), permit acquisition of
28 backscatter along one-dimensional spatial profiles. However, commercially available
29 multibeam echo-sounders (MBES), designed principally for bathymetric surveying, now
30 offer water column backscatter data-logging across their two-dimensional interrogation
31 swaths, enabling suspended sediment to be instantaneously imaged across much larger
32 volumes. This paper addresses issues relating to the processing of suspended sediment
33 backscatter recorded with an MBES system, drawing on the theory developed for single-
34 beam instruments. A processing methodology is developed and the performance limits
35 estimated from an analysis of the data acquired in the near-field of a Teledyne-RESON
36 MBES in a controlled test facility. Results derived from the application of the methodology
37 to field-data collected with an MBES and an ADCP in the Missouri River, USA, are
38 presented that demonstrate the potential gains in spatial and temporal resolution and near-
39 bed imaging than can be achieved by the use of an MBES system.

40

41 **Keywords:** Multibeam, Suspended Sediment

42

43 **1. INTRODUCTION**

44 The inversion of acoustic suspended sediment backscatter to determine concentration is a
45 well-established field of study (see Thorne and Hurther, 2014) and a range of acoustic
46 instruments are now routinely deployed for the measurement of suspended sediment
47 concentration (SSC) in fluvial (e.g. Lane et al., 2008; Simmons et al., 2010; Shugar et al.,
48 2010; Best et al., 2010; Latosinski et al. 2014), estuarine (e.g. Thorne et al., 1993;
49 Holdaway et al., 1999), lacustrine (e.g. Best et al., 2005) and coastal environments (e.g.
50 Thorne et al., 2007). The approach has the advantage of being non-intrusive and
51 transducers are capable of acquiring data along profiles with high spatial resolution over
52 ranges of less than 1 m for near-bed measurements to 25 m in the marine environment (see
53 Thorne and Hurther, 2014). Instruments can facilitate the concurrent measurement of bed
54 morphology and hydrodynamics (e.g. Traykovski et al., 1999), both of which are inter-
55 connected with the SSC in the water column (Leeder, 1983; Best, 1993); the measurement
56 of all three facets thus provides a greater insight into the process dynamics of sedimentary
57 regimes.

58 Commercially available single-frequency acoustic Doppler current profilers
59 (ADCP) have frequently been used to obtain SSC profiles by mounting the instrument on
60 static frames above the bed (e.g. Holdaway et al., 1999) and by deployment from a survey
61 vessel (e.g. Lane et al., 2008; Shugar et al., 2010, Latosinski et al. 2014). ADCPs have the
62 advantage that SSC profiles and three-dimensional velocity data can be simultaneously
63 acquired (e.g. Lane et al., 2008; Shugar et al., 2010). Although it is possible to quantify the
64 sediment transport flux in a river by collecting ADCP data along a transect line, the angle

65 of incidence of the ADCP beams with the bed leads to the presence of side-lobe interference
66 in the near-bed cells, thus causing errors in the SSC measurements (Vincent, 2007).

67 The backscatter magnitude from a suspension of sediment is a function of the grain
68 size in suspension as well as the mass concentration (mg/L), and instruments that typically
69 operate on lower frequencies, such as ADCP and multibeam echo-sounders (MBES), can
70 be very sensitive to changes in the grain size when operating in the Rayleigh scattering
71 regime with $ka \ll 1$, where k is the acoustic wave number and a is the suspended sediment
72 particle radius. Acoustic backscatter (ABS) instruments have been developed (see Thorne
73 and Hurther, 2014) that use higher frequencies in the range 0.5 MHz to 5 MHz and are less
74 sensitive to grain size variations. By acquiring data on multiple frequencies, the mean grain
75 size can be determined by comparing the ratios of the backscatter to derive concentration
76 values without the need to calibrate to water column samples. However, these systems are
77 usually deployed on a static frame near the bed for study of smaller-scale sediment
78 processes (e.g. Thorne et al., 2007).

79 MBES systems have been available commercially for many years and are routinely
80 deployed on survey vessels to provide high-resolution bathymetric mapping (e.g. Czuba et
81 al., 2011; Flood et al., 2009; Lane et al., 2008; Parsons et al., 2005; Parsons et al., 2010).
82 In early systems, the scattering data from the water column was discarded after the range
83 to the bed had been determined for each beam in the swath. However, with the advent of
84 greater processing power and data storage technology, some MBES systems now provide
85 the option to record the large quantities of water column backscatter data. This facility has
86 benefitted applications including the study of fish populations, marine mammals,
87 zooplankton, kelp ecosystems, aquaculture, near-surface bubbles, gas venting and marine

88 archaeology (see Colbo et al., 2014). The application of MBES for the imaging of
89 suspended sediment was first realized during the bathymetric surveying of a dune-field at
90 the confluence of the Rio Paraná and Paraguay, with the first quantitative SSC results
91 derived by the application of the sonar equation and calibration to water column SSC
92 samples being presented by Simmons et al. (2010). The technique has further been applied
93 to quantify the volume and sediment load of plumes entrained from the sea bed by the
94 action of commercial trawler gear (O'Neill et al., 2013). MBES has the advantage over
95 single-beam acoustic instruments of providing information across a two-dimensional
96 swath, readily providing instantaneous images of suspended sediment structures. MBES
97 also provides high-resolution bathymetry and when deployed at a fixed point, two-
98 dimensional velocity vectors can be obtained by correlating the processed backscatter in
99 successive pings (Best et al., 2010; Simmons et al., 2008). As such, MBES provides a
100 means by which the three inter-related process of sediment transport — concentration, bed-
101 morphology, and hydrodynamics — may be measured concurrently over a two-
102 dimensional interrogation area. Additionally, the roughness of the bed can also be
103 estimated from the backscatter (e.g. Fonseca and Mayer, 2007). Although the near-bed
104 regions of the MBES backscatter swath that are free from side-lobe interference are limited
105 in horizontal extent, by locating the beam with the shortest range to the bed it is possible
106 to obtain near-bed backscatter data without the specular side-lobe contamination associated
107 with ADCP instruments that occurs as a result of the grazing angle of the beams with the
108 bed.

109 This paper presents water column backscatter MBES results obtained from a series
110 of directed and systematically-controlled tests of the system in a large-scale experimental

111 basin facility. The test results demonstrate the ability of MBES to successfully image
112 known concentrations of suspended material in the near-field. Estimates of the imaging
113 range of the technique for different sedimentary regimes are also presented. The results and
114 analysis of a field deployment of the same MBES system, deployed above a fluvial sand-
115 dune, are subsequently detailed and compared with results obtained with an ADCP at the
116 same location.

117

118 **2. THEORY**

119 The work reported herein utilises a demonstration model of the Teledyne-RESON SeaBat
120 7125 MBES. The system produces a wide fan of beams across an arc of 128° that
121 interrogates a narrow slice of the water column at ping repetition frequencies of up to 50
122 Hz. The Teledyne-RESON SeaBat 7125 MBES can be operated on a frequency of either
123 200 kHz with 256 electronically-formed beams, or at 396 kHz with a choice of 256 or 512
124 electronically formed beams. There are two beamforming modes of operation, which result
125 either in equi-angular or equi-distant beam-spacing across the 128 degree arc. The TC2163
126 (200 kHz) and TC2160 (396 kHz) transmitter ceramic arrays are aligned orthogonally to
127 the receiver ceramic array in a Mills Cross configuration (Mills and Little, 1953) and can
128 be seen mounted and ready for deployment in the calibration tank in Figure 1. The
129 combined directivity patterns of the transmitter and receiver result in a -3dB main-lobe
130 along-track beamwidth specified as 2.2° at 200 kHz and 1.0° at 396 kHz and an across-
131 track broadside beamwidth of 1.1° at 200 kHz and 0.54° at 396 kHz. The pulse length can
132 be varied between 30 μ s and 300 μ s and the transmitter power from 170 to 220, reported
133 as dB re: 1 μ Pa at 1 m. The system gain is the sum of the user-defined fixed gain, G_R , (0 to

134 83 range) and time-varying gain (TVG), G_{TVG} , which is a function of the absorption loss
135 value (0 to 120 range) and spreading loss coefficient (0 to 60 range). The TVG function is
136 non-standard and not publically available, however the spreading term can be described as
137 logarithmic and the frequency-dependant absorption-term as linear and are designed to
138 maintain the signal level at the receiver within the dynamic range of the system (Schimel
139 et al., 2015). The sample rate is fixed at 34,483 Hz corresponding to a sampling interval of
140 29 μ s and, consequently, sampling volumes overlap for pulse lengths greater than 29 μ s,
141 resulting in a loss of independence between adjacent sampling volumes. The maximum
142 range setting is 500 m at 200 kHz and 300 m at 396 kHz. The data presented herein were
143 acquired using the standard continuous wave setting. Dynamic focusing of the receiver
144 array beyond a range of 1.5 m is designed to mitigate the near-field effects, however, the
145 transmitter array is unfocused and, at a length of 0.196 m, has a near-field extending to ~10
146 m at 200 kHz and ~20 m at 396 kHz.



147

148 Figure 1. Teledyne-RESON SeaBat 7125 transducers prior to deployment in the calibration
 149 tank. The black transducer arrays are mounted on a rigid plate. An ADCP is also mounted
 150 separately on a scaffold pole to the rear of 7125 transducers.

151 For low concentrations of SSC where multiple scattering is assumed to be negligible
 152 (<10 g/L), the mass concentration of particles per unit volume, M , is related (Sheng and
 153 Hay, 1988; Thorne and Hurther, 2014) to the root mean square value of the backscatter
 154 magnitude recorded by the receiver, B_{rms} , thus:

$$155 \quad M = \left(\frac{B_{\text{rms}} r \psi_b}{SK_{t,b} K_s} \right)^2 e^{4(\alpha_w + \alpha_s)r} \quad (1)$$

156 where r is the range from the receiver array face, ψ_b is a near-field correction for the b^{th}
 157 beam as function of the range from the transducers, $K_{t,b}$ is a constant for each beam and
 158 relates the linear relationship between the sound pressure at the receive array and the
 159 recorded backscatter magnitude, B (counts), and is a function of the volume integration of
 160 the transmitter and the receiver array directivities and receiver sensitivity. The backscatter
 161 magnitude recorded by the SeaBat 7125 is proportional to the pressure at the transducer
 162 face. K_s is a property of the particles and their size distribution in suspension, α_w and α_s are
 163 the attenuation terms related to the properties of the water and suspended sediment
 164 respectively, S is a function of the transmitter pulse length, τ , the transmitter power setting,
 165 P_T (dB), and the system gain as a function of r :

$$166 \quad S = \tau^{1/2} 10^{\frac{P_T + G_R + G_{\text{TVG}}}{20}} \quad (2)$$

167 K_S is determined by:

$$168 \quad K_S = \frac{f_c}{\sqrt{a\rho}} \quad (3)$$

169 where a is the particle radius, ρ is the density of the grain material and f_e is the ensemble
 170 form function of the particle size distribution describing the backscattering properties of
 171 the particles relative to their geometric size. K_s is therefore a function of the suspended
 172 sediment type and grain size distribution and can only be assumed constant if the properties
 173 of the sediment in suspension remain constant. The clear water sound attenuation, α_w , can
 174 be determined using commonly deployed formulae (Francois and Garrison, 1982a and
 175 1982b, used herein). The sediment attenuation, α_s , is determined by integrating the product
 176 of the sum of the ensemble scattering and viscous absorption attenuation coefficients,
 177 $\zeta = (\zeta_{\text{scat}}(\bar{ka}) + \zeta_{\text{visc}}(\bar{ka}))$, and the mass concentration, M , along the propagation path:

$$178 \quad \alpha_s = \frac{1}{r} \int_0^r \zeta M dr \quad (4)$$

179 $\zeta_{\text{scat}}(\bar{ka})$ is determined (following Sheng and Hay, 1988) by

$$180 \quad \zeta_{\text{scat}}(\bar{ka}) = \frac{3M\chi_e(\bar{ka})}{4\bar{a}\rho} \quad (5)$$

181 where χ_e is the ensemble normalised total scattering cross-section, which describes the total
 182 scattering of the particle size distribution relative to its geometric cross section. The
 183 formulae of Urick (1948) is used herein to calculate $\zeta_{\text{visc}}(\bar{ka})$ using assumed values of
 184 kinematic viscosity and the density of the grain material in suspension.

185 Heuristic expressions have been developed by previous researchers (e.g. Moate and
 186 Thorne, 2012; Sheng and Hay, 1988; Thorne et al., 1993) to describe the form function and
 187 scattering cross-section algebraically, by fitting a high-pass model to a combination of
 188 measured data and physical models of acoustic scattering by spheres. The expressions are
 189 based on the dimensionless relationship between the acoustic wave number and the particle
 190 size, ka , with asymptotes for the scattering regimes far from $ka=1$, with f proportional to

191 $(ka)^2$ and χ proportional to $(ka)^4$ for the Rayleigh scattering regime ($ka \ll 1$), and with f and
192 χ constant for the geometric scattering regime ($ka \gg 1$). The expressions are fitted to
193 empirical data in the transition between the two regimes. For the Teledyne-RESON SeaBat
194 7125 frequencies, ka is unity at $a = 1.2$ mm at 200 kHz and $a = 0.59$ mm at 396 kHz for a
195 nominal sound speed of 1480 m/s. The backscatter for the majority of naturally-occurring
196 suspended sediments will hence lie within the Rayleigh scattering regime.

197 Solving Eq. (1), to yield a value of mass concentration from the backscatter
198 magnitude, requires a knowledge of the grain size distribution and calibration constants,
199 $K_{t,b}$. Additionally, the sediment attenuation, α_s , is itself a function of M . If the expected
200 values of concentration and grain size in suspension cause α_s to be relatively small over
201 the propagation path, then it may be approximated to zero, thus enabling Eq. (1) to be
202 solved directly. If α_s is significant, then an iterative approach based on an implicit solution
203 to Eq. (1) may be employed to form successive estimates of M . The first estimate of M is
204 found by setting α_s to zero in Eq. (1), which is then used to determine α_s , and subsequently
205 update the value of M and then α_s through a number of iterations until M converges (e.g.
206 Thorne et al., 1993). However, care is needed as errors may accumulate in a positive
207 feedback loop as the solution is evaluated along the propagation path (see Thorne et al.,
208 2011).

209 The random distribution of particles within the sampling volumes leads to a random,
210 uniform, distribution of backscatter phase and a random, Rayleigh distribution of
211 backscatter magnitude, B , for successive ensonifications of the same volume (Hay et al.,
212 1983; Libicki et al., 1989; Thorne, 1993). Spatial or temporal averaging of the data thus

213 reduces the expected error of the mass concentration value, M , derived using Eq. (1), at the
214 expense of resolution. The percentage relative standard error (RSE) of the averaged
215 backscatter, B_{rms}^2 , is related to the number of independent samples, N , by (Libicki et al.,
216 1989):

$$217 \quad RSE(B_{\text{rms}}^2) = \frac{100}{\sqrt{N}} \quad (6)$$

218 ABS processing methods conventionally average the backscatter values between
219 successive profiles to derive a value of B_{rms} . However, the large quantity of backscatter
220 data available in the two-dimensional swath of a single MBES ping enables sufficient
221 averaging to be performed over local areas of a single ping for the relative standard error
222 to reduce to acceptable levels. A two-dimensional spatial averaging method for MBES data
223 has been described previously for data collected in the field with a Teledyne-RESON 8125
224 MBES (Simmons et al., 2010). The same method of allocating the average of the samples
225 within a specified radius to the central sample is applied herein to data collected with the
226 Teledyne-RESON SeaBat 7125. As the data is averaged over an area, rather than through
227 time for a single sample, the method applies the range, attenuation, system settings and
228 constant terms in Eq. (1) prior to averaging and before squaring to derive M .

229 The quoted main-lobe beamwidths and beam spacing for the SeaBat 7125 MBES
230 imply that adjacent beams will overlap one another, leading to spatial oversampling, in
231 addition to the oversampling that occurs along the radial distance from the transducers
232 when the pulse length exceeds 29 μs . The -3dB across-track main-lobe beamwidth at
233 broadside is quoted as 1.0⁰ at 200 kHz and 0.5⁰ at 396 kHz. However, the effective aperture

234 is expected to increase with steering angle from broadside, with the beamwidth of the b^{th}
235 beam, \mathcal{G}_b , defined as:

$$236 \quad \mathcal{G}_b = \mathcal{G}_{\text{broadside}} / \cos(\theta_b) \quad (7)$$

237 where θ_b is the steering angle of the b^{th} beam's main-lobe. Thus, the across-track
238 beamwidth is $\sim 2.28^\circ$ at 200 kHz and $\sim 1.14^\circ$ at 396 kHz for the beams farthest from
239 broadside. There are two modes of operation in the Teledyne-RESON SeaBat 7125 MBES:
240 equi-angular, with equal angular spacing between adjacent beams, and equi-distant, where
241 the angle varies to provide equal horizontal spacing between the reflections from a flat bed.
242 The largest overlap between adjacent beams occurs in equi-distant mode for the farthest
243 beams, and is estimated to be $\sim 93\%$ for both the 256 beams setting at 200 kHz and 512
244 beams setting at 396 kHz. The maximum overlap along a beam, $\sim 90\%$, occurs at the
245 maximum pulse length setting of 300 μs . When sampling volumes overlap, the relative
246 standard error will be greater than that described by Eq. (6).

247 Dynamic focusing is applied to the receive array beyond a range of 1.5 m, and thus
248 any near-field effects are considered to be dominated by the transmit arrays. The array of
249 ring transducers of the transmit units will produce a -3 dB beamwidth that is approximately
250 equal to the 200 mm length of the array in the along-track axis within the near-field. The
251 sampling volumes within the near-field are therefore wider in the along-track axis than if
252 the far-field beamwidths of 2.2° at 200 kHz and 1.0° at 396 kHz are used to determine the
253 sampling volume. However, the apparent source level at ranges within the near-field are
254 likely to be lower than the level expected if the far-field spreading relationship with range
255 was extended to the near-field.

256

257 **3. EXPERIMENTAL TESTS**

258 **Experimental Setup**

259 Large-scale basin testing of the MBES was undertaken at the New and Renewable Energy
260 Centre (NaREC) Ark Royal site, on the Blyth Estuary, Northumberland, UK. The basin
261 consisted of a former dry-dock, measuring approximately 100 m × 19 m × 6 m, which was
262 filled by pumping the adjacent estuary water on the flood-tide. An aluminium square truss
263 (lighting-truss) was assembled to span the width of the basin, with the MBES mounted
264 rigidly on a bracket attached to a pole suspended below the centre of the truss (Fig. 1).

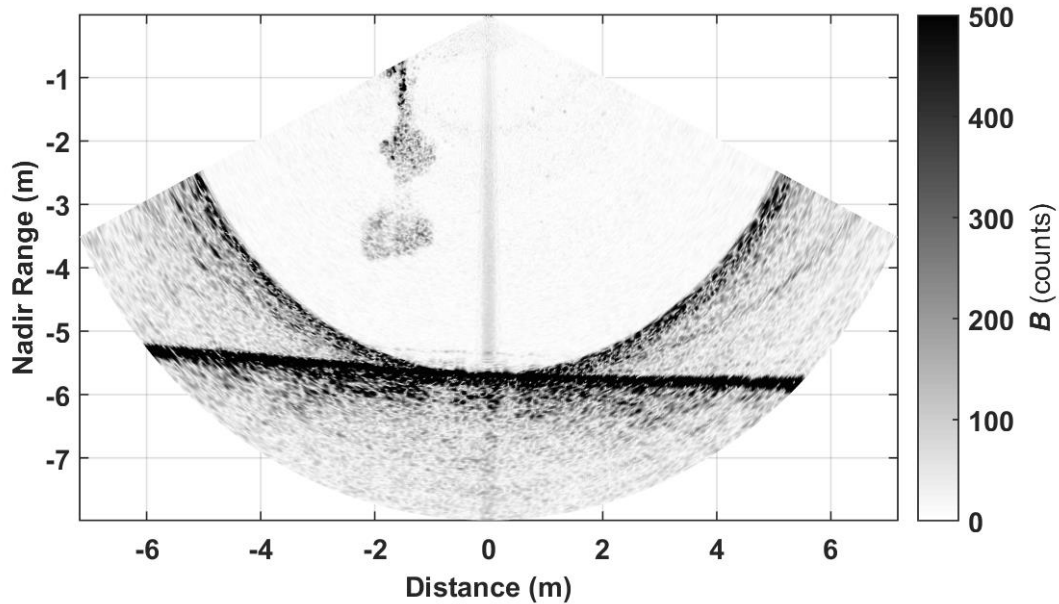
265 Backscatter data were recorded with the MBES whilst known quantities of wetted
266 sediment were released via a vertical section of pipe, with an internal diameter of 63 mm,
267 positioned ~1.5 m along the truss from the MBES mounting pole. The outlet of the pipe
268 was located ~1.2 m below the depth of the transducers and was aligned with the MBES
269 acoustic interrogation volume to facilitate the recording of backscatter from the resulting
270 gravity plume. Weighed quantities of sand-sized glass spheres, with a D_{50} value of 267.5
271 μm and a mean grain radius, a_{mean} , of 115.7 μm , were released during the tests; these were
272 prepared by prior mixing with water, to reduce the entrainment of air bubbles, and were
273 released manually into a funnel connected to the pipe. Quantities of 7 g / 14 g / 70 g / 140
274 g / 400 g / 200 g / 1000 g were weighed prior to release and backscatter data were acquired
275 with the MBES whilst the plumes of material were observed to descend to the bed.

276

277 **Observations and Analysis: Suspended Sediment**

278 The magnitudes of the backscatter from all the plumes were visibly greater than the
279 background acoustic noise for all quantities of material released. Figure 2 shows typical

280 plume backscatter magnitudes resulting from the release of 1 kg of the sand-sized glass
281 spheres. The outlet of the pipe is at a location approximately 1.2 m below the transducer
282 array surface and -1.5 m across track. The MBES mounting pole had a slight angle to the
283 vertical, resulting in the slanted appearance of the bed echoes. A nadir noise artefact is
284 visible at broadside. The backscatter from the plume is clearly visible, extending to a depth
285 below the transducers of ~4 m in two distinct structures and with material appearing to be
286 still descending from below the pipe. Backscatter from the descending plumes were
287 recorded over a period of three days on different settings of transmitter power, receiver
288 gain and pulse length, but all with a TVG spreading coefficient of 10 dB and a ping
289 repetition frequency of 10 Hz. Higher repetition frequencies were found to result in
290 noticeable surface reverberation effects. The mean water temperature throughout the tests
291 was measured as 13.1⁰ C which, when combined with an assumed salinity of 35 ppt, pH of
292 8 and depth of 5 m, results in a derived value of water attenuation of $\alpha_w = 0.007$ Np / m at
293 200 kHz and $\alpha_w = 0.012$ Np / m at 396 kHz using the formulae of Francois and Garrison
294 (1982a and 1982b).



295
 296 Figure 2 - Magnitude data from a single ping recorded in the test basin at 396 kHz (512
 297 equi-angle beams), showing the backscatter from the plume of 1 kg of sand-sized glass
 298 spheres. $P_T = 190$ dB, $G_R = 45$ dB, $\tau = 60$ μ s. Magnitude values are clipped above a
 299 magnitude of 500 counts to improve the contrast with the bed.

300

301 The plume analysis method presented herein was developed to quantify the
 302 calibration constant by relating the quantity of material released through the pipe to the
 303 cumulative backscatter from an estimate of the three-dimensional volume of the plumes.
 304 The method is derived from the implicit iterative approach (see Thorne and Hurther, 2014)
 305 to evaluate Eq. (1). The swath calibration constant, $K_{t,swath}$, is hence described as:

$$306 \quad K_{t,swath} = \frac{\pi}{\sqrt{\Pi}} \int_{z_{min}}^{z_{max}} \int_{x_{min}}^{x_{max}} \left(\frac{B_{rms} r \psi_b}{SK_S} \right) e^{2(\alpha_w + \alpha_s)r} x dx dz \quad (8)$$

307 where Π (kg) is the quantity of material released through the pipe. At present, no
 308 expression exists for the near-field correction, ψ_b , which is therefore set to unity on the
 309 assumption that the increase in beamwidth within the near-field is likely to be offset by the
 310 reduction in the apparent source level. x is the across track coordinate (see Fig. 1) and z is
 311 the vertical coordinate, i.e. the depth below the transducers, of the cylindrical coordinate
 312 system. A necessary assumption is made that the value of $K_{t,b}$ does not vary across the
 313 swath, hence the constant is described as $K_{t,swath}$ for all beams. The cylindrical coordinate
 314 system of the integral necessitates a two-dimensional interpolation of the processed MBES
 315 swath backscatter data to a Cartesian grid.

316 First, a range of pings for each plume is manually selected to ensure the full volume
 317 of the plume is visible between the pipe outlet and the farthest range of the swath above
 318 the arc formed by the specular echoes from the bed. An estimate of $B_{corrected}$, the backscatter
 319 corrected for spreading, water attenuation, the sonar settings and K_S , is then obtained with:

$$320 \quad B_{corrected} = \left(\frac{B_{rms} r}{SK_S} \right) e^{2\alpha_w r} \quad (9)$$

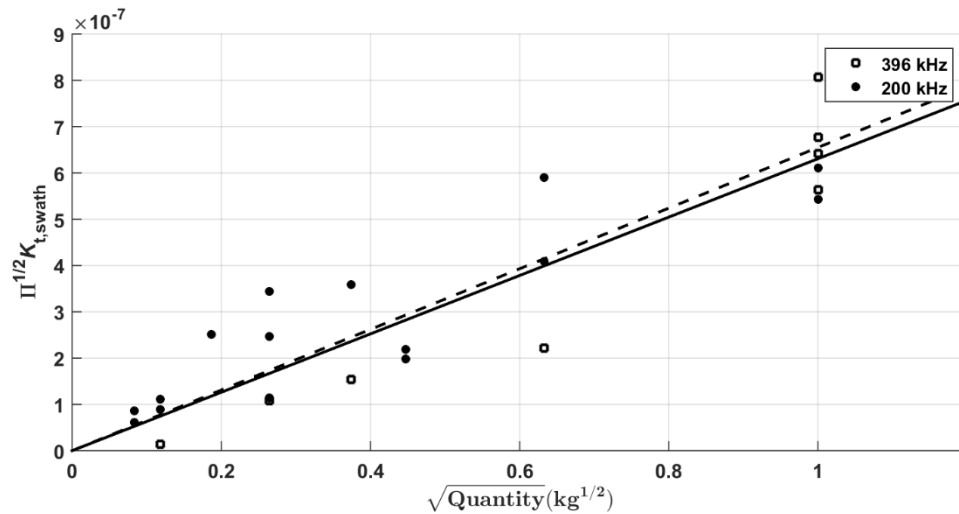
321 with K_S determined using the ensemble form function the formulae of Gaunard and
 322 Uberall (1983) for scattering from elastic spheres and the grain size distribution of the sand-
 323 sized glass spheres obtained with a Malvern Mastersizer 2000e laser-diffraction grain sizer
 324 in 1/5 ϕ size bins. The temporal RMS of the backscatter data is evaluated over a period of
 325 up to 10 s before the lower extent of the plume reaches the bed. The two-dimensional polar
 326 data is then interpolated onto a Cartesian grid in the x and z coordinates with a resolution
 327 of 0.1 m and the area of the plume within the swath determined as the backscatter greater
 328 than 6 dB above the background noise. The centre of each horizontal segment of plume

329 data is determined and the averaged values of $B_{\text{corrected}}$ within the segment are multiplied
 330 by the volumes formed by the rotation of the swath image about the centre. The Cartesian
 331 limits of the integral of Eq. (8) are thus determined by the backscatter magnitude and the
 332 rotation of the 2D plume image, giving a 3D plume volume bounded by the x and z
 333 coordinate limits manually chosen for each plume. The first estimate of the calibration
 334 constant, $K_{t,\text{swath}}$, is derived from the evaluation of the integral of the 3D plume volume
 335 using Eq. (8) with $\alpha_s=0$. A first estimate of concentration, M , across the 2D swath is
 336 calculated using the calibration constant with the averaged value of $B_{\text{corrected}}$:

$$337 \quad M = \left(\frac{\overline{B_{\text{corrected}}}}{K_{t,\text{swath}}} \right)^2 e^{4(\alpha_w + \alpha_s)r} \quad (10)$$

338 The first estimate of α_s is found by multiplying M by the sediment attenuation coefficient
 339 [Eq. (4)], derived using the scattering cross-section expression of Gaunaud and Uberall
 340 (1983) and the viscous absorption expression of Urick (1948) using the measured grain
 341 size distribution with a glass sphere material density of 2500 kg/m^3 , as quoted by the
 342 manufacturers, and a value of kinematic viscosity of $1.2 \times 10^{-6} \text{ m}^2/\text{s}$ based on the mean
 343 recorded temperature of 13.1°C and the description provided by Kestin et al. (1978). The
 344 sediment attenuation, α_s , is then used to update the concentration estimate and the same
 345 process was iterated ten times. The values of M across the two-dimensional swath are then
 346 interpolated to a Cartesian grid with the total mass of material in the plume, Π' , estimated
 347 in the same manner as the method to determine the first estimate of $K_{t,\text{swath}}$. The difference
 348 between Π' and the mass released through the pipe, Π , is then used to update the estimate
 349 of $K_{t,\text{swath}}$. The process is then iterated several times until two consecutive values of $K_{t,\text{swath}}$
 350 differ by less than 0.01%.

351 Figure 3 shows the results of the basin tests with least-squares fit through the origin
 352 for the 396 kHz data (solid) and for the 200 kHz data (dashed) using the plume backscatter
 353 volume integration. The y-axis describes the implied values of $\Pi^{1/2} K_{t,swath}$ derived using
 354 the volume integration term of Eq. 8 and the x-axis is the square root of the quantity of
 355 material released in to suspension, $\Pi^{1/2}$. The slope of the least square fits gives a value of
 356 $K_{t,swath} = 6.55 \times 10^{-7}$ counts $m^{3/2} s^{-1/2}$ at 200 kHz and $K_{t,swath} = 6.31 \times 10^{-7}$ counts $m^{3/2} s^{-1/2}$
 357 at 396 kHz.



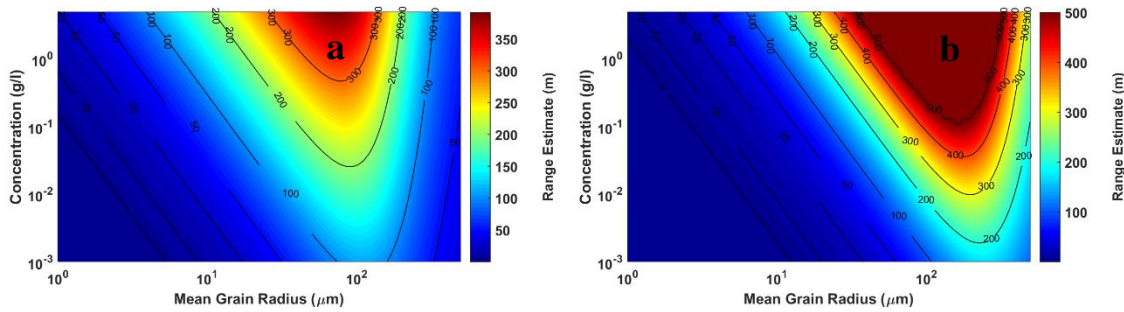
358
 359 Figure 3 – Least-square linear calibrations of the data from the basin tests at 200 kHz and
 360 396 kHz.

361 The value of $K_{t,swath} = 6.31 \times 10^{-7}$ counts $m^{3/2} s^{-1/2}$ for the 396 kHz frequency
 362 compares with a value of 1.51×10^{-6} counts $m^{3/2} s^{-1/2}$ obtained by O'Neill et al. (2013) using
 363 another SeaBat 7125 system that was used to record backscatter from a plume of suspended
 364 sediment entrained by demersal trawling gear that was calibrated to concentration values
 365 measured with a Laser In-situ Scattering and Transmissometer (LISST) positioned in the
 366 plumes by divers. Greenaway and Weber (2010) measured the individual ceramic element

367 sound pressure level response of a SeaBat 7125 system using a calibration tank and
368 hydrophones that transmitted towards the receiver array. They measured the system gain,
369 defined as the combined hydrophone sensitivity and fixed gains that relate the pressure at
370 the transducer face to the magnitude values recorded by the instrument in counts, as -121
371 dB at 200 kHz and -113 dB at 396 kHz for the linear range of the receiver. Greenaway and
372 Weber (2010) also measured the beam-formed magnitude response at 200 kHz using a
373 calibration sphere of unknown target strength and at 396 kHz using the bed-echo
374 backscatter collected in the field with several SeaBat 7125 systems and found an additional
375 beam-former gain of 5.8 dB at 200 kHz and between 3.5 dB and 7.0 dB at 396 kHz.
376 Combining the measured system responses and beam former gains measured by
377 Greenaway and Weber (2010) with the -3 dB beamwidths, yields approximate values of
378 $K_{t,swath} = 3 \times 10^{-7}$ counts $m^{3/2} s^{-1/2}$ at 200 kHz and 3×10^{-7} counts $m^{3/2} s^{-1/2}$ at 396 kHz that
379 are lower than the values presented herein. However, the study of the variability of the
380 power, gain and pulse length settings between three different SeaBat 7125 units by Welton
381 (2014) suggests that such differences could be due to deviations from the ideal relationships
382 rather than actual direct measurement error.

383 With the aim of estimating the concentration and grain size of sediment that the
384 SeaBat 7125 MBES system is capable of imaging, a model of the backscatter was produced
385 by re-arranging Eq. (1) to give an expression for B_{rms} . The model is based on the maximum
386 pulse length of 300 μs and assumes that SSC is homogeneous throughout the range and
387 that the grain size distribution is log-normal with a relative standard deviation of 0.3. The
388 range is defined as the distance to which the backscatter magnitude drops below a
389 threshold, defined as being equal to the expected magnitude of noise (0 dB signal-to-noise

390 ratio) with the receiver gain at the maximum value of 83 dB. The average system noise
 391 magnitude acquired with a gain of 83 dB at the test facility with a mean temperature of
 392 13.1° was 79.7 counts at 200 kHz and 278.7 counts at 396 kHz, although it should be noted
 393 that these values will likely vary between systems and are dependent on temperature.
 394 Figure 4(a) shows the results from the 200 kHz model with $K_{t,swath} = 6.55 \times 10^{-7}$ counts $m^{3/2}$
 395 $s^{-1/2}$. The range increases with mean grain size and concentration and reaches the maximum
 396 range of around 380 m. However, on the right-hand side of the plot, increased sediment
 397 attenuation limits the range. Figure 4(b) shows the results from the 396 kHz model with
 398 $K_{t,swath}=6.31 \times 10^{-7}$ counts $m^{3/2} s^{-1/2}$. The pattern is similar to 200 kHz, but the maximum
 399 range is higher and reaches the maximum of 500 m as a result of the higher values of ka
 400 and form function.



401 Figure 4 – Estimated range at (a) 200 kHz and (b) 396 kHz for which the mean backscatter
 402 magnitude of the broadside beams drops to a signal-to-noise ratio of 0 dB, for
 403 homogeneous concentrations of suspended sediment with a log-normal distribution and
 404 with a relative standard deviation of 0.3.
 405

406

407 4. FIELD TESTS

408 Experimental Setup

409 Measurements of sediment transport over the leeside of an alluvial sand-dune were
410 undertaken during a survey of a reach of the Missouri River, USA, at a location (38°
411 $49.314150'$ N, 90° $10.114794'$ W) upstream of the confluence with the Mississippi River.
412 The survey vessel was held at a stationary position with a three-point anchor whilst acoustic
413 backscatter data were collected using the same MBES system that was deployed for the
414 basin tests and also with a Teledyne-RDI 1200 kHz ADCP. The MBES transducers were
415 mounted at the bow with the swath aligned parallel to the direction of river flow at a depth
416 of 0.3 m below the flow surface. The ADCP was mounted from the side of the vessel at the
417 same depth below the surface, with a lateral and downstream offset of the ADCP from the
418 MBES of 1.25 m and 0.79 m respectively. A P61 point-integrating water sampler (see Guy
419 and Norman, 1970) was deployed to collect suspended sediment samples at discrete heights
420 above the bed. The beginning and end of each sample collection was controlled by the
421 P61's electrically operated valve. The depth to the bed was typically ~ 7 m and the bed
422 morphology was characterized by a field of sand dunes with asymmetrical profiles
423 comprising steeper downstream lee slopes and shallower upstream stoss slopes. The boat
424 was moored over the leeside of one of these dunes with a trough to crest elevation of ~ 0.9
425 m.

426 To calibrate the acoustic data, seven P61 samples were obtained at depths of 3.5 m
427 / 4.5 m / 5.0 m / 5.5 m / 6.0 m / 6.5 m / 6.8 m whilst the MBES simultaneously recorded
428 backscatter over periods of up to 20 s for each sample. The pulse repetition frequency was
429 set to 10 Hz in equi-angle mode at 396 kHz with 512 beams and with $P_T = 200$ dB, $G_R =$
430 58 dB, $\tau = 49$ μ s, $G_{\text{spreading}} = 30$ dB and $G_{\text{absorption}} = 50$ dB/km. A longer continuous sequence
431 of MBES backscatter data were acquired with the same settings over a period of ~ 7 minutes

432 and, to provide a comparison between the spatial and temporal resolution of the MBES and
433 ADCP, a ~40 minutes sequence of ADCP data was also acquired. The ADCP cell size was
434 equivalent to 0.2 m in the vertical and the profiles for each of the four beams at 20 degrees
435 to the vertical were acquired at a pulse repetition frequency of 10 Hz and were averaged
436 internally over 6 successive profiles.

437

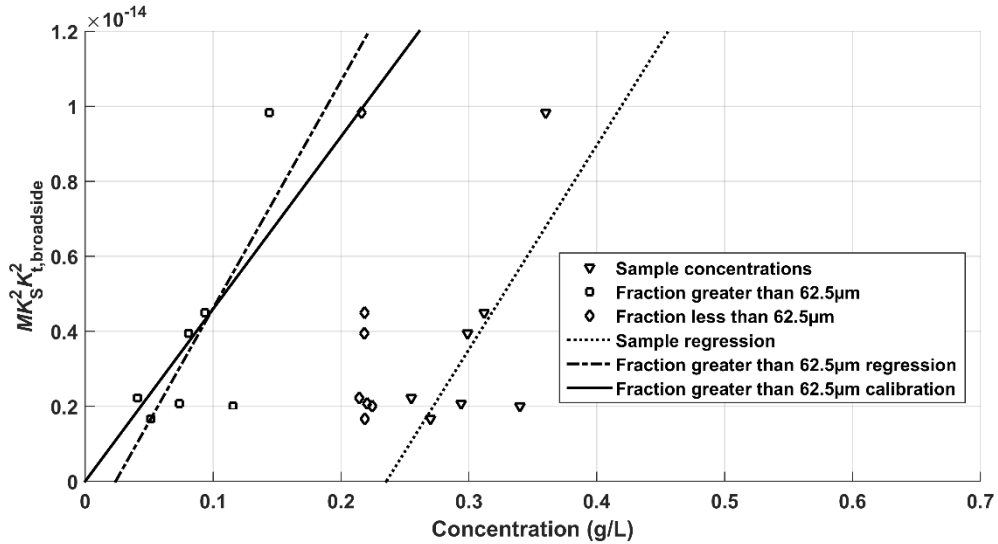
438 **Observations and Analysis**

439 The P61 water column samples were filtered and weighed in to two size fractions greater
440 and less than 62.5 μm diameter. Using the approximation, $\alpha_s = 0$, based on an assumed
441 low value of sediment attenuation, the following expression is derived from Eq. 1 for the
442 calibration:

$$443 \quad MK_S^2 K_{t,\text{broadside}}^2 = \frac{B_{\text{rms}}^2 r_{\text{calibration}}^2}{S^2} e^{4\alpha_w r} \quad (11)$$

444 where B_{rms} is derived from the broadside beams (number 256 and 257) at the range, $r_{\text{calibration}}$
445 , equivalent to the depth of the P61 sample. Detailed information concerning the grain size
446 distribution in suspension, K_s , is unknown and the calibration presented herein is based on
447 the assumption that K_s remains spatially and temporally constant. The mean of the recorded
448 sound speed was 1474 m/s and the mean temperature of 19.9⁰ gives a value of $\alpha_w = 0.004$
449 Np/m derived using the formulae of Francois and Garrison (1982a and 1982b). The results
450 obtained from the P61 samples are displayed in Fig. 5 and are plotted against $MK_S^2 K_{t,\text{broadside}}^2$
451 . The linear regression (dotted, $R^2 = 0.48$) of the total concentration (inverted triangles) in
452 each sample and the linear regression (dashed and dotted, $R^2 = 0.57$) of the concentration
453 greater than 62.5 μm (squares) are shown. As the intercept of the regression greater than

454 62.5 μm is closer to the theoretical intercept at the origin, the backscatter is reasoned to be
455 dominated by the fraction greater than 62.5 μm . The fraction less than 62.5 μm (diamonds)
456 remains reasonably constant for all seven samples with a standard deviation of $\sim 1.5\%$ of
457 the mean value, 0.219 g / L. A model is therefore adopted that assumes a constant wash-
458 load component of 0.219 g / L and a suspended-load component that is uniquely related to
459 the backscatter. The solid line in Fig. 5 shows the calibration derived by a least-squares fit
460 through the origin of the fraction greater than 62.5 μm . Substituting the value of $K_{t,\text{swath}} =$
461 6.31×10^{-7} counts $\text{m}^{3/2} \text{s}^{-1/2}$ obtained from the basin tests in to the gradient, $K_S^2 K_{t,\text{broadside}}^2$, of
462 the calibration fit gives a result of $K_S = 0.13$. This corresponds to a single-size grain radius
463 in suspension of 160 μm using the form function expression of Moate and Thorne (2012)
464 that was developed for generic application to sands of varying mineralogy with an assumed
465 grain density of 2650 kg/m^3 based on the density of quartz. Although there is insufficient
466 information regarding the suspended sediment grain size distribution to assess the accuracy
467 of this estimate, it is a more realistic value for the location than the 2600 μm grain radius
468 obtained by using the calibration constant derived from the results of Greenaway and
469 Weber (2010) with $K_{t,\text{swath}} = 3 \times 10^{-7}$ counts $\text{m}^{3/2} \text{s}^{-1/2}$.

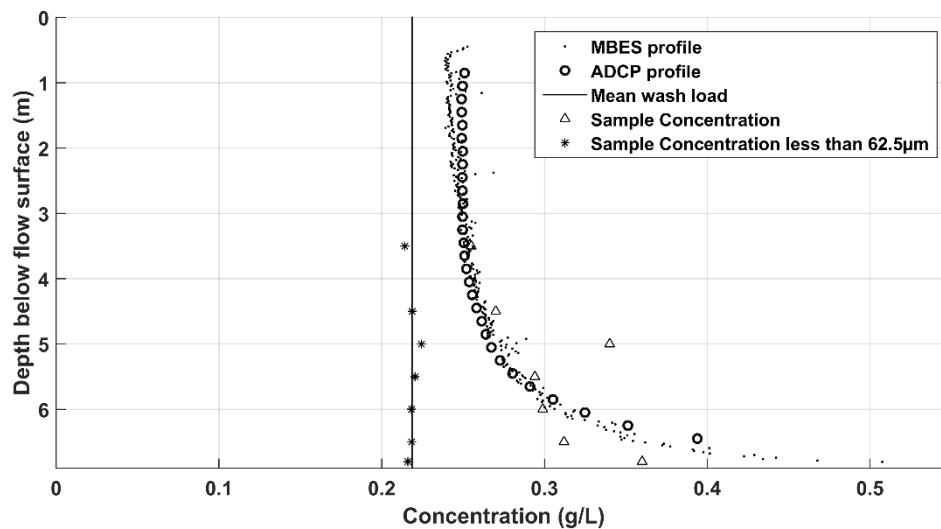


470
 471 Figure 5 – Total water column sample concentrations and derived concentrations greater
 472 and less than 62.5 µm. Linear regressions of the total concentration and concentration
 473 greater than 62.5 µm are denoted by broken lines and the calibration through the origin are
 474 denoted by the solid line.

475

476 The mean of the seven calibrated, time-averaged, broadside MBES profiles is
 477 shown in Figure 6 over the range from the transducers to the bed at ~6.9 m below the flow
 478 surface. The results are derived by applying the value of $K_S^2 K_{t,broadside}^2$ from the calibration
 479 with Eq. (1) and the $\alpha_s = 0$ approximation. A value of $\alpha_w = 0.041$ Np/m at the ADCP
 480 frequency is derived using the formulae of Francois and Garrison (1982a and 1982b) with
 481 the mean recorded temperature of 19.9⁰ C. As a comparison, the calibrated profile of the
 482 ADCP beam with the most similar range to the bed, beam 1, is also shown. The calibrated
 483 ADCP profile is processed using the same method, however the data were acquired after
 484 the MBES calibration and time-series were acquired. The near-field correction of Downing
 485 et al. (1995) is applied using a transducer diameter of 0.054 m to give values for ψ_b in Eq.

486 (1). Despite the temporal offset and the spatial offset of the transducers and the 20 degree
 487 slant angle of the ADCP beam, there is a good agreement between the shape of the MBES
 488 and ADCP. This suggests that the sediment attenuation through the profile is relatively
 489 small, even at the 1200 kHz ADCP frequency and, critically, that the profiles are
 490 qualitatively similar beyond the range of 1.5 m where dynamic focusing is applied to the
 491 MBES receiver array. The results suggest that the expected near-field decrease in
 492 transmitted power close to the transmitter array is largely offset by the increase in
 493 beamwidth compared with the far-field and that an approximation of $\psi_b = 1$ is reasonable
 494 absent the existence of a near-field model and correction for a SeaBat 7125 MBES.

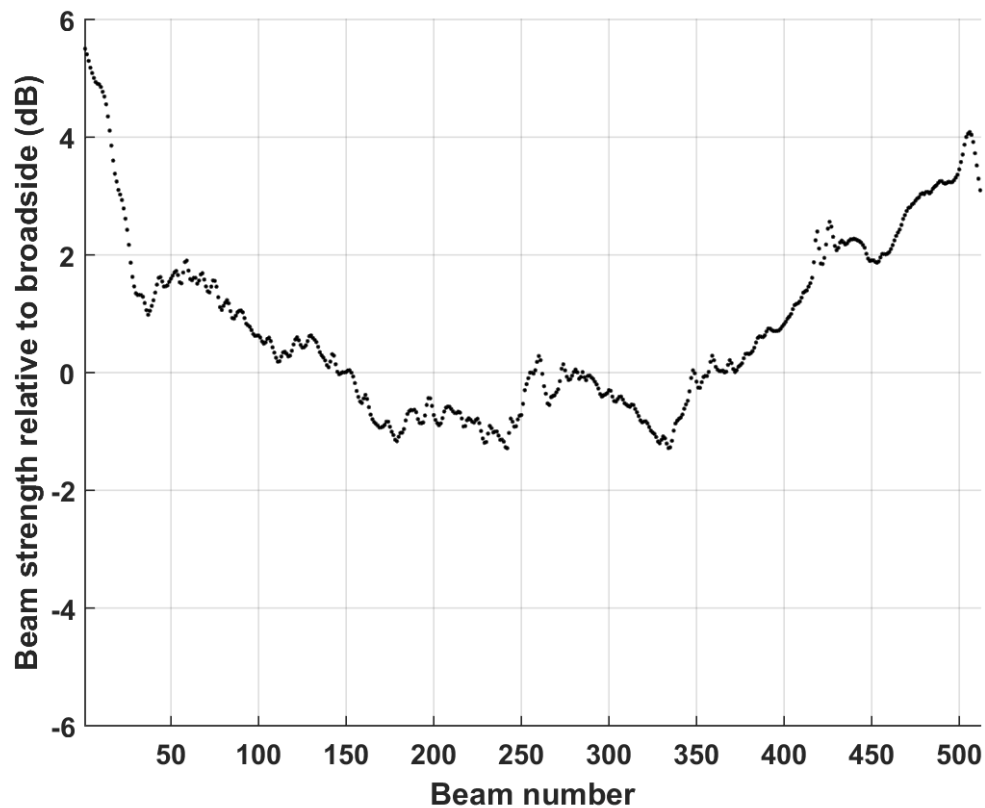


495
 496 Figure 6 – Time-averaged vertical profiles of sediment concentration from the calibrated
 497 MBES broadside beams and ADCP beam.

498

499 The time-averaged backscatter data across the swath displayed noticeable artefacts
 500 along the direction of the beams in a radial pattern. Similar patterns were observed in the
 501 test basin at Blyth at higher ping repetition frequencies (20 Hz or greater) and were thought,
 502 therefore, to be attributable to surface reverberation. The location of the artefacts at the

503 stationary dune site remained constant throughout the recording. However, water column
504 backscatter recorded whilst the survey vessel was in motion showed the location of the
505 radial artefacts changed constantly, reinforcing the surface reverberation explanation.
506 Figure 7 shows the variation of the time-averaged, calibrated backscatter magnitude at a
507 2.8 m depth below the transducers for all beams relative to the broadside beams (numbers
508 256 & 257). It is assumed that the time-averaged concentration at that depth is constant
509 across the swath and, therefore, that variations in magnitude are related to beam strength
510 and surface reverberation. Smaller, superimposed ripples for beams ~1 to ~256 appear
511 similar to those reported by Lanzoni and Weber (2010) who attributed them to interference
512 from the 200 kHz projector.

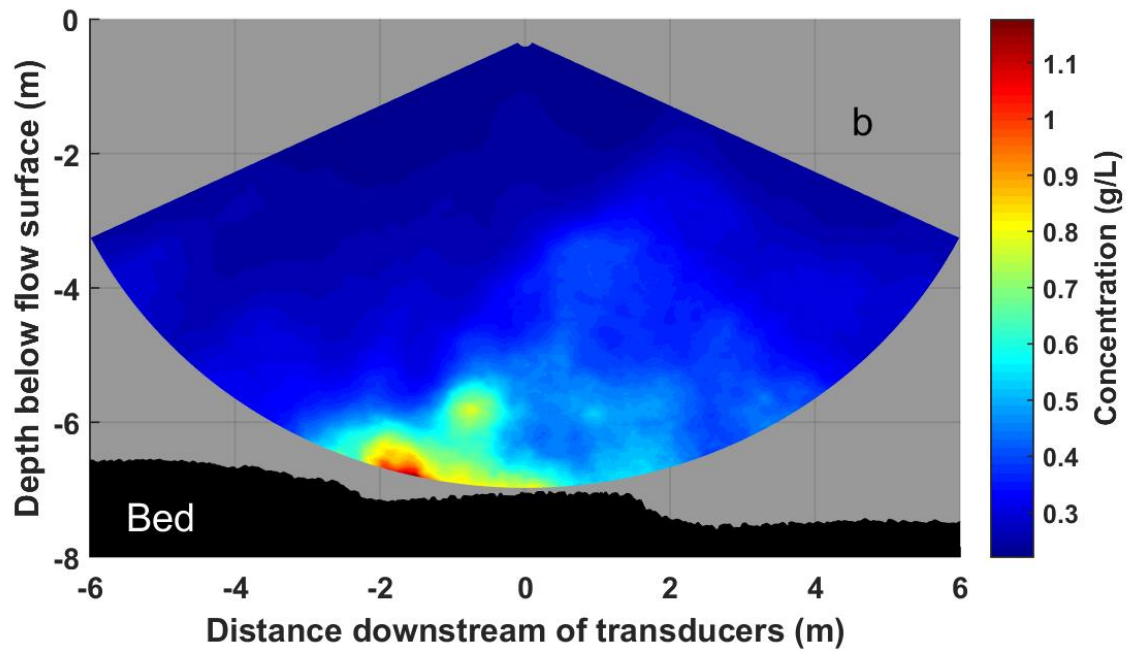
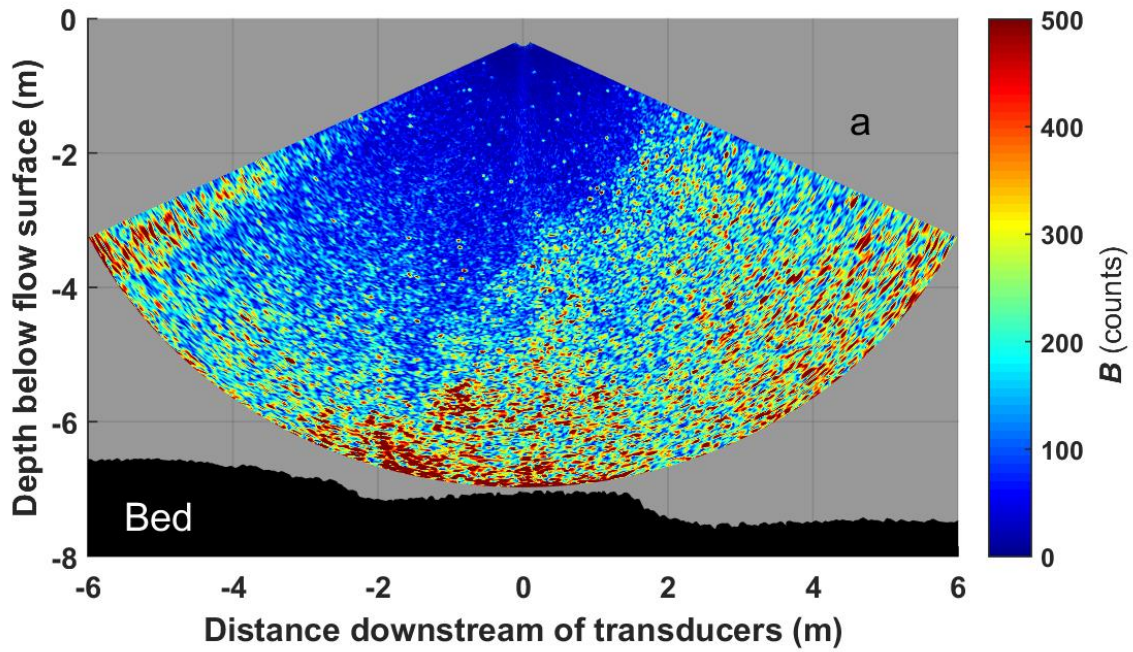


513

514 Figure 7 – Variation of the time-averaged backscatter magnitude at 2.8 m depth below the
515 transducers for all beams relative to the broadside beams (numbers 256 & 257) after
516 adjusting for spreading and attenuation losses.

517

518 Figure 8(a) shows the backscatter magnitudes from a single ping recorded at the
519 field location. The echoes from the bed are clearly visible, as are the increased backscatter
520 magnitudes from a plume of suspended sediment rising from the bed. Similar plumes were
521 observed to advect with the flow from the left-side to the right-side of the swath throughout
522 the recording. Figure 8(b) shows the same data after each beam is divided by the relative
523 backscatter strength shown in Figure 7, calibrated to the water column samples and then
524 spatially-averaged so that every averaged sample represents the root-mean-square of all the
525 samples within a 0.19 m radius following the method presented in Simmons et al. (2010).
526 The image demonstrates the two-dimensional suspended sediment flow field and the high
527 spatial resolution that is capable from the data acquired in a single MBES ping.



528

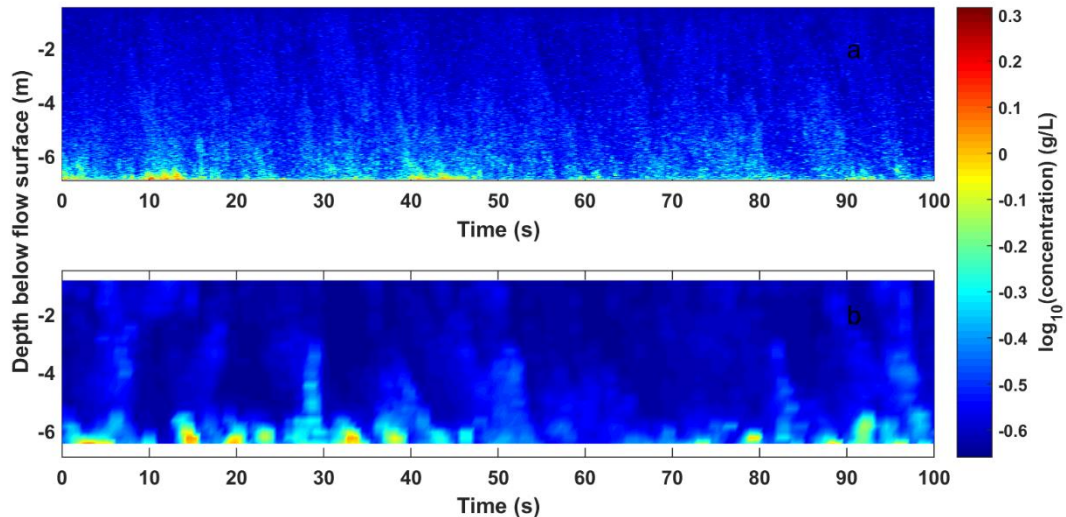
529 Figure 8 – (a) Magnitude data from a single ping collected over the lee-side of an alluvial
 530 sand-dune in the Missouri River at 396 kHz (outlier values above 500 counts are set to 500

531 counts on the color scale) and (b) the same data after calibration and two-dimensional
532 spatial averaging.

533

534 Figure 9 shows a comparison between the concentration time series collected by (a)
535 the MBES and (b) the ADCP over separate 100 s periods at the same field location. The
536 MBES data were averaged over five beams around broadside (numbers 254 to 258) for
537 each ping. The central beams on the 396 kHz and 512 beams equi-angle setting have a
538 beamwidth of 0.54° and a beam spacing of 0.25° . The five beams therefore cover an
539 equivalent angle of 1.54° , roughly equivalent to the ADCP beamwidth of 1.4° , with a 46%
540 overlap with the adjacent beam that leads to a marginal increase in the standard error
541 compared with the value expected from Eq. (6). Further averaging over four successive
542 pings increases the number of beams included in the average to 24 for the calibrated data
543 displayed in Fig. 9(a). An approximately equivalent standard error is obtained for the
544 ADCP data by averaging across 18 pings (three ensemble averages of six pings recorded
545 by the instrument) to give the series shown in Fig. 9(b). The two time-series profiles have
546 similar standard errors related to the random scattering of the sediment. However, for this
547 example, the MBES data has a higher temporal resolution of 2.50 Hz compared with 0.57
548 Hz for the ADCP as a result of additional spatial averaging over five adjacent beams. The
549 MBES also has a finer spatial resolution with sample spacing of 0.0214 m compared with
550 0.2 m for the ADCP. Furthermore, the MBES is capable of obtaining profile data much
551 closer to the bed in the region where the ADCP is affected by side-lobe interference from
552 the bed due to the beam's slant angle of 20° to the vertical. This region is blanked out for
553 the ADCP time-series in Fig. 9(b), whereas the range of the MBES series profiles in Fig.

554 9(a) extends to the penultimate bin prior to the largest return, giving a maximum distance
555 above the bed of ~4 cm.



556
557 Figure 9 – Calibrated time series of (a) SeaBat 7125 multibeam data and (b) Workhorse
558 ADCP data collected separately at the same location over a period of 100 s.

559

560 5. DISCUSSION

561 The primary objective of the research described herein was to evaluate the
562 performance of an MBES system in a controlled test with known quantities of suspended
563 material, and to relate these findings to the results of a field deployment of the system in a
564 fluvial environment. The present paper has shown the clear potential of MBES for
565 quantifying suspended sediment concentrations and visualizing such structures, and
566 provided an analysis of the acoustic parameters that require attention in these applications.

567 The sensitivity of the SeaBat 7125 MBES system was evaluated by relating the
568 cumulative backscatter from plumes of sand-sized sediment to the quantities of material
569 released in to suspension during a controlled experiment in a calibration tank. The results
570 of the calibration show a similar sensitivity for both 200 kHz and 396 kHz operating

571 frequencies. The calibration constants enabled a model to be constructed of the effective
572 operating range from the transducers for which the backscatter-to-noise ratio is likely to be
573 greater than 0 dB for a range of sediment grain sizes and concentrations.

574 The field results demonstrate the ability of the MBES to image two-dimensional
575 suspended sediment structures in the natural environment. However, reverberation effects
576 were present in the data, suggesting the ping repetition frequency was set too high at 10 Hz
577 for that particular location. Although a method was found to mitigate this distortion, an
578 improved field methodology would incorporate an in-situ analysis of data recorded at
579 different repetition frequencies to provide an estimate of the reverberation time. An
580 analysis of the variation in backscatter strength and the sample fractions greater and less
581 than a particle diameter of 62.5 μm demonstrated that the backscatter was dominated by
582 the larger grain size fraction and that the wash load remained constant with depth. The
583 backscatter model therefore treated variations in backscatter strength as variations in
584 concentration above a constant wash load concentration. A comparison with time-averaged
585 ADCP profiles acquired at the same location at a higher acoustic frequency show similar
586 results, but the time-series concentration data clearly demonstrate the advantages of the
587 MBES over the ADCP in terms of greater vertical and horizontal resolution and an ability
588 to profile the water column closer to the bed where sediment transport rates are at their
589 greatest. However, the horizontal extent of the near-bed samples in the 2D swath is limited
590 to the beams with the shortest range to the bed due to the side-lobe interference arc.

591 It is suggested that future work should incorporate a calibration for the higher
592 frequency instrument that is used in conjunction with the calibrated MBES and that grain
593 size distributions are obtained from water column samples and bed samples. The

594 combination of two calibrated instruments would enable an estimate of the mean grain size
595 in the water column and would also enable a comparison of the temporal variation in
596 backscatter strength between the MBES, in the grain size sensitive Rayleigh regime, and
597 an ADCP/ABS instrument with a frequency in the megahertz range, where grain size
598 variability is likely to be reduced depending on the relationship between the frequency and
599 the mean grain size.

600 Data were recorded in the test basin for a range of settings of the transmitter power,
601 receiver gain and pulse length but it was not possible to assess the linearity of the system
602 settings as the backscatter from the bed was often clipped due to saturation. Such an
603 evaluation, for a SeaBat 7125 MBES, has been reported by other researchers (Greenaway
604 and Weber, 2010) using data obtained in a calibration tank and the bed-echoes from a field
605 deployment. The system TVG was set during acquisition for both the basin tests and the
606 field deployment under the assumption that the function is described explicitly by the
607 formula in the user manual. However, the actual function used by the SeaBat 7125 and
608 applied to the data herein differs from the formula in the manual and was supplied in
609 commercial confidence. We therefore recommend that the TVG is not set during
610 acquisition unless the formula has been supplied by Teledyne-RESON. Future work to
611 accurately evaluate the combined axial near-field correction function of the transmitter and
612 receiver, ψ_b , either through numerical modelling or by measurement in a calibration tank
613 using a target sphere and hydrophone, would be valuable to further assess the accuracy of
614 measurements within the near-field.

615

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