

1 **Ultra-Shallow Marine Anoxia in an Early Triassic Shallow-**
2 **Marine Clastic Ramp (Spitsbergen) and the Suppression of**
3 **Benthic Radiation**

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21

22 **Abstract**

23 Lower Triassic marine strata in Spitsbergen accumulated on a mid-to-high
24 latitude ramp in which high-energy foreshore and shoreface facies passed
25 offshore into sheet sandstones of probable hyperpycnite origin. More distal

26 facies include siltstones, shales and dolomitic limestones. Carbon isotope
27 chemostratigraphy compares allows improved age dating of the Boreal sections
28 and shows a significant hiatus in the later Spathian. Two major deepening
29 events, in the earliest Griesbachian and late Smithian are separated by
30 shallowing-upwards trends that culminated in the Dienerian and Spathian
31 substages. The redox record, revealed by changes in bioturbation, palaeoecology,
32 pyrite framboid content and trace metal concentrations, shows anoxic phases
33 alternating with intervals of better ventilation. Only the Dienerian-early
34 Smithian witnessed persistent oxygenation that was sufficient to support a
35 diverse benthic community. The most intensely anoxic, usually euxinic,
36 conditions are best developed in offshore settings but at times euxinia also
37 developed in upper offshore setting where it is even recorded in hyperpycnite
38 and storm-origin sandstone beds; an extraordinary facet of Spitsbergen's record.
39 The euxinic phases do not track relative water depth changes. For example, the
40 continuous shallowing upwards from the Griesbachian to early Dienerian was
41 witness to several euxinic phases separated by intervals of more oxic,
42 bioturbated sediments. It is likely that the euxinia was controlled by climatic
43 oscillations rather than intra-basinal factors. It remains to be seen if all the
44 anoxic phases found in Spitsbergen are seen elsewhere although the wide spread
45 of anoxic facies in the Smithian-Spathian boundary interval is clearly a global
46 event.

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51 **1. Introduction**

52 The development and intensification of marine anoxia during the
53 Permian-Triassic is a well-known phenomenon widely regarded as a direct cause
54 of the contemporary mass extinction (e.g. Wignall & Hallam, 1992; Isozaki, 1994;
55 Wignall & Twitchett, 2002; Algeo *et al.* 2008; Bond & Wignall, 2010; Song *et al.*
56 2014). The subsequent Early Triassic interval saw widespread anoxia persist in
57 shelf seas and oceanic waters (e.g. Isozaki, 1997; Wignall & Twitchett, 2002;
58 Wignall *et al.* 2010). The consequent decrease of marine habitat area is thought
59 to have been largely responsible for failure of benthic communities to recover
60 and radiate in all but nearest shore settings where a narrow belt of oxygenated
61 conditions persisted (Wignall, Morante & Newton, 1998; Beatty, Zonneveld &
62 Henderson, 2008; Knaust, 2010; Zonneveld, Gingras & Beatty, 2010).

63 The temporal development and intensity of Early Triassic marine anoxia
64 varied considerably with the Smithian/Spathian (S/S) interval being especially
65 noteworthy as an intense phase of oxygen deprivation (Galfetti *et al.* 2007;
66 Wignall *et al.* 2010; Meyer *et al.* 2012; Song *et al.* 2012; Grasby *et al.* 2013; Sun *et*
67 *al.* 2015). However, other than studies in South China (e.g. Song *et al.* 2012; Tian
68 *et al.* 2014) and the Sverdrup Basin (Grasby *et al.* 2013), the spatial development
69 of marine anoxia is unconstrained and the context of the events, such as the S/S
70 episode, within the prolonged history of the Early Triassic “superanoxic event”
71 (*sensu* Isozaki, 1997), is poorly known. Here we aim to address this issue by
72 establishing a depositional model for the Lower Triassic of Spitsbergen and then,
73 using a combined ichnological/sedimentological/geochemical analysis, assess
74 the temporal and spatial redox fluctuations in the region.

75

76 **2. Regional Geology**

77 The Lower Triassic succession in Spitsbergen forms a thick wedge of
78 sediment peaking at ~ 500 m thickness in the westernmost outcrops at the
79 entrance to Isfjorden and thinning to roughly half this thickness in more
80 southerly and easterly outcrops on the island (Mørk, Knarud & Worsley, 1982).
81 The thickest sections also display the most proximal facies and these are divided
82 into the Vardebukta and Tvillingdodden formations that are roughly of
83 Griesbachian-Dienerian and Smithian-Spathian ages respectively. Shales and
84 siltstone dominate both formations but a major sandbody is developed in the
85 upper part of the Vardebukta Formation in western outcrops (Nakrem & Mørk,
86 1991) that records shoreface and foreshore deposition (Wignall, Morante &
87 Newton, 1998). The more eastern outcrops lack major sandstone beds and are
88 instead dominated by shale and siltstone strata of the Vikinghøgda Formation
89 (Mørk *et al.* 1999).

90 The Lower Triassic successions have been examined in detail at four
91 locations:-

92 **Festningen:** This well-known coastal cliff section, at the western entrance to
93 Isfjorden, provides a continuous exposure of the thickest-known development of
94 Lower Triassic marine stratigraphy (Fig. 1). Only minor faulting in the lowest
95 Tvillingdodden Formation and difficult-to-access sea cliffs in the uppermost part
96 of the Formation hindered sampling.

97 **Forkastningsdalen:** This valley section lies at the western end of Nathorst Land
98 in southern Spitsbergen (Fig. 1). The Upper Permian Kapp Starostin Formation is
99 well exposed but the basal (presumably shale-dominated) part of the
100 Vardebukta Formation is obscured. The outcrop resumes in streamside sections

101 approximately 40 m stratigraphically above the highest Kapp Starostin outcrop
102 and the ensuing 95 m-thick, sandstone-dominated succession is considered to
103 belong to the upper Vardebukta Formation.

104 **Tschermakfjellet:** The basal metres of the Vikinghøgda Formation are exposed
105 in a gully at Tschermakfjellet (Wignall, Morante & Newton, 1998; Dustira *et al.*
106 2013) but the overlying ~20 m is unexposed. The outcrop resumes a short
107 distance to the south in coastal cliffs and reveals 56 m of section dominated by
108 shales and siltstone with minor sandstone beds.

109 **Vindodden:** This steep hillside outcrop in eastern Sassenfjorden, lies below
110 Botneheia Mountain. This is the type locality for the Middle Triassic Botneheia
111 Formation and the phosphatic black shales of this unit are well seen in the cliffs
112 above the softer-weathering shales of the Vikinghøgda Formation. Only the
113 topmost 110 m of the Vikinghøgda Formation are seen and it can be correlated
114 with the eponymous type location of this unit which lies ~15 km to the east of
115 Vindodden. Comparison of sections between Vindodden and Vikinghøgda
116 suggests a thinner development at the former locality. A distinctive, yellow-
117 weathering, laminated dolostone with thin-shelled bivalves forms a distinct
118 hillside ledge at both locations. At Vindodden this bed is 52 m below the
119 Vikinghøgda/Botneheia boundary whereas it is recorded ~80 m below this
120 contact at Vikinghøgda (Mørk *et al.* 1999).

121

122 **3. Analytical Protocol**

123 The four study sections were logged in detail and facies types were
124 identified with care given to identifying body and trace fossils and semi-
125 quantifying the intensity of bioturbation in all beds using the 5-point ichnofabric

126 index (II) scheme of Droser and Bottjer (1986). Facies were determined in the
127 field and further characterized by thin section analysis. In addition polished
128 blocks from a range of facies were examined using backscatter scanning electron
129 microscopy to determine their pyrite petrography and (where present) the size
130 range of pyrite framboids (cf. Bond & Wignall, 2010).

131 Biostratigraphic control is available for the Vikinghøgda Formation at its
132 type location and this allows the level of the S/S boundary to be estimated at the
133 nearby Vindodden section. Samples were collected at this location and processed
134 for conodonts in an attempt to further improve the biostratigraphic resolution.
135 We employed three methods to disaggregate the samples. 1) samples were first
136 treated with 10% acetic acid (buffered with tri-calcium phosphate) to remove
137 carbonate contents; 2) samples were treated with 5% H₂O₂ for 3-5 times, and
138 reaction between organic rich materials and H₂O₂ further disintegrated the
139 samples; 3) finally samples were treated with industrial surfactant Rewoquat for
140 two weeks to disaggregate phyllosilicates (see Jarochowska *et al.* (2013) for
141 details). The residues of these processes were wet sieved, washed with distilled
142 water and dried at 55 °C. Sodium polytungstate heavy solution (2.81 g/cm³) was
143 used for density separations. Conodonts were picked from the heavy fractions.

144 Early Triassic correlation can also be achieved using the $\delta^{13}\text{C}$ record,
145 which shows substantial oscillations in this interval (Payne *et al.* 2004). Thus,
146 detailed sampling was undertaken at Festningen and Vindodden and $\delta^{13}\text{C}_{\text{org}}$
147 values were generated. Stable isotope measurements were conducted at the
148 Isotope Science Laboratory, University of Calgary and at GeoZentrum
149 Nordbayern, University of Erlangen-Nuremberg. At Calgary, $\delta^{13}\text{C}$ was measured

150 with a Finnigan Delta+XL mass spectrometer interfaced with a Costech 4010
151 elemental analyser. At Erlangen, $\delta^{13}\text{C}$ was determined with an elemental
152 analyser (CE 1110) connected online to a ThermoFisher Delta V Plus mass
153 spectrometer. All carbon isotope values are reported in the conventional δ -
154 notation in permil relative to V-PDB (Vienna-PDB). Reproducibility of replicate
155 standard analyses was $\pm 0.07\text{‰}$ (1σ , Erlangen) or $\pm 0.2\text{‰}$ (1σ , Calgary).

156 A total of 180 shale beds of the Festningen section were sampled (by SEG
157 and BB) for trace metal assay. Determination of molybdenum, uranium, and
158 vanadium concentrations was performed at the Geological Survey of Canada.
159 Elemental determinations were conducted on powdered samples digested in a
160 2:2:1:1 acid solution of H_2O -HF- HClO_4 - HNO_3 , and subsequently analysed using a
161 PerkinElmer mass spectrometer, with $\pm 2\%$ analytical error.

162

163 **4. Results**

164 **4.1. Chemo- and biostratigraphy**

165 The $\delta^{13}\text{C}_{\text{org}}$ trends obtained at Festningen and Vindodden (Fig. 2) closely
166 resemble the $\delta^{13}\text{C}_{\text{carb}}$ values from lower latitude Tethyan carbonate sections
167 (Payne *et al.* 2004; Horacek *et al.* 2007) as well as the $\delta^{13}\text{C}_{\text{org}}$ record from the
168 Smithian stratotype in the Sverdrup Basin (Grasby *et al.* 2013). This allows
169 improved precision in age assignment for the Spitsbergen sections (Fig. 2).

170 At Festningen, the sharp negative excursion close to the base of the
171 Vardebukta Formation is a marker for the Permian/Triassic boundary and this is
172 followed by a rapid rise to heavier values (of -30‰) before a slowdown in the

173 trend. The heaviest values are eventually attained around 200 m higher, in a
174 sandstone unit. After this the $\delta^{13}\text{C}_{\text{org}}$ values begin a renewed fall that reaches a
175 new low point 100 m higher in a shale-dominated section just above the
176 Vardebukta/ Tvillingdodden formational boundary (Fig. 2).

177 Comparison with Tethyan records suggests that, following the
178 Permian/Triassic boundary lowpoint, the slowdown in the rate of rise occurs
179 within the Griesbachian Substage (Payne *et al.* 2004). A subsequent positive $\delta^{13}\text{C}$
180 peak occurs at the end of the Dienerian Substage in the Spitsbergen, Sverdrup
181 Basin and China records (Grasby *et al.* 2013). The subsequent negative low point
182 is at the end of the Smithian Substage and the remainder of the section (and
183 nearly the entire Tvillingdodden Formation) therefore belongs to the Spathian
184 Substage (Fig. 2).

185 These age assignments differ slightly from those suggested previously by
186 Mørk *et al.* (1982) who placed the base of the Tvillingdodden Formation at the
187 base of the Smithian, rather than in the mid Smithian as we suggest here (Fig. 2).
188 The age of the eastern sections is also constrained by fossils, especially
189 ammonoids, and is in much closer accord with the carbon isotope stratigraphy
190 described above. Thus, the *Anwasatchites tardus* Zone straddles the S/S
191 boundary (Mørk *et al.* 1999). No conodonts have been found from our
192 Festningen samples but two thirds of samples from Vindodden yielded
193 conodonts of which neogondolellids were the most common and indicate a
194 Spathian age. *Neogondolella* sp A. (Orchard, 2008) occurs in the upper part of the
195 section, indicating a middle Spathian age (*Subrobustus* ammonoid zone). *N.*
196 *regalis* was found 104 m above the base of the Vindodden section and is a long-

197 lived form known to range from the middle Spathian to the early Middle Triassic.
198 Smithian conodonts from the lower part of the section are rare. The conodonts
199 are similar to those described by Dagens (1984) from Siberia and contrast starkly
200 with coeval, low latitude faunas, which are dominated by *Neospathodus* species
201 (e.g., Yan *et al.* 2013).

202 There is no conodont evidence for a latest Spathian age at Vindodden and
203 comparison of $\delta^{13}\text{C}_{\text{org}}$ trends suggest that this interval may be missing beneath
204 the base of the Botneheia Formation (Fig. 2). Thus, the $\delta^{13}\text{C}_{\text{org}}$ values in the
205 Spathian strata at Festningen show an initial positive trend followed by a gradual
206 $\sim 2\text{‰}$ negative shift around 370 m followed by stable values for 100 m before a
207 final minor, positive trend in the uppermost 30 m of the Tvillingdodden
208 Formation. At Vindodden only the initial positive shift and the gradual negative
209 shift (but not the prolonged stable phase) are seen above the S/S boundary (Fig.
210 2). This suggests that the upper part of the Spathian Stage has been removed by
211 erosion (or the upper Spathian is highly condensed) at Vindodden. Further
212 evidence for erosive removal comes from the basal bed of the overlying
213 Botneheia Formation, which is an erosive-based sandstone with rounded
214 phosphatic clasts. It is possible that a late Spathian hiatus occurs at Festningen
215 too, albeit of briefer duration than that seen at Vindodden. The Sverdrup Basin
216 and Tethyan records shows that a marked positive trend in the latest Spathian
217 (of 4‰ amplitude in both organic and carbonate records): this trend is only
218 weakly manifest at Festningen suggesting it has been truncated (Fig. 2).

219

220 **4.2. Facies**

221 The two western-most sections (Forkastningsdalen and Festningen) show
222 the presence of a major sandbody in the upper Vardebukta Formation whereas,
223 further to the east, only thin sandstone beds (<50 cm thickness) are present at
224 Tschermakfjellet, and at Vindodden they are very rare and <20 cm thick. This
225 progressive eastward loss of sandstone supports a proximal-to-distal, west-to-
226 east transition found by previous workers (Mørk, Knarud & Worsley, 1982;
227 Nakrem & Mørk, 1991; Wignall, Morante & Newton, 1998). Nine facies types are
228 present in the sections:-

229

230 1. Swash cross-stratified sandstone: Medium sandstone with planar laminations
231 that show very low angle truncation surfaces typical of swash cross
232 stratification. Bioturbation intensity is low (II 2) and consists of vertical burrows
233 (*Skolithos*, *Arenicolites* and *Diplocraterion*), which can penetrate downwards for
234 ~50 cm. Examples of this facies type are only seen at two levels: near the top of
235 the Forkastningsdalen section and, around the same stratigraphic level, ~ 200 m
236 above the base of the Festningen section (cf. Wignall, Morante & Newton, 1998;
237 Fig. 3). Desiccation cracks have been reported from *Skolithos*-bearing sandstone
238 from around this level at Festningen (Mørk, Embry & Weitschat, 1989).

239 This facies type is interpreted to record foreshore conditions and is the
240 shallowest-water facies seen in this study.

241

242 2. Sandy bioclastic grainstone: Limestone composed of thick-shelled bivalves and
243 bryozoans arranged in tabular cross sets ~1m in height. Cross beds record
244 multimodal current flow directions. Sand-grade abraded bone material and
245 phosphatic pellets are common. Where identifiable, the bivalves mostly belong to

246 *Promyalina*, which have been recrystallized, and sometimes show slender
247 borings (Fig. 4a). Prisms of calcite, present amongst the bioclastic debris, are
248 probably from disintegrated valves of prismatic-shelled bivalves. Other fossils
249 include echinoderms (probably ophiuroids) and bryozoans (*Paralioclema*). This
250 facies is present in several beds at Forkstningsdalen (where they can be up to 2m
251 thick), and at one level at Festningen.

252 The sedimentology of this facies indicates persistent high-energy
253 conditions (as testified by the abraded phosphatic debris) in a shoreface setting
254 with dune-scale bedforms recording variable flow directions.

255

256 3. Cross-bedded sandstone: Medium grained sandstone displaying trough cross
257 sets varying from 0.2 – 0.6 m in height seen at Festningen and
258 Forkstningsdalen. As with Facies 2 palaeocurrents vary although stacks of cross
259 sets with consistent dip are common (Wignall, Morante & Newton, 1998).
260 Glauconite and phosphatic sand grains are present and the bottom sets can
261 contain a lag of prismatic-shelled bivalve and brachiopod fragments together
262 with small phosphatic concretions.

263 As with Facies 2 cross-bedded sandstone are considered to be the product
264 of fairweather processes in a shoreface although the smaller dune height
265 suggests a deeper setting.

266

267 4. Hummocky cross-stratified (HCS) sandstone: Fine-grained sandstone showing
268 the characteristic HCS-style thickening of laminae above erosive lowpoints to
269 produce hummocks. Generally found in thin sandstone beds (~ 10 cm thick) the
270 hummocks range from <20cm in width to a few centimetres (Fig. 4b). Fine sand-

271 grade pyrite grains are a common component. This very rare facies type is seen
272 in a few beds at Festningen where it is interbedded with facies 7.

273 HCS bedforms are generally considered the product of storm wave
274 conditions (Dumas & Arnott, 2006). If this is the case with the Festningen
275 examples then storms were rare in the Early Triassic of Spitsbergen.

276

277 5. Sheet sandstone: This common facies type consists of thin sheets of fine and
278 medium-grained sandstone separated by siltstone beds (Fig. 5a). Individual
279 beds range from 2 – 80 cm thick and can be stacked to produce composite beds
280 up to 2 m thick that show little or no erosion at the bed boundaries. Internally,
281 they commonly show fluctuating grain size with mud laminae intercalated with
282 silt and fine sand laminae. Occasionally flute marks and small flame structures
283 occur on the base of beds. Most beds are either planar laminated, current-ripple
284 laminated or wave rippled (Fig. 4c). The thicker beds often appear structureless
285 but many are current-rippled on careful inspection and the thickest individual
286 beds commonly show climbing ripple lamination recording flow was consistently
287 offshore (eastwards). In contrast the wave-rippled beds rarely exceed 10 cm in
288 thickness. Often beds show alternations of planar laminated and current-rippled
289 parts and thicker beds may show a vertical succession from planar lamination, to
290 current ripple lamination to wave-rippled top surfaces.

291 Quartz grains dominate the mineralogy but pyrite and glauconite grains
292 can also be common (Fig. 4c). Fossils are locally common and dominated by
293 examples of *Promyalina* and *Claraia*. Bioturbation varies in intensity (discussed
294 below) and typically consists of burrows that either penetrate the top surface
295 (e.g. *Monocraterion*) or cut the entire bed (e.g. chevron escape traces).

296 Sheet sandstone records rapid deposition as indicated by the loading,
297 escape traces and climbing ripple sets. Flows were only occasionally strong
298 enough to erode the substrate prior to deposition (as shown by the presence of
299 rare flutes) but the general lack of bed amalgamation indicates a deposition-
300 dominated regime. In some regards the sheet sandstone facies resemble the
301 product of decelerating turbidity currents but the frequent wave rippling does
302 not fit this origin. Also, unlike typical turbidite systems there is no evidence for
303 any organized stacking patterns typical of turbidite lobes (e.g. Macdonald *et al.*
304 2011) and neither are submarine channels apparent.

305 A storm deposition origin is possible for this facies, but typical storm bed
306 attributes such as gutter fills and multimodal tool marks are absent whilst HCS
307 (Facies 4) is very rare. Arnott (1993) has described quasi-planar-laminated sheet
308 sandstone beds often with current-rippled tops from lower shoreface/inner shelf
309 environments. He attributes these beds to a storms in which an initial high-
310 velocity, oscillatory upper flow regime produces near-flat laminae and is
311 followed by waning unidirectional flow recorded by ripples. This rather atypical
312 storm facies fits some of the attributes of the sheet sandstone facies of
313 Spitsbergen although there is a much greater abundance of wave rippling than in
314 Arnott's (1993) example.

315 River-fed hyperpycnites show many of the attributes of this sheet
316 sandstone facies. These include sheet geometry and common planar and current
317 ripple although they lack an initial coarsening-upwards component that records
318 surging discharge (Mulder *et al.* 2003; Plink-Björklund & Steel, 2004). However,
319 they do show common clay laminae interbedded with fine sandstones suggesting
320 fluctuating discharge during bed deposition (Fig. 4c).

321 6. Wrinkle-Laminated Sandstone: This facies is only seen at Forkastningsdalen in
322 laminated beds of sandstone 20-30 cm thick interbedded with facies 5 (Fig. 5b).
323 Such structures are common in both the Precambrian and the Early Triassic and
324 show a range of linearity (e.g. Pruss, Fraiser & Bottjer, 2004). The Spitsbergen
325 examples consist of flat-topped parallel ridges and grooves of millimetre heights
326 that extend through many laminae. Bioturbation by *Planolites* and short, vertical
327 burrows is common but not intense (II 2).

328 Wrinkle structures are thought to form from microbial binding of
329 sediment in shallow-marine settings (Pruss, Fraiser & Bottjer, 2004).

330

331 7. Siltstone: Beds of laminated siltstone are found in all locations and range from
332 1 – 20 cm thickness. The laminae are composed of weakly graded layers of
333 quartz silt that often show concentrations of silt-grade pyrite grains at their base
334 (Fig. 4d). Bioturbation intensity is highly variable and can reach II 6 (Fig. 5d).
335 Only at Forkastningsdalen does the fossil content become significant, consisting
336 of thin-valved, prismatic-shelled bivalves. At this location there is also a unique
337 bed of highly bioturbated (II6) siltstone that contains an abundant fauna
338 dominated by bryozoans (*Paracliolema*), echinoderm grains (mostly ophiuroid
339 material), *Promyalina* and spirorbids (Fig. 6).

340 The graded laminae of the siltstones suggest that this facies it is part of a
341 continuum of event bed-style deposition that includes the sheet sandstone facies.
342 Benthic oxygenation clearly varied greatly from the well-oxygenated conditions
343 of the thoroughly-bioturbated, bryozoan bed to the more common,
344 unbioturbated beds of laminated, pyritic siltstone.

345

346 8. Shale: Shale beds typically display fine lamination, consisting of alternations of
347 silt-rich and clay-rich laminae that are sometimes partially or completely
348 destroyed by bioturbation. Carbonate concretions are locally common. The
349 thickest shale unit at Festningen occurs between at 275 - 310 m above the base
350 of the Vardebukta Formation and it straddles the Smithian/Spathian boundary
351 whilst at Vindodden the majority of the section is composed of shale.

352 The shale beds record low energy conditions in which the silt-rich
353 laminae are probably deposited from weak traction currents. The variation from
354 undisturbed lamination to intense bioturbation suggests oxygen levels varied
355 considerably in this basinal facies.

356

357 9. Laminated dolomite: Rare cementstone horizons, composed of silt-grade
358 dolomite rhombs, occur interbedded amongst the shales at Vindodden. The
359 thickest example is found 56 m above the base of the section where a 2 m-thick
360 bed of yellow, laminated dolostone with common "*Posidonia*" bivalves is found
361 (Fig. 5c). Thin section shows organic-rich laminae and abundant pyrite grains.
362 Small microspheres are also present, which are possibly recrystallized
363 radiolarians.

364 The laminated dolomite is an anoxic-dysoxic, hemipelagic facies possibly
365 with a significant "pelagic rain" of radiolarians, although substantial
366 dolomitisation has obliterated details of the fabric.

367

368 **4.3. Bioturbation Intensity**

369 The intensity of bioturbation varies substantially in all sections (Figs. 3,
370 5d, 7). Diversity of ichnogenera is modest and dominated by *Thalassinoides*,

371 *Planolites* and *Diplocraterion* (Wignall, Morante & Newton, 1998). Burrow depths
372 rarely exceed more than a few centimetres except in facies 1 where it can be
373 more than 50 cm.

374 Fully bioturbated levels (II 6) occur at a level in the Dienerian of
375 Festningen and in the bryozoan bed (probably also of Dienerian age) at
376 Forkstningsdalen (Fig. 7). Both levels are also associated with peaks of benthic
377 diversity in their respective sections. The fauna of the bryozoan bed fauna is
378 noted above and the Festningen bioturbated horizon contains *Promyalina*,
379 *Unionites*, *Claraia*, microgastropods, ophiuroids and brachiopods.

380 Perhaps surprisingly, there is little facies control to the laminated levels;
381 only facies 1 and 6 are consistently bioturbated, whilst facies 2 and 3 are rarely
382 bioturbated probably because a shifting substrate of dunes was difficult to
383 colonize by mobile infauna. The other facies types occur as both burrowed and
384 unburrowed varieties. Often the transition between burrowed/unburrowed
385 strata is very sharp, occurring over a few centimetres, and is developed within a
386 consistent facies type.

387

388 **4.4. Framboid petrography**

389 Pyrite grains and framboids are common in the Lower Triassic strata of
390 Spitsbergen and occur both in fine-grained facies and the sheet sandstone. At
391 most levels the framboids are of small diameter and show little size variation – a
392 distribution typical of framboid populations from modern euxinic settings (Fig.
393 8). Larger, more variable framboids populations occur in some of the
394 bioturbated intervals although they still fall within the dysoxic field when
395 compared to framboids produced in modern environments (Wilkin, Barnes &

396 Brantley, 1996). Framboids only become consistently rare/absent in the
397 shoreface and foreshore sediments (facies 1-3) and in the fully bioturbated, high
398 diversity Dienerian strata noted above.

399

400 **4.5. Trace metals**

401 Analysis of the redox-sensitive trace metals uranium, molybdenum and
402 vanadium at Festningen revealed two distinct trends. Uranium and vanadium
403 show high concentrations (around 2 ppm and 50 ppm respectively) and high
404 U/Al and V/Al ratios through most of the Early Triassic (Fig. 9). Somewhat
405 higher uranium values (≤ 5 ppm) are also encountered in the shale-dominated
406 Smithian/Spathian boundary interval. In contrast, the behaviour of molybdenum
407 is fundamentally different. Following an initial peak in the P/T boundary
408 interval, Mo is not enriched (< 1 ppm) and the Mo/Al ratio remains around 0 in
409 the Vardebukta Formation. Mo concentrations then exhibits highly variable
410 values ($< 1 - 10$ ppm) and Mo/Al ratios above the S/S boundary (Fig. 9).

411

412 **5. Discussion**

413 **5.1. Depositional Model**

414 The proximal-distal trend in the Early Triassic successions of Spitsbergen
415 envisages nearest-shore conditions in the sandstone-rich western-most outcrops
416 passing distally to the east where the shale-dominated sections are found (Mørk,
417 Knarud & Worsley, 1982; Wignall, Morante & Newton, 1998). This transition
418 allows a facies model to be constructed in which high energy foreshore and
419 shoreface facies pass offshore into the hyperpycnite sand sheets of facies 5 (Fig.
420 10). These sheets were presumably river fed although there is no record of

421 fluvial conditions in the succession. Other potential origins for facies 5 include
422 storm deposition (e.g. beds showing HCS), but the evidence is rare. A shoreface-
423 attached turbidite system seems unlikely due to the lack of organisation of the
424 sheets. It is noteworthy that the most distal development of the sheet sandstone
425 beds, seen at Tschermakfjellet, are wave-rippled indicating that water depths
426 were still shallow enough for wave influence even though this location is >50 km
427 offshore from the contemporaneous shoreface at Festningen. Shale and siltstone
428 beds that are mostly finely laminated dominate the most offshore setting.

429 The vertical succession of facies consists of two long-term cycles.
430 Following a major transgression at the start of the Griesbachian, shale facies are
431 developed throughout the region, this is followed by a shallowing/progradation
432 trend that lead to the establishment of shoreface/foreshore sandstones in
433 westerly outcrops during the Dienerian. Gradual deepening during the following
434 Smithian culminated in widespread shale deposition once again around the
435 Smithian/Spathian boundary. The succeeding Spathian succession records
436 shallowing although the trend only culminates in facies 5 at Festningen; the
437 Dienerian littoral facies (Facies 1-3), are not repeated. Base level fall may also
438 have eroded and removed upper Spathian strata at Vindodden.

439

440 **5.2. Redox Trends and Shallow Marine Anoxia**

441 The lack of bioturbation and benthic fossils in multiple levels of the Lower
442 Triassic succession of Spitsbergen suggests intensely anoxic/euxinic
443 depositional conditions. This interpretation is supported by elevated trace metal
444 concentrations (of U and V) and the size range of pyrite framboid populations
445 that points to a sulphidic lower water column (Figs. 8 & 9). However, burrowed

446 strata are also present indicating improved ventilation and the associated
447 framboid populations further indicate dysoxic bottom waters at these levels.
448 Thus, the Early Triassic redox record can be divided into phases of euxinia
449 (labelled I to XI in Figure 3) separated by dysoxic/oxic phases. The best
450 oxygenated strata (II 6, diverse benthos, no framboids) occur in the Dienerian.

451 Unfortunately it is not possible to confidently correlate the redox cycles
452 between sections due to insufficient stratigraphic resolution (further $\delta^{13}\text{C}$ study
453 of all sections is needed). However, it seems likely that euxinic phases III and IV
454 at Festningen are also seen at Forkastningsdalen because they occur around the
455 same level (Fig. 3). Phases V to VIII at Festningen are separated by thin intervals
456 of dysoxic strata whilst further offshore at Vindodden a similar number of
457 euxinic/dysoxic alternations is seen. If it is assumed that the upper part of the
458 Spathian is not present at Vindodden (see discussion in “Chemo- and
459 Biostratigraphy” above) then it becomes possible to correlate the anoxic phases
460 between locations. Thus phase XI becomes the highest phase at Vindodden (Fig.
461 3).

462 The most extraordinary facet of the Early Triassic euxinic episodes is
463 their development in exceptionally shallow-water settings. Many of the sheet
464 sandstones, interpreted as hyperpycnites formed in upper offshore setting (Fig.
465 10), lack burrows and benthic fossils but possess framboids characteristic of
466 euxinic deposition. The shale interbeds amongst the sandstone beds also show
467 trace metal enrichment suggesting anoxic conditions. Typically, examples of
468 nearshore-restricted euxinic black shales are considered to form in sheltered
469 bottom waters beneath a pycnocline during base-level rise (e.g. Wignall &
470 Newton, 2001). However, the Spitsbergen shallow-water euxinic facies are

471 lithologically much more diverse than black shale facies and they differ
472 significantly from other transgressive, anoxic facies because the Spitsbergen
473 anoxic phases show little relationship to base-level changes. For example, the
474 prolonged single episode of shallowing-up that spans the Griesbachian to late
475 Dienerian stages is associated with four euxinic phases developed in successively
476 shallower waters.

477 As a final observation, it is noteworthy that the $\delta^{13}\text{C}_{\text{org}}$ curve closely
478 matches that found in Tethyan carbonates. This is despite the fact that it is
479 obtained from a clastic and frequently nearshore environment. Such a setting,
480 with high terrigenous run off, might be expected to show more “noise” caused by
481 the mixing of variable amounts of marine and terrestrial organic carbon. That it
482 does not suggest a uniform source perhaps dominated solely by marine organic
483 matter. The Early Triassic is noteworthy for its global “coal gap” (Retallack,
484 Veevers & Morante, 1996), and it may have been the case that there was little
485 terrestrial organic productivity at this time. However, in a noteworthy
486 counterpoint, it has been suggested that woody vegetation biomass recovered
487 after the S/S boundary (Saito *et al.* 2013).

488

489 **5.3. Global Early Triassic Redox**

490 The generally poor level of ventilation in Early Triassic shallow seas of
491 Spitsbergen may have its origin in high oxygen demand, fostered by high
492 productivity at a time of high runoff (e.g. Algeo & Twitchett, 2010). However, the
493 phases of anoxia are not related to proximity to terrestrial nutrient supply nor to
494 water depth suggesting another factor is more important. The anoxic phases
495 correspond to the sea-surface temperature (SST) record of Sun *et al.* (2012). It is

496 especially noteworthy that the two Early Triassic peaks of SST, in the
497 Griesbachian and late Smithian, coincide with intervals of prolonged and
498 extensive euxinia in Spitsbergen (Fig. 11). In contrast, the Dienerian Substage is
499 the coolest interval of the Early Triassic SST curve and also the best-oxygenated
500 period in Spitsbergen, and also globally (Wignall & Twitchett, 2002). There are
501 three causal links between high temperatures and anoxia that may be at play
502 here:-

503

- 504 i) dissolved oxygen levels decline with temperature.
- 505 ii) organic matter remineralisation rates increase with temperature
506 thereby increasing oxygen demand within the water column.
- 507 iii) a general link between warmer climates and increased humidity,
508 thereby increasing nutrient run-off to the oceans.

509

510 If global temperature is a key factor in the Spitsbergen euxinic phases
511 then it suggests such conditions should have been widespread. The Early Triassic
512 is indeed known as a “superanoxic episode” characterized by widespread ocean
513 anoxia (Isozaki, 1997) and recent work has demonstrated detailed fluctuations
514 within this generally poorly ventilated oceanic interval (e.g. Wignall *et al.* 2010;
515 Grasby *et al.* 2013; Tian *et al.* 2014). Anoxia was especially widespread during
516 the Griesbachian, the S/S boundary interval and the late Spathian – all intervals
517 of euxinia in Spitsbergen. Further work is needed to test whether these
518 Spitsbergen euxinic phases are global cycles or regional ones.

519 The concept of widespread Early Triassic anoxia has however been
520 challenged. Resurrecting an original idea of Erwin (1993), Hofmann *et al.* (2015,

521 p.9) suggest that the prevalence of laminated sediment was caused by
522 “extinction [at the end of the Permian], rather than the environmental exclusion,
523 of bioturbators”, producing an Early Triassic world with no animals capable of
524 generating thoroughly bioturbated surficial sediments. This is suggested to have
525 caused intense anoxia within the sediment producing a geochemical signal that
526 reflects this. The Spitsbergen record clearly shows this scenario is untenable
527 because it consists of alternations of laminated and intensely bioturbated strata.
528 Intense burrowing is also known from other regions (e.g. Beatty, Zonneveld &
529 Henderson, 2008; Zonneveld, Gingras & Beatty, 2010), and even Hofmann
530 himself records intense bioturbation (ii 4) from the Lower Triassic of Italy
531 (Hofmann *et al.* 2011). Early Triassic bioturbators were readily capable of
532 generating thoroughly mixed sediments but “environmental exclusion” was
533 common and the available evidence overwhelmingly favours anoxia as a cause.

534

535 **6. Conclusions**

536 A new $\delta^{13}\text{C}_{\text{org}}$ record from Spitsbergen, together with sporadic conodont
537 finds, allows a major improvement in age dating of the Spitsbergen succession.
538 The Early Triassic record is seen to consist of hyperpycnite sandstone and shale
539 dominated ramp facies. Shoreward these pass into shoreface facies displaying
540 shell-rich, cross-bedded strata and foreshore facies. Relative water depth
541 changes saw deepest-water conditions in the earliest Griesbachian and around
542 the Smithian/Spathian boundary with shallowest waters in the late Dienerian.
543 Regression in the later Spathian may be responsible for the truncation of
544 Spathian strata in the region.

545 Much of the Lower Triassic strata accumulated in oxygen-poor and
546 frequently euxinic waters, including hyperpycnite sand sheets, and points to
547 intervals of exceptionally shallow-water development of such conditions.
548 However, the anoxic phases, of which at least a dozen are developed, do not
549 correlate closely with water depth changes. Instead, there may have been a
550 climatic control on the Early Triassic anoxic phases with the most intense anoxia
551 developed at times of greatest warmth. The best oxygenated Early Triassic
552 interval occurred during the coolest phase, in the earliest Dienerian, and was
553 witness to the development of a highly diverse benthic community found in
554 thoroughly bioturbated sediments.

555

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565

566 **Figure captions**

567 1. Map of Spitsbergen within the Svalbard archipelago, showing the locations of
568 studied sections.

569

570 2. Correlation of the Festningen and Vindodden sections based on their $\delta^{13}\text{C}_{\text{org}}$
571 trends. Stage boundaries are derived by comparing with the similar $\delta^{13}\text{C}_{\text{carb}}$
572 record from conodont-dated sections in South China (Payne *et al.* 2004; Horacek
573 *et al.* 2007) and the Smith Creek $\delta^{13}\text{C}_{\text{org}}$ record of the Sverdrup Basin (Grasby *et*
574 *al.* 2013). Aeg. = Aegian Substage; Bot. = Botneheia Formation.

575

576 3. Correlation of Lower Triassic successions in Spitsbergen, thicknesses in
577 metres. Stage-level age assignments are based on $\delta^{13}\text{C}_{\text{org}}$ chemostratigraphy
578 supported by fossil evidence from conodonts and ammonoids. The grey-and-
579 white “barcodes” adjacent to the lithological columns distinguish between
580 bioturbated and unbioturbated/laminated phases. These have been labelled (I –
581 XI) at Festningen for ease of description in the text. Anoxic phases occur in the
582 other sections but it is uncertain if these levels can be correlated.

583

584 4. Photomicrographs of: a) facies 2 at Forkastningsdalen showing a boring in
585 thick-shelled bivalve (labelled x), in which the shell is replaced with a blocky
586 mosaic of calcite crystals, and bryozoans (*Paralioclema*) (labelled y). Field of
587 view is 20 mm wide. b) facies 4 Festningen, upper Vardebukta Formation
588 showing small-scale hummocky cross stratification. Field of view is 32 mm wide.
589 c) facies 5, showing, in the lower half of the section, initial ripple cross
590 lamination, overlain by planar laminated sandstone and a mud lamina. The
591 upper half of the section is planar laminated sandstone. Basal Tvillingdodden
592 Formation, anoxic phase VII, Festningen. Field of view is 35 mm wide. d) graded
593 silt laminae (facies 7) showing concentration of pyrite (opaque grains) in the

594 lower part of the field of view. Anoxic phase IV, Vardebukta Formation,
595 Festningen. Field of view is 1.3 mm wide.
596
597 5. Field photographs. a) Sheet sandstone beds ~ 10 cm thick, interbedded with
598 shale and siltstone from a level 265 m above the base of the Vardebukta
599 Formation at Festningen; b) Wrinkle structures, showing wrinkles present on
600 numerous bedding planes. Forkstningsdalen. Occasional, short vertical burrows
601 cut through the laminae (e.g. left of pencil tip); c) laminated shale and dolomite
602 found around the Smithian/Spathian boundary at Vindodden. Total height of
603 field of view is ~ 2 m; d) bioturbated silty mudstone (facies 8) from the Smithian
604 at Festningen (between anoxic phases V and VI).
605
606 6. Photomicrograph of a bryozoan-rich bed, Vardebukta Formation,
607 Forkstningsdalen showing bryozoans (b), spirorbids (s), ophiuroid elements
608 (o), and bivalves (c) in a siltstone matrix. Field of view is 1.5 mm wide.
609
610 7. Detailed logs of the Festningen section (from 70 – 120 m) and the
611 Forkstningsdalen section (from 40 – 90 m) showing fluctuations in ichnofabric
612 index used to define the anoxic phases. Peak diversity occurs in the bioturbated
613 strata between anoxic phases III and IV where bryozoans and bivalves are
614 common. The laminated beds are generally unfossiliferous except for levels
615 where *Promyalina* occurs.
616
617 8. Mean versus standard deviation plot of framboids populations (>100/sample)
618 from Lower Triassic samples of Spitsbergen. The redox-related fields are derived

619 from size-frequency distributions of framboids from modern euxinic, anoxic and
620 dysoxic settings (cf. Wilkin *et al.* (1996) and Bond & Wignall (2010)) and reveals
621 that many of the Spitsbergen samples formed in euxinic waters. No framboids
622 occurred in the highly bioturbated ($II \geq 4$) samples we examined.

623

624 9. Carbon isotope and trace metal data from Festningen. Our two $\delta^{13}C_{org}$ records
625 are in close accord (black circles, curve generated in Calgary; open triangles,
626 curve generated in Erlangen). The concentrations of the redox-sensitive trace
627 metals Mo, U, and V have been normalised to Al.

628

629 10. Depositional model for the Lower Triassic shallow-marine strata of
630 Spitsbergen showing location of facies described in the text. FWWB –
631 fairweather wave base, SWB – storm wave base.

632

633 11. Correlation of Spitsbergen anoxic phases with the sea surface temperature
634 (SST) record of Sun *et al.* (2012). The width of the SST curve denotes the
635 minimum and maximum SSTs based on conodont apatite oxygen isotopes. A
636 $\sim 2^\circ C$ warmer record was obtained around the Smithian/Spathian boundary
637 from surface-dwelling conodonts. There is a correspondence between the
638 warmest phases in the Griesbachian and Smithian and the frequent development
639 of anoxic phases.

640

641

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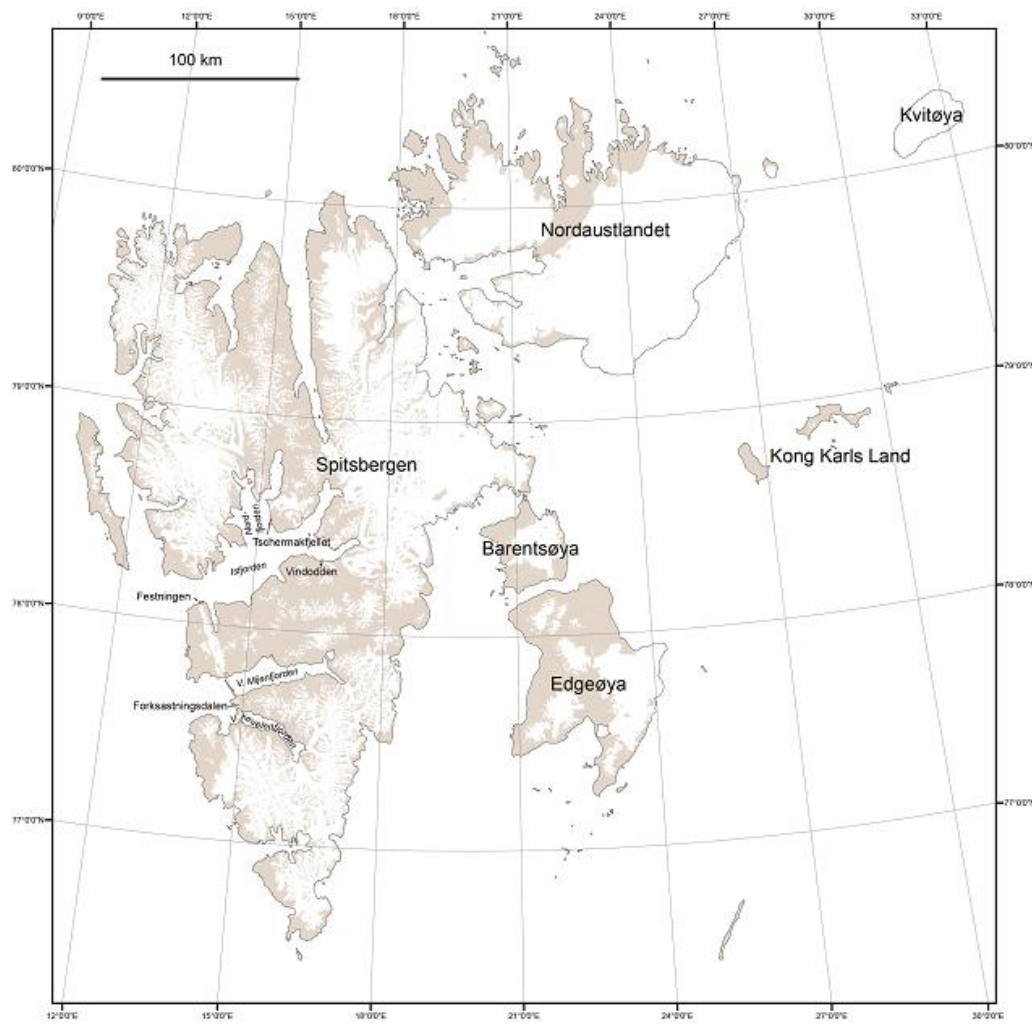
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804 Figure 1



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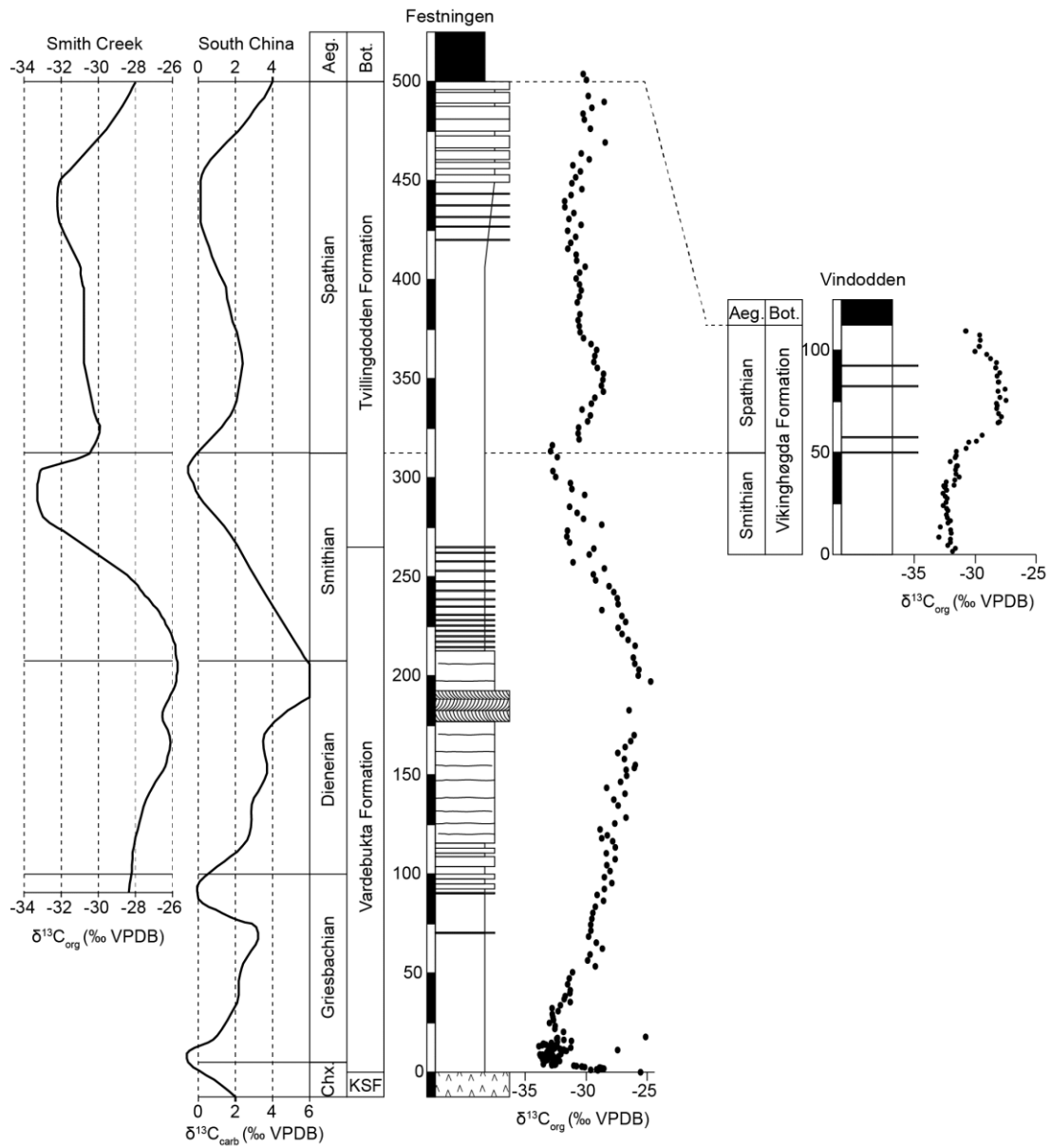
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810 Figure 2



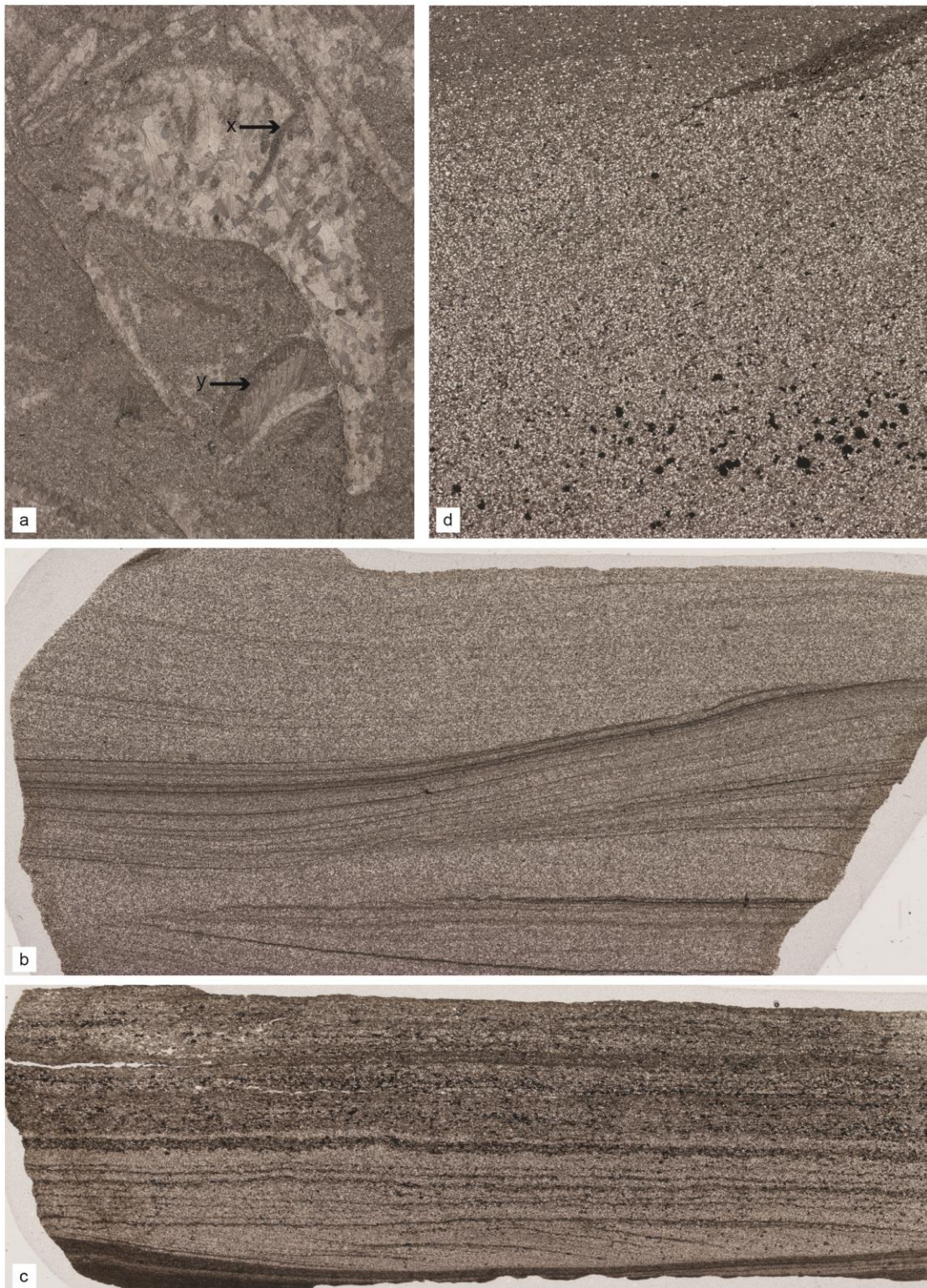
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815 Figure 3

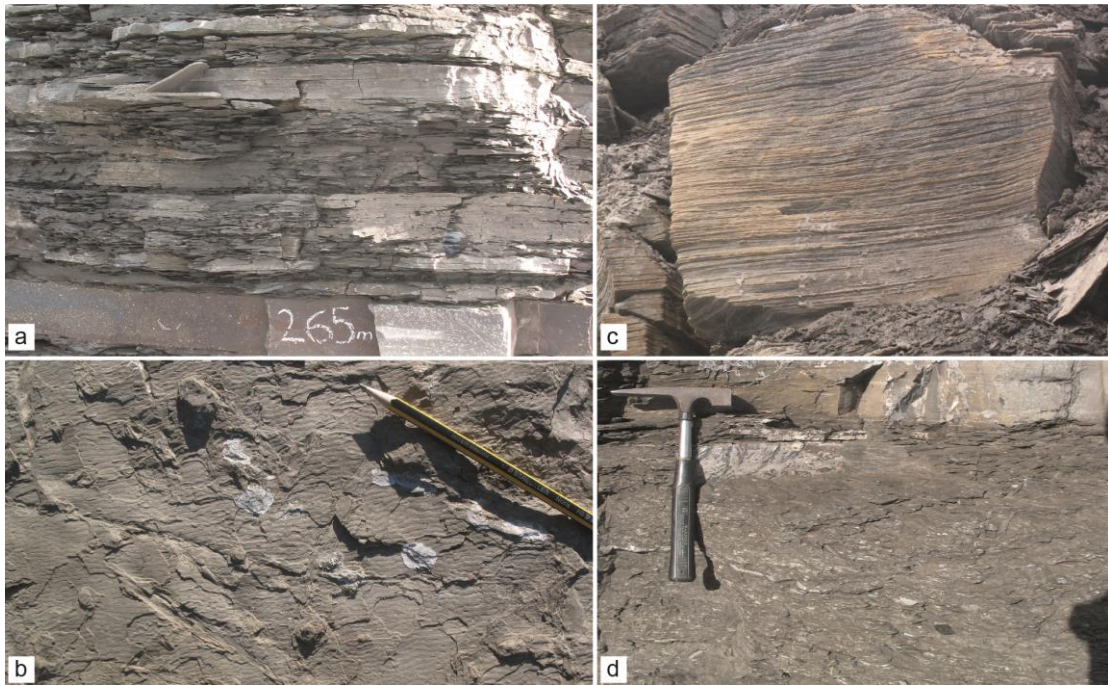


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819 Figure 5

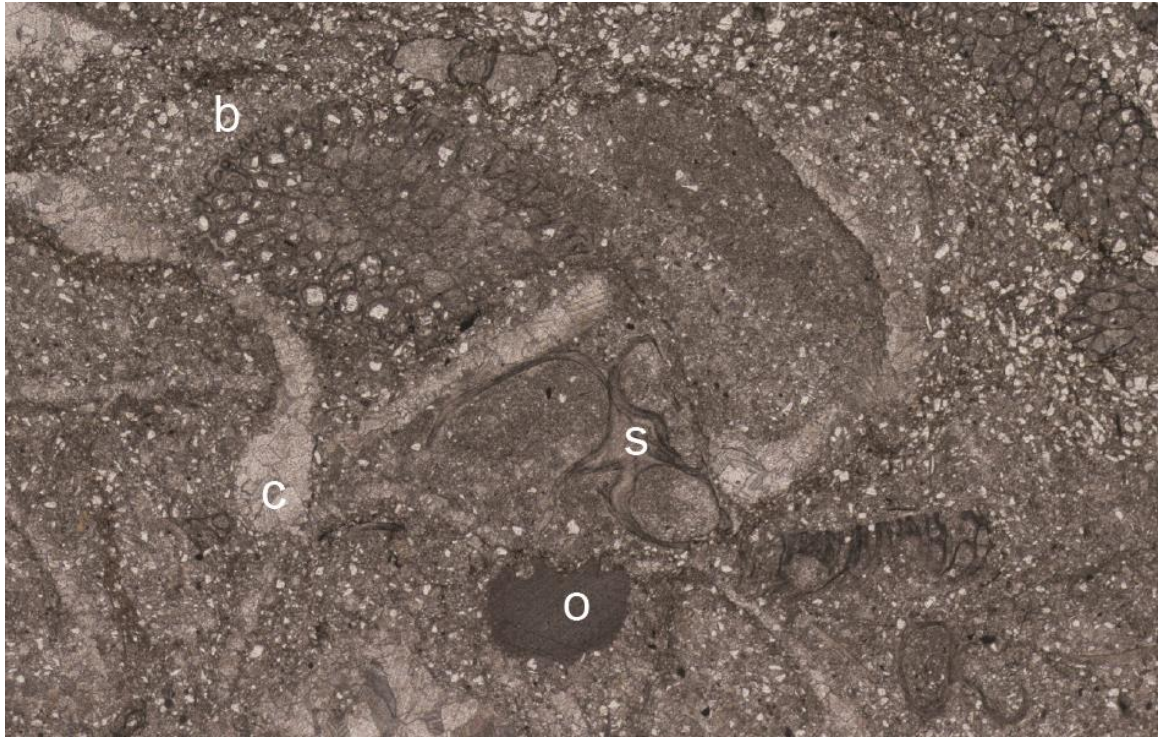


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823 Figure 6



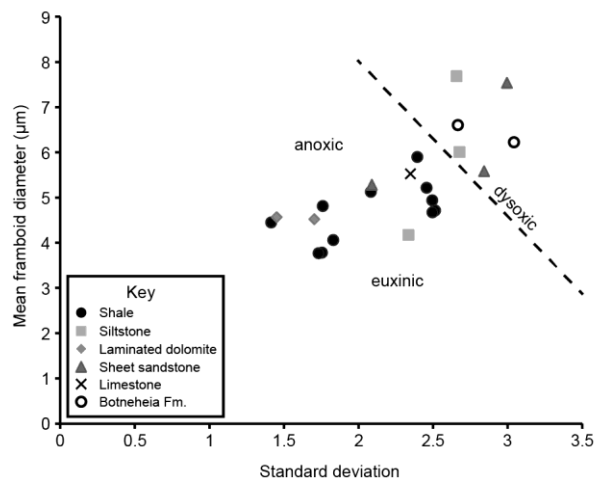
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829 Figure 8



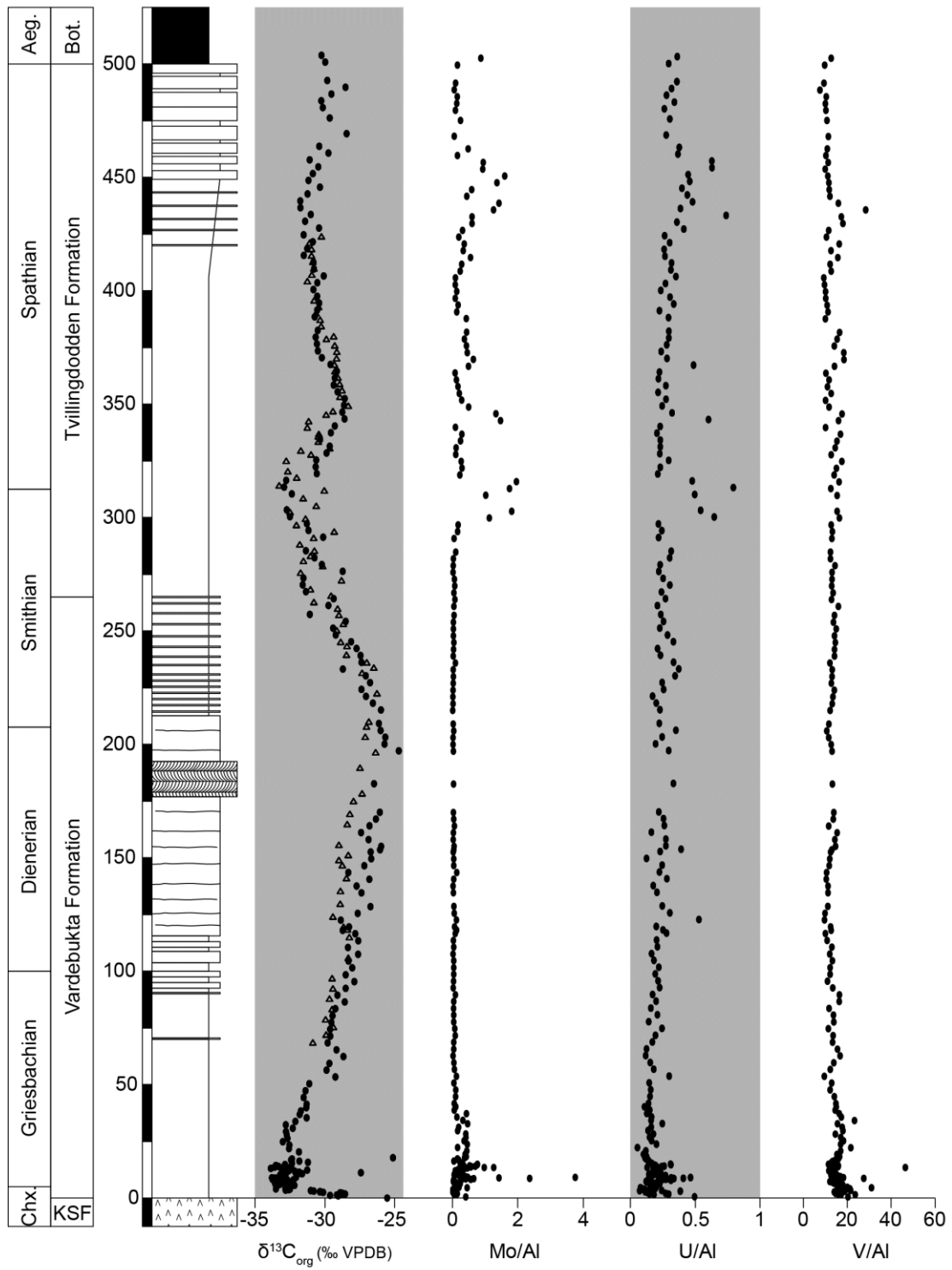
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834 Figure 9

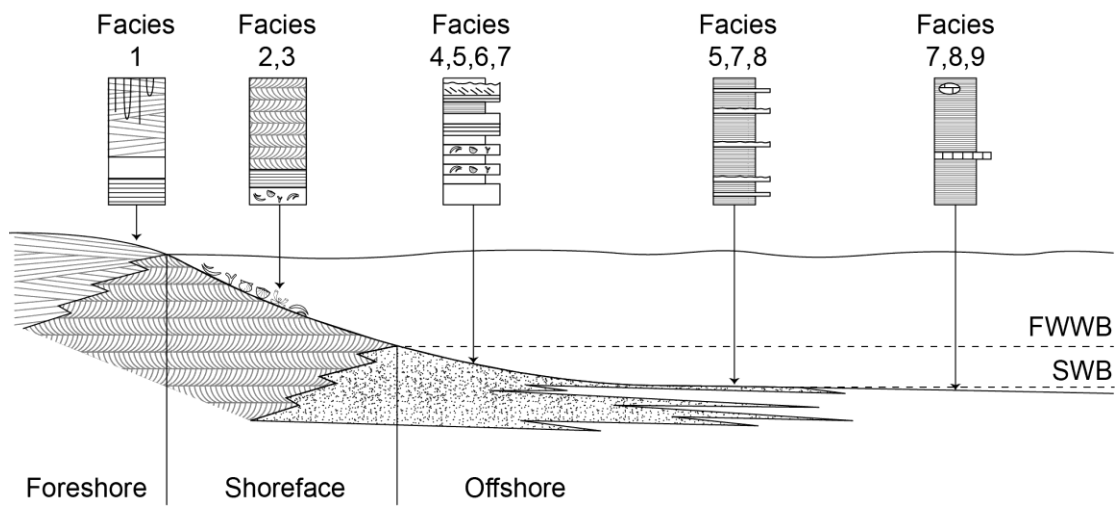


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838 Figure 10



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843 Figure 11

