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Application of far cortical locking technology in periprosthetic femoral fracture fixation - a biomechanical study

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Application of far cortical locking technology in periprosthetic femoral fracture fixation - a biomechanical study

Abstract

Background: Lack of fracture movement could be a potential cause of periprosthetic femoral fracture (PFF) fixation failures. This study aimed to test whether the use of distal far cortical locking screws reduce the overall stiffness of PFF fixations and allows an increase in fracture movement compared to standard locking screws while retaining the overall strength of the PFF fixations.

Methods: Twelve laboratory models of Vancouver type B1 PFFs were developed. In all specimens the proximal screw fixations were similar, while in six specimens distal locking screws were used, and in the other six specimens far cortical locking screws. The overall stiffness, fracture movement and pattern of strain distribution on the plate were measured in stable and unstable fractures under anatomical one-legged stance. Specimens with unstable fracture were loaded to failure.

Results: No statistical difference was found between the stiffness and fracture movement of the two groups in stable fractures. In the unstable fractures, the overall stiffness and fracture movement of the locking group was significantly higher and lower than the far cortical group, respectively. Maximum principal strain on the plate was consistently lower in the far cortical group and there was no significant difference between the failure loads of the two groups.

Conclusion: The results indicate that far cortical locking screws can reduce the overall effective stiffness of the locking plates and increase the fracture movement while maintaining the overall strength of the PFF fixation construct. However, in unstable fractures, alternative fixation methods e.g. long stem revision might be a better option.

26 **Keywords:** fracture stability, fracture movement, strain, stiffness, biomechanics,
27 Vancouver type B1, far cortical locking screw

28

29 **Running title:** Locking versus far cortical locking screw

30

31 **1. Introduction**

32 Periprosthetic femoral fractures (PFF) occur during or following total hip arthroplasty
33 (THA) [1-5]. It is likely that there will be an increase in the number of these fractures
34 as the number of THAs increases and the lifespan of patients increase [3].
35 Management of these fractures is challenging due to the presence of the underlying
36 prosthesis. With the introduction of locking plates and their advantage over
37 conventional non-locking plates, i.e. in preserving blood supply [6], their application in
38 the management of PFFs has increased [7, 8]. At the same time, there have been a
39 number of locking plate failures in PFF management [8-11]. Determining the reason
40 behind these failures is challenging. Three main factors are likely to be important: (1)
41 patient-specific factors such as fracture stability and bone quality [12,13]; (2) implant-
42 specific factors such as mechanical properties and design [14,15]; and (3) surgical
43 factors such as bridging length, method of application and fracture reduction [16,17].
44 Overall, it is widely accepted that both a lack or an excess of fracture movement,
45 dictated by the overall stiffness of the fracture fixation construct, will suppress callus
46 formation, and the fixation will ultimately fail due to high strain under cyclic loading
47 i.e. through mechanical fatigue [18,19].

48

49 It has been shown by several groups that locking plates can, depending on how they
50 have been applied, lead to overly rigid fixations that will suppress callus formation

51 [11,20]. Recently, Bottlang et al. [21] showed that far cortical locking screws, where
52 the screw locks into the plate and bypasses the near cortex, can reduce the effective
53 stiffness of locking plates compared to standard locking screws that are secured in
54 both near and far cortices. They demonstrated this in various laboratory models
55 replicating diaphyseal fracture fixation and in an animal model where distal and
56 proximal locking screws were compared versus far cortical locking screws [21-23].
57 Their results showed that far cortical locking screws: (1) reduce the overall stiffness
58 of the fracture fixation construct; (2) induce parallel fracture movement; (3) retain the
59 overall stiffness of the constructs; and (4) lead to a more uniform callus formation
60 than normal locking screws. Far cortical locking screws are now commercially
61 available and there is a growing body of literature on their applications [24, 25].

62
63 Considering the failure history of locking plates in PFF fixation and the introduction of
64 far cortical locking screws, this study was designed to test the application of the far
65 cortical locking screws in PFF fixations. The main aims of the study were to
66 understand to what extent distal far cortical locking screws: reduce the overall
67 stiffness; increase the fracture movement; alter the pattern of strain distribution on
68 the plate; and affect the overall strength of PFF fixations. Thus this study is
69 essentially asking the same questions as earlier studies that demonstrated the
70 innovation of far cortical locking screw in diaphyseal fracture fixation [21-23], but in
71 the context of PFF fixation. This is necessary because: (1) due to the presence of the
72 prosthesis, the load transfer path with PFF is different to that of an intact femur; (2) in
73 this study only distal far cortical screws are applied compared to proximal and distal
74 far cortical screws.

75

76 2. Materials and methods

77 **Specimens:** Twelve large, left, fourth-generation composite femurs (Sawbones
78 Worldwide, WA, USA) were used in this study with simulated Vancouver type B1
79 PFFs, i.e. with the fracture located around the stem with a stable implant and good
80 bone quality [1] fixation. The specimens were prepared by removing the femoral
81 condyles i.e. distal 60 mm of the femur. Then, total hip replacement was performed
82 using a Zimmer CPT femoral stem (Size 2) and Zimtron modular femoral head (28
83 mm diameter), both manufactured from stainless steel (Zimmer, IN, USA). The stem
84 was inserted into the femoral canal and cemented using Hi-Fatigue G Bone Cement
85 (Zimmer, Sulzer, Switzerland).

86
87 To minimize inter-specimen differences due to plate positioning and fracture
88 reduction, each specimen was plated first and then a simulated fracture was created
89 20 mm below the tip of stem using a band saw. A twelve hole titanium NCB
90 Periprosthetic Proximal Femur Plate (Zimmer, Warsaw, IN, USA) was used (length:
91 284 mm; thickness: 5 mm; width: 22 mm at the fracture site). The plate has a wide
92 section proximally and a narrow section distally. The wide section allows screw
93 insertion anterior and posterior to the underlying stem while the narrow section allows
94 single screw insertion (see Fig 1A). Six NCB (Non-Contact Bridging) screws were
95 used to fix the plate proximally (outer diameter: 4 mm; length: varying depending on
96 the location from 36-40 mm) while four screws were used distally (outer diameter: 5
97 mm; length: 40 mm). Three screw holes were left across the fracture gap equivalent
98 to a 100 mm bridging gap [17]. In all twelve specimens the proximal screw fixations
99 were similar, while in six specimens distal Locking screws (Zimmer, Warsaw, IN,
100 USA) were used, and in the other six specimens far cortical locking screws

101 (MotionLoc, Zimmer, Warsaw, IN, USA) were used (Fig 1B). All screws (proximal and
102 distal) were locked to the plate; the difference between the locking and far cortical
103 locking constructs was the bicortical fixation in the former, but only far cortical fixation
104 in the latter. During plating, spacers were used between the plate and bone to
105 provide a 1 mm plate-bone gap [26].

106
107 **Loading:** The distal 40 mm of the resected distal femur was fixed securely using
108 screws in a cylindrical housing and mounted on a material testing machine (Lloyd
109 Instruments, West Sussex, UK) at 10° adduction in the frontal plane and aligned
110 vertically in the sagittal plane [25,26]. This position simulates anatomical one-legged
111 stance [29]. Constructs were tested initially under axial loads of up to 700 N,
112 corresponding to recommended partial weight bearing i.e. toe touch weight bearing
113 [30]. Loading was applied to the femoral head stem via a hemispherical cup.

114
115 **Measurements:** The stiffness of the specimens was calculated from the slope of the
116 load-displacement data obtained from the material testing machine. Where there was
117 a bilinear stiffening effect, the initial, secondary and overall stiffness were reported.
118 The fracture movement was quantified using two micro-miniature differential variable
119 reluctance transducers (DVRT- LORD MicroStrain, VT, USA). The DVRTs were fixed
120 to the proximal and distal fragments of the fracture where the changes in the voltage
121 (due to displacement) were recorded in LabVIEW (National Instruments, TX, USA)
122 and converted to displacement based on separately calculated calibration data. The
123 accuracy of the DVRTs were 0.001 mm and were placed on the medial and lateral
124 sides of the femur across the fracture. The lateral DVRT was approximately 5 mm
125 from to the plate. The strain on the plate was recorded across the fracture site using

126 a Q100 Electronic Speckle Pattern Interferometry system (ESPI - Dantec Dynamics
127 GmbH, Ulm, Germany). The plate surface was first sprayed with a white spray to
128 create a non-reflective surface (DIFFU-THERM developer, Technische Chemie KG,
129 Herten, Germany). A three leg adaptor was fixed to the plate using X60 two
130 component adhesive (HBM Inc., Darmstadt, Germany) and was used to fix the Q100
131 sensor to the plate (Fig 1D). During the loading the speckle patterns were recorded
132 via the sensor and were used to calculate the displacement and strain at each
133 loading step using the Istra Q100 2.7 software (Dantec Dynamics GmbH, Ulm,
134 Germany). It must be noted that a preliminary test was conducted on an Aluminium
135 plate under tension where ESPI strain measurements across the plate were validated
136 against theoretical values. During the load-to-failure test, the first abrupt drop in the
137 load (obtained from the load-displacement data) was recorded as the initial crack
138 (typically seen to be a 17% drop in the load). Ultimate failure was recorded at the
139 point just before catastrophic failure of the construct, which coincided with complete
140 loss of loading (typically leading to a 50% drop in the load).

141
142 **Testing and analysis:** Specimens were first tested with a stable fracture where the
143 fracture gap produced by a band saw was filled with a similar sized slice of synthetic
144 bone. Overall stiffness and fracture movement were recorded for all specimens under
145 axial loading of 500 and 700 N. The lower value was selected to be consistent with
146 previous tests reported in the literature [28,31], however during preliminary tests it
147 was noted a change in slope of the load-deflection graph sometimes occurred at
148 typically 500 N therefore the test was extended to 700 N to capture that effect. The
149 sample with the closest stiffness to the average stiffness of all samples in each group
150 (i.e. locking and far cortical locking) was chosen for strain measurement on the plate

151 across the fracture site. Strain measurement was repeated five times and average of
152 the maximum (first) principal strain across the empty screw hole (averaged over the
153 whole surface as captured by the ESPI system in Fig 1D) was reported. Then, the
154 fracture gap in all samples was increased to 10 mm (i.e. unstable fracture - Fig 1C)
155 and same procedure was repeated. This enlarged gap was used to ensure that no
156 contact occurred at the fracture site under the initial loading up to 700 N, and was
157 similar to previous studies replicating commuted fractures [28, 31]. To ensure a like-
158 for-like comparison of the strain measurements, the same specimens used for the
159 strain measurement with stable fractures were re-used with unstable fracture (Fig
160 1D). Finally, all specimens with unstable fractures were loaded to failure. Two-tailed,
161 unpaired Student t-test at a level of significance of $p < 0.05$ was used to detect
162 significant differences in the stiffness, fracture movement and load-to-failure data. A
163 statistical analysis was not performed on the strain data since the strain
164 measurements were performed only on one specimen in each group.

165

166 3. Results

167 **Stiffness:** Under stable fracture conditions, the initial fracture gap (despite being
168 filled with a thin slice of synthetic bone) was seen to be fully closed at approximately
169 200 N in both the locking and far cortical locking groups (Fig 2A). As a result a
170 bilinear stiffness was observed for both locking (initial stiffness: 346 ± 149 N/mm;
171 secondary stiffness: 1194 ± 215 N/mm; overall stiffness of 660 ± 174 N/mm) and far
172 cortical locking group (initial stiffness: 314 ± 78 N/mm; secondary stiffness: 1273 ± 183
173 N/mm; overall stiffness: 640 ± 89 N/mm). No difference was detected between the two
174 groups in terms of any measures of fracture stiffness (Fig 3A).

175

176 Under unstable fractures (Fig 2B), a bilinear stiffness was again found in the locking
177 group at 200 N (initial stiffness: 345 ± 49 N/mm; secondary stiffness: 550 ± 48 N/mm;
178 overall stiffness: 443 ± 64 N/mm) and in the far cortical locking group at 500 N (initial
179 stiffness: 300 ± 38 N/mm; secondary stiffness: 458 ± 55 N/mm; overall stiffness: 331 ± 27
180 N/mm). The bi-linearity in the locking group appeared to occur as a result of plate-
181 bone contact at approximately 200 N, while in the far cortical locking group it was a
182 combined effect of far cortical locking screw bending and contacting the near cortex
183 and plate-bone contact. There were statistically significant differences between the
184 secondary ($p=0.011$) and overall ($p=0.003$) stiffnesses of the locking and far cortical
185 locking groups (Fig 3B).

186
187 **Fracture movement:** For the stable fracture condition, the lateral fracture movement
188 in both the locking and far cortical locking groups was less than 0.1 mm at 500 and
189 700 N. The medial fracture movement in the locking and far cortical locking groups
190 was 0.44 ± 0.2 mm and 0.63 ± 0.08 mm at 700 N, which were 23% and 11% higher
191 respectively than the 500 N values. There was no statistical difference between the
192 fracture movement between the two groups, however, the far cortical locking group
193 showed consistently higher fracture movement at both lateral and medial sides (Fig
194 4A).

195
196 In the unstable condition, the lateral fracture movement in both the locking and far
197 cortical locking groups ranged between 0.2-0.6 mm at 500 and 700 N. The medial
198 fracture movement in the locking and far cortical locking groups was 1.1 ± 0.2 mm and
199 1.6 ± 0.1 mm at 700N, 35% and 28% higher than 500 N values. There was a
200 statistically significant difference in fracture movement between the locking and far

201 cortical locking groups at both 500 N ($p=0.000$ at the lateral side; $p=0.003$ at the
202 medial side) and 700 N ($p=0.000$ at the lateral side; $p=0.001$ at the medial side),
203 where the far cortical locking group consistently showed higher fracture movement at
204 both lateral and medial sides (Fig 4B).

205

206 The ratio of lateral to medial fracture movement was calculated as an indicator of
207 parallel (i.e. axial) fracture movement across the fracture site. This ratio at 700 N for
208 the locking and far cortical locking group in the stable condition was 0.09 and 0.1
209 ($p=0.668$) while in the unstable condition was 0.24 and 0.37 ($p=0.005$) respectively
210 (based on Fig 4B).

211

212 **Strain:** In both the stable and unstable fractures, the overall pattern of maximum
213 principal strain on the plate across the empty screw hole was slightly lower in the far
214 cortical locking group compared to the locking group (Fig 5 and 6). A quantitative
215 analysis of the strain data showed that for a stable fracture, the maximum principal
216 strain in the locking group averaged over the surface that was captured by the ESPI
217 system (as shown in Fig 5 and 6) increased to 284 ± 27 μS (microstrain) as the
218 loading increased to 700 N, while in the far cortical locking arrangement, the
219 maximum principal strain increased to 198 ± 41 μS reaching a limit at 400 N (Fig 7A).
220 In the unstable fracture test, the maximum principal strain at 700 N was 809 ± 89 μS
221 and 638 ± 40 μS for the locking group and far cortical locking group respectively (Fig
222 7B).

223

224 **Failure:** During the failure tests, for all the locking screw specimens, crack initiation
225 and initial failure occurred at the closest screw to the fracture site on the proximal

226 femoral fragment (at 4656 ± 1067 N). The specimens eventually failed at the bone-
227 cement-stem interface at the proximal femur where the femoral stem dislocated (at
228 7217 ± 349 N - see Figs 8 and 9). Four of the far cortical locking specimens showed
229 initial cracks at an identical position to the locking specimens (at 6057 ± 923 N) and
230 eventually failed in a similar way to the locking specimens (at 7367 ± 1123 N - see Fig
231 9). One of the far cortical locking specimens failed at the base of the femur where the
232 construct was held in the cylindrical housing at 2778 N, and another far cortical
233 locking specimen failed at the most distal screw on the distal femoral fragment at
234 3630 N. Because they failed in a different way, these two samples were not included
235 in the data presented in Fig 9. No statistical difference was found in the failure results
236 between the locking and far cortical locking groups, regardless of whether the two
237 samples were included.

238

239 **4. Discussion**

240 Far cortical screws applied at both proximal and distal diaphyseal fragments have
241 been shown to increase fracture movement while retaining the overall strength of
242 fracture fixation constructs under pure axial, torsional and bending loads applied to
243 normal fracture specimens [21]. The current study tested whether the same was true
244 with periprosthetic femoral fractures where only distal far cortical locking screws were
245 applied, and the construct was loaded under an anatomically representative one-
246 legged stance. The results show similar findings to the previous study, i.e. distal far
247 cortical locking screws can reduce the overall stiffness of the locking construct and
248 increase the fracture movement while retaining the overall fixation construct strength.
249 However, the increase in the fracture movement and parallel fracture motion in the
250 far cortical locking group compared to the locking group recorded in this study was

251 not as high as that reported where both proximal and distal far cortical locking screws
252 were applied [21].

253

254 The far cortical locking screws only reduced the overall stiffness of fixation of the
255 unstable fractures. With a stable fracture, following the initial contact at the fracture
256 gap, no difference was observed between the far cortical locking and locking groups.
257 It is also noteworthy that the initial stiffness of the far cortical locking group was still
258 slightly lower than the locking group. However, in the unstable fracture, the far
259 cortical locking screws at the near cortex flexed elastically due to the enlarged gap,
260 delaying the plate-bone contact that occurred at the locking group at about 200 N,
261 and hence reduced the overall construct stiffness [see also 31]. Achieving a perfect
262 fracture reduction is clinically challenging and it is likely that in the majority of cases
263 there will be a small fracture gap remaining post-operatively. In these cases, the
264 constructs will behave in a more similar way to the unstable fracture group in this
265 study and, depending on the size of the gap, fracture stability will vary.

266

267 Medial fracture movement in the stable fracture group was in the range of ca. 0.2-0.6
268 mm while on the lateral side it was less than 0.1 mm. These movements are due to
269 inadequate fracture reduction, occurring here because of incomplete filling of the
270 initial fracture gap as described previously. The similarity between the initial stiffness
271 of the stable versus unstable fracture groups (for both the locking and far cortical
272 locking groups) confirms this. At the same time, while there was no statistical
273 significant difference between the fracture movement of the locking and far cortical
274 locking groups in the stable fractures, there was a significant difference between the
275 two groups in the unstable fractures. Considering the ratio of the lateral to medial

276 fracture movement as an indicator of parallel fracture movement, the far cortical
277 locking group showed higher parallel fracture movement i.e. 0.24 versus 0.37 at 700
278 N in the unstable fracture for locking and far cortical locking respectively (based on
279 Fig 4B). This was similar to the finding of Doornink et al. [23] who compared the far
280 cortical locking and locking screws in distal femoral fracture fixations. Their results
281 showed that at 800 N axial loading the lateral to medial fracture movement ratio was
282 0.53 and 0.90 for locking and far cortical locking respectively. The lower parallel
283 fracture movement in the far cortical locking group in this study compared to the
284 value reported by Doornink et al. [23] could be due to various differences between
285 the two studies. Nevertheless, higher parallel fracture movement in the far cortical
286 locking compare to locking screws has been shown to induce larger and more
287 uniform callus formation [22].

288
289 From a clinical point of view, considering that a titanium plate and screws were used
290 in this study and tested under post-operative load-bearing corresponding to toe touch
291 weight bearing, data obtained in this study suggests that: (1) with stable fractures,
292 application of far cortical locking screws can increase fracture movement; (2) with
293 unstable fractures or where large bridging lengths need to be considered, both
294 locking and far cortical locking screws can increase fracture movement beyond the
295 suggested threshold for healing i.e. 0.2-1 mm [18,19,32,33] and this effect could be
296 amplified at higher post-operative load bearings. Indeed, previous studies suggest
297 that in such cases, revision to a long stem or additional grafting might be a better
298 option [10, 34-36].

299

300 When the first principal strain on the plate across the empty screw holes are
301 considered, as expected, the strain in the stable fracture group was lower than the
302 unstable group. It was interesting that lower level of strain was recorded in the far
303 cortical locking group compare to the locking group (Fig 5 and 6). However, previous
304 finite element analysis studies [37,38] have shown that far cortical locking screws are
305 under higher strain compared to locking screws. Given the fracture movement data
306 obtained in this study and, in line with previous studies of Bottlang et al. [21, 22] for
307 stable fractures, it is possible that the fracture would heal before mechanical failure of
308 the screws. With the unstable fractures, the plate itself is under higher strain across
309 the empty screw holes. Nevertheless, the study of Bottlang et al. [25] did not show
310 either screw or plate failure in thirty-one distal fractures fixed with NCB Polyaxial
311 Locking Plate System and far cortical locking screws.

312
313 A consistent pattern of crack initiation at the closest screw to the fracture site on the
314 proximal femoral fragment was observed in the locking group and four of the far
315 cortical locking specimens. While previous finite element studies have shown high
316 stress concentration in this region on the bone, to the best of our knowledge most of
317 the clinical studies report failures of PFF fixations across the empty screw hole on the
318 plate [9-11]. This discrepancy is not unique to the present study, and is in fact
319 common between biomechanical studies [14,27].

320
321 There were several limitations in the present study that might have contributed to this
322 discrepancy. The properties of the composite femurs used in this study, could have
323 been higher than those observed clinically, especially in the case of osteoporotic
324 patients. Furthermore while the stiffness of these composite femurs may well be

325 optimised for general testing of implant performance, the many other characteristics
326 of bone, such as failure strength and screw pull-out strengths may not be. It is also
327 well established that *in vivo* bone responds to the mechanical strain, and such a
328 response together with the effect of muscle forces, knee joint movement and cyclic
329 loading that occurs *in vivo* were not included in this study. Acting in combination,
330 these factors could potentially lead to increased micromotion at the screw-bone
331 interface and higher implant strains *in vivo*, and care should therefore be taken in
332 their extrapolation to the clinical setting. However, the advantage of using these
333 composite femurs is that they are consistent with minimum variability between
334 individual bones, unlike natural femurs. Furthermore, any simplifications and
335 limitations in the study were the same for both the locking and far cortical locking
336 screws, therefore the relative comparisons made between the two screw designs in
337 the case of PFF fixations are likely to remain valid.

338

339 In conclusion, this study suggests that distal far cortical locking screws can reduce
340 the overall stiffness of the locking constructs in PPF fixation and increase the fracture
341 movement while retaining the overall construct strength. Further, it was found that in
342 unstable fractures, and where large bridging length are required, both locking and far
343 cortical locking screws applied with titanium plates might induce fracture movements
344 beyond the threshold required to promote callus formation, in which case long stem
345 revision might be a better option.

346

347

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1 **Figure legends**

2 **Fig. 1** An overview of the study: (A) lateral view of the plate and anterior-posterior
3 radiograph of a locking periprosthetic femoral fracture fixation construct; (B)
4 comparing distal Locking versus Far cortical locking screws; (C) comparing stable
5 versus unstable fractures; (D) a summary of the parameters recorded in this study,
6 also highlighting the electronic speckle pattern interferometry sensor (attached to the
7 plate) and micro-miniature differential variable reluctance transducers (attached to
8 the bone).

9

10 **Fig. 2** Graph of the load-displacement data recorded under stable (A) and unstable
11 (B) fractures for the locking and far cortical locking group.

12

13 **Fig. 3** Summary of the initial, secondary and overall stiffness values calculated under
14 stable (A) and unstable (B) fractures for the locking and far cortical locking groups. *
15 highlight statistical significance between the corresponding groups ($p < 0.05$).

16

17 **Fig. 4** Summary of the fracture movement data under stable (A) and unstable (B)
18 fractures for the locking and far cortical locking groups at the lateral (lat) and medial
19 (med) side at 500 and 700 N. * highlight statistical significance between the
20 corresponding groups ($p < 0.05$).

21

22 **Fig. 5** Comparison between the pattern of maximum principal strain across the
23 empty screw hole on the fracture plate, between the locking and far cortical locking
24 group for stable fractures at 500 and 700 N.

25

26 **Fig. 6** Comparison between the pattern of maximum principal strain across the
27 empty screw hole on the fracture plate, between the locking and far cortical locking
28 group for unstable fractures at 500 and 700 N.

29

30 **Fig. 7** Summary of the average maximum principal strain across the empty screw
31 hole on the fracture plate for the locking and far cortical locking group under stable
32 (A) and unstable (B) fracture conditions during loading up to 700 N.

33

34 **Fig. 8** An example of a locking sample load to failure test, highlighting the crack
35 initiation at about 4000 N and ultimate failure at about 6900 N.

36

37 **Fig. 9** Summary of the load to failure data, highlighting the crack initiation and
38 ultimate failure loads of the unstable fractures for the locking and far cortical locking
39 groups. No statistical difference was observed between the aforementioned groups.

40

















