

1 **Extinction risks and threats facing the freshwater fishes of Britain**

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3 Andy D. Nunn,^{a*} Rachel F. Ainsworth,^a Silas Walton,^b Colin W. Bean,^c Tristan W. Hatton-
4 Ellis,^d Andy Brown,^e Rob Evans,^f Allison Atterborne,^g Dave Ottewell^h and Richard A. A.
5 Noble^a

6

7 ^a*Hull International Fisheries Institute, School of Natural Sciences, University of Hull, Hull,*
8 *UK*

9 ^b*Natural England, Penrith, UK*

10 ^c*NatureScot, Clydebank, UK*

11 ^d*Natural Resources Wales, Llandarcy, UK*

12 ^e*Natural England, Peterborough, UK*

13 ^f*Natural Resources Wales, Cardiff, UK*

14 ^g*Natural England, Worthing, UK*

15 ^h*Natural England, Worcester, UK*

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* E-mail: a.d.nunn@hull.ac.uk

27 **Abstract**

- 28 1. Extinctions occur naturally in all environments, but rates have accelerated rapidly during
29 the Anthropocene, especially in fresh water. Despite supporting many fish species of
30 conservation importance, there has never been a formal assessment of their extinction risks
31 in Britain, which has impeded their inclusion in relevant legislation and policy. This study
32 therefore used the International Union for the Conservation of Nature (IUCN) Red List of
33 Threatened Species™ Categories and Criteria to conduct the first systematic assessment of
34 the extinction risks and threats facing the native freshwater and diadromous fishes of
35 Britain. Additionally, national assessments were produced for England, Scotland and
36 Wales, reflecting the level at which environmental policy decisions are taken in Britain.
- 37 2. Seven species were categorised as being threatened with extinction at regional level, with
38 European eel *Anguilla anguilla* and allis shad *Alosa alosa* classified as Critically
39 Endangered, Atlantic salmon *Salmo salar*, vendace *Coregonus albula* and European
40 whitefish *Coregonus lavaretus* classified as Endangered, and Arctic charr *Salvelinus*
41 *alpinus* and twaite shad *Alosa fallax* classified as Vulnerable. In addition, burbot *Lota lota*
42 was classified as Regionally Extinct, ferox trout *Salmo ferox* was categorised as Data
43 Deficient, and 25 species were categorised as Least Concern. European sturgeon *Acipenser*
44 *sturio* and houting *Coregonus oxyrinchus*, although probably native, qualified as only
45 “vagrants” in fresh water, so were categorised as Not Applicable.
- 46 3. The assessments provide objective baselines against which future changes can be
47 determined, and a key evidence base to support policy and management decisions for the
48 conservation of freshwater and diadromous fish species and their habitats in Britain. It is
49 recommended that the assessments are repeated every 10 years, which would enable
50 changes in conservation status, the effectiveness of policies and where targeted
51 interventions may be required to be examined using the Red List Index.

52

53 Keywords: conservation, Critically Endangered, Data Deficient, Endangered, IUCN Red List,
54 Least Concern, Red List Index, Regionally Extinct, threatened, Vulnerable

55

56 **1. Introduction**

57 Extinctions occur naturally in all environments, but rates have accelerated rapidly during the
58 Anthropocene. This has particularly affected freshwater environments, which are suffering
59 steeper declines in biological diversity than most marine and terrestrial ecosystems (Reid et al.,
60 2019; Tickner et al., 2020). For example, it was estimated that the World Wide Fund (WWF)
61 for Nature Living Planet Index for populations of freshwater species declined by 83% between
62 1970 and 2012, compared with 38% and 36%, respectively, in terrestrial and marine
63 environments (WWF, 2022). Indeed, fresh water is considered the most threatened
64 environment on Earth, with a third of species currently at risk of extinction (WWF, 2021).

65

66 Freshwater fishes account for more than 25% of vertebrate species globally (Carrizo, Smith &
67 Darwall, 2013), but a significant proportion have declined in abundance or range in recent
68 decades and at least 81 have been declared extinct, including 16 since 2020 (IUCN, 2023a).
69 The most common threats to freshwater fishes are habitat loss, degradation (including pollution
70 and water abstraction) and fragmentation (including loss of river connectivity),
71 overexploitation, invasive species and climate change (Arthington et al., 2016; Miranda et al.,
72 2022). A reduction in water quality, for example, has been implicated in the extinctions of at
73 least eight species of European freshwater fish, and many sturgeon and paddlefish species
74 world-wide are severely threatened by overexploitation (Freyhof & Brooks, 2011). There are
75 particular concerns over possible synergistic effects of multiple threats occurring
76 simultaneously, such as species invasions facilitated by habitat degradation and climate change,
77 which could exacerbate existing issues (Jacoby et al., 2015).

78

79 The International Union for the Conservation of Nature Red List of Threatened Species™
80 (IUCN Red List) Categories and Criteria (IUCN, 2012, 2022) have been widely employed to
81 assess global, regional and national extinction risks, including for fish (e.g. Freyhof & Brooks,
82 2011; Dulvy et al., 2014; Chakona et al., 2022). Despite supporting many fish species of
83 conservation importance, there has never been a systematic assessment of their extinction risks
84 in Britain, which has impeded their inclusion in relevant legislation and policy, priority species
85 lists, protected site selection guidance and general assessments of wildlife trends. This study
86 therefore used the IUCN Red List Categories and Criteria to conduct the first formal assessment
87 of the extinction risks and threats facing the native freshwater and diadromous fishes of Britain.
88 The extinction risks, threats, overall qualification against the Red List Criteria and confidence

89 in the assessments are discussed, and recommendations to address important knowledge gaps
90 and mitigate key threats are provided.

91

92 **2. Methods**

93

94 **2.1 Geographical and taxonomic scope**

95 The IUCN Red List Categories and Criteria were developed for assessing global extinction
96 risks, but guidelines for their application at regional and national levels were subsequently
97 produced (IUCN, 2012). The geographical area covered by this study was Britain (i.e. England,
98 Scotland and Wales), including offshore islands, but excluding the Channel Islands and Isle of
99 Man (dependencies of the British Crown, but not under the jurisdiction of the British
100 government). The primary focus was at regional level (Britain), but assessments were also
101 conducted at national/country level (England, Scotland and Wales), reflecting the level at
102 which environmental policy decisions are taken in Britain.

103

104 All primary and secondary freshwater fish species native to Britain were considered for
105 assessment. Species were classified as native or non-native according to Maitland (2004), with
106 42 considered for assessment; note that the status of crucian carp *Carassius carassius* (L.) has
107 recently been changed to ‘non-native’ on the evidence of a genetics study (Jeffries et al., 2017)
108 that suggests it was introduced (Dodd et al., 2019). Non-native species were Not Evaluated
109 (IUCN, 2012, 2022). European sturgeon *Acipenser sturio* L. and houting *Coregonus*
110 *oxyrinchus* (L.), although probably native, were treated as “vagrants” in fresh water, so were
111 categorised as Not Applicable (*sensu stricto* IUCN, 2012). Similarly, amphidromous species,
112 which migrate between marine and freshwater environments only for non-reproductive
113 purposes, were not assessed.

114

115 Many post-glacial waterbodies support fish that exhibit a high degree of infraspecific
116 structuring, which can result in taxonomic uncertainties (Skúlason et al., 2019). Kottelat &
117 Freyhof (2007), for example, proposed that the European whitefish *Coregonus lavaretus* (L.)
118 in England, Scotland and Wales are endemic to those countries and should be reverted to their
119 former scientific names of *C. stigmaticus* Regan, *C. clupeoides* Lacépède and *C. pennantii*
120 Valenciennes, respectively. However, subsequent phenotypic (e.g. Etheridge et al., 2012) and
121 genetics studies (e.g. Crotti et al., 2021) argued that they are all most appropriately classified
122 as *C. lavaretus*, and that approach was followed in this study. Similarly, Kottelat & Freyhof

123 (2007) referred to vendace in Britain as the endemic *Coregonus vandesius* Richardson, but
124 subsequent British studies (e.g. Winfield, Fletcher & James, 2017; Lyle et al., 2019) found no
125 robust evidence to suggest deviation from *Coregonus albula* (L.). The situation with Arctic
126 charr is particularly complex as global assessments have been conducted on ten alleged
127 endemic species in Britain, whereas *Salvelinus alpinus* (L.) is reported as being absent (Kottelat
128 & Freyhof, 2007). However, subsequent studies on Arctic charr in Britain (e.g. Winfield et al.,
129 2010; Maitland & Adams, 2018) have treated all taxa as *S. alpinus*, and that was the approach
130 in this study. The taxonomy of ferox trout *Salmo ferox* L. is uncertain, but given that genetic
131 analyses suggest it is an ancient ancestral form of brown trout *Salmo trutta* L., it was assessed
132 as a distinct taxonomic entity (Ferguson & Prodöhl, 2022). Finally, a revision of the *Cottus*
133 genus (Freyhof, Kottelat & Nolte, 2005) concluded that the species in Britain is chabot
134 fluviatile *C. perifretum* Freyhof, Kottelat & Nolte (hereafter bullhead), rather than the
135 European bullhead *C. gobio* L., but this did not affect the assessments in this study as only one
136 *Cottus* species is believed to be present in Britain (Freyhof, Kottelat & Nolte, 2005; McLeish
137 et al., 2020).

138

139 2.2 Extinction risks and threats

140 Assessments of the extinction risk and threats facing each species were conducted according
141 to the “Guidelines for Application of IUCN Red List Criteria at Regional and National Levels”
142 (IUCN, 2012). The process employs combinations of parameters describing taxon abundance
143 and geographical range to assess extinction risk against five criteria (A–E). For regional
144 assessments, taxa are assigned to one of nine categories, namely Extinct (EX), Extinct in the
145 Wild (EW), Regionally Extinct (RE), Critically Endangered (CR), Endangered (EN),
146 Vulnerable (VU), Near Threatened (NT), Least Concern (LC) or Data Deficient (DD).
147 Together, CR, EN and VU are referred to as the “threatened categories”. Threatened taxa are
148 assigned an alphanumeric code [e.g. CR B1ab(iii)+2ab(iii); C2a(ii)] that describes their
149 extinction risk and the criteria and conditions upon which the assessment was based (see IUCN,
150 2012, 2022). In this example, the taxon was assessed as Critically Endangered (CR) due to its
151 restricted geographical range (B1, B2), small number of locations (B1a, B2a), a continuing
152 decline in the area, extent and/or quality of habitat [B1b(iii), B2b(iii)], small population size
153 (C), a continuing decline in population size (C2), and the high percentage of mature individuals
154 in one sub-population [C2a(ii)] (see IUCN, 2012, 2022 for further details).

155

156 It is important to note, to avoid possible misinterpretations of the results, that the IUCN Red
157 List Criteria include terms with definitions that differ from those used in general ecology or
158 fisheries science. In addition, some of the parameters must be calculated using specific,
159 standardised methods, to enable comparisons across taxa, space and time. The terms and
160 parameters of most relevance to this study include “population size”, “sub-population”,
161 “generation”, “extent of occurrence” (EOO), “area of occupancy” (AOO), “continuing
162 decline”, “number of locations” and “rescue effect” (see IUCN, 2022).

163

164 Reductions in population size were determined using the “Criterion A population reduction
165 calculator” (IUCN, 2023b). As prescribed for fresh waters, the native range (i.e. excluding
166 catchments into which species have been translocated) of each fish species (Maitland, 2004;
167 Dodd et al., 2019) was mapped using HydroBASINS (Level 5) (IUCN, 2021) and EOO was
168 determined using the calculator in the IUCN ArcGIS toolkit (version 10.8), while AOO was
169 calculated by superimposing a 2×2 km grid on species occurrence point data (IUCN, 2021).
170 Information sources included national fish monitoring datasets for England (Environment
171 Agency), Scotland (Scottish Environment Protection Agency) and Wales (Natural Resources
172 Wales), targeted surveys for designated species, peer-reviewed publications, grey literature and
173 personal communications; full details are available in the Supporting Information.

174

175 The assessments were reviewed in a global context, to determine whether species could be
176 “rescued” by the immigration of individuals from elsewhere and, therefore, whether
177 categorisations of extinction risk needed to be “downlisted” (see IUCN, 2012). Meta-analyses
178 were then conducted to examine: (1) the numbers of species categorised as threatened vs. not
179 threatened; (2) the proportions of species for which it was possible to use each of the five
180 assessment criteria (A–E); and (3) the types and prevalence of threats identified as being of
181 greatest concern to threatened species. Finally, the current status of freshwater and diadromous
182 fishes (all species combined) in Britain, England, Scotland and Wales was assessed using the
183 IUCN Red List Index. The index is based upon the proportions of species in each IUCN Red
184 List Category (EX, CR, EN, VU, NT, LC), and ranges from 0 (all species Extinct) to 1 (all
185 species Least Concern) (Bubb et al., 2009). Although intended to determine changes in
186 extinction risk over time, it was considered useful to calculate the current values as a reference
187 point for future assessments.

188

189 **3. Results**

190 The first systematic assessment of the extinction risks and threats facing the native freshwater
191 and diadromous fishes of Britain classified one species as Regionally Extinct, two as Critically
192 Endangered, three as Endangered, two as Vulnerable, 25 as Least Concern and one as Data
193 Deficient (Table 1). Thus, seven species were categorised as being threatened with extinction,
194 and the current IUCN Red List Index values for Britain, England, Scotland and Wales,
195 respectively, were 0.87, 0.84, 0.81 and 0.81. Where relevant, differences in the regional and
196 national assessments are described below.

197

198 3.1 Regionally Extinct

199 Historically, burbot *Lota lota* (L.) were relatively widespread in eastern England, especially in
200 the catchments of the Humber, Wash and Norfolk Broads (Worthington et al., 2011).
201 Abundances started declining in the early 1900s, however, and the species was rare by the
202 1960s, with the last confirmed record dating from 1969 (Worthington et al., 2010). Despite
203 extensive fishing and environmental DNA (eDNA) surveys within the species' former range,
204 there have been no further records and the burbot is now widely considered to be extirpated in
205 Britain. The exact causes of this loss are unknown, but pollution and habitat degradation are
206 the pressures most likely to be responsible (Worthington et al., 2010). Burbot was therefore
207 classified as Regionally Extinct in Britain, given that there is no reasonable doubt that the last
208 individual potentially capable of reproduction in the region has died (IUCN, 2012, 2022).

209

210 3.2 Critically Endangered

211 The global abundance of European eel *Anguilla anguilla* (L.) has declined markedly over the
212 last four decades, probably due to a combination of habitat loss and fragmentation (including
213 barriers to migration), climate-mediated shifts in oceanic conditions, and increases in
214 impingement/entrainment, exploitation and disease mortality (Jacoby et al., 2015). Given that
215 the species exists as a panmictic population, the global decline [International Council for the
216 Exploration of the Sea (ICES) recruitment index -98.6% in the North Sea series; ICES, 2022]
217 is reflected in Britain (Arahamian & Walker, 2008). Despite recent increases in glass
218 (juvenile) eel recruitment and potentially silver (adult) eel escapement (ICES, 2022), the long
219 generation time and panmictic population mean that European eel was classified, following the
220 global assessment, as Critically Endangered in Britain (CR A2bd+4bd) due to its population
221 size reduction ($\geq 80\%$ in three generations) (Tables 1 and 2). There is no possibility of a “rescue
222 effect” as species classified as Critically Endangered at global level cannot rescue regional
223 populations in the event of their extinction (IUCN, 2012).

224

225 Although recorded from a large number of rivers, the British population of allis shad *Alosa*
226 *alosa* (L.) is substantially lower than it was historically, which has been attributed to the
227 impacts of migration barriers and reductions in water quality (Aprahamian, Lester &
228 Aprahamian, 1998). The species spawned historically in the River Severn (as far upstream as
229 Welshpool) and possibly elsewhere (Aprahamian, Lester & Aprahamian, 1998), but the River
230 Tamar is currently the only confirmed location (Hillman, 2020). Even there, numbers have
231 been falling, with very few immigrating adults in 2012 and 2013 and a complete absence of
232 spawning fish in 2015 (R. Hillman, pers. comm.). All mature individuals occur in one sub-
233 population, and gravel extraction from the single spawning site (Hillman, 2020) could plausibly
234 eliminate or severely reduce the population within a single generation. Allis shad was therefore
235 classified as Critically Endangered in Britain and England [CR B1ab(iii)+2ab(iii); C2a(ii)] due
236 to its restricted geographical range (EEO <100 km², AOO <10 km², one location, continuing
237 decline in the area, extent and/or quality of habitat) and small population size (<250 mature
238 individuals, continuing decline in population size, 90–100% of mature individuals in one sub-
239 population) (Tables 1 and 2; Figures 1 and 2). It is considered unlikely that sufficient
240 individuals would immigrate from outside of the region to “rescue” the British population in
241 the event of its extinction, given that allis shad are not known to have colonised other British
242 rivers via individuals straying from the Tamar. It is possible that allis shad spawn in Wales,
243 although numbers are likely to be extremely small and hybridisation with (the considerably
244 more abundant) twaite shad *Alosa fallax* (Lacépède) (Antognazza et al., 2022) may mean it is
245 functionally extinct. Nevertheless, doubt remains and exhaustive surveys have not been
246 conducted, so the species was classified as Critically Endangered [CR C2a(i)] rather than
247 Critically Endangered (Possibly Extinct). There are no spawning records for allis shad in
248 Scotland, so the species qualifies as only a “vagrant” in fresh waters there and was categorised
249 as Not Applicable.

250

251 3.3 Endangered

252 Atlantic salmon *Salmo salar* L. are widespread in the rivers of Britain, particularly in Scotland,
253 Wales and northern/south-west England, and the EEO and AOO far exceed the thresholds to
254 potentially qualify as threatened under criterion B. However, the species is threatened by poor
255 marine survival, climate change, habitat loss, degradation and fragmentation, predation and
256 overexploitation (Dadswell et al., 2022), and has suffered substantial declines in abundance in
257 the last century, and particularly since 2010. The population size is estimated to far exceed the

258 thresholds to potentially qualify as threatened under criteria C and D (10,000 and 1000
259 individuals, respectively), but the three generation percentage change, based on the rate of
260 change in annual ICES pre-fishery abundance (PFA) estimates for England, Scotland and
261 Wales (ICES, 2021), was –63%. Atlantic salmon was therefore classified as Endangered in
262 Britain (EN A4b) due to its continuing population size reduction ($\geq 50\%$ in three generations)
263 (Tables 1 and 2). Although the species is anadromous and straying does occur, it is unlikely
264 that sufficient individuals would immigrate from outside of the region to “rescue” the British
265 population in the event of its extinction given that the species is also declining in neighbouring
266 regions (IUCN, 2012).

267

268 Vendace is the rarest freshwater fish in Britain, and only two native populations remain, in
269 Derwent Water and Bassenthwaite Lake in the English Lake District (Winfield et al., 2012).
270 Two additional populations in Scotland were extirpated in the 1910s (Castle Loch) and 1970s
271 (Mill Loch) due to eutrophication and the introduction of non-native fish species (Winfield et
272 al., 2012). Attempts have been made to establish refuge populations at one site in England
273 (Sprinkling Tarn) and five in Scotland (Doune North Pond, Loch Earn, Loch Skeen/Skene,
274 Loch Valley and Daer Reservoir) (Lyle et al., 2019). The Doune North Pond attempt failed and
275 there is not yet any evidence of self-sustaining populations in Sprinkling Tarn or Loch Valley
276 (B. Hänfling, pers. comm.; Lyle et al., 2019). By contrast, the species has established in Loch
277 Earn, Loch Skeen and Daer Reservoir (Lyle et al., 2019), so these “benign introductions” were
278 included in the assessments (IUCN, 2012, 2022). Whilst the population size is unknown, the
279 geographical range is small and climate change has been identified as the main threat (Elliott
280 & Bell, 2011) and is likely to affect all sub-populations simultaneously (i.e. “number of
281 locations” = 1). Vendace was therefore classified as Endangered in Britain and Scotland [EN
282 B1ab(iii)+2ab(iii)] due to its restricted geographical range (EOO $< 5000 \text{ km}^2$, AOO $< 500 \text{ km}^2$,
283 ≤ 5 locations, continuing decline in the area, extent and/or quality of habitat), and as Critically
284 Endangered in England [CR B1ab(iii)] due to its smaller range (Tables 1 and 2; Figures 1 and
285 2). There is no possibility of a “rescue effect” in the event of the British population’s extinction.
286 The species is not native to Wales and there have been no benign introductions (Not
287 Applicable).

288

289 European whitefish is native to four sites in England (Ullswater, Haweswater, Brotherswater,
290 Red Tarn), two in Scotland (Loch Lomond, Loch Eck) and one in Wales (Llyn Tegid) (Winfield
291 et al., 2013). There are also nine confirmed benign introductions (Blea Water and Small Water

292 in England; Loch Sloy, Carron Valley Reservoir, Lochan Shira, Loch Tarsan, Loch Glashan
293 and Allt na Lairige in Scotland; Llyn Arenig Fawr in Wales) (Winfield et al., 2013; Lyle,
294 Stephen & Adams, 2017). The population size is unknown, but the geographical range is small
295 and climate change has been identified as the main threat and is likely to affect most sub-
296 populations simultaneously (Winfield et al., 2013). European whitefish was therefore classified
297 as Endangered in Britain [EN B2ab(iii)] and Scotland [EN B1ab(iii)+2ab(iii)] due to its
298 restricted geographical range (EOO <5000 km², AOO in Scotland <500 km², ≤5 locations,
299 continuing decline in the area, extent and/or quality of habitat), and as Critically Endangered
300 in England and Wales [CR B1ab(iii)] due to its smaller range (Tables 1 and 2; Figures 1 and
301 2). There is no possibility of a “rescue effect” in the event of the British population’s extinction.

302

303 3.4 Vulnerable

304 Arctic charr is a circumpolar species that in Britain is confined to high-altitude or deep lakes
305 and reservoirs. There are at least 197 confirmed populations (Maitland & Adams, 2018),
306 largely in Scotland but including some of high national conservation value in England (eight
307 populations) and Wales (three extant natural populations and seven benign introductions).
308 Given the species’ temperature requirements and the location of Britain at the southern
309 extremity of its global range, climate change is considered the main threat and is likely to affect
310 most sub-populations simultaneously (Winfield et al., 2010). The population size is estimated
311 to exceed the threshold to potentially qualify as threatened under criterion C (10,000
312 individuals), but the three generation percentage change, based on catch-per-unit-effort
313 (CPUE) (Coniston, Windermere) and hydroacoustic (Ennerdale, Llyn Padarn, Cwellyn, Doon,
314 Eck, Insh, Girlsta) data, was –44%. Although few of the datasets extend beyond 2016 and some
315 of the populations in England and Wales are supplemented by stocking, the declines observed
316 were considered to be representative and continuing. Arctic charr was therefore classified as
317 Vulnerable in Britain and Scotland (VU A2b) due to its population size reduction (≥30% in
318 three generations), and as Endangered in England [EN A2b, B2ab(iii,v)] and Wales [EN
319 B2ab(iii,v)] due to its population size reduction (in England; ≥50% in three generations) and
320 restricted geographical range (AOO <500 km², ≤5 locations, continuing decline in the area,
321 extent and/or quality of habitat and number of mature individuals) (Tables 1 and 2). Although
322 anadromous Arctic charr occur elsewhere, those in Britain inhabit isolated lakes and reservoirs,
323 and it is considered unlikely that sufficient individuals would immigrate from outside of the
324 region to “rescue” the population in the event of its extinction.

325

326 The British population of twaite shad is substantially lower than it was historically, which has
327 generally been attributed to the impacts of migration barriers and pollution (Aprahamian,
328 Lester & Aprahamian, 1998). The species is currently known to spawn only in the catchments
329 of the rivers Severn, Wye, Usk and Tywi, although smaller satellite and/or remnant populations
330 may occur elsewhere (Aprahamian, Lester & Aprahamian, 1998). In England, twaite shad
331 spawn in the Severn downstream of Worcester, the Teme (a tributary of the Severn)
332 downstream of Powick, and the whole of the English section of Wye upstream of Monmouth.
333 In Wales, the species spawns in the Wye downstream of Newbridge-on-Wye, the Irfon (a
334 tributary of the Wye) near Builth Wells, the Usk downstream of Crickhowell, and the Tywi
335 downstream of Llwynjack. Spawning run estimates are available for the Severn Estuary, but
336 not the River Tywi. However, given that three of the four British rivers that support twaite shad
337 discharge into the Severn Estuary, it was considered appropriate to use this as an ‘index site’
338 to assess potential changes in population size at regional level. Spawning run estimates
339 exceeded 10,000 individuals for every year between 1979 and 2020, but the three generation
340 percentage change was –41% and the geographical range is small. Migration barriers and poor
341 water quality were identified as the main threats (Aprahamian, Lester & Aprahamian, 1998),
342 so each river (Severn, Wye, Usk and Tywi) was considered to be a separate location in the
343 assessment. Twaite shad was therefore classified as Vulnerable in Britain [VU A2b; B1ab(v)]
344 and Wales [VU A2b; B1ab(v)+2ab(v)] due to its population size reduction ($\geq 30\%$ in three
345 generations) and restricted geographical range (EOO $< 20,000 \text{ km}^2$, AOO in Wales $< 2000 \text{ km}^2$,
346 ≤ 10 locations, continuing decline in the number of mature individuals), and as Endangered in
347 England [EN B1ab(v)] due to its smaller geographical range (Tables 1 and 2; Figures 1 and 2).
348 It is considered unlikely that sufficient individuals would immigrate from outside of the region
349 to “rescue” the British population in the event of its extinction, given that genetics and
350 telemetry studies suggest that straying rates are low (Jolly et al., 2012; Davies et al., 2020).
351 Twaite shad possibly spawn in the estuary (beyond the scope of this assessment) of the River
352 Cree (Maitland & Lyle, 2005), but there are no records from Scottish fresh waters, so the
353 species qualifies as only a “vagrant” there and was categorised as Not Applicable.

354

355 3.5 Least Concern

356 All members of the Balitoridae, Cobitidae, Cottidae, Cyprinidae, Esocidae, Gasterosteidae,
357 Osmeridae, Percidae, Petromyzontidae and Thymallidae were classified as Least Concern at
358 regional level as their population sizes and geographical ranges exceeded the thresholds
359 ($< 10,000$ mature individuals, EOO $< 20,000 \text{ km}^2$, AOO $< 2000 \text{ km}^2$) to potentially qualify as

360 threatened (Table 1). In addition, brown trout was classified as Least Concern, despite a 39%
361 reduction in angling catches of the anadromous form (sea trout) over three generations, as the
362 population size of the more abundant freshwater form is considered to be stable. All but one of
363 these species were also classified as Least Concern, or Not Applicable (absent or a vagrant) or
364 Not Evaluated (non-native), at national level. However, European smelt *Osmerus eperlanus*
365 (L.) was classified as Near Threatened in Scotland and Wales due to its restricted geographical
366 range, small number of “locations” and because future surveys may reveal declines in the area,
367 extent and/or quality of habitat and/or the number of mature individuals.

368

369 3.6 Data Deficient

370 Ferox trout was classified as Data Deficient as it was not possible to estimate population size
371 or geographical range, in the context of the thresholds to potentially qualify as threatened, with
372 sufficient precision, i.e. the data were so uncertain that both Critically Endangered and Least
373 Concern were plausible categories. Although ferox trout may have been recorded from more
374 than 200 sites (Ferguson & Prodöhl, 2022), only 25 are considered to be ‘confirmed’ (Adams,
375 2016), population studies have been conducted only in lochs Awe and Rannoch (Thorne,
376 MacDonald & Thorley, 2016), and whether those in Loch Rannoch are sufficiently distinct
377 from sympatric brown trout to be considered a separate species is uncertain (Thorne,
378 MacDonald & Thorley, 2016). The geographical range calculated using only the 25
379 ‘confirmed’ populations is $<2000 \text{ km}^2$ (the AOO threshold to potentially qualify as threatened
380 under criterion B), but far exceeds 2000 km^2 if based upon the ~ 200 possible populations. There
381 is virtually no information on population sizes, but applying the mean annual estimate for Loch
382 Awe of 197 adults (A. Kettle-White, pers. comm.) equates to a population size of between 4925
383 (197×25 confirmed populations) and 39,400 (197×200 possible populations) for Britain, i.e.
384 spanning the threshold of $<10,000$ to potentially qualify as threatened under criterion C.

385

386 3.7 Overall qualification against the Red List Criteria

387 There was considerable variation in the use of the five criteria in the assessments. For example,
388 28, 32, 31 and 33 species were assessed under criteria A, B, C and D, respectively, whereas
389 none were assessed under criterion E (as no suitable data or life history models were available)
390 (Table 3). Four, four, one and three species qualified as threatened under criteria A, B, C and
391 D, respectively, of which four, four, one and zero were ultimately classified under those criteria
392 (Table 3). Overall, 28, three, one, zero and one species were assessed against four, three, two,
393 one and no criteria, respectively. Five of the threatened species (Arctic charr, Atlantic salmon,

394 European eel, European whitefish, vendace) were classified under one criterion, with two (allis
395 shad, twaite shad) classified under two. The majority of the species for which there were
396 insufficient data to be assessed under criteria A (allis shad, European smelt, European
397 whitefish, ferox trout, vendace), B (ferox trout) or C (ferox trout, vendace) are listed in national
398 conservation legislation, and three were categorised as threatened under other criteria.

399

400 **4. Discussion**

401

402 **4.1 Extinction risks and threats**

403 This study represents the first formal IUCN Red List assessment of the extinction risks and
404 threats facing the native freshwater and diadromous fishes of Britain. The proportion of species
405 that are threatened with extinction (21%) is less than in similarly data-rich taxa, such as
406 mammals (26%), amphibians (29%), reptiles (33%), butterflies (41%) and birds (46%), but
407 substantially greater than in more than thirty other groups for which assessments have been
408 conducted in Britain (Mathews & Harrower, 2020; Foster et al., 2021; Stanbury et al., 2021;
409 Fox et al., 2022). For most species, the results reflect the global assessments conducted in the
410 1990s and 2000s, with the majority categorised as Least Concern (IUCN, 2023a). The
411 exceptions, however, include some of the species that are listed in national conservation
412 legislation in Britain.

413

414 Allis shad was classified as Least Concern at global level (IUCN, 2023a). This contrasts
415 markedly with the situation in Britain, where there is just single known spawning site, the
416 population size is small and the species was classified as Critically Endangered. Twaite shad
417 was also classified as Least Concern at global level (IUCN, 2023a), but is restricted to only
418 four rivers in Britain, the population size is declining and was classified as Vulnerable. Gravel
419 extraction from the spawning site was identified as the most significant threat to allis shad in
420 Britain (Hillman, 2020). It is essential in the short term, therefore, that the site is fully protected,
421 both by prohibiting gravel extraction and ensuring that habitat quality and quantity are
422 maintained at sufficient levels. Migration barriers and poor water quality were identified as the
423 main threats to twaite shad (Aprahamian, Lester & Aprahamian, 1998). It is anticipated that
424 the recent construction of fish passes at weirs in the River Severn will allow an expansion of
425 the spawning distribution of twaite shad in the catchment, and potentially recolonisation of allis
426 shad, but the efficiency of the passes is not yet known and migration barriers remain an issue
427 in the Usk catchment. Spawning aggregations in discrete localities are extremely susceptible

428 to habitat degradation and environmental perturbations, so passage improvements would also
429 benefit allis shad in the Tamar. Indeed, there are plans for a multi-species fish pass at
430 Gunnislake Weir, immediately upstream of the spawning site, to improve access to under-
431 exploited areas (R. Hillman, pers. comm.). Water quality is generally better than when the
432 populations started to decline, but a pollution event during the spawning period could have
433 significant implications, especially for allis shad.

434

435 Atlantic salmon was last classified as Least Concern at global level (IUCN, 2023a), but the
436 British population size is declining and the species was classified as Endangered. It should be
437 noted, however, that the last global assessment was in 1996, since when many stocks have
438 declined and the species is likely to be re-categorised globally as threatened. Poor marine
439 survival, climate change (e.g. increases in water temperatures), habitat loss, degradation and
440 fragmentation, predation and overexploitation are the main threats to Atlantic salmon
441 throughout its range, with the latter recently identified as the most serious issue (Dadswell et
442 al., 2022). Despite international conservation and management efforts, the species has
443 continued to experience widespread declines in abundance and only limited and localised
444 recoveries, a situation that is complicated by variations in life history strategy (one vs. multi
445 sea-winter fish) and genetically distinct stocks and stock components within many rivers
446 (Garcia de Leaniz et al., 2007).

447

448 For Arctic charr, given that ten ‘species’ endemic to Britain have been individually assessed
449 (IUCN, 2023a), direct comparisons of the global and regional assessments are impossible.
450 Seven of the ten ‘species’ received the same classification as the single species in this study
451 (Vulnerable), but the assessments were based upon a restricted geographical range or a very
452 small or restricted population, rather than a declining population size. A direct comparison is
453 possible for Wales as the sites in the two assessments were the same, with the species classified
454 as Vulnerable at global level (IUCN, 2023a), but Endangered at national level. That the range
455 and number of locations are similar in the two assessments suggests that there has been a
456 decline in the area, extent and/or quality of habitat and/or the number of mature individuals
457 since the global assessment was conducted. Indeed, there has been an estimated 44% reduction
458 in the British population size in the last three generations and, given that climate change is
459 considered the main threat and will probably affect most sub-populations simultaneously
460 (Winfield et al., 2010), it is likely that this has been reflected in Wales.

461

462 European eel has been classified as Critically Endangered at both global (IUCN, 2023a) and
463 regional levels on the basis of its declining population trend. Although a panmictic population,
464 the ICES recruitment index suggests that the stocks in the North Sea area have declined more
465 than elsewhere (98.6% vs. 94.0% lower than the 1960–1979 reference levels) (ICES, 2022).
466 The most recent long-term analysis for Britain indicated that recruitment of glass eels to the
467 western coast was approximately 30% of the pre-1980 level (Aprahamian & Walker, 2008).
468 Recruitment has increased in recent years, but it is unknown whether it will continue and there
469 may be a considerable time lag before a corresponding increase in the number of silver eels is
470 observed (ICES, 2022). Habitat loss and fragmentation, climate-mediated shifts in oceanic
471 conditions, and increases in impingement/entrainment, exploitation and disease mortality have
472 been identified as the most significant threats to European eel (Jacoby et al., 2015). Inland
473 threats can potentially be managed and, indeed, there is optimism that the situation is improving
474 following the development of national management plans (Jacoby et al., 2015), but there is still
475 considerable work required to mitigate the impacts of migration barriers (Drouineau et al.,
476 2018).

477

478 Vendace was classified at global level (as '*C. vandesius*') as Endangered (IUCN, 2023a). The
479 alphanumeric code [EN B1ab(iii)+2ab(iii)] implies that there was a continuing decline in the
480 area, extent and/or quality of habitat at the time of the assessment, but the details are unclear;
481 the only threats listed are invasive species (ruffe *Gymnocephalus cernuus* (L.); "low impact")
482 and pollution (habitat quality; "past impact, unlikely to return", i.e. not continuing).
483 Nonetheless, vendace was also classified as Endangered in this study and under the same sub-
484 criteria and conditions, but with a projected continuing decline in the area of habitat.
485 Specifically, Elliott & Bell (2011) calculated that: (1) climate change will cause a mean
486 increase of >2 °C in water temperature and a 10% reduction in dissolved oxygen in
487 Bassenthwaite Lake; and (2) habitat volume will decline greatly, with all of the 20 years
488 simulated having periods of zero habitat volume for >7 consecutive days, suggesting that the
489 long-term viability of the lake as a habitat for vendace is extremely low. Given the close
490 proximity of Bassenthwaite Lake to the other sub-populations, it is likely that all will be
491 affected by climate change simultaneously.

492

493 For European whitefish, although the taxonomy has been shown to be incorrect (Etheridge et
494 al., 2012; Crotti et al., 2021), it is necessary to compare the national assessments for England,
495 Scotland and Wales, respectively, with the global assessments for '*C. stigmaticus*', '*C.*

496 *clupeoides*' and '*C. pennantii*' to evaluate whether there have been any changes in extinction
497 risk. For England, the species was classified as Endangered at global level but Critically
498 Endangered at national level, and for Scotland it was Vulnerable and Endangered, respectively
499 (IUCN, 2023a). The main reason for the differences between the global and national
500 assessments is that climate change, specifically increases in water temperature and reductions
501 in dissolved oxygen concentrations, is now considered the main threat and is likely to affect all
502 sub-populations simultaneously (Winfield et al., 2013). For Wales, the global and national
503 assessments both classified the species as Critically Endangered (IUCN, 2023a).

504

505 There are concerns that some of the vendace and European whitefish benign introductions
506 might not persist as the sites are sub-optimal. For example, some of the sites are supply
507 reservoirs and exposed to substantial fluctuations in water levels, which has the potential to
508 expose spawning habitats at critical times of the year. However, the suitability of at least some
509 of the sites was assessed using the IUCN guidelines for conservation translocations (Adams et
510 al., 2014), and monitoring indicates that populations have established and, hence, that
511 conditions are currently adequate (Lyle, Stephen & Adams, 2017; Lyle et al., 2019).
512 Furthermore, the native populations of European whitefish in Haweswater and Llyn Tegid are
513 also exposed to considerable fluctuations in water level due to abstraction. It is a requirement
514 that even benign introductions that have previously been, but are not currently, successful are
515 included in Red List assessments (IUCN, 2012, 2022). Nonetheless, if benign introductions
516 *were* excluded, vendace would be classified as Critically Endangered in Britain and Regionally
517 Extinct in Scotland, demonstrating the conservation importance of the translocated
518 populations. By contrast, the assessments for European whitefish would be unchanged,
519 reflecting the relatively small contribution of the benign introductions to the estimates of EOO
520 and AOO.

521

522 The IUCN Red List process assesses extinction risk, and a categorisation of Least Concern
523 does not necessarily imply that there is *no concern*. Indeed, a number of protected species,
524 including river lamprey *Lampetra fluviatilis* (L.), sea lamprey *Petromyzon marinus* L. and
525 European smelt, were classified as Least Concern. There is no doubt, however, that all three
526 species are considerably less abundant than they were historically (Maitland & Lyle, 1996;
527 Maitland et al., 2015), but the reductions have been insufficient, in the context of the threshold
528 used in the Red List Criteria ($\geq 30\%$ in 10 years/three generations), for the species to qualify as
529 threatened. For European smelt, although the reductions in range and population size are

530 believed to have occurred mainly in the early 1900s and, due to improvements in habitat quality
531 and reductions in exploitation, appear to have reversed, both are still substantially lower than
532 they were historically (Maitland & Lyle, 1996; Colclough & Coates, 2013). Similarly, although
533 water quality has generally improved in recent decades, river lamprey and sea lamprey are still
534 widely impacted by migration barriers (Nunn et al., 2008, 2017; Davies et al., 2021; Jubb et
535 al., 2023) and concerns over other issues (e.g. habitat degradation) remain (Maitland et al.,
536 2015).

537

538 Brook lamprey *Lampetra planeri* (Bloch), bullhead and spined loach *Cobitis taenia* (L.) are
539 also listed in national conservation legislation in Britain, but were classified as Least Concern.
540 However, these species are under-recorded by standard fish surveys (Cowx et al., 2009), and
541 the accuracy and precision of some of the parameters used in the assessments could
542 undoubtedly be improved. Targeted surveys (e.g. Nunn et al., 2008, 2014; JNCC, 2015) at
543 ‘index sites’ would help to better quantify regional population sizes and changes on appropriate
544 time scales. Unfortunately, practicable monitoring programmes could be resource intensive,
545 difficult to implement and, given the lack of evidence of a serious risk of extinction of these
546 species, may not be justified; it should be recognised, however, that a low extinction risk at
547 regional or national level does not necessarily imply that these species are meeting conservation
548 targets (see JNCC, 2015) in designated sites [e.g. Special Areas of Conservation (SACs), Sites
549 of Special Scientific Interest (SSSIs)]. There are also concerns over perceived declines in some
550 barbel *Barbus barbus* (L.) and grayling *Thymallus thymallus* (L.) populations (Antognazza et
551 al., 2016; Marsh et al., 2021), despite being classified as Least Concern at regional level, and
552 in the abundance of sea trout. If assessed as a separate taxonomic entity, rather than the
553 anadromous form of brown trout, sea trout would have been classified as Vulnerable (VU A2b).

554

555 4.2 Overall qualification against the Red List Criteria and confidence in the 556 assessments

557 It is comparatively rare for freshwater fish to be assessed under criterion A (population size
558 reduction), as estimates of reductions in population size and generation length are required and,
559 often, routine monitoring data are not fit-for-purpose. In this study, however, 85% of species
560 were assessed under criterion A, of which 14% were classified as threatened under this
561 criterion. Notwithstanding, it should be noted that there were data suitable for the “Criterion A
562 population reduction calculator” in only 12% of cases, but there was no evidence of reductions
563 sufficient to qualify as threatened ($\geq 30\%$ in 10 years/three generations) for the other species.

564

565 For European eel and Atlantic salmon, estimates of reductions in population size were possible
566 due to long-term monitoring of commercial and recreational fisheries at a regional level, and
567 that the trends have also occurred in neighbouring regions provides high confidence in the
568 assessments. Conversely, it was fortuitous that a long-term series of bycatch data from the
569 Severn Estuary salmon fishery enabled population size reduction to be estimated for twaite
570 shad. Nonetheless, given that three of the four British rivers that support twaite shad discharge
571 into the Severn Estuary, confidence in the assessment is high. Similarly, long-term datasets
572 were available for 11 Arctic charr 'index sites' across the species' geographical range in
573 Britain, of which ten have suffered significant declines in abundance, providing high
574 confidence in the assessment; it should be noted, however, that these datasets ended in the late
575 2010s, so it is not possible to ascertain whether the situation has changed since then.

576

577 There is a severe lack of fish monitoring in Britain's still waters. Inevitably, this made
578 calculating population sizes for lacustrine species, such as tench *Tinca tinca* (L.) and rudd
579 *Scardinius erythrophthalmus* (L.), problematic, but it was also an issue for species that inhabit
580 both lentic and lotic habitats on a regular basis, such as roach *Rutilus rutilus* (L.) and European
581 perch *Perca fluviatilis* L. However, although the possibility of undetected population declines
582 cannot be excluded, it was clear in the majority of cases that the population sizes far exceeded
583 the thresholds to potentially qualify as threatened. The main exceptions were the species
584 threatened with extinction. For example, in spite of annual monitoring of England's two
585 vendace populations for many years, it was not possible to calculate trends in population size
586 at regional level because although there was an estimate for England in 2017, no equivalent
587 was available for prior to 2017 or for Scotland. Similarly, it was not possible to determine
588 whether there had been a reduction in population size of sufficient magnitude for European
589 whitefish to qualify as threatened.

590

591 The population sizes of some native species, especially salmonids and cyprinids, are artificially
592 enhanced by stocking (i.e. releasing captive-reared fish into watercourses where populations
593 of the species already exist). This is potentially important because such species are unlikely to
594 qualify as threatened under criteria B, C or D as their geographical ranges and population sizes
595 are too large, leaving criterion A as the only possible route. However, although it is possible
596 for intensive stocking to obscure local reductions in abundance (as intended), it is unlikely to
597 significantly increase population size at regional level as, for the majority of species in Britain,

598 the prevalence and relative numbers of fish released (i.e. compared to the numbers of wild fish)
599 are low. A possible exception is barbel, as there is genetic evidence that some native
600 populations in small rivers are comprised mainly of stocked fish (Antognazza et al., 2016), but
601 regular natural recruitment in larger watercourses likely contributes more to the overall
602 population size. Similarly, although stocking may have masked declines in the abundance of
603 wild Arctic charr in Ennerdale Water and Llyn Padarn, it is unlikely to have had a major effect
604 at regional level (>160 confirmed populations).

605

606 Although not considered the most important threats with respect to extinction risk, the potential
607 impacts of stocking, translocating and introducing fish are manifold (Gozlan et al., 2010;
608 Skeate et al., 2022). Indeed, some populations of the priority conservation species in Britain,
609 particularly vendace and European whitefish, are threatened by translocated species (Winfield
610 et al., 2012, 2013), and the negative impacts of stocking on Atlantic salmon are well-
611 documented (McGinnity et al., 2003). Conversely, translocation has been used as a tool in the
612 conservation of Arctic charr, vendace and European whitefish in Britain (McCarthy, 2007;
613 Adams et al., 2014), and stocking has been used in an attempt to increase the recruitment of
614 European eel (Aprahamian & Walker, 2008).

615

616 Geographic range (criterion B) is the parameter most frequently used to assess the extinction
617 risk of freshwater fish (Freyhof & Brooks, 2011). Indeed, all but one species was assessed
618 under criterion B in this study; the exception was ferox trout, for which there was insufficient
619 information even to ascertain whether the range was larger or smaller than the thresholds to
620 potentially qualify as threatened ($EOO < 20,000 \text{ km}^2$, $AOO < 2000 \text{ km}^2$). By contrast, the British
621 distributions of the majority of the species listed in national conservation legislation are well-
622 documented. For example, the specific sites occupied by vendace and European whitefish, and
623 the usual upstream limits of allis shad and twaite shad, are known, making it possible to
624 calculate ranges with both accuracy and precision. The ranges are less precisely known for
625 European eel, Atlantic salmon and Arctic charr, but it is clear that they far exceed the thresholds
626 to potentially qualify as threatened. For most species, ranges have been extended by
627 translocations, but the native distributions of many are relatively well-documented (Maitland,
628 2004; Dodd et al., 2019). The main sources of potential uncertainty are therefore in determining
629 the number of “locations” and whether there is a continuing decline in habitat area (allis shad,
630 European whitefish, vendace) and the number of mature individuals (twaite shad). In these
631 cases, however, the main threats are well known and confidence in the assessments is high.

632

633 Confidence in the assessments under criterion C (small population size and decline) was
634 relatively low, as the threshold to potentially qualify as threatened (<10,000 mature
635 individuals) was definitely (based upon known abundances in monitored waterbodies)
636 exceeded in only 24% of cases (eight species), but probably (based upon known abundances in
637 monitored waterbodies extrapolated across the full geographical range) also in a further 61%
638 of cases (20 species). Notwithstanding, in the case where the population size was definitely
639 <10,000 (allis shad), confidence in the assessment was high. By contrast, all species were
640 assessed under criterion D (very small or restricted population) as it was possible to determine
641 whether the population size was smaller (allis shad) or greater (all other species) than the
642 threshold to potentially qualify as threatened (<1000 mature individuals). Thus, confidence in
643 the assessments based upon criterion D is high. It has seldom been possible to assess taxa under
644 criterion E (quantitative analysis, e.g. population viability analysis) as the requisite data are
645 invariably lacking. The majority (85%) of species were assessed against four criteria, but five
646 were assessed against three or fewer. This is potentially important as using too few criteria
647 could reduce the accuracy of the overall classifications.

648

649 4.3 Conclusions, implications for conservation and recommendations

650 Seven of the native freshwater and diadromous fishes of Britain were categorised as being
651 threatened with extinction at regional level, with European eel and allis shad classified as
652 Critically Endangered, Atlantic salmon, vendace and European whitefish classified as
653 Endangered, and Arctic charr and twaite shad classified as Vulnerable. In addition, burbot was
654 classified as Regionally Extinct, ferox trout was categorised as Data Deficient, and 25 species
655 were categorised as Least Concern. The data requirements under the five Red List Criteria
656 highlighted some important knowledge and information gaps, and priorities for mitigation:

657

- 658 • For European eel, the priorities are to update the CPUE data for British elver and silver
659 eel fisheries, as the most recent long-term analysis is now 15 years old (longer than one
660 generation), and mitigate the impacts of migration barriers.
- 661 • For allis shad, the priorities are to obtain estimates of the spawning run in the River
662 Tamar over three generations, so that the species can be assessed under criterion A, and
663 fully protect the only known spawning site. Further information is also required on the
664 status of the species in Wales.

- 665
- For Atlantic salmon, the priorities are to continue the long-term monitoring programme and enhance international efforts to address overexploitation at sea.
- 666
- For Arctic charr, vendace and European whitefish, the priorities are to monitor appropriate ‘index sites’, to enable the health of each population to be assessed and trends in population size to be estimated, and ensure that water quality is maintained at a sufficient level to minimise the impacts of climate change. Given that many waterbodies in Scotland with the potential to support Arctic charr have never been surveyed, it is also desirable to improve knowledge of the species’ distribution, in addition to re-evaluating the status of populations not monitored in the last decade.
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- For twaite shad, the priorities are to continue monitoring the Severn Estuary spawning run and mitigate the impacts of migration barriers.
- 674
- 675
- For ferox trout, the priorities are to address the severe knowledge gaps regarding taxonomic status and geographical range, and monitor appropriate ‘index sites’ to enable trends in population size to be estimated.
- 676
- 677
- 678
- For European smelt, the priorities are to address knowledge gaps regarding its range and status in Scotland and Wales, where new data may reveal declines in habitat availability and/or population size and could result in the species being categorised as threatened.
- 679
- 680
- 681
- 682
- European sturgeon qualified as only a “vagrant” in fresh water, so was categorised as Not Applicable. There is some evidence, however, that British coastal waters may be important juvenile and/or adult foraging areas (S. Colclough, pers. comm.). It is recommended, therefore, that this species, and Atlantic sturgeon *Acipenser oxyrinchus* Mitchill, is re-evaluated if spawning in Britain is confirmed.
- 683
- 684
- 685
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- 687
- There is a general lack of information suitable for calculating trends in population sizes. This is important because the majority of British freshwater and diadromous fishes are widespread and abundant, leaving population size reductions as the only possibility for being categorised as threatened, but the lack of data potentially prevented some from qualifying. It is recommended, therefore, that a set of regularly monitored sites is used to estimate trends in population sizes. For protected species, designated sites (SACs and SSSIs) should be monitored according to national protocols (e.g. JNCC, 2015), as the data could be employed both in IUCN Red List and EC Habitats Directive (92/43/EEC) assessments. Although the reporting frequency under the EC Habitats Directive (6 years) is longer than the life span of some species, making it difficult to
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698 detect the early signs of possible catastrophes, it is sufficient for calculating trends in
699 population size (over three generations) for IUCN Red List assessments.

700

701 Effective conservation of threatened species requires objective assessments of the status of
702 their populations, but this can be hampered by sub-optimal sampling programmes and natural
703 variations in population dynamics (Nunn et al., 2014). Assessments must therefore be of
704 sufficient frequency and rigour to be able to detect changes in status over time and evaluate the
705 impacts of management interventions and conservation measures (Cowx et al., 2009; Radinger
706 et al., 2019). The strategies and methods employed to monitor freshwater fishes are changing.
707 Technological advances in the use of eDNA, for example, have made considerable increases
708 in surveillance effort, both spatially and temporally, possible at relatively low cost, and it is
709 already an efficient tool for confirming the continued presence, and potentially absence, of
710 species of interest (Hänfling et al., 2016). It is important to note, however, that eDNA and other
711 remote (non-capture) methods cannot provide all of the information often required for
712 monitoring purposes, such as absolute abundance, population structure, recruitment success
713 and body length growth rates. It is likely, therefore, that a combination of traditional (capture)
714 and more contemporary (non-capture) methods will be required in many situations (Hering et
715 al., 2018).

716

717 The assessments in this study provide objective baselines against which future changes can be
718 determined, and a key evidence base to support policy and management decisions for the
719 conservation of freshwater and diadromous fish species and their habitats in Britain. It is
720 critical, however, that the results are interpreted correctly (with reference to the Red List
721 Categories and Criteria guidelines; IUCN, 2012, 2022) and not used as an indicator of the
722 general ecological health of Britain's fresh waters. For example, a categorisation of Least
723 Concern (lowest extinct risk) is not equivalent to achieving Favourable Conservation Status
724 (FCS) under the EC Habitats Directive or Good Ecological Status (GES) under the EC Water
725 Framework Directive (2000/60/EC). Instead, IUCN Red List results should complement
726 multivariate assessments of ecological status, such as those under the auspices of the EC Water
727 Framework Directive. The rationale is that species categorised at regional or national level as
728 Least Concern in terms of extinction risk could simultaneously fail conservation or ecological
729 targets at site level. It is recommended that the assessments conducted in this study are repeated
730 every 10 years, which would enable changes in conservation status, the effectiveness of policies

731 and where targeted interventions may be required to be examined using the IUCN Red List
732 Index (Bubb et al., 2009; Rondinini et al., 2014).

733

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744 **Author contributions**

745 ADN: data curation, formal analysis, funding acquisition, investigation, validation,
746 visualization, writing – original draft. RFA: data curation, formal analysis, investigation,
747 validation, visualization, writing – original draft. SW: conceptualisation, project
748 administration. CWB: writing – review & editing. TWH-E: writing – review & editing. AB:
749 project administration, writing – review & editing. RE: writing – review & editing. AA: writing
750 – review & editing. DO: writing – review & editing. RAAN: data curation, formal analysis,
751 funding acquisition, investigation, project administration, validation, visualization, writing –
752 original draft.

753

754 **ORCID identifiers**

755 ADN: <http://orcid.org/0000-0001-8370-1221>

756 CWB: <http://orcid.org/0000-0003-3502-0995>

757 RAAN: <https://orcid.org/0000-0002-6275-9253>

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1001

1002

1003 Table 1. Extinction risks facing the native freshwater and diadromous fishes of Britain, England, Scotland and Wales, compared with the European
 1004 and global IUCN Red List of Threatened Species assessments.

Family		Red List classification					
<i>Species</i>	Vernacular name	Britain	England	Scotland	Wales	Europe	Global
Acipenseridae							
<i>Acipenser sturio</i>	European sturgeon	NA	NA	NA	NA	CR	CR
Anguillidae							
<i>Anguilla anguilla</i>	European eel	CR	CR	CR	CR	CR	CR
Balitoridae							
<i>Barbatula barbatula</i>	Stone loach	LC	LC	LC	LC	LC	LC
Clupeidae							
<i>Alosa alosa</i>	Allis shad	CR	CR	NA	CR	LC	LC
<i>Alosa fallax</i>	Twaite shad	VU	EN	NA	VU	LC	LC
Cobitidae							
<i>Cobitis taenia</i>	Spined loach	LC	LC	NA	NA	LC	LC
Coregonidae							
<i>Coregonus albula</i>	Vendace*	EN	CR	EN	NA	EN	EN
<i>Coregonus lavaretus</i>	European whitefish*	EN	CR	EN	CR	EN/VU/CR	EN/VU/CR
<i>Coregonus oxyrinchus</i>	Houting	NA	NA	NA	NA	EX	EX
Cottidae							
<i>Cottus perifretum</i>	Bullhead	LC	LC	NE	LC	LC	LC

Cyprinidae

<i>Abramis brama</i>	Common bream	LC	LC	NE	NE	LC	LC
<i>Alburnus alburnus</i>	Bleak	LC	LC	NE	NE	LC	LC
<i>Barbus barbus</i>	Barbel	LC	LC	NE	NE	LC	LC
<i>Blicca bjoerkna</i>	Silver bream	LC	LC	NE	NE	LC	LC
<i>Gobio gobio</i>	Gudgeon	LC	LC	NE	NE	LC	LC
<i>Leuciscus leuciscus</i>	Common dace	LC	LC	NE	LC	LC	LC
<i>Phoxinus phoxinus</i>	Eurasian minnow	LC	LC	LC	LC	LC	LC
<i>Rutilus rutilus</i>	Roach	LC	LC	LC	LC	LC	LC
<i>Scardinius erythrophthalmus</i>	Rudd	LC	LC	NE	LC	LC	LC
<i>Squalius cephalus</i>	Chub	LC	LC	NE	NE	LC	LC
<i>Tinca tinca</i>	Tench	LC	LC	NE	NE	LC	LC

Esocidae

<i>Esox lucius</i>	Northern pike	LC	LC	LC	LC	LC	LC
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Gadidae

<i>Lota lota</i>	Burbot	RE	RE	NA	NA	LC	LC
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Gasterosteidae

<i>Gasterosteus aculeatus</i>	Three-spined stickleback	LC	LC	LC	LC	LC	LC
<i>Pungitius pungitius</i>	Ten-spined stickleback	LC	LC	LC	LC	LC	LC

Osmeridae

<i>Osmerus eperlanus</i>	European smelt	LC	LC	NT	NT	LC	LC
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Percidae

<i>Gymnocephalus cernuus</i>	Ruffe	LC	LC	NE	NE	LC	LC
<i>Perca fluviatilis</i>	European perch	LC	LC	LC	LC	LC	LC

Petromyzontidae

<i>Lampetra fluviatilis</i>	River lamprey	LC	LC	LC	LC	LC	LC
<i>Lampetra planeri</i>	Brook lamprey	LC	LC	LC	LC	LC	LC
<i>Petromyzon marinus</i>	Sea lamprey	LC	LC	LC	LC	LC	LC

Salmonidae

<i>Salmo ferox</i>	Ferox trout	DD	DD	DD	DD	DD	DD
<i>Salmo salar</i>	Atlantic salmon	EN	EN	EN	EN	NE	LC
<i>Salmo trutta</i>	Brown trout	LC	LC	LC	LC	LC	LC
<i>Salvelinus alpinus</i>	Arctic char*	VU	EN	VU	EN	LC	LC

Thymallidae

<i>Thymallus thymallus</i>	Grayling	LC	LC	NE	LC	LC	LC
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1005 EX = Extinct, RE = Regionally Extinct, CR = Critically Endangered, EN = Endangered, VU = Vulnerable, NT = Near Threatened, LC = Least

1006 Concern, DD = Data Deficient, NA = Not Applicable, NE = Not Evaluated

1007 *Note taxonomic differences between the regional and European/global assessments (see Section 2.1 for details)

1008

1009

1010 Table 2. Core metrics for the native freshwater and diadromous fishes threatened with extinction in Britain.

Family		Red List	Population	Generation	%	EOO	AOO	Continuing	No. of
<i>Species</i>	Vernacular name	code	size	time (years)	decline	(km ²)	(km ²)	decline	locations**
Anguillidae									
<i>Anguilla anguilla</i>	European eel	CR A2bd+4bd	>10,000	13	>80	508,831	34,432	Yes	n/a
Clupeidae									
<i>Alosa alosa</i>	Allis shad	CR B1ab(iii)+2ab(iii); C2a(ii)	<250	6	?	8	8	Yes	1
<i>Alosa fallax</i>	Twaite shad	VU A2b; B1ab(v)	>10,000	6	41	8350	656	Yes	4
Coregonidae									
<i>Coregonus albula</i>	Vendace*	EN B1ab(iii)+2ab(iii)	?	4	?	2253	68	Yes	1
<i>Coregonus lavaretus</i>	European whitefish*	EN B2ab(iii)	>10,000	5	?	26,734	320	Yes	1
Salmonidae									
<i>Salmo salar</i>	Atlantic salmon	EN A4b	>10,000	5	63	425,691	18,068	Yes	n/a
<i>Salvelinus alpinus</i>	Arctic charr*	VU A2b	>10,000	5	44	157,281	3260	Yes	n/a

1011 *Note taxonomic differences between the regional and global assessments (see Section 2.1 for details)

1012 **Number of locations is determined in relation to the threat identified as most important in terms of extinction risk, and does not necessarily equal
 1013 the number of sub-populations (IUCN, 2012; see Section 3 for details)

1014 EOO extent of occurrence, AOO area of occupancy, ? insufficient data, n/a not applicable (not threatened under criteria B or D)

1015

1016 Table 3. Qualification against criteria A–E in the regional IUCN Red List of Threatened Species assessments for the native freshwater and
 1017 diadromous fishes of Britain.

	Criteria				
	A	B	C	D	E
No. (%) species assessed*	28 (85%)	32 (97%)	31 (94%)	33 (100%)	0 (0%)
No. (%) species qualified as threatened	4 (14%)	4 (13%)	1 (3%)	3 (9%)	n/a
No. (%) species classified as threatened**	4 (14%)	4 (13%)	1 (3%)	0 (0%)	n/a

1018 *Excluding burbot (Regionally Extinct)

1019 **The species that qualified as threatened under a criterion D (allis shad, vendace, European whitefish) were ultimately classified at a higher risk
 1020 of extinction under criteria B and C

1021 n/a not applicable

1022

1023

1024 **Figure captions**

1025

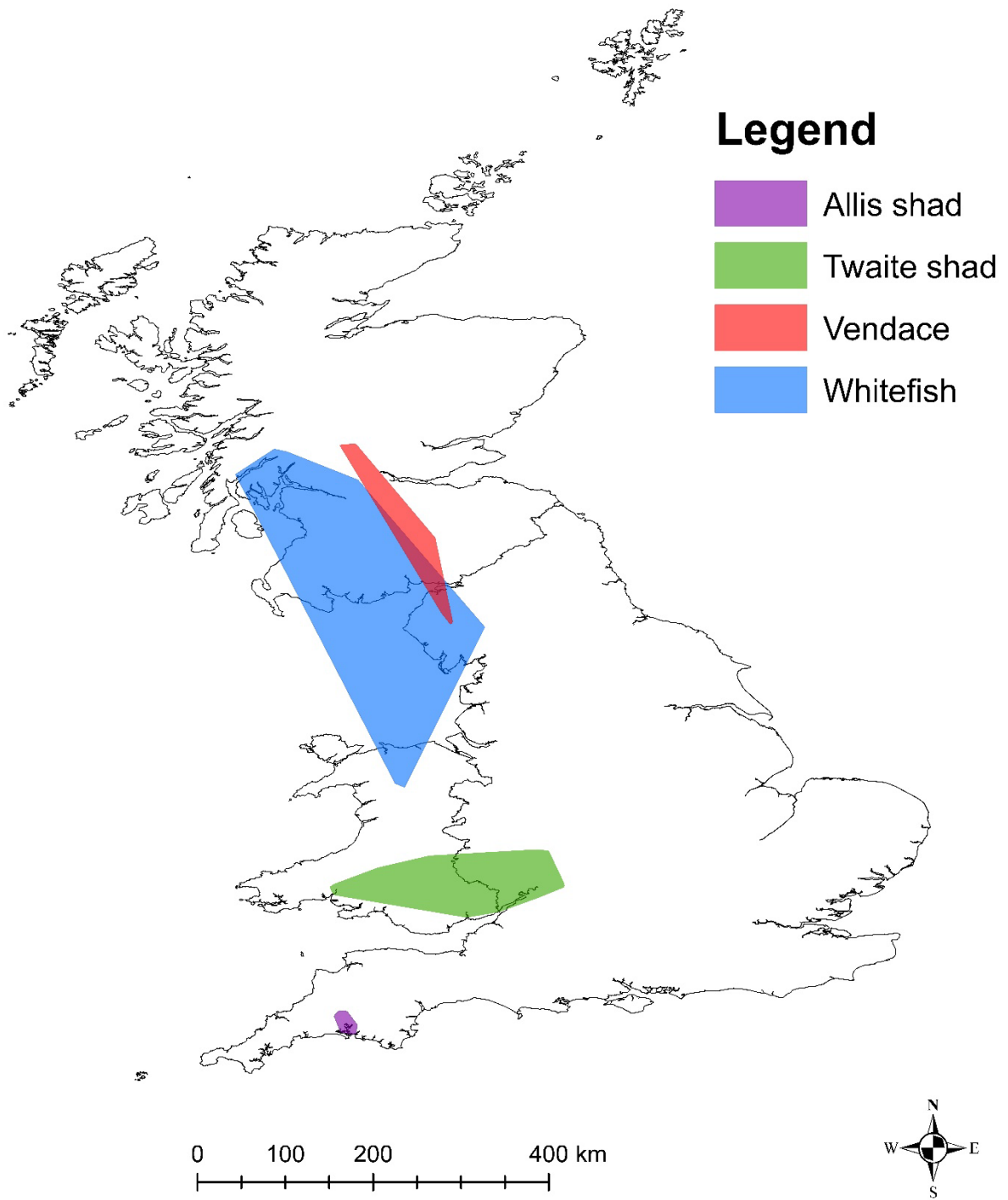
1026 Figure 1. Extent of occurrence (EOO) for the four native freshwater or diadromous fish species
1027 that are threatened with extinction in Britain due to their restricted geographical range.

1028

1029

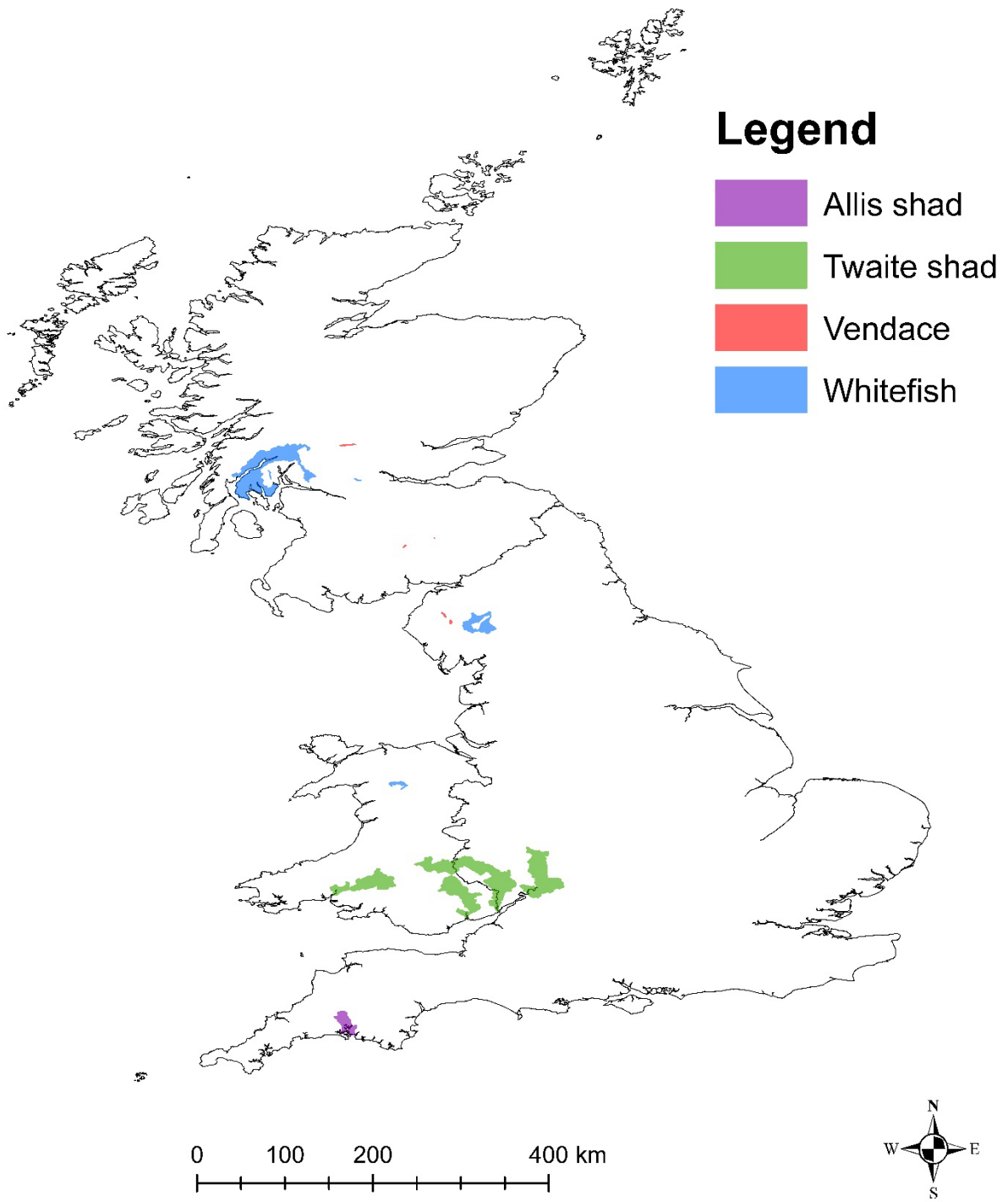
1030 Figure 2. Area of occupancy (AOO) for the four native freshwater or diadromous fish species
1031 that are threatened with extinction in Britain due to their restricted geographical range.

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