

1 **Two decades of numerical modelling to understand long term fluvial archives: advances**  
2 **and future perspectives.**

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31

32 **Abstract**

33 The development and application of numerical models to investigate fluvial sedimentary archives  
34 has increased during the last decades resulting in a sustained growth in the number of scientific  
35 publications with keywords, ‘fluvial models’, ‘fluvial process models’ and ‘fluvial numerical  
36 models’. In this context we compile and review the current contributions of numerical modelling  
37 to the understanding of fluvial archives. In particular, recent advances, current limitations,  
38 previous unexpected results and future perspectives are all discussed. Numerical modelling  
39 efforts have demonstrated that fluvial systems can display non-linear behaviour with often  
40 unexpected dynamics causing significant delay, amplification, attenuation or blurring of  
41 externally controlled signals in their simulated record. Numerical simulations have also

42 demonstrated that fluvial records can be generated by intrinsic dynamics without any change in  
43 external controls. Many other model applications demonstrate that fluvial archives, specifically of  
44 large fluvial systems, can be convincingly simulated as a function of the interplay of (palaeo)  
45 landscape properties and extrinsic climate, base level and crustal controls. All discussed models  
46 can, after some calibration, produce believable matches with real world systems suggesting that  
47 equifinality - where a given end state can be reached through many different pathways starting  
48 from different initial conditions - plays an important role in fluvial records and their modelling.  
49 The overall future challenge lies in the development of new methodologies for a more  
50 independent validation of system dynamics and research strategies that allow the separation of  
51 intrinsic and extrinsic record signals using combined fieldwork and modelling.

52

53 *Keywords: fluvial stratigraphy, numerical model, non-linearity, equifinality, signal shredding,*  
54 *intrinsic and extrinsic control*

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56

## 57 **Introduction**

58 The establishment of Fluvial Archives Group (FLAG) in 1996 has led to important developments  
59 in the understanding of the long term development of fluvial systems. Key publications that have  
60 originated through FLAG, such as the works by Maddy et al., (2001), Bridgland and Westaway  
61 (2008, 2014), have clearly demonstrated that many large scale systems appear to have  
62 comparable records with similar external controls. Concurrently, the development of numerical  
63 fluvial landscape modelling has accelerated since the late 1990s with the increasing availability  
64 of computing facilities and the further development of model algorithms and code. Influential  
65 initial attempts focused on the terrestrial erosional processes of large basins aimed at  
66 understanding large-scale and long-term erosional dynamics (Howard, 1994; Whipple and  
67 Tucker, 1999). This contributed to important discussions about the influence of climate and  
68 crustal perturbations on the landscape, the non-linear feedback mechanisms that affect fluvial  
69 systems and new insights into the concept of steady-state topography (Whipple, 2001). Research  
70 linking the application and scaling of stream power equations, which had their origin in empirical  
71 process geomorphology, led to the first catchment evolution models (such as: SIBERIA,  
72 Willgoose et al., 1991; DRAINAL, Beaumont et al., 2000; DELIM, Howard, 1994; GOLEM,

73 Tucker and Slingerland, 1997). Concurrently, Tetzlaff and Harbaugh (1989) aimed to simulate  
74 clastic sedimentation at the grain level in downstream sink areas. This latter simulation model  
75 produced relatively detailed (borehole) delta and marine stratigraphy that was used to support oil  
76 exploration efforts.

77 Initially the gap between these modelling efforts and fieldwork-based investigations was too  
78 large to be easily bridged. Available models were often too abstract to be directly linked to the  
79 typical fluvial records studied in outcrops and boreholes in the field. As a consequence, field  
80 studies remained focused on describing and interpreting fluvial records using a separate set of  
81 conceptual models. For instance, fluvial field observations have often been analysed in the  
82 context of their environmental, tectonic and sea (base) level change records (Bridgland and  
83 Westaway, 2008, 2014; Vandenberghe et al., 2003, 2008; Stokes et al., 2012). Such an approach  
84 typically assumes, either implicitly or explicitly, that fluvial records have formed under the direct  
85 or indirect influence of such external controls. It is a common approach within the Fluvial  
86 Archive Group (FLAG) publications (see <http://tolu.giub.uni-bonn.de/herget/FLAG/>). One  
87 specific numerical modelling approach that has been applied frequently within the FLAG special  
88 issues (e.g., Westaway, 2001, 2002, 2007) is based on the assumption that fluvial terrace records  
89 are primarily the product of feedbacks between climate change, surface processes, and crustal  
90 processes.

91 Most existing fluvial numerical process models use power laws derived from empirical  
92 relationships and all have unmeasurable parameters such as erodibility and effective viscosity,  
93 which are scale-dependent and which are difficult to relate to field observations (Lague, 2014).  
94 The available numerical models often have different objects or topics of study and consequently,  
95 they also have different scales of application, scale-dependent process choices and descriptions  
96 (Temme et al., 2011a; 2016). However, increasingly numerical models attempt to produce  
97 outputs, such as terrace and basin stratigraphy that can be more readily linked to field  
98 applications.

99

100 The aim of this perspective paper is to demonstrate and outline the current progress and  
101 developments in ‘fluvial archive’ model development, aided by a systematic keyword analysis of

102 an established literature database (SCOPUS) (Table 1). Specifically, the developments in ‘fluvial  
103 models’ and ‘numerical fluvial models’ to model fluvial archives during the 20 years of the  
104 Fluvial Archive Group (FLAG) existence will be discussed.

105 This will be followed by a brief characterization of a range of papers which address the  
106 modelling of fluvial archives from both hillslope, catchment and basin perspectives. We describe  
107 the types of research problems addressed by these models, and we evaluate some of the key  
108 research challenges they raise.

109

### 110 **Literature keywords search on ‘fluvial models’ in peer-reviewed publications**

111 To characterize the developments in the field of numerical fluvial modelling a literature search  
112 using the SCOPUS database was undertaken (See Fig. 1 and Table 1). An initial search extracted  
113 the publications with keywords ‘Fluvial Model’. The keyword ‘Quaternary’, a focal period of  
114 FLAG research, was omitted because it reduced the total sample size to 405 papers from a total  
115 of 6639. The cumulative number of publications were plotted over time using five-year intervals  
116 (Fig. 1a). A consistent increase in publications with keywords ‘Fluvial Model’ is observed  
117 starting with a total of 461 publications before 1990 and cumulating to 6639 in August 2016  
118 (Table 1). We subsequently made two subsets: ‘Fluvial Process Model’ and ‘Fluvial Numerical  
119 Model’. Both are represented by less papers: 38.5% and 18.4% of the total ‘Fluvial Model’ group  
120 respectively. The ‘Fluvial Process model’ has a total of 148 publications before 1990 which  
121 increased up to 2557 in August 2016, while the even smaller subgroup of ‘Fluvial Numerical  
122 Model’ started with only 27 publications before 1990 and cumulated in 1222 in August 2016. We  
123 observe in Fig. 1b that the cumulative fraction of the ‘Fluvial Model’ papers using ‘Numerical’ as  
124 key word has steadily increased from 7% up to 18% in 2015. A similar, but weaker development  
125 can be observed for the ‘Fluvial Process Model’ group of papers, increasing from 30% up to  
126 38%. This indicates that researchers publishing about fluvial models use now more frequently  
127 numerical models in their research. Although the fraction of numerical modelling seems to be  
128 increasing it still represents a minority of the fluvial model investigations (< 20%). Overall  
129 around 8% of the FLAG abstracts and special issue papers use some form of numerical modelling  
130 (Table 1b).

131 When we refine the key word groups by adding additional keywords we observe some additional  
132 trends (Table 1). A large proportion of the papers combine ‘Fluvial Model’ keywords with  
133 external controls such as ‘environment’, ‘climate’, ‘sea level’, or ‘base level’. Only a small  
134 fraction (maximum 3.2 %) of the fluvial model papers use key words related to intrinsic or  
135 nonlinear dynamics. The use of these keywords is more common in ‘Numerical’ papers, which  
136 may suggest that that numerical modelling makes researchers more aware of complex response  
137 dynamics and intrinsic versus extrinsic controls in fluvial systems.

138

### 139 **An overview of numerical models used in Fluvial archive research**

140 Because the review is aimed at the non-specialist in terms of numerical modelling, we have  
141 structured the numerical models according to the different depositional environments typically  
142 studied in the field. We distinguish: combined Hillslope/Fluvial records; Terrace records; Delta  
143 records; Catchment records; Basin records and finally a group of coupled models with a clear  
144 dynamic crustal component. We discuss the most relevant model contributions to support the  
145 understanding of fluvial archives over the last 20 years. We do not aim or claim to present a  
146 complete and exhaustive overview, nor will we go into detail about the specific model  
147 formulations as they have already been elaborately discussed in a recent overview publications,  
148 such as that of Tucker and Hancock (2010). The most recent model review by Temme et al.  
149 (2016) also discusses in detail the scale-dependent processes of the different landscape evolution  
150 models.

151

### 152 **Hillslope/Fluvial records**

153 Many headwater sediment records are often a mixture of colluvial and fluvial deposits. The  
154 LAPSUS model (Landscape Modelling at Multiple Dimensions and Scales; Schoorl et al., 2000;  
155 2002) is one of the most commonly applied numerical models to study these types of records.  
156 The applications in regions such as KwaZulu Natal, South Africa (Temme and Veldkamp, 2009)  
157 and southeast Spain (Baartman et al., 2012a; 2012b) are the most elaborate examples spanning  
158 the last 50 ka. The WATEM –SEDEM models from Leuven University focus on soil-hillslope

159 records only and address mainly agriculture-related case studies spanning the last millennia when  
160 tillage-induced soil redistribution became an important process (Notebaert et al., 2011;  
161 Haregeweyn et al., 2013). Both models were compared for a historical case study that  
162 demonstrated a similar performance in terms of generating plausible morphologies and colluvium  
163 records (Temme et al., 2011b). The challenge of LAPSUS and similar models such as the model  
164 of Wainwright (2006), lies in effectively coupling hillslope-channel dynamics. This determines  
165 the source-to-sink connectivity of the system and to what extent specific external drivers, such as  
166 large rainfall events, are registered in the long-term, downstream fluvial record (Savi et al., 2012;  
167 Michaelides and Wainwright, 2002; Bovy et al., 2016).

168 LAPSUS is effective in modelling different hillslope processes, including erosion by overland  
169 flow, tillage, biological and frost weathering, creep and solifluction (Temme and Veldkamp,  
170 2009), landslides (Claessens et al., 2006) and saturated overland flow (Buis and Veldkamp,  
171 2008). The results yield spatially explicit erosion and deposition patterns (Schoorl et al., 2004).  
172 The weakest part of LAPSUS is the lack of a realistic fluvial hydrology although first steps in  
173 that direction have been undertaken (Baartman et al., 2012b; van Gorp et al., 2015). This means  
174 that currently the model does not yield realistic sedimentology or morphology of floodplains. It  
175 does however simulate local fan morphology realistically but again without simulating detailed  
176 sedimentological patterns. There are also attempts underway to use the more detailed, but also  
177 more parameter/input demanding Wainwright (2006) model for larger spatio-temporal scales  
178 using parallel processing (PARALLEM; McGough et al., 2012). Unfortunately these attempts  
179 have not yielded realistic landscapes yet.

180

## 181 **Terrace records**

182 The 1-D FLUVER2 (Veldkamp and van Dijke, 2000; Tebbens et al., 2000) and Bogaart et al.  
183 (2003a, 2003b) models both aim to model longitudinal profile dynamics. FLUVER2 is more  
184 focused at the floodplain level and on the effects of climate, active crustal deformation and base  
185 level interaction (Viveen et al., 2013) while the Bogaart et al. (2003a, 2003b) model is more  
186 concerned with climate change-related river channel dynamics. Both models attempt to simulate  
187 fluvial terrace records, however FLUVER2 focuses more on terrace formation events along the

188 whole longitudinal profile. In contrast the model of Bogaart et al. (2003a, 2003b) focuses more  
189 on river pattern change (meandering versus braiding) for individual reaches. Both models  
190 produce the potential events that may lead to terrace formation but both lack a realistic estimate  
191 of net terrace preservation due to the lack of a lateral dimension. The LIMTER model  
192 (Veldkamp, 1992) — more recently called TERRACE in Viveen et al. (2014)— can give some  
193 additional insight into the probability of terrace preservation and the probability of valley cross-  
194 sections especially when combined with FLUVER2. Unfortunately this model is, although  
195 spatially explicit, only partly numerical with expert-based decision rules determining whether  
196 lateral and/or vertical erosion takes place (Veldkamp et al., 2002; Viveen et al., 2014).

197

## 198 **Delta records**

199 The controls on river delta formation are not driven exclusively by fluvial forces. The effects of  
200 wave reworking, wave and tide-induced currents, sediment transport mechanism, sediment  
201 properties (cohesive vs. non cohesive) and base level change also play a major role in delta  
202 formation. In addition to these aforementioned external (allogenic) controls, deltas also respond  
203 to internal (autogenic) controls (Karamitopoulos et al, 2014) such as channel avulsions and  
204 bifurcations. To understand, unravel and predict the complex deltaic stratigraphy there is an  
205 increasing use of process-based models that link hydrodynamics and sediment transport to better  
206 explain large- and small-scale morphodynamics (Jerolmack and Paola, 2007; Van der Vegt et al,  
207 2016). These models are increasingly coupled to a stratigraphical module such that  
208 morphodynamics can be used to explain stratigraphic variability.

209 The open source Delft3D model (e.g. Geleynse et al., 2010, 2011; Edmonds and Slingerland,  
210 2010; Hillen et al., 2014) puts emphasis on 3D delta stratigraphical records. The model has been  
211 developed in the engineering world over the past 30 years (Lesser et al, 2004; Roelvink, 2006),  
212 where many flume studies have contributed to the calibration of numerical formulations included  
213 in the hydrodynamic and sediment transport components of the model.

214

215

216 **Catchment records**

217 The CHILD model (Tucker et al., 2001; Tucker and Slingerland, 1997) simulates changes in  
218 topography in time and space combining both hillslope and fluvial processes. From this  
219 information, river long profiles, sediment fluxes and erosion rates can be derived. The model  
220 inputs are uplift rate and climate-related rainfall events (Tucker and Bras, 2000). Options have  
221 been proposed for both fluvial and hillslope erosion parameters, which have now been widely  
222 explored in the literature (e.g. Tucker and Whipple, 2002; Whipple and Tucker, 2002, Attal et al.,  
223 2008 amongst many). Consequently, CHILD has been used for many different case studies with a  
224 wide range of spatio-temporal domains. Several recent fluvial archive applications are  
225 particularly relevant: One study looks at how fluvial landscapes respond to climate change and to  
226 faulting to evaluate which long-term erosion laws best reproduce the channel geometry and the  
227 observed landscape response (Attal et al, 2008). Another recent study looks at the effect of well-  
228 constrained active normal faulting on channel long-profiles and channel width in the Central  
229 Apennines of Italy (Whittaker et al., 2008). A large-scale application of the CHILD model has  
230 been developed to study the effect of Late Pleistocene climate changes on the Rhine-Meuse  
231 catchment (Van Balen et al., 2010). The focus of the latter study was on the travel time of  
232 sediment pulses and on grain size sorting in this large catchment. The predictions were compared  
233 to inferences from the stratigraphic record in the downstream part. Model input consisted of an  
234 initial topography, various erodibility factors and a regolith layer with two different grain sizes  
235 and effective precipitation. For the topography a present-day DEM of the catchment was used.  
236 The effective precipitation was taken from a global circulation model. The results showed a  
237 considerable time-delay (several thousands of years) between climatic cause and sedimentary  
238 effect. This partly blurred signal is due to the delayed arrival of separate sediment pulses that  
239 originate from the tributaries in the fluvial network.

240 CAESAR (Coulthard et al., 2002; van de Wiel et al., 2007) and the improved CAESAR-  
241 LISFLOOD (Coulthard et al., 2013) model simulate topographical change due to water and  
242 sediment movement. The model focuses on the hydrological dynamics with a high temporal  
243 resolution (event/sub event basis) and also produces surface and subsurface grain size  
244 distributions enabling the simulation of floodplain properties. Due to the use of higher resolution  
245 time series (rainfall or discharge) to simulate individual flood events, run times can be long.



246 CAESAR applications range over time scales from individual events up to 10 ka maximum.  
247 However, only a few applications have focused on the long-term role of climate over land use in  
248 affecting Holocene, fluvial, sediment records (Coulthard and Macklin, 2001), and more recently,  
249 on how climatic signals may be more evident in sedimentary archives than signals resulting over  
250 shorter time scales from active crustal deformation (Coulthard and Van de Wiel, 2013).  
251 Additionally, CAESAR has been used to explore the importance of nonlinear dynamics and  
252 floodplain dynamics in generating fluvial archives, notably how autogenic processes within  
253 drainage basins are capable of generating spurious signals in the sedimentary record (Coulthard  
254 and van de Wiel, 2007, 2012; Ziliani et al., 2013 ). The papers on nonlinear dynamics of  
255 sediment yields (Coulthard and Van De Wiel, 2007, 2013; Van De Wiel and Coulthard, 2010)  
256 and how basin response is linked to external and autogenic drivers are of direct relevance for  
257 better understanding the formation of fluvial archives.

258

## 259 **Basin records**

260 The SELF-SIMILARITY DOWNSTREAM MODEL (Fedele and Paola, 2007; Duller et al.,  
261 2010; Whittaker et al., 2011) produces stratigraphic grain size trends as a function of crustal  
262 subsidence and sediment supply variations at the basin level. It uses a self-similarity model for  
263 grain size fining, which was proposed in its current form by Fedele and Paola (2007). The model,  
264 as originally conceived, is two-dimensional and solution-based on empirical observations that  
265 indicate that the grain size distributions of stream flow-dominated deposits are self-similar. For  
266 gravel grain sizes, this means that the mean and standard deviation of surface and subsurface  
267 sediments decrease at the same rate downstream (c.f. Paola et al., 1992; Paola and Seal, 1995;  
268 Duller et al., 2010; Whittaker et al., 2011). This approach is used to predict sedimentary grain  
269 sizes when sediment fluxes and accommodation space in response to active crustal deformation  
270 are known or estimated independently. The SELF-SIMILARITY DOWNSTREAM FINING  
271 MODEL has been applied to stream-flow dominated conglomerates in the Pobla Basin of the  
272 Spanish Pyrenees (Duller et al., 2010; Whittaker et al., 2011) and to understand systems such as  
273 the Fucino basin catchments in Italy (Armitage et al., 2011; Forzoni et al, 2014). A new three  
274 dimensional version of the model has recently been applied to alluvial fans in eastern California

275 to decode the effect of late Pleistocene to Holocene climate change on sediment fluxes in such  
276 source-to-sink systems (D'Arcy et al., 2016).

277 The ARMITAGE-COUPLED CATCHMENT BASIN MODEL (Armitage et al., 2011; 2013) is  
278 focused on the translation of crustal and climatic signals from source to sedimentary archives. It  
279 considers a small, frontal catchment and an alluvial fan which are separated by a vertical fault.  
280 The uplifted catchment is eroded and supplies a sediment discharge that is deposited within the  
281 basin. Erosion is mimicked by diffusive-concentrative hillslope and fluvial sediment transport  
282 equations. Depositional architecture is calculated by a mass balance approach, assuming that no  
283 erosion occurs within the depositional fan. In the model, the apex boundary condition is free to  
284 move vertically but with an imposed gradient continuity at the apex boundary. The slope of the  
285 fan is assumed to be constant. Therefore, at each time increment, a new depositional wedge is  
286 determined and selective deposition theory is used to estimate downstream stratigraphical grain  
287 size fining. The initial grain size signal is transformed downstream by selective deposition using  
288 an adapted version of self-similar solutions for downstream grain size trends. A modified  
289 ARMITAGE-COUPLED CATCHMENT BASIN MODEL version with different domain and  
290 boundary conditions has recently been applied to understanding Eocene sediment routing in the  
291 Spanish Escanilla fluvial system (Armitage et al., 2015).

292

### 293 **Coupled lithospheric and surface denudation systems**

294 Many models have the aim of simulating coupled lithospheric and surface denudation (Kooi and  
295 Beaumont, 1994; Beaumont et al., 2000; Van der Beek and Bishop, 2003; Codilean et al., 2006;  
296 Wickert et al., 2013). The most recent overview (Van der Beek, 2013) reviews the coupling of  
297 surface process models to other numerical models, in particular those predicting tectonic motions  
298 in the lithosphere.

299 There are currently two modelling approaches that have been specifically used to understand  
300 fluvial terrace records in the context of lithospheric dynamics: the lower crustal flow model by  
301 Westaway (2001, 2002) and TISC (Garcia-Castellanos, 2002; Stange et al., 2016). It should be

302 noted that these two models are based on different, partly incompatible, assumptions regarding  
303 the rheological behaviour of the Earth's crust.

304 The lower crustal flow model (Westaway, 2001, 2002) calculates vertical crustal motions for  
305 continental crust with a mobile lower-crustal layer under conditions of isostatic equilibrium. It  
306 takes account of the effect of the non-steady-state conditions that develop within the crust as a  
307 result of changes in rates of surface processes (erosion or sedimentation). The model uses rates of  
308 surface processes before and after major climatic changes in the geological record such as the  
309 Mid Pleistocene Revolution (Mudelsee and Stattegger, 1997), and crustal properties such as  
310 crustal thickness, thickness of the mobile lower-crustal layer, and the effective viscosity of the  
311 mobile lower-crustal layer. The various lithospheric thickness parameters are constrained using  
312 geophysical studies based on seismic reflection profiles and heat flow measurements (e.g.  
313 Westaway, 2001). For the effective viscosity of the mobile, lower-crustal layer values are used  
314 that are based on the temperature at the base of this mobile layer (Westaway, 1998). There are  
315 several applications for most continents all suggesting a plausibility of the lower crustal flow  
316 mechanism (Westaway 2002; Westaway et al., 2002). The model can also explain the observed  
317 differences between fluvial staircases on old, static, continental cratons and young, dynamic  
318 crusts (Westaway et al., 2003). An additional insight was the realization that in regions where the  
319 mobile lower crustal layer is thin (<~5 km) one observes alternations between uplift and  
320 subsidence rather than continuous uplift or subsidence (e.g. Westaway and Bridgland, 2014).

321 The TISC model is capable of combining landscape evolution with plan-view lithospheric flexure  
322 (Garcia-Castellanos and Cloetingh, 2012). It can spatially predict the amounts of erosion and  
323 sediment accumulation, resulting in a redistribution of surface loads. The surface process model  
324 comprises short-range, diffusive transport on hillslopes and long-range fluvial transport in the  
325 drainage network. The efficiency of linear, short-range diffusion is determined by effective  
326 precipitation, bedrock diffusivity and topographic gradient (Kooi and Beaumont, 1994). Long-  
327 range sediment transport in rivers depends on their sediment transport capacity (e.g. under-  
328 capacity transport model, (Van der Beek and Bishop, 2003)) that is proportional to mean water  
329 discharge and slope. Based on realistic (constrained) rheological properties the model also gives  
330 rise to vertical lithospheric motions that result from flexural isostatic compensation. TISC was  
331 recently applied to the Ebro river and its tributaries (Stange et al., 2016) and the results showed

332 that isostatic motions do indeed contribute to the uplift required to explain river incision and  
333 terrace formation.

334

### 335 **Limitations of the available models**

336 Every numerical model is a simplification of a real-world system based on many assumptions and  
337 empirical relationships that are often spatio-temporal and scale-dependent. Because all models  
338 are scale-dependent regarding their settings, they will require case-by-case calibration (Oreskes et  
339 al., 1994; Sapozhnikov et al., 1998). This is most obvious in the choice of model processes and  
340 the numerical description of the processes (see classic work by Kirkby, 1971). Furthermore, all  
341 models have in common that they use unmeasurable, often lumped, parameters (Crisswell et al.,  
342 2016). The 1-D models that describe longitudinal river profile dynamics all lack the lateral  
343 dimension crucial for realistically modelling of the preservation of older deposits such as terraces  
344 (Langston et al., 2015; Veldkamp et al., 2016). Furthermore, all 2-D fluvial landscape models  
345 struggle with the initial relief/profile conditions (Stange et al., 2016; Van Gorp et al., 2014). This  
346 is related to the fact that existing numerical models use forward-modelling approaches, making  
347 them sensitive to initial input which is one of the most challenging input parameters to  
348 reconstruct (Van Gorp et al., 2016).

349

350 All numerical models use simplifications and some have been dubbed reduced complexity  
351 (Larsen et al., 2014), a catch-all phrase used to describe models using significant simplifications  
352 and often empirical measurements at the expense of more physics-based first principles methods  
353 (Temme et al., 2016). Designed more to look at relationships between processes, reduced  
354 complexity models demand less input data and have relatively short run times, but their reliance  
355 upon specific assumptions and simplifications can make their validation very difficult (Oreskes et  
356 al., 1994; Schoorl et al., 2014). This is representative of the trade-off between complexity and  
357 numerical simulation feasibility, which is one underlying reason that no model is able to simulate  
358 detailed realistic landscapes over long time spans. A technical approach to reduce run time is  
359 currently sought in parallel processing (McGough et al., 2012) and has been developed for  
360 versions of the CAESAR-Lisflood model. However, this technique also requires a complete  
361 recoding of existing models, discouraging its widespread adoption.

362  
363 Despite the fact that many models have simplified empirical process descriptions, such as the  
364 inability to cope with channel widening and avulsions, they can all be calibrated to existing  
365 fluvial records (see Table 2). But typically most calibration and validation attempts are based on  
366 general catchment relationships and not on one to one comparisons (Ziliani et al., 2013). This  
367 issue touches upon the principle of equifinality. In complex systems a given end state can be  
368 reached through many different pathways starting from different initial conditions (Beven, 1996).  
369 This may explain why most model applications (see mentioned examples Table 2) are able to  
370 yield outputs that demonstrate a general match with the known field record (Nicholas and Quine,  
371 2010).

372  
373 The 2-D spatial models all struggle with either the coupling of hillslopes and fluvial channel  
374 dynamics, or with using scale-dependent power laws (Michaelides and Wainwright, 2002; Mayor  
375 et al., 2011; Lague, 2014). There is sometimes the tendency to ‘improve’ models by  
376 incorporating more processes in the model (Zolezzi et al., 2012), thereby increasing the degrees  
377 of freedom and making calibration easier, knowing that equifinality will lead to plausible model  
378 results. Before considering additional processes for a new model version, their relevance needs to  
379 be independently confirmed by field-based research. An example is the realization that dynamic  
380 regolith production rates should be included in landscape evolution modelling because they can  
381 have a significant effect on catchment-wide, sediment delivery rates and morphology (Van Balen  
382 et al. 2010; Temme and Vanwalleghem, 2015). In summary, additions to model complexity and  
383 processes will ultimately increase model uncertainty in addition to model plausibility. Useful  
384 models therefore represent a trade-off between simplification of reality and the ability to simulate  
385 dynamics as realistically as possible in such a way that outcomes can be confirmed by fieldwork.

386

### 387 **Unexpected results from modelling exercises**

388 Almost all models have unexpected outcomes related to the non-linearity and delayed response of  
389 the modelled fluvial system (Coulthard and Van De Wiel, 2007, 2012; Forzoni et al., 2014;  
390 Geach et al., 2015) . For instance, there are indications that knickpoints near the headwaters of  
391 large fluvial systems were originally triggered many thousands of years ago (Demoulin, 1998,

392 Beckers et al., 2015). A common observation is that fluvial systems are usually not the simple  
393 environmental archives that many conceptual models consider them to be (Vandenberghe, 2003;  
394 2008). Modelling efforts in fact demonstrate that a spatio-temporal delay of erosion and  
395 sedimentation events along a river profile should be expected (Whittaker and Boulton, 2012).  
396 Often external controls start to interact, causing blurring of signals due to amplification or  
397 attenuation effects causing unexpected fluvial record properties (Veldkamp and Tebbens, 2001;  
398 Forzoni et al., 2014). Even a linear relationship between one external driver and observed fluvial  
399 record properties is rare. Many models and especially catchment and basin models (see Table 2)  
400 indicate that substantial signal modification (Van De Wiel and Coulthard, 2010) can (and does)  
401 take place. This blurring of environmental signals by sediment transport is thought to be driven  
402 by ubiquitous thresholds in the transport system, by autogenic behaviours, and by system noise  
403 (Jerolmack and Paola, 2010). For instance, Jerolmack and Paola (2010) suggested that external  
404 signals are shredded when their time and amplitude scales fall within the ranges of the  
405 morphodynamic disturbance, making smaller systems more sensitive to this shredding effect.  
406 Modelling has also demonstrated that simulated fluvial records can be the result of self-  
407 organizing behaviour of the fluvial/slope system without any external environmental change (Van  
408 De Wiel and Coulthard, 2007; Coulthard and Van De Wiel, 2013; Schoorl et al., 2014; Forzoni et  
409 al., 2015). This insight is still *not* commonly applied when interpreting fluvial records. Most field  
410 records are still viewed and interpreted via a cause and effect framework, where external changes  
411 in climate, active crustal deformation or base level control fluvial records (See for example many  
412 papers in special issues of FLAG, <http://tolu.giub.uni-bonn.de/herget/FLAG/>). It seems that this  
413 approach has some validity for large river systems (Bridgland and Westaway, 2008, 2014) but  
414 certainly for smaller more local systems it is a feasible alternative to consider the whole observed  
415 record to be autogenic thereby allowing no conclusions about system controls at all. Current 2-D  
416 models (See Table 2) have clearly demonstrated that river basins are always in a state of delayed  
417 response to external drivers, and always generate their own autogenic signals. These intrinsic  
418 dynamics seem especially relevant in smaller systems (Coulthard and Van de Wiel, 2013;  
419 Schoorl et al., 2014). It is therefore highly relevant to focus more on how we can separate  
420 intrinsic from extrinsic record signals.

421 Finally, we know that the external drivers of the fluvial system are not independent and that  
422 active crustal deformation, climate and base level change can act as coupled drivers (See

423 examples Westaway, 2001, 2002; Stange et al., 2016). They can affect fluvial records in  
424 combination, which means that we have to acknowledge that not every external change leaves its  
425 own independent evidence in the fluvial record. All these insights combined imply that it would  
426 be exceptional to find simple, causal relationships reflected in the fluvial archive. This insight is  
427 illustrated by field studies where such causal relationships become less obvious when more  
428 independent age control of the fluvial record is obtained (Maddy et al., 2005; 2016).

429 Numerical modelling has also demonstrated that some of the basic assumptions about river  
430 behaviour, such as hydraulic scaling, probably need revisiting (Attal et al., 2011). A recent  
431 example is the importance of channel width in controlling how fluvial landscapes respond to  
432 active crustal deformation. While many models typically assume hydraulic scaling, field and  
433 modelling data show that this assumption is not always valid (Whittaker et al., 2007; Whittaker et  
434 al., 2008). Attal et al. (2008) performed an experiment where rivers cutting across faults had a  
435 fixed channel width and an experiment where channels were allowed to vary dynamically with  
436 channel gradient. This made a significant difference in how simulated landscapes record the  
437 imprint of active crustal deformation activity.

438 Several model applications have demonstrated that despite the many degrees of freedom it is not  
439 always easy to calibrate to existing field records (Baartman et al., 2012b; Geleynse et al., 2010).  
440 On the other hand, some models surprise by their versatility as they seem to work over a wide  
441 range of spatio-temporal scales (Coulthard et al., 2002; Temme et al., 2009; van der Vegt et al  
442 2016). However, recent work suggests that model ‘calibration’ may at best only be site specific  
443 and may require re-calibration for changing climates (Coulthard and Skinner, 2016) and other  
444 factors. Other unexpected results are related to new insights in the key role of initially  
445 unconsidered factors such as the role of cohesive sediment and sediment transport mechanism on  
446 floodplain dynamics and deltaic channel pattern, or the role of sediment reworking in  
447 determining delta stratigraphy (Edmonds and Slingerland, 2010; Hillen et al., 2014; van der Vegt  
448 et al 2016). Additional unforeseen outcomes relate either to the relative unimportance of a  
449 considered process such as tillage erosion (Baartman et al., 2012a) or the long-lasting effects in  
450 the fluvial record due to a temporary local base level change as a result of lava damming (Van  
451 Gorp et al., 2013; 2016).

452

453 **What is needed to advance modelling efforts (future plans)**

454 If we want to use existing numerical models in a more effective way we need them to be more  
455 realistic – to a certain degree. One way to achieve this is by developing an ensemble of field sites  
456 where a high resolution stratigraphy is available – i.e. stratigraphy that is well dated in time and  
457 space, and where sedimentation rates can be accurately reconstructed and sedimentation budgets  
458 are closed. Within such reference areas existing models can be tested, calibrated, compared and  
459 further developed.

460 In order to involve non-specialists in the numerical modelling debate they need to have access to  
461 demos and animations that illustrate the specific intrinsic fluvial dynamics and related signal  
462 amplification, attenuation, delay and shredding. There are already websites giving a general  
463 overview of many existing models. At the website of the Community Surface Dynamics  
464 Modelling System, [https://csdms.colorado.edu/wiki/Model\\_download\\_portal](https://csdms.colorado.edu/wiki/Model_download_portal) for example, many  
465 Earth scientific models are grouped and documented. What is still lacking are simple illustrations  
466 of specific principles as discussed above. Figure 1 is a first simple attempt to illustrate why linear  
467 correlations between climate and fluvial records are not always likely. Assuming complete  
468 preservation, a typical correlation can be made using the Vandenberghe (2003) model on the  
469 timing of cold stage deposition using an existing climate curve (see green arrows). When this  
470 climate curve is modelled into an externally driven fluvial erosion/deposition curve using the  
471 FLUVER2 model a curve (purple curve, right hand side, is created that already deviates in timing  
472 and magnitude from the original climate curves. As a result, the interpretations using this curve  
473 demonstrate some deviations in depositional history for the older units. When the intrinsically  
474 driven erosion/deposition curve is included, even more deviations can be observed. Given that  
475 fluvial systems are non-linear and display a mix of intrinsic and extrinsic dynamics, the more  
476 realistic interpretations seem to be made when we take nonlinear, intrinsic behaviour into  
477 account. This behaviour cannot be determined from the field record, but requires supporting  
478 numerical model simulations.

479

480 One implication of tighter integration of numerical modelling with fieldwork is to allow  
481 numerical modelling to guide fieldwork. A very first attempt to guide future sampling in the



482 Allier system was recently made by Veldkamp et al. (2016) using a calibrated and quasi validated  
483 FLUVER2 model.

484 It is also proposed that combining and linking existing models and their concepts might advance  
485 our insights (Temme et al., 2011a). An obvious idea is to develop ensemble forecasts where  
486 different models are used to explore a range of simulated outcomes, as is done in climatology and  
487 hydrology (Saleh et al., 2016; Coulthard et al., 2013). Another key area of work is to integrate  
488 “upstream” and “downstream” perspectives of fluvial systems incorporating both the source  
489 catchment and the depositional sink (e.g. Forzoni et al., 2014). The main challenge in both these  
490 cases, and more widely, will be to systematically deal with the different spatio-temporal scaling  
491 effects and basic model assumptions.

492 The ultimate goal of work in this area is to provide an improved understanding of the controls  
493 and dynamics of fluvial systems. However, numerical models are only one means to achieve this  
494 goal. One way to bring the numerical models closer to fieldwork is to modify them to produce  
495 additional, relevant and measurable field-related outputs such as stratigraphical records and  
496 calculated  $^{10}\text{Be}$  erosion rates (Schaller et al., 2002; 2004) or OSL inventories. There is also a  
497 clear need to target specific field studies to investigate in more detail landscape connectivity  
498 such as hillslope-channel coupling and decoupling in more detail because this mechanism will  
499 determine whether there exists a source-sink relationship in a given fluvial record (Savi et al.,  
500 2012). Such field studies help to identify intrinsic self-organization as a threshold related  
501 phenomenon (Michaelides and Wainwright, 2002), distinct from extrinsically controlled  
502 properties of fluvial records (Faulkner, 2008). Ultimately we want to understand how the records  
503 were formed and to try to infer the relevant environmental and other external drivers.

504

## 505 **Conclusions**

506 Numerical models have been increasingly developed and used to unlock the fluvial archive  
507 during the last decades, much of this work having been undertaken under the auspices of FLAG.  
508 Numerical modelling efforts have demonstrated that fluvial systems can display non-linear  
509 behaviour with often surprising and unforeseen dynamic effects causing significant delay,  
510 amplification, attenuation or shredding of external control signals in their simulated record.

511 Numerical models have also demonstrated that fluvial records can be generated by intrinsic  
512 dynamics without any change in external controls. Many other model applications demonstrate  
513 that fluvial archives, specifically of large fluvial systems, can be convincingly simulated as a  
514 function of the interplay of (palaeo) landscape properties and extrinsic climate, base level and  
515 crustal controls. All discussed models can, after some calibration, produce convincing matches  
516 with real world systems suggesting that equifinality plays an important role in fluvial records and  
517 its modelling (Nicholas and Quine, 2010). The overall future challenge lies in the development of  
518 new methodologies for independent validation of system dynamics and research strategies that  
519 allow the separation of intrinsic and extrinsic record signals using combined fieldwork and  
520 modelling.

521  
522

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527

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872

| Table 1a:<br>Key words: | Fluvial Model | Fluvial Process Model (38.5% of fluvial model group) | Fluvial Numerical Model (18.4% of fluvial model group) |
|-------------------------|---------------|--|--|
|                         | 6639          | 2557   | 1222   |
| + Control               | 1121 (16.9%)  | 480 (18.8%)  | 203 (16.6%)  |
| + Environment           | 1454 (21.9%)  | 593 (23.2%)  | 220 (18.0%)  |
| + Climate               | 864 (13.0%)   | 372 (14.5%)  | 137 (11.2%)  |
| + Tectonic              | 804 (12.1%)   | 320 (12.5%)  | 123 (10.0%)  |
| + Sea Level             | 501 ( 7.5%)   | 179 ( 7.0%)  | 82 ( 6.7%)   |
| + Base Level            | 319 ( 4.8%)   | 123 ( 4.8%)  | 47 ( 3.8%)   |
|                         |               |  |  |
| + Non linear            | 87 ( 1.3%)    | 42 ( 1.6%)   | 39 ( 3.2%)   |
| + Intrinsic             | 53 ( 0.8%)    | 30 ( 1.2%)   | 16 ( 1.3%)   |

873

| Table 1b:                         | 17 FLAG dedicated special issues | FLAG meeting abstracts, last six meetings |
|-----------------------------------|----------------------------------|---|
| Total papers/abstracts considered | 187                              | 276                                       |
| Dedicated to modelling            | 16 (8.5%)                        | 26 (9.4%)                                 |

874

875 Table 1a: Inventory of publications in SCOPUS data base using keywords. Three main groups of key  
876 words. 'Fluvial Model', 'Fluvial Process Model', 'Fluvial Numerical Model'. The + key words are added to  
877 these three groups individually. Numbers indicate number of all publications in data base (August 2016),  
878 ercentages indicate share within each main group.

879 Table 1b: counted papers and abstracts in FLAG dedicated special issues and Abstracts of last six FLAG  
880 meetings. See <http://tolu.giub.uni-bonn.de/herget/FLAG/> for documentation.

881

882 Figure 1.

883 Upper graph a: number of scientific publications cumulative in time with specific key words.

884 Lower graph b: fraction of process models and numerical models of the 'Fluvial Model' group

885 (source: SCOPUS database August 2016)

886

887 Figure 2.

888 An existing climate record for France (example is temperature (red) and precipitation (blue)

889 deviations over the last 150 ka (Guiot et al., 1989; 1993)) is given at the left hand side. This

890 climate curve is remodeled into an externally driven fluvial erosion/deposition curve using the

891 FLUVER2 model a curve (purple curve, right hand side, (see Veldkamp et al., 2016)). As a result

892 the interpretations using this curve (see blue arrows), correlating depositional events to

893 sedimentary units, demonstrates some deviations especially for the older units. When the

894 intrinsically driven erosion/deposition curve is calculated even more deviations can be observed

895 (see red arrows).